

From the very beginning of statehood, the founders of Indiana expressed a belief that a free democratic society required support of public education for its realization. . . . It is a fact that there are no highly developed nations in the world without highly developed universities.

— Herman B Wells
Indiana University President,
1938–1962;
University Chancellor,
1962–2000



INDIANA UNIVERSITY

2007–2009

Operating Request and Special State Appropriation Request



July 2006

August 1, 2006



Mr. Charles Schalliol
Director
Indiana Office of Management and Budget
212 State House
Indianapolis, Indiana 46204

ADAM W. HERBERT
PRESIDENT

Mr. Stanley G. Jones
Commissioner
Indiana Commission for Higher Education
101 West Ohio Street, Suite 550
Indianapolis, Indiana 46204

Dear Colleagues:

On behalf of the Trustees of Indiana University, our students, faculty, staff and alumni, I am very pleased to submit IU's FY 2007-09 operating, fee replacement and special state appropriation request. Over the last few months, the Trustees of Indiana University have been extensively engaged in setting funding input priorities for the institution. The attached documents are presented with their approval.

IU is committed to advancing the state's economic development goals. Thus, our request incorporates special initiatives focusing on the life sciences and improvements in K-12 education. These initiatives will enable IU to contribute to the state's future prosperity at higher levels than ever before. This request also details IU's needs for the basic operating funds required to support our primary missions of teaching, research and public service. Additionally, it includes special funding for new programs at the Columbus campus, consistent with community-identified educational needs.

Detailed information regarding IU's appropriation request is included in the narrative sections and financial schedules incorporated in the request document. We welcome the opportunity to provide any additional information you and your colleagues may require.

We look forward to opportunities to discuss Indiana University's request with you during the months leading to final appropriations during the 2007 session of the Indiana General Assembly.

Sincerely,

A handwritten signature in dark ink, appearing to read "Adam W. Herbert".

Bryan Hall 200
Bloomington, Indiana
47405-7000

A WH:jp

cc: Trustees of Indiana University

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INDIANA UNIVERSITY

2007-09 APPROPRIATION REQUEST

Preface

Why invest in Indiana University?

Indiana's current economic development goals demand highly strategic public investments in efforts that will make a demonstrable contribution to job and income growth for Hoosiers. These public resources must be directed to Indiana's most promising people and programs – those with the greatest potential to transform Indiana's economy in ways that provide Hoosiers with better wages and brighter job prospects.

Indiana University provides an outstanding return on state investment: IU matches every state dollar of investment with more than three dollars from other sources. IU's faculty attracted more externally funded research dollars than all of Indiana's other public and private colleges. Our 240,000 graduates living and working in Indiana are the reason that IU is a leading producer of graduates employed in all but one of the top-ten "hot jobs" in Indiana. Clearly, IU is a valuable asset in a state focused on talent, innovation, and investment.

Indiana University also has been responsive to state goals and priorities established by the Indiana Commission for Higher Education and the Indiana General Assembly. Consider the following:

- With \$725 million in contract and grant expenditures during FY 2003-04 through FY 2004-05, IU is helping to transform business and industry in Indiana. These expenditures resulted in research projects, new discoveries and important studies while supporting thousands of Hoosier jobs.
- As reported by the Association of American Medical Hospitals, the IU School of Medicine and its affiliated teaching hospitals support more than 47,000 jobs. The School's statewide economic impact is estimated at \$5.5 billion.
- IU faculty members are actively engaged in commercializing new discoveries and transfer of university intellectual property has grown substantially during the past five years. Invention disclosures increased from 57 to 257 while the number of new licenses increased from 10 to 63.
- IU invested nearly \$130 million in institutional and private student financial aid during FY 2004-05. In addition, over the last two years, our donors have contributed \$155 million for new student scholarship programs.
- IU leads the state in the number of courses and degree programs that are transferable with Indiana's two-year institutions. Nearly 7,500 courses and 576 degree programs transfer between IU and either Ivy Tech or Vincennes University.
- Indiana University's commitment to educational opportunity has increased college participation rates for Hoosiers. IU's enrollment has increased by just over 8,000 new students over the last ten years.
- Indiana University has developed nearly 1,600 new internship placements in Indiana businesses over the last two years.
- IU Bloomington undergraduate students pay about \$3,000 (33%) less than the average for their counterparts at other Big Ten institutions.

Executive Summary

Indiana University's Request

Indiana University is pleased to present its 2007-2009 Operating Appropriation Request. This request emphasizes IU's responsibility and significant potential to contribute even further to state economic growth, individual prosperity, and cultural development. Indiana University's request invites strategic investment in two key initiatives. The first of these is designed to enhance Indiana's life sciences sector. The second focuses on helping our state close the Hoosier math and science achievement gap. In accordance with the various elements of Indiana's higher education funding formulae, this request also details IU's basic operating appropriations needs, including funds for routine campus operations and fee replacement.

A synopsis of the two special initiatives is included in this Executive Summary along with a brief discussion of IU's basic operating appropriation request. Detailed descriptions and supporting information are provided in the following narrative.

Life Sciences Initiative

Indiana can heighten its competitiveness in the life sciences industry through further investment in IU's life sciences enterprise. Indeed, the state's new economic development strategic plan, *Accelerating Indiana*, emphasizes the need for further increasing the capacity of the state's universities to conduct cutting-edge research. IU's request for special state appropriation for the life sciences represents the first of multi-biennial requests to assist the university with implementation of its Life Sciences Strategic Plan, which was released in early 2006. The request for the FY 2007-09 biennium will allow us to recruit approximately 100 new faculty that will be engaged in the life sciences during the biennium. Additional base operating support for the eight IU School of Medicine Centers for Medical Education is also included. Finally, funds are requested to accelerate the commercialization of intellectual property and to expand IU's statewide economic development efforts in the life sciences.

New faculty hired through this initiative will be working on the frontiers of life sciences research. They and their colleagues will play a key role in IU's efforts to contribute to expansion of the life sciences industry in the state. Funds are requested to assist with financing start-up packages, which are key to successful recruitment of top scientific talent, and to provide ongoing financial support for the new faculty.

The request for additional base support for our eight School of Medicine Centers for Medical Education will be used to expand research engagements at selected campuses that are aligned with regional economic development priorities, to increase medical school enrollment in order to increase the supply of medical school graduates, and to promote rural health initiatives.

Funds are also requested to enhance and enlarge IU's efforts in statewide economic development activities pursued by its Advancing Indiana Office and to increase commercialization of intellectual property developed by IU faculty through the Indiana University Research and Technology Corporation technology transfer office.

Indiana University is requesting \$30 million in base funds for the life sciences initiative for FY 2007-08 and another \$20 million in FY 2008-09, thus bringing total base support to \$50 million. Subsequent biennial appropriation requests will include funds for further implementation of the initiative over the next ten years

Pathways to Success: Opening Routes to STEM (Science Technology, Engineering, and Mathematics) Disciplines for Underrepresented Students

The State's *Accelerating Indiana* strategic plan clearly recognizes the need for programs that will help develop a workforce with the strong math and science skills that are so essential in a knowledge economy. Lack of success in mathematics and science continues to be a barrier to a wide range of opportunities for many high school students. Through *Pathways to Success*, IU will demonstrate how intensive involvement by a multi-campus research university can help middle and high school students bridge the achievement gap in science, technology, engineering and mathematics. Focusing on students in high-need secondary schools in Marion, St. Joseph, and Lake Counties, *Pathways to Success* will help these students participate in learning paths that will enable them to eventually gain employment in the state's existing and developing industries. A \$5 million base operating appropriation is requested for implementation of this initiative.

Basic Operating Appropriations Request

Financial support for IU's routine operations is requested according to established funding formulae. The centerpiece of our basic operating appropriation request is a 3% annual inflationary increase. This increase is critical for providing financial stability after three consecutive biennia in which state appropriations have been constrained.

Our basic operating request also includes modest funding increases for enrollment change on eligible campuses, plant expansion, research support, and debt service. A quality improvement request in the amount of \$1.9 million for degree programs at the Columbus campus reflects ongoing discussions with state officials representing both the executive and legislative branches, representatives of IU, Purdue, and Ivy Tech, and Columbus community leaders.

Appropriation increases of \$36.8 million in FY 2007-08 and \$16.4 million in FY 2008-09 are requested. These will result in a 10.2% base operating appropriation increase over the FY 2006-07 appropriation level by the second year of the biennium.

Finally, IU requests a 3.3% increase for university line items in FY 2007-08 and a 2.7% increase in FY 2008-09 over the \$8.2 million in total special appropriations for FY 2006-07.

Institutional Narrative

Institutional Strategic Initiatives

Life Sciences Initiative

A recent report by the Battelle Memorial Institute identified Indiana as one of the nation's top four life sciences leaders based on the number and concentration of life sciences jobs. For years, Indiana University has contributed to the development of this life sciences industry through extensive research engagements, primarily at the IU School of Medicine (IUSM) and at the Bloomington campus. In addition, IU has trained many of the workers employed in the life sciences industry through its extensive academic program offerings. In fact, IU has recently expanded its programs in the life sciences. For example, new undergraduate and graduate degrees are being offered in biotechnology and human biology on the Bloomington campus. With a strong foundation in the life sciences already laid, the time is ripe for additional strategic investment by the state in life sciences research at Indiana University, as set out in the state's own economic development strategic plan, *Accelerating Growth*. This plan calls for increasing Indiana's capacity for conducting cutting-edge research and development at universities and business.

Indiana University has the experience and the organizational track record to move our state's already robust life sciences industry even farther ahead, attracting talent, encouraging innovation, and inspiring investment that will increase Hoosier incomes and opportunities for years to come. Because of IU's success and the talent of its faculty, Indiana is in a unique position to grow its life sciences industry more quickly than other states.

IU has been expanding its life sciences research engagements in recent years. Three major grants from the Lilly Endowment have provided funding to launch important new life sciences initiatives. In 2000, officials of Indiana University and the Lilly Endowment announced the creation of the Indiana Genomics Initiative, which is supported by a \$105 million grant from the Lilly Endowment. The creation of the Indiana Genomics Initiative (INGEN) was a transformative event. It has fueled a new era of research at the IU School of Medicine, prompted unprecedented academic collaboration, and laid the foundation for the formation of BioCrossroads and development of Indiana University's Life Sciences Strategic Plan.

The initial INGEN grant had such a significant impact that in 2003 the Lilly Endowment awarded a second INGEN grant of \$50 million. Within IU and especially at IUSM, INGEN has been a catalyst with a very broad impact. It has resulted in:

- Continued developments in life sciences at IU, including the METACyt initiative (discussed below), and the creation of a life sciences strategic plan for IU.
- Recruitments of more than 60 talented new researchers.
- The equipping of laboratories in which those scientists can do their work.
- New and expanded research support (core) services to assist investigators. Significant investments in supercomputing and data storage resources necessary to conduct modern life

sciences research. In fact, IU's supercomputer system was recently ranked as the faster supercomputer owned and operated by a U.S. university.

- A new wave of entrepreneurial activities to bring discoveries to the marketplace.
- A new era of collaboration within Indiana University, and between IU and other universities, businesses and government agencies in the state and nation.
- Funding for two new education programs: The INGEN Scholars Program for M.D. /PhD students (supplementing existing M.D. /PhD. programs) and the Biotechnology Training Program.
- The attraction of additional research dollars. As of January 2006, INGEN funds had supported grant proposals that brought in \$387 million in new research funds.

Among these new research dollars is another grant from the Lilly Endowment to fund the Metabolomics and Cytomics Initiative (METACyt). This \$53 million grant, awarded to the Bloomington campus in 2004, is helping propel Indiana University into the newest endeavors in the life sciences – the intense world-wide competition to understand the functioning of biological cells. Such research will aid scientists in understanding biological systems at the molecular level and will lead to better understanding, diagnosis and treatment of human diseases. Over five years, the grant will provide critical financial support for faculty, research, and new facilities and equipment.

Combined with IU's current strengths in genomics, proteomics, and information technology, the METACyt and INGEN initiatives will help the university attain its goal of moving to the front ranks of national research in the life sciences. Accordingly, this initiative seeks to leverage the Endowment grant by bringing in substantially increased federal research funds. In addition, coupled with other initiatives such as BioCrossroads, Inproteo, and INCAPS, METACyt is fueling the development of new spin-off companies and intellectual property that is facilitating life sciences growth in Indiana, critical to the State's future economic vitality. METACyt has already provided seed money for two new ventures, one in Bloomington and one in Indianapolis, and more are being considered. Clearly, the Lilly Endowment has helped lay the foundation for the life sciences revolution in Indiana.

Indiana University's Life Sciences Strategic Plan, released in February 2006, details actions that will further advance IU's life sciences research engagements. The plan builds on the explosive growth of life science research activities at IU recent years. In addition to analytical chemistry, implementation of the plan will focus our research agenda and move Indiana into the ranks of the nation's top research centers in six areas of the life sciences:

- organic chemistry
- cancer biology
- neurosciences
- diabetes
- metabolic disorders
- model systems in biological research

The plan spells out how IU can align existing strengths in these life sciences fields with its substantial information technology and supercomputing capability. Combining these resources

in cooperative ventures will increase IU's competitiveness for major research grants from the National Institutes of Health, the National Science Foundation, and from other federal and private grantors. Pursuit of strategies outlined in the plan will encourage collaboration between faculty working in the laboratories in Bloomington – which have some of the most sophisticated devices in the world for analyzing and measuring chemical compounds – with faculty working in the laboratories at the IU School of Medicine, where innovative research is being done on cancer, diabetes and other diseases.

The comprehensive IU Life Sciences Strategic Plan sets key priority areas and prescribes specific actions for elevating areas of life sciences research to international prominence. Accordingly, IU has established a goal of doubling externally funded research over ten years. The plan outlines strategies that will enable Indiana University to:

1. Develop and attract even more talent by

- Recruiting additional researchers and scientists in the six areas of life science concentration who will in return recruit teams of laboratory researchers.
- Increasing laboratory, office and teaching space for additional life scientists.
- Supporting the education of life scientists, especially those who will remain in Indiana to work in academia or for industry.

2. Foster innovation by

- Encouraging and facilitating interdisciplinary research projects in the life sciences involving not only biologists, chemists and medical researchers, but physicists, environmental scientists, health and recreation researchers and agricultural researchers.
- Improving IU life scientists' access to informatics tools and experts.

3. Inspire investment by

- Doubling federal support of IU research within the decade.
- Expanding the "translation" of basic science discoveries into applied, licensable technologies for use in medicine and other areas of human activity.
- Working with private industry partners and investors to attract more federal and private support for the life sciences and health industries.

The strategic plan also prescribes dozens of specific actions to accomplish these and other goals. Finally, the plan identifies a need for more than a million square feet of additional laboratory space in Bloomington and Indianapolis and recommends the recruitment and hiring of a significant number of top research professionals at both campuses. The Life Sciences Strategic Plan can be found at: <http://lifesciences.iu.edu/strategic/>

Indiana University has achieved a record of remarkable performance and excellence in the life sciences in a relatively short period. IU leads the Big 10 in National Academy of Sciences members in the life sciences. Therefore, the time has come for additional strategic investment by the state to further accelerate IU's contributions to the life sciences industry in Indiana.

Accordingly, the university is presenting a special request for state funds for implementation of its life sciences initiative, consistent with its strategic plan.

A key to further expansion of life sciences research is recruiting many additional faculty at both the Bloomington and Indianapolis campuses. IU proposes to add approximately 100 new faculty during the upcoming biennium and house them in new research facilities that will open on each campus during the next 2-3 years. Most of the state funds requested will be used to help fund start-up packages necessary to recruit the best research talent through national and international searches and to provide a portion of the funds necessary to support ongoing research activity.

Substantial start-up packages are required for recruitment of faculty scientists. Start-up packages fund laboratory set-up expenses, including equipment procurement, and support initial research operations. These packages are, in effect, seed funds for the acquisition of external grants that provide continuing direct support for cutting-edge research.

It is important to point out that conducting research involves an additional cost to the university. Despite substantial external grant awards that are often secured by scientific researchers, such funding does not cover all of the costs that research universities such as IU incur in their research engagements. Thus, this request also includes ongoing state financial support for the new faculty to help IU cover unfunded expenses for life sciences research.

Another element of the life sciences initiative is increased funding for the Indiana University School of Medicine Centers for Medical Education. These Centers are located at IU's Northwest, Fort Wayne, and Bloomington campuses. Additional Centers are located on partner campuses at the University of Notre Dame (South Bend), Indiana State University (Terre Haute), Purdue University (West Lafayette), Ball State University (Muncie), and the University of Southern Indiana (Evansville). \$6 million for FY 2007-08 and \$8 million for FY 2008-09 in additional base operating funds are being sought to expand research engagements at selected Centers in concert with their host educational institutions and/or their regional economies. In addition, these funds will assist with development of an expanded rural health focus and facilitate an increase in the number of students enrolled at certain centers in an effort to ensure that there is an adequate number of primary care physicians practicing in Indiana in future years as a generation of physicians currently in practice begins to retire.

The final element of the life sciences initiative involves additional resources to ensure maximum commercialization of intellectual property developed at Indiana University and to expand the University's statewide economic development engagements. The intent is to launch a three-pronged pilot project designed to extend the promise of life sciences job creation and income throughout the state. IU is requesting \$1.5 million in FY 2007-08 and \$1.8 million in FY 2008-09 to support these activities.

Extending the impact of IU's life sciences enterprise will combine the power of the Indiana University Research and Technology Corporation, key IU community and economic development centers, as well as catalyze the network of the IU regional campuses and medical education centers. IURTC and the newly established Advancing Indiana office will coordinate a three-pronged campaign that will:

- Accelerate commercialization and transfer of intellectual property developed by IU faculty.

- Build regional life sciences industry clusters based on local assets.
- Develop regional workforce development agendas in nursing, primary care, and related health- and life sciences fields.

Advancing Indiana is a statewide effort, involving all IU campuses, to develop collaborative programs to advance Hoosier job creation and income growth. The Advancing Indiana office, based in the Emerging Technology Center in Indianapolis, serves as the central coordinating point and contact for business and governmental units throughout Indiana. In addition to enhanced coordination with each of our regional campuses, a satellite office has been established in Fort Wayne, Indiana in conjunction with Purdue University, IPFW, the Northeast Indiana Corporate Council, and the Northeast Indiana Innovation Center.

The Advancing Indiana office is building upon, connecting, and further enhancing these regional activities into a more organized and strategic network of partners. Greater coordination results in more efficient use of resources, enhanced sharing of solutions to common challenges, and better investment in high-impact efforts.

Accelerate commercialization and transfer of intellectual property – As described in the following section, IU has achieved great success in expanding its commercialization activities (technology transfer) through the Indiana University Research and Technology Corporation (IURTC).

IURTC is responsible for working with IU researchers to commercialize intellectual property that they have produced. Through such commercialization, new products are brought to the marketplace, and in some cases, new companies are started. As reported in Section III, record-breaking results were posted this year.

As research activity and resulting intellectual property creation has increased, IU has increased investment in this function. However, resources currently are not sufficient to staff at optimal levels to do what needs to be done to move IU and the State of Indiana ahead of the pack in this area, realizing the full potential of investment in the life sciences initiative and helping IU become less reliant on state funding. Thus, this element of the life sciences initiative includes a request for state funds to add four employees to the IURTC technology transfer office to further expand commercialization efforts.

Build regional life sciences industry clusters based on local assets – IU recognizes that there is untapped potential in the network of IU regional campuses and IU School of Medicine Centers for Medical Education. Indiana's life sciences industries are spread across the state. Moving to the next level requires purposeful planning and strategic action to align IU's assets with Indiana's aspirations for a thriving statewide life sciences economy. IU, through its Advancing Indiana office, will lead the charge to develop robust regional life sciences industry clusters, based on local community and corporate strengths.

Indiana University has long provided strategic data, information, and policy analysis to inform and assist community and business leadership throughout the state. Economic development, however, is increasingly driven by the unique assets and opportunities of regions. As a result,

communities throughout Indiana have indicated an increased desire to access the data, policy and community planning expertise of Indiana University.

Advancing Indiana will bring together the resources of these Centers and other University technical assets to assist selected Indiana communities in assessing life sciences resources and work with them to create a strategic economic development plan for growing these sectors. Initial efforts will be launched in Evansville, Fort Wayne, and Richmond, expanding as interest grows and results are seen in these initial areas.

These plans will be developed by university-community working groups that include various experts from IU centers and institutes such as the Indiana Business Research Center, the Polis Center, the Center for Philanthropy, and the Center for Urban Policy and the Environment.

Develop regional workforce development agendas – Building a robust regional health and life sciences sector will require many strong minds in nursing, primary care, biotechnology, and related fields. As the primary producer of graduates in many of these fields, IU has an abiding interest and responsibility in helping communities define both the demand and supply for well-trained health and life sciences workers.

Over the last ten years, IU has graduated 43% of all people earning a bachelor's degree or higher in the health and life sciences fields. Given the high proportion of IU graduates in nursing, medicine, biology, chemistry, and related fields who live and work in Indiana, we have a strong interest in increasing opportunities for these graduates to walk off campus and into a life sciences job in Indiana.

Working in concert with the same communities and IU experts, as well as economic and workforce development professionals, the Advancing Indiana office will create regional workforce development plans designed to identify areas of critical need that align with local health and life sciences industry employment demands. These plans can then be used for strategic enrollment planning to ensure that our campuses are offering degrees that Hoosiers need to succeed in the knowledge-based economies that are developing around the state. IU will develop outcome measures that will be reported to state officials in order to demonstrate successes achieved through implementation of the life sciences initiative. Such measures are expected to include the economic benefit accruing from this initiative including the number of additional jobs generated directly and indirectly, additional external research grant awards generated by new faculty hired through the initiative, and projections of commercialization successes.

Pathways to Success: Opening Routes to STEM Disciplines for Underrepresented Students

Through the *Pathways to Success* initiative, Indiana University will partner with a select set of secondary schools (high schools and feeder middle and junior high schools) from Marion, St. Joseph, and Lake Counties in order to develop local capacities to improve graduation rates and increase matriculation into post-secondary education, especially in the areas of science, technology, engineering and mathematics (STEM). The target population is students of color

and students from families in chronic poverty – students who are most at risk and least likely to persist and succeed in STEM-related coursework and careers.

A recent Education Policy Brief published by the IU School of Education Center for Evaluation and Education Policy (CEEP), titled *Redesigning High Schools to Prepare Students for the Future: 2006 Update* (Spring 2006), noted that 21st Century knowledge and skills include not only mastery of core academic subjects in the traditional sense, but also critical-thinking and problem-solving skills, information and communication technology literacy, and life skills.

Indiana has wisely aligned high school academic standards with college and workforce expectations. As a result, high schools are now striving to help more students meet those standards and graduate from high school prepared for higher education and the workforce. This initiative will intensively engage the resources of Indiana University to provide the most at-risk students with the pre-college education necessary to successfully enter the STEM workforce or STEM-related courses of study in post-secondary institutions such as IU. IU is requesting a \$5 million base operating appropriation adjustment in FY 2007-08 to implement the initiative. Strategies in the initiative are summarized below.

1. Enhancing the Relationship between IU and High Schools: IU will partner with selected school personnel and students and have a sustained presence in the participating secondary schools. Together with school and community members, faculty, staff and students of IU will engage in a process of developing strategies for STEM education.

2. Strategies to Enhance STEM Education in the Targeted High Schools: As a trusting relationship and a common understanding of the needs of the schools are developed, a number of activities will be designed and initiated based on what is known about best practices in this domain.

3. On-Site Teacher Professional Development: The campuses of IU offer a number of professional development opportunities for STEM teachers on a regular basis. The funding requested will provide financial assistance for teachers to take advantage of professional development offerings that fill gaps in their knowledge base and, when appropriate, teachers will be awarded IU graduate credit for participation.

4. On-Line Teacher Professional Development: IU has developed on-line teaching resources such as its Inquiry Learning Forum, developed with funding from the National Science Foundation. This tool provides access to over 50 lessons taught by experienced Indiana teachers. Initial work with the selected schools will help determine what other on-line resources are especially critical for both teachers and students.

5. Teacher Professional Development Related to Initiating Advanced Course Offerings: Ensuring that each of the participating schools have math and science teachers qualified and prepared to teach both Advanced Placement and Advanced College Program courses is essential. This will be accomplished through required extensive professional development for selected teachers.

6. Enriched Student Opportunities in STEM in and out of School: To provide additional opportunities for students in the early years of high school in STEM domains and other areas, professional development for teachers will be offered focusing on using curricula in both science and mathematics that have been shown to engage students. Programs that connect STEM professionals and university students with secondary students will be developed to nurture mentorship relationships. In addition, *Pathways* “field trips” will be arranged for sophomores and juniors to visit the Indiana University campuses at Bloomington, Indianapolis, Gary, and South Bend. These field trips will offer students the opportunity to see the campuses, visit classes, and meet with faculty and students who can provide them with a picture of student life and a sense that they can be successful. *Pathways to Success* will also work with teachers and guidance counselors in the targeted high schools to identify students who would benefit from summer programs in STEM areas. To complement these opportunities, after-school and weekend programs that engage students in STEM activities will be developed.

7. Establishment of a University-School Feedback Loop: Schools need data on which to base decisions about change. Essential data will be identified to assess how well students are prepared for higher education and the workforce.

8. Evaluation of IU’s Engagement with High-Need Schools: Data regularly collected from schools by the Indiana Department of Education will be analyzed for changes that indicate that *Pathways to Success* is making a difference. Analyses of these data will be conducted collaboratively by faculty from the university, high schools, and middle and junior high schools. Additionally, the IU Center for Evaluation and Education Policy administered High School Survey of Student Engagement (HSSSE) will be employed to measure student engagement. Data analysis will help inform future strategies to improve student engagement in academic behaviors and also provide feedback to the participating schools to help evaluate the IU engagement under this initiative.

Basic Operating Budget Request

With regular state operating assistance, Indiana University has and will continue to use state appropriations to pursue state higher education goals set out in the ***Framework for Policy and Planning Development in Higher Education***. This section details various strategies and initiatives that Indiana University has implemented in pursuit of these systemic goals.

Assisting the State with Economic Development

Arguably, the most important element of an effective economic development program is a well trained and educated workforce. Indiana University has a long track record of offering academic programs key to state economic vitality and producing graduates that tend to remain in Indiana to assume jobs in areas of most critical need. A March 2006 listing “Hoosier Hot 50 Jobs” published by the Indiana Department of Workforce Development illustrates this point. Of the top-ten “hot jobs”, IU is a leading producer of graduates employed in all but one (pharmacist) of the top-ten hot Hoosier careers listed below:

1. Registered nurses
2. Elementary school teachers
3. Secondary school teachers
4. Computer system analysts
5. Dental hygienists
6. Medical and health service managers
7. Computer and information systems managers
8. Police and sheriff patrol officers
9. Pharmacists
10. Lawyers

In addition, IU supplies:

- 90% of the state's dentists
- 75% of its lawyers
- 64% of its optometrists
- 50% of its doctors
- 64% of its nurses
- 40% of its teachers
- 25% of its judges

Indiana University is also sponsoring programs to help keep graduates in the state after they complete their degrees. With a three-year \$5.5 million grant from the Lilly Endowment in support of the Initiative to Promote Opportunity through Educational Collaborations, IU has implemented new programs on all its campuses to enhance internship and job placement programs, entrepreneurial development, community outreach and service learning. We are strengthening our internship and job placement programs by offering experiences that encourage students to establish business relationships that ultimately lead to the formation of professional and personal networks which can help bond students to Indiana. Through a focus on entrepreneurial development, IU is providing students with the required skills to start their own businesses. Through community outreach, IU is better responding to the business community's need for individuals trained in specific areas of expertise. Finally, through our development of service learning courses, we are engaging students in community building activities so their academic insights benefit from a real-world context, thereby enhancing their education and strengthening their connections to the state.

During the first two years of this three-year grant, the university developed 1,595 additional placements in local businesses and 6,296 additional students participated in service learning experiences. Campuses established contacts with 2,142 additional community partners. Currently, IU is establishing funding sources to continue many of the programs developed with funding from this grant.

Finally, IU is aggressively working to keep highly talented Hoosier high school graduates in Indiana through its new Presidential Scholars program. This highly competitive program, funded by a \$10 million grant from the Lilly Endowment, provides scholarships to top Hoosier students

that total \$24,000 to \$48,000 over four years. The first Presidential Scholars class of 33 students enrolled during the 2005-06 academic year.

IU is aggressively pursuing commercialization of intellectual property developed by IU faculty. The Indiana University's Research and Technology Corporation (IURTC) is responsible for assisting with these commercialization efforts as well as various engagements to promote state economic development. Soon to begin its tenth year of operation, IURTC set new records for technology transfer in several categories during FY 2005-06. This success builds on substantial gains in commercialization of intellectual property (technology transfer) that have been achieved since FY 2000-01:

- Invention disclosures: increased from 57 to 257
- Active patents: from 184 to 300
- New licenses issued: from 10 to 63
- Licensing income: from \$4.01 million to \$6.3 million

It is important to explain that under current Indiana University intellectual property procedures, after covering expenses incurred in successful licensing, much of the licensing income is re-invested in IU's research enterprise.

Business is booming at the Indiana University Emerging Technology Center, managed by IURTC. This new business start-up incubator facility provides tenants with below market rate office and laboratory space, Internet and telecommunications, and a variety of support services, including mentoring and technical assistance. As of June, 2006, 26 new businesses employing more than 200 persons were located in the facility.

Mission Differentiation and Workforce Preparation

Recognizing that each Indiana University campus serves a unique region with its own needs, mission differentiation was declared a priority for IU by President Adam W. Herbert in his first State of the University address in the fall of 2003. The project was launched in early 2004 and a final report was released in July, 2005.

As a result of this initiative, IU has a coherent focus for each campus, including a clear understanding of individual campus strengths and how each campus's market can best be served. The initiative also enables IU to communicate the individual missions of each campus to both policymakers and citizens, detailing how each campus can best serve its constituents and meet the State's higher education and economic development needs.

The report released in 2005 listed sixteen recommendations that are currently being addressed and implemented, including the following:

- Each campus has developed admission standards for the population it serves.
- New guidelines for establishing new graduate degree programs at regional campuses have been developed.

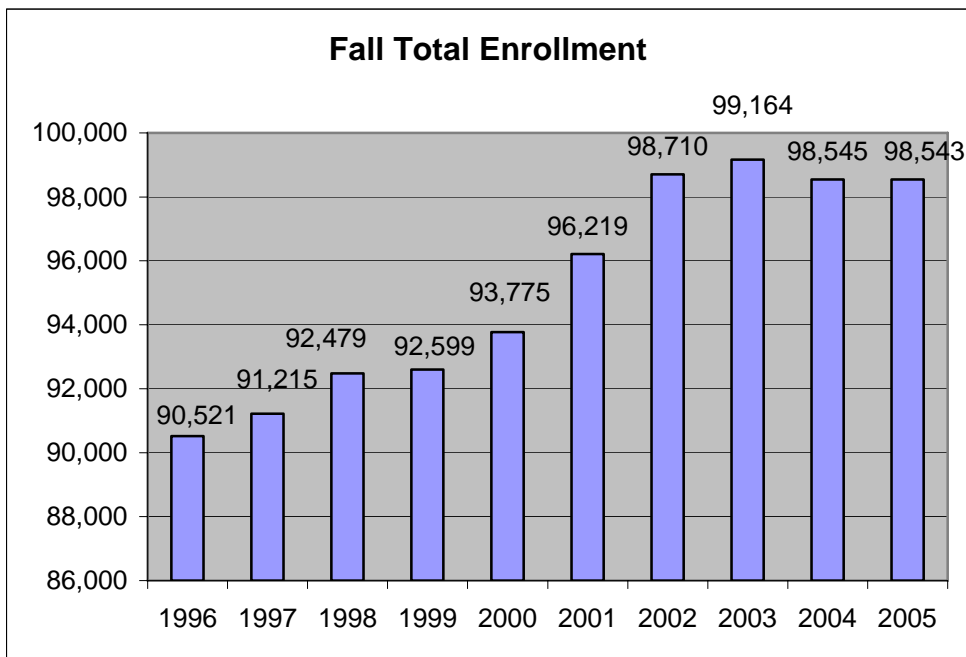
- Guidelines for construction of student housing on regional campuses have been approved by the Board of Trustees.
- More rigorous standards for educational quality including the use of Core 40 as the basic admission standard for all IU campuses have been adopted.
- Strategies to promote economic development in its region are being pursued by each campus.

These strategies and initiatives are being developed and implemented in compliance with the *Indiana Commission for Higher Education Programmatic Vision for the IU Regional Campuses*.

The overarching goal of the mission differentiation initiative is to ensure that the workforce preparation needs of the region served by each campus are being fully met. Accordingly, each campus will be integrally engaged in ongoing community-based methods of identifying and serving unmet demand for academic programs critical to the economic development of its region. In addition, each campus will be fully engaged in economic development strategies and initiatives within its service area.

Increase Participation in Higher Education to Exceed National Levels

IU is fully supportive of state efforts initiated in the 1990s to increase postsecondary educational participation by Hoosiers and has played a key role in the successes that have been achieved. Since 1996, fall enrollment at Indiana University has increased by more than 8,000 students (from 90,521 to 98,543), an 8.9% increase, as demonstrated in the following graph.



Indiana University has also been hard at work on implementing transfer and articulation agreements to expedite degree completion by students wishing to complete their studies at

multiple institutions or campuses. Over the last year, the number of courses that transfer from 2-year campuses to IU has increased by more than 1,529, or 26 percent.

Count Of Courses That Transfer From 2-Year Institutions To IU Campuses

	AY2004	AY2005
Ivy Tech		
IU Bloomington	119	122
IU East	44	98
IU Kokomo	64	153
IU Northwest	76	225
IU South Bend	243	282
IU Southeast	85	210
IUPUI	452	662
Subtotal – Ivy Tech	1,083	1,752
Vincennes		
IU Bloomington	876	904
IU East	480	480
IU Kokomo	177	423
IU Northwest	788	885
IU South Bend	833	866
IU Southeast	498	842
IUPUI	1,200	1,312
Subtotal -- Vincennes	4,852	5,712
GRAND TOTAL	5,935	7,464

The 2005 session of the General Assembly enacted HEA 1001 which called on the ICHE, with the assistance of the Statewide Transfer and Articulation Committee (STAC), to establish a Core Transfer Library and develop articulation agreements in 12 program areas by July 1, 2007. Currently, 88 course areas are under consideration for the Core Transfer Library, and all IU campuses have identified courses that fit in those categories.

The first two program areas (Nursing and Criminal Justice) for statewide articulation agreements, assuring that students can transfer from any 2 year institution campus to any 4 year public university, were presented to the ICHE at the June meeting. All campuses have nursing and criminal justice articulation agreements in place for both Ivy Tech Community College of Indiana (ITCCI) and Vincennes University. Steady progress is being made through the Statewide Transfer and Articulation Committee (STAC).

In addition, a joint task force of IU and ITCCI – as the two institutions with the majority of transfer activity and largest overall enrollments – is working to assure that all IU and Ivy Tech campuses have formal transfer and articulation agreements in place for 12 degree program areas identified by the ICHE and Statewide Transfer and Articulation Committee (STAC).

Through these combined efforts IU is on track to meet the transfer and articulation mandates before the July 2007 deadline established by the legislature. Based on information in the 2005 STAC report to the General Assembly, the IU campuses have at least the following number of articulations with Ivy Tech and Vincennes University:

Articulations with 2 Year Institutions ¹		
Campus	ITCC	VU
IUB	73	13
IUE	82	80
IUK	95	80
IUN	90	80
IUSB	114	80
IUS	70	80
IUPUI ²	442	134

Under President Herbert's leadership, Indiana University has embraced the state's initiative to develop an effective statewide community college system that will increase adult post-secondary educational achievement. All of IU's campuses have engaged in increasing collaborative efforts and programs with the Ivy Tech campuses in their service areas. The following two examples that follow illustrate this point.

Hoosier Link is a new collaboration to be initiated between the Bloomington campuses of IU and ITCCI during fall, 2006. A projected 100-200 students will enroll in this program as degree seeking students at ITCC. They will take a minimum of 10 credits while also taking a 3 credit course at IU. Participating students will be encouraged to complete their associate degree at ITCCI before transferring to IU's Bloomington campus, but will be eligible to transfer after completing 26 transferable credits and achieving a minimum 2.5 GPA. To help facilitate academic success, participating students will be afforded the opportunity to live in Bloomington campus housing. They will receive special advising assistance and be required to participate in various academic, personal, and social development experiences.

The Passport Program, an innovative collaboration involving the IUPUI and the Ivy Tech Indianapolis campuses, was begun in 1998. Since that time, increasing numbers of students have participated in the program to facilitate transfer between the two campuses. In 2000, the Partners Program was initiated to afford students a deferred admission to IUPUI pending completion of 15 credit hours of core introductory coursework at ITCC. For fall 2006, approximately 20% of the ITCCI transfers to IUPUI will be Partners students.

There are numerous examples of programs in place at all IU campuses to attract underrepresented students to campus and to help them succeed academically. Since 1968 the Bloomington campus has been reaching out to underrepresented students through the Groups

¹ Some campuses have completed additional agreements since the data was collected for this report. Articulations with the School of Nursing and Kelley School of Business were reported separately and not attributed to specific campuses.

² Includes Indianapolis and Columbus programs.

Program. The program, which is supported with funds from the federal TRIO program, serves 200 to 300 students per year. All students enrolled in the program are either the first members of their family to attend college, low income, or physically challenged. The program provides participants special services designed to help them succeed academically including financial aid counseling, academic mentoring, and other programs to help with the transition from high school to the post-secondary education environment. Nearly 9,000 IU alumni are beneficiaries of the Groups Program.

The Upward Bound Program, which serves low income and first generation college-attending students, is yet another of IU's efforts to reach out to underrepresented students. The program, which is coordinated by the Bloomington campus, involves the Gary, Indianapolis, Kokomo, and New Albany campuses. Upward Bound enrolls 50 ninth through twelfth grade students who are engaged in tutoring sessions and distance learning classes at their local IU campus. These students also participate in six-week summer residential experiences on the Bloomington campus.

Increase Collegiate Preparation

Indiana University is heavily engaged in improving K-12 education to help ensure that students graduating from high school are prepared for postsecondary education. Indiana University prepares more than one-third of the teachers in Indiana. The Bloomington and Indianapolis campuses alone recommended nearly 1,000 teachers for licensure in 2005-06.

In addition, the School of Education on the Bloomington campus this year received more than \$13 million dollars in external funding for training and research programs. For example, the School of Education received three grants totaling \$2 million dollars from the US Department of Education and the Indiana Commission for Higher Education to assist teachers in 25 school districts teach Language Minority Students (LMS) whose first language is other than English. This program has reached over 200 teachers and resulted in a 20% increase in the number of certified English-as-a-New-Language (ENL) teachers, who are in critical shortage in Indiana.

Many other campus programs also provide support and outreach to K-12 schools, including the College of Arts and Sciences, which manages a wide array of Title VI centers and institutes and which this year brought more than 2,000 students to the Bloomington campus for national Science Olympiad competition. Moreover, the Bloomington campus recently announced the creation of a new IU Institute for Science, Technology, Engineering and Mathematics Education (ISTEME) to improve literacy in science, technology, engineering and math for K-12 students throughout the state. The School of Education is currently developing a new Center for Educational Research and P-16 Collaboration to help align academic standards and expectations from pre-kindergarten through college and increase the percentage of Indiana students who prepare for, enroll in, and succeed in postsecondary education or training. The P-16 center will link the scientific work of ISTEME to the professional development and related needs of teachers and schools, especially those with significant numbers of African-American and Latino students.

Indiana University also contributes to the improvement of K-12 education in the state through its research on education policy and evaluation. The Center for Evaluation and Education Policy (CEEP) provides nonpartisan information, research and evaluation on educational issues to policymakers and other educational stakeholders; conducts rigorous evaluation of educational programs across a variety of settings; and disseminates innovative approaches to current educational problems and policy issues. CEEP serves as a resource to the Indiana General Assembly, conducting special studies and analyses as needed to formulate effective policies. Studies conducted by CEEP include an analysis of Indiana's school funding formula, a state-wide evaluation of Indiana's charter schools, and a study of Indiana's 21st Century Scholars Program, among many others.

IU has been engaged in revising admission standards at its campuses in connection with its mission differentiation initiative. A desired outcome is enrolling students who are better prepared for post-secondary academic study. The following table summarizes admission standards for each campus.

Campus	Core 40 Standard
IUB	Indiana residents should complete a Core 40 high school diploma or equivalent, or a Core 40 Academic Honors high school diploma or equivalent.
IUE	Recent HS graduates demonstrate sufficient college preparation by completion of Core 40 or Academic Honors Diploma or 28 units of college preparatory work
IUK	All first time beginner applicants are expected to have a minimum of 28 college preparatory units; all students with an Academic Honors Diploma and all students with a Core 40 diploma with grades of C or above on Core 40 subjects are guaranteed admission
IUN	Indiana residents should complete a Core 40 HS diploma or Core 40 Academic Honors HS diploma
IUSB	Indiana residents should complete a Core 40 HS diploma or equivalent, or Core 40 Academic Honors HS diploma or equivalent
IUS	Students who are recent graduates from an Indiana high school should have completed the Core 40 requirements
IUPUI	All persons applying for admission to undergraduate programs should, before they matriculate, complete Core 40, if an Indiana high school graduate. (Academic Honors Diploma highly encouraged.)

Ensure Affordability for Hoosier Families

As evidence of its commitment to do as much as possible to keep college attendance affordable, IU has enjoyed remarkable recent success in securing gifts to fund student scholarship programs. In December 2005, President Herbert announced that IU had raised \$155 million in gifts from private donors in the 12 months preceding the announcement. These gift funds are projected to create more than 1,100 new student scholarships that can be funded in perpetuity. With the scholarships, we not only hope to keep IU attendance affordable, but also to attract and retain the brightest and most highly motivated students in Indiana. We hope those educated in Indiana will

stay in the state and put their knowledge and skills to work in Hoosier communities. Of course, these new scholarships will supplement numerous scholarship programs already in place.

Despite constrained state appropriations, including reductions on several campuses during the current FY 2005-07 biennium, IU has endeavored to keep undergraduate tuition increases as low as possible as evidenced by undergraduate resident tuition and fee increases of 4.9% or less during both the 2005-06 and 2006-07 academic years. It is important to note that President Herbert and the Indiana University Board of Trustees outlined an aggressive “Agenda for Future Distinction” in early 2006 to enhance IU’s contribution to the state’s workforce preparation and economic development needs while improving the quality of educational programs and experiences. IU has also produced a long-term financial projection to determine long-term funding requirements necessary to continue existing programs as well as implement initiatives outlined in the Agenda for Future Distinction. While IU will continue to focus on cost containment and other resource generation opportunities, it is becoming increasingly difficult to keep tuition increases at recent low levels.

It is also important to mention that IU is continually seeking ways to reduce operating expenses. This is not a new involvement, but one that has been ongoing for many years, in part dictated by a long-term trend of modest state appropriation increases during the past two decades. These appropriation increases were insufficient to meet increased cost due to inflation and increased enrollment.

An excellent current example is IU’s leadership role in pursuing cost efficiency is the Kualo project. Through this project, which received partial funding from a \$2.5 million grant from the Andrew W. Mellon Foundation, IU is involved in open-source development of financial management software in partnership with several higher education institutions. By pursuing this avenue to upgrade its financial system software, IU is saving significant expenditures (estimated at more than \$20 million) that might have been incurred through traditional software procurements such as proprietary product procurement or development of institution specific custom software. The Kualo project will also benefit the nation’s higher education community since the final financial system software product will be available to other institutions via the open-source development process.

IU has aggressively pursued debt service savings through eight bond refinancings since 1991. As a result, total debt service saving has been nearly \$35 million with the state realizing almost \$25 million of this savings. IU has also been pursuing energy cost containment at all of its campuses. On the Bloomington campus alone, cumulative cost avoidance of nearly \$13 million was realized from FY 1994-05 through FY 2004-05. IU has also been aggressively taking advantage of “Qualified Energy Savings Projects” as facilitated by Indiana Code (IC) 20-12-5.5-7 and 20-12-6-17. Finally, IU has been very successful in constraining increases in health coverage costs for its employees. Recent increases have been well below those experienced by many employers.

Increase Student Persistence and Degree Completion

In connection with its mission differentiation initiative, the university is considering establishing student residential life programs and construction of student housing at selected regional campuses. We believe that residential life programs can contribute to student academic success. In fact, over the last several decades, virtually all the research studies done by higher education scholars conclude unequivocally that living on campus positively influences student success. This positive impact results from the social interaction that occurs in the residential setting, the close proximity to mature role models (such as faculty members, academic advisors, and residence life staff), and easier access to other institutional resources for learning (such as cultural and performing arts venues).

Overall, students who live on campus are more likely to succeed in a variety of desirable ways than their counterparts who commute to school. Specifically, various research studies show that students who reside on campus:

- Are more likely to stay in school (persist) and graduate from college.
- Earn higher grades than peers living elsewhere on campus or living off campus.
- Interact more frequently with faculty members and peers in informal settings.
- Participate more frequently in extracurricular, social, and cultural events on campus and exhibit greater gains in appreciation of aesthetic and cultural values.
- Find their college experience more satisfactory over all.
- Exhibit greater gains in autonomy, intellectual orientation, and self-concept.

Promote and Measure Student Learning

Promoting student academic success is a priority for every Indiana University campus. Faculty, administrators, staff, and students have spent countless hours working together on committees and projects with one goal in mind: to articulate how the institution will intentionally and methodically identify, measure, implement and improve upon student learning outcomes. Academic departments have designed and utilized program assessment plans to realize this goal while non-academic units - such as student support services as well as teaching and learning centers - have rendered plans to enhance the campus climate, faculty effectiveness, and the learning environment.

Additional initiatives include the incorporation of student learning outcomes as a major impetus for strategic planning activities and utilizing technology to support and enhance an environment conducive for student academic success. Whether focusing on general education, degree requirements, or institutional services, each campus is in the midst of making its unique contribution toward the improvement of student learning outcomes.

The quality of IU efforts to assess and improve student learning has been recognized, nationally and internationally, through a series of awards and recognitions. IU Bloomington's Scholarship of Teaching and Learning program was the 2003 winner of the Theodore M. Hesburgh Award, presented each year by the American Council on Education (ACE) to the college or university judged to have the most effective faculty development program that enhances undergraduate teaching and learning.

IUPUI has twice received the Hesburgh Certificate of Excellence: In 2002 for its Gateway Program to Enhance Student Retention and in 2006 for the Principles of Undergraduate Learning, the foundation of curriculum for all undergraduates. No other college or university in Indiana has ever won either the Hesburgh Award or a Hesburgh Certificate of Excellence.

IUPUI also received the 2006 Council for Higher Education Accreditation national award for institutional progress in student learning outcomes. IUPUI was one of 16 colleges and universities recognized by the Association of American Colleges and Universities (AAC&U) for visionary campus-wide innovations in undergraduate education as part of the Greater Expectations Project, and as one of 13 Institutions of Excellence in the College First Year as part of the Policy Center on the First Year of College's Foundations of Excellence project.

Both IU Bloomington and IUPUI are featured in the U.S. News & World Report's "Academic Programs to Look For," under the categories of "First Year Experience," and "Learning Communities," with Bloomington additionally featured under "Study Abroad," and IUPUI under "Service Learning." Aside from these institutional awards, dozens of Indiana University faculty have won local, state, and national awards for innovation and excellence in undergraduate teaching and learning.

Description of Components of Appropriations Request

To highlight further the importance of the Life Sciences initiative that underpins IU's funding proposal for the 2007-09 biennium, the request for campus operating appropriation this biennium separates the appropriation to IUPUI into three divisions:

- Medicine
- Other Health Sciences (including the School of Optometry at Bloomington)
- General Academic

The presentation materials assume that the base operating appropriation for the School of Optometry is included in the base for the Other Health Sciences (and not in the Bloomington campus base). Schedules that "drive" the calculation of components of the appropriation are provided where necessary for all three divisions of IUPUI.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below summarizes the changes in FTE and their funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u> ³
East	68	238,000
Kokomo	54	189,000
Northwest	-119	-416,500
South Bend	141	493,500
Southeast	-32	0
IUPUI Medicine	0	0
IUPUI Health Sciences	-1	-3,500
IUPUI General Academic	<u>121</u>	<u>423,500</u>
Total	232	\$ 924,000

Plant Expansion

Plant expansion funding provides operating funds for energy and utilities and personnel costs associated with the occupancy of new facilities. In the absence of such adjustments, it would be necessary to divert funds away from faculty salaries and instructional activities when new buildings are brought on line.

Operating funds for new space are requested for projects on three of seven campuses. The following new facilities will be occupied during the 2007-09 biennium and will require operating funds:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUB: Multidisciplinary Science Building Phase I	\$ 788,252	\$ 0
IUNW: Professional Education Building	465,054	0
IUPUI: Campus Center	505,796	168,598
Medical Education Center (Fort Wayne)	0	424,919
Medical Information Sciences Building	1,865,176	0
Research III	<u>0</u>	<u>1,571,879</u>
Total	\$ 3,624,278	\$ 2,165,396

The Multidisciplinary Science Building, the Professional Education Building, and the Medical Information Sciences Building have scheduled occupancy dates for the current biennium. The

³ The loss of lower division enrollment at Southeast occurred as enrollment in the general education programs at Ivy Tech Community College of Indiana, thus triggering the Stability Initiative provisions of the Regional Campus Agreement of 2001. At the time this narrative is being written, it is not know whether enrollment growth at ITCCI at East Chicago and Gary might trigger a similar adjustment for Northwest.

other projects are slated to become occupied during the 2007-09 biennium. The following points provide some highlights about the new projects:

- The Multidisciplinary Science Building Phase I at IU Bloomington has a projected occupancy date of February, 2007. Phase I will total 80,000 assignable square feet with 58,715 square feet dedicated to research lab space. It will house the Center for Genomics and Bioinformatics, the Proteomics Center, Biochemistry and Biophysics, Bio-organic and Biomaterial programs, and the Jack and Linda Gill Center for Biomolecular Science. Each laboratory group will have access to common support and instrumentation facilities.
- The Professional Education Building at IU Northwest has an expected occupancy date of July, 2006. With 81,705 assignable square feet, the building will house labs, offices, and clinical space for programs in Allied Health, Nursing, and Medical Education. Space dedicated to the Center for Medical Education includes replacement space for the existing Center for Medical Education and approximately 8,000 assignable square feet of growth.
- The Medical Information Sciences building at IUPUI will contain 95,000 assignable square feet and feature a computing intensive environment for academic research and data analysis, teleconferencing facilities, classroom and seminar rooms and other assembly areas to conduct meetings and workshops for researchers from across the country and around the world. Its expected occupancy date is December, 2006. When the project is complete, it will provide appropriate facilities for computation science functions and assist in bringing more research space to the campus.
- The Campus Center will be a building of 148,052 assignable square feet constructed at the southwest corner of the intersection of University Boulevard and Michigan Street at IUPUI to house various student service and student activities functions including a bookstore, credit union, and other similar auxiliary services and activities often found in student union-type facilities. The Campus Center will become the hub of the campus, serving many different populations: students, faculty, staff, patients, campus visitors, and community groups. It will enhance the academic side by providing a satellite for the Enrollment Center. October, 2007 is the projected occupancy date for the Campus Center. Bonding authority was provided for \$10 million of our total cost of \$50 million.
- The Medical Education Center (Fort Wayne) will have 29,000 assignable square feet. This building project is a new addition to a statewide program to upgrade or replace inadequate medical education facilities. The center currently resides in 14,500 ASF located on the second floor of the Classroom Medical Building on the IPFW campus. The program is confined by the current space, with no room for addition or renovation. Expected occupancy is September, 2008.
- Research Institute III will contain 138,472 square feet of assignable space with 91,470 square feet dedicated to research lab space. This building will provide facilities that will allow Indiana University researchers to continue to foster scientific discovery leading to innovative and life-saving therapies, especially in cancer treatment for years to come. January, 2009 is the expected occupancy date.

Research Support

Research support funding covers institutional costs incurred in sustaining research efforts. The 2003-05 biennium marked the first state funding for these efforts; additional funding was calculated for the 2005-07 biennium.

The Federal government recognizes these costs through the allowance of indirect cost recovery as direct expense or grants. Each institution negotiates rates with its cognizant agency. Many grants, however, do not fully fund the indirect costs associated with them. The requested funding is based on incremental growth in research activity and asks the state to share in the support needed for research activity to take place. The following table displays changes in research support:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Bloomington	\$ 4,037,520	\$ 0
IUPUI Medicine	<u>5,866,705</u>	<u>0</u>
Total	\$ 9,904,225	\$ 0

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Bloomington	\$ 5,673,269	\$ 5,843,463
East	231,763	238,713
Kokomo	303,729	312,840
Northwest	526,917	542,726
South Bend	671,871	692,029
Southeast	577,558	594,884
IUPUI Medicine	2,612,199	2,690,566
IUPUI Health Sciences	826,268	851,056
IUPUI General Academic	<u>2,324,398</u>	<u>2,394,130</u>
Total	\$ 13,747,972	\$ 14,160,407

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Quality Improvement

A quality improvement request in the amount of \$1.9 million for degree programs at the Columbus campus reflects on-going discussions with state officials representing both the

executive and legislative branches, representatives of IU, Purdue, and Ivy Tech, and Columbus community leaders.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for scheduled debt service payments and for projects approved by the General Assembly, followed by a table indicating the amount applicable to the campus.

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Scheduled Debt Service	\$ 462,180	\$ 323,082

Projects Approved by General Assembly

BL Central Heating Plant Renovation	\$ 1,250,323	\$ 0
BL Multidisciplinary Science Bldg. Phase II	2,835,405	0
SB Land Acquisition	177,925	0
IUPUI Ft. Wayne Medical Education	<u>1,067,547</u>	<u>0</u>
Total	\$ 5,331,200	\$ 0

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
<u>Total Change in Fee Replacement by Campus</u>		
Bloomington	\$ 247,126	\$ 374,231
East	11,657	-36,211
Kokomo	-31,187	-42,539
Northwest	34,970	-76,685
South Bend	54,752	-106,023
Southeast	63,795	-93,559
IUPUI Medicine	556,339	26,842
IUPUI Health Sciences	532,595	25,696
IUPUI General Academic	<u>4,323,333</u>	<u>251,330</u>
Total	\$ 5,793,380	\$ 323,082

Special State Appropriations Request

IU's 2007-09 request also includes the Special State Appropriation programs and services in the following table. Narrative descriptions and tabular displays are included in the last section of this book. Funding levels requested are based on the same parameters as the campus requests: three percent for compensation, three percent for supplies and expense, and three percent of the expenditure base in incremental additional investments each year. Earlier portions of this narrative describe the new initiatives in life sciences and in pre-collegiate outreach.

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Life Sciences Initiative	\$ 30,000,000	\$ 20,000,000
Pathways to Success	5,000,000	0
Chemical Test Program*	19,321	19,901
Indiana Institute for Disability and Community	72,976	75,165
Indiana Geological Survey	91,380	94,121
Indiana Advisory Commission on Inter- governmental Relations	1,666	1,716
Abilene Network Operations Center	24,524	25,261
Indiana GigaPop Project	21,829	22,484
IU Spinal Cord/Head Injury Research	15,442	15,905
Optometry Education Fund	<u>27,500</u>	<u>(27,500)</u>
Total	\$ 35,274,638	20,227,053

* Recognizing the help IU received from the state outside the operating appropriation, current funding levels do not address the expectations of the state or IU for this program. IU is working with the state to identify a permanent solution to the funding needs.

Indiana University
Bloomington

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

To highlight further the importance of the Life Sciences initiative that underpins IU's funding proposal for the 2007-09 biennium, the request for campus operating appropriation this biennium separates the appropriation to IUPUI into three divisions:

- Medicine
- Other Health Sciences (including the School of Optometry at Bloomington)
- General Academic

The presentation materials assume that the base operating appropriation for the School of Optometry is included in the base for the Other Health Sciences (and not in the Bloomington campus base). Schedules that "drive" the calculation of components of the appropriation are provided where necessary for all three divisions of IUPUI.

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Bloomington is considered a "mature" campus not eligible for enrollment change funding.

Plant Expansion

Plant expansion funding provides operating funds for energy and utilities and personnel costs associated with the occupancy of new facilities. In the absence of such adjustments, it would be necessary to divert funds away from faculty salaries and instructional activities when new buildings are brought on line.

Operating funds for new space at Bloomington are requested for one project that will be occupied before the start of the 2007-09 biennium:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUB: Multidisciplinary Science Building Phase I	\$ 788,252	\$ 0

The Multidisciplinary Science Building Phase I at IU Bloomington has a projected occupancy date of February, 2007. Phase I will total 80,000 assignable square feet with 58,715 square feet dedicated to research lab space. It will house the Center for Genomics and Bioinformatics, the

Proteomics Center, Biochemistry and Biophysics, Bio-organic and Biomaterial programs, and the Jack and Linda Gill Center for Biomolecular Science. Each laboratory group will have access to common support and instrumentation facilities.

Research Support

Research support funding covers institutional costs incurred in sustaining research efforts. The 2003-05 biennium marked the first state funding for these efforts; additional funding was calculated for the 2005-07 biennium.

The Federal government recognizes these costs through the allowance of indirect cost recovery as direct expense or grants. Each institution negotiates rates with its cognizant agency. Many grants, however, do not fully fund the indirect costs associated with them. The requested funding is based on incremental growth in research activity and asks the state to share in the support needed for research activity to take place. The following table displays changes in research support at IUB:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Bloomington	\$ 4,037,520	\$ 0

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Bloomington	\$ 5,673,269	\$ 5,843,463

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUB:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Scheduled Debt Service	\$ -3,838,602	\$ 374,231
Projects Approved by General Assembly		
BL Central Heating Plant Renovation	\$ 1,250,323	\$ 0
BL Multidisciplinary Science Bldg. Phase II	<u>2,835,405</u>	0
Subtotal	\$ 4,085,728	\$ 0
Bloomington	\$ 247,126	\$ 374,231

INDIANA UNIVERSITY BLOOMINGTON
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
B. Plant Expansion	-	788,252		788,252	-		788,252	1,576,504
C. Research Support	-	4,037,520		4,037,520	-		4,037,520	8,075,040
Subtotal	\$ -	\$ 4,825,772		\$ 4,825,772	\$ -		\$ 4,825,772	\$ 9,651,544
II. Price Inflation								
A. Personnel Services	\$ 426,093,106	\$ 12,782,792	3.0%	\$ 438,875,898	\$ 13,166,277	3.0%	\$ 452,042,175	\$ 890,918,073
B. Supplies and Expense	125,660,192	3,769,807	3.0%	129,429,999	3,882,899	3.0%	133,312,898	262,742,897
C. Student Assistance	56,915,475	1,707,465	3.0%	58,622,940	1,758,688	3.0%	60,381,628	119,004,568
Subtotal	\$ 608,668,773	\$ 18,260,064	3.0%	\$ 626,928,837	\$ 18,807,864	3.0%	\$ 645,736,701	\$ 1,272,665,538
III. Quality Improvements								
A. None		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	<u>\$ 608,668,773</u>	<u>\$ 23,085,836</u>	3.8%	<u>\$ 631,754,609</u>	<u>\$ 18,807,864</u>	3.0%	<u>\$ 650,562,473</u>	<u>\$ 1,282,317,082</u>
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 428,858,607	\$ 12,865,757	3.0%	\$ 441,724,364	\$ 13,251,732	3.0%	\$ 454,976,096	\$ 896,700,460
2. (less) Academic Facilities Fees	(24,575,676)	(247,126)	1.0%	(24,822,802)	(374,231)	1.5%	(25,197,033)	(50,019,835)
3. (less) Other Dedicated/Restricted Fees	(9,298,735)	(278,962)	3.0%	(9,577,697)	(287,331)	3.0%	(9,865,028)	(19,442,725)
Subtotal (= Net Unrestricted Fees)	\$ 394,984,196	\$ 12,339,669	3.1%	\$ 407,323,865	\$ 12,590,170	3.1%	\$ 419,914,035	\$ 827,237,900
B. Reallocation (Inter-Campus)								
Subtotal	\$ 394,984,196	\$ 12,339,669	3.1%	\$ 407,323,865	\$ 12,590,170	3.1%	\$ 419,914,035	\$ 827,237,900
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense*	\$ 189,108,901	\$ 10,499,041	5.6%	\$ 199,607,942	\$ 5,843,463	2.9%	\$ 205,451,405	\$ 405,059,347
B. Fee Replacement	24,575,676	247,126	1.0%	24,822,802	374,231	1.5%	25,197,033	50,019,835
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 213,684,577	\$ 10,746,167	5.0%	\$ 224,430,744	\$ 6,217,694	2.8%	\$ 230,648,438	\$ 455,079,182
Total Income Budget	<u>\$ 608,668,773</u>	<u>\$ 23,085,836</u>	3.8%	<u>\$ 631,754,609</u>	<u>\$ 18,807,864</u>	3.0%	<u>\$ 650,562,473</u>	<u>\$ 1,282,317,082</u>

* The base budget has been adjusted to move \$2,746,333 in appropriation for Optometry from Bloomington to IUPUI Health Sciences.

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 189,000		\$ -
2. Vocational-Technical	1,139,809	89	12,807	1,200,869	89	13,493	1,198,937	88	13,624
3. Undergraduate	377,074,829	29,561	12,756	398,484,262	29,651	13,439	398,561,111	29,370	13,570
4. Graduate	106,398,856	5,958	17,858	114,713,916	6,097	18,815	114,367,960	6,020	18,998
5. Professional	23,859,764	1,290	18,496	25,352,289	1,301	19,487	26,838,746	1,364	19,677
SUBTOTAL (Credit Instruction)	\$ 508,473,258	36,898	13,781	\$ 539,751,336	37,138	14,534	\$ 541,155,754	36,842	14,689
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ 5,248,300			\$ 5,571,142			\$ 5,585,638		
B. Individual Project Research	1,544,358			1,639,357			1,643,623		
SUBTOTAL	\$ 6,792,658			\$ 7,210,499			\$ 7,229,261		
PUBLIC SERVICE									
A. Community Service	\$ 156,296			\$ 165,910			\$ 166,342		
B. Public Broadcasting	3,008,563			3,193,631			3,201,941		
SUBTOTAL	\$ 3,164,859			\$ 3,359,541			\$ 3,368,283		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 8,321,354			\$ 10,076,586			\$ 11,266,772		
B. Scholarships	23,461,261			30,094,753			30,158,352		
C. Grants/Fellowships	8,993,954			9,750,660			15,490,351		
SUBTOTAL	\$ 40,776,569			\$ 49,921,999			\$ 56,915,475		
TOTAL EDUCATIONAL SERVICES	\$ 559,207,344	36,898	\$ 15,155	\$ 600,243,375	37,138	\$ 16,163	\$ 608,668,773	36,842	\$ 16,521

Remedial Course Numbers and Titles:

COAS-J 014 Introduction to Algebra
MATH-M 010 Basic Algebra

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 316,267,252	\$ 9,488,018	3.0%	\$ 325,755,270	\$ 9,772,658	3.0%	\$ 335,527,928
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	43,461,138	1,303,834	3.0%	44,764,972	1,342,949	3.0%	46,107,921
ii. PERF & Other	2,796,745	83,902	3.0%	2,880,647	86,419	3.0%	2,967,066
b. Social Security	21,424,646	642,739	3.0%	22,067,385	662,022	3.0%	22,729,407
c. Life Insurance	1,390,413	41,712	3.0%	1,432,125	42,964	3.0%	1,475,089
d. Health Insurance	40,752,912	1,222,587	3.0%	41,975,499	1,259,265	3.0%	43,234,764
TOTAL PERSONNEL SERVICES	\$ 426,093,106	\$ 12,782,792	3.0%	\$ 438,875,898	\$ 13,166,277	3.0%	\$ 452,042,175
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 99,371,393	\$ 2,981,142	3.0%	\$ 102,352,535	\$ 3,070,576	3.0%	\$ 105,423,111
2. Energy:							
a. Electricity	14,276,950	428,309	3.0%	14,705,259	441,158	3.0%	15,146,417
b. Coal	3,364,600	100,938	3.0%	3,465,538	103,966	3.0%	3,569,504
c. Oil	16,800	504	3.0%	17,304	519	3.0%	17,823
d. Natural Gas	1,792,576	53,777	3.0%	1,846,353	55,391	3.0%	1,901,744
e. LP Gas	26,250	788	3.0%	27,038	811	3.0%	27,849
f. Steam	-	-		-	-		-
g. Energy Management	100	3	3.0%	103	3	2.9%	106
h. Chilled Water	302,751	9,083	3.0%	311,834	9,355	3.0%	321,189
SUBTOTAL	\$ 19,780,027	\$ 593,402	3.0%	\$ 20,373,429	\$ 611,203	3.0%	\$ 20,984,632
3. Utilities:							
a. Sewer	2,960,593	88,818	3.0%	3,049,411	91,482	3.0%	3,140,893
b. Water	1,395,613	41,868	3.0%	1,437,481	43,124	3.0%	1,480,605
SUBTOTAL	\$ 4,356,206	\$ 130,686	3.0%	\$ 4,486,892	\$ 134,606	3.0%	\$ 4,621,498
4. Fire & Casualty Insurance	2,152,566	64,577	3.0%	2,217,143	66,514	3.0%	2,283,657
TOTAL SUPPLIES & EXPENSES	\$ 125,660,192	\$ 3,769,807	3.0%	\$ 129,429,999	\$ 3,882,899	3.0%	\$ 133,312,898
C. STUDENT ASSISTANCE	\$ 56,915,475	\$ 1,707,465	3.0%	\$ 58,622,940	\$ 1,758,688	3.0%	\$ 60,381,628
GRAND TOTAL	\$ 608,668,773	\$ 18,260,064	3.0%	\$ 626,928,837	\$ 18,807,864	3.0%	\$ 645,736,701

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV: Plant Expansion Change Summary

	Requested 2007-08		Requested 2008-09	
	Increase	Total	Increase	Total
Multi-Disciplinary Science Building, Phase I	\$ 788,252	\$ 788,252	\$ -	\$ 788,252
GRAND TOTAL	\$ 788,252	\$ 788,252	\$ -	\$ 788,252

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV-A: Plant Expansion Budget Analysis

		2007-08 Expenditure Amount	2008-09 Expenditure Amount
Building:	Multi-Disciplinary Science Building, Phase I		
1. a. Occupancy Date:	February, 2007		
2. Square Feet			
a. Assignable:	80,000		
b. Gross:	145,455		
			58,715
3. Operating Costs			
a. Personnel Services		335,276	335,276
b. Fuel		69,441	69,441
c. Utilities		203,637	203,637
d. Supplies and Expense		116,861	116,861
e. Other		63,037	63,037
f. Total Expenditures		788,252	\$ 788,252
4. Existing Resources Available for Reallocation:			
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ 788,252	\$ 788,252
6. Operating Cost per Assignable Square Foot		\$ 9.85	\$ 9.85

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

		2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
		Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions											
1.	<u>Statutory</u>										
a.	County Scholarships	254	\$ 557,950	438	\$ 907,591	321	\$ 665,486	321	\$ 685,451	321	\$ 706,015
b.	Other Statutory Remissions	2	9,828	3	14,267	7	32,729	7	33,711	7	34,722
	Subtotal	256	\$ 567,778	441	\$ 921,858	328	\$ 698,215	328	\$ 719,162	328	\$ 740,737
2.	<u>Other Undergraduate</u>										
a.	Resident										
(1)	Need-based	252	\$ 364,892	200	\$ 379,158	289	\$ 548,552	289	\$ 565,009	289	\$ 581,959
(2)	Other criteria	986	372,946	36	235,029	52	340,032	52	350,233	52	360,740
b.	Non-Resident										
(1)	Need-based	682	\$ 1,244,683	123	938,566	178	\$ 1,357,883	178	\$ 1,398,619	178	\$ 1,440,578
(2)	Other criteria	1	3,658								
	Subtotal	1,921	\$ 1,986,179	359	\$ 1,552,753	519	\$ 2,246,467	519	\$ 2,313,861	519	\$ 2,383,277
3.	<u>Other Graduate</u>										
a.	Resident	240	\$ 370,413	495	\$ 1,071,964	542	\$ 1,173,508	542	\$ 1,208,713	542	\$ 1,244,974
b.	Non-Resident	1,949	5,396,984	1,922	6,530,011	2,104	7,148,582	2,104	7,363,039	2,104	7,583,930
	Subtotal	2,189	\$ 5,767,397	2,417	\$ 7,601,975	2,646	\$ 8,322,090	2,646	\$ 8,571,752	2,646	\$ 8,828,904
	Total Remitted Fees	4,366	\$ 8,321,354	3,217	\$ 10,076,586	3,493	\$ 11,266,772	3,493	\$ 11,604,775	3,493	\$ 11,952,918
B. Undergraduate Scholarships, Awards and Other Grants											
a.	Resident										
(1)	Need-based	2,007	\$ 2,267,498	1,679	\$ 3,686,768	5,218	\$ 3,694,559	5,218	\$ 3,805,396	5,218	\$ 3,919,558
(2)	Other criteria	3,622	3,539,593	4,449	6,016,488	13,828	6,029,203	13,828	6,210,079	13,828	6,396,381
b.	Non-Resident										
(1)	Need-based	830	\$ 1,007,331	316	\$ 494,983	473	\$ 496,029	473	\$ 510,910	473	\$ 526,237
(2)	Other criteria	12,831	16,646,839	12,913	19,896,514	189	19,938,561	189	20,536,718	189	21,152,820
	Subtotal	19,290	\$ 23,461,261	19,357	\$ 30,094,753	19,708	\$ 30,158,352	19,708	\$ 31,063,103	19,708	\$ 31,994,996
C. Graduate Fellowships, Awards and Other Grants											
a.	Resident	753	\$ 1,399,105	675	\$ 2,030,636	1,072	\$ 3,225,963	1,072	\$ 3,322,742	1,072	\$ 3,422,424
b.	Non-Resident	3,345	7,594,849	3,157	7,720,024	5,015	12,264,388	5,015	12,632,320	5,015	13,011,290
	Subtotal	4,098	\$ 8,993,954	3,832	\$ 9,750,660	6,088	\$ 15,490,351	6,088	\$ 15,955,062	6,088	\$ 16,433,714
D. Loan Programs (Matching Funds)											
a.	Resident		\$		\$		\$		\$		\$
b.	Non-Resident										
	Subtotal		\$		\$		\$		\$		\$
Total Student Aid		27,754	\$ 40,776,569	26,406	\$ 49,921,999	29,289	\$ 56,915,475 27,961,851	29,289	\$ 58,622,940	29,289	\$ 60,381,628
RECAP											
	Resident Student Aid	8,116	\$ 8,882,225	7,975	\$ 14,341,901	21,329	\$ 15,710,032	21,329	\$ 16,181,334	21,329	\$ 16,666,773
	Non-Resident Student Aid	19,638	31,894,344	18,431	35,580,098	7,959	41,205,443	7,959	42,441,606	7,959	43,714,855
TOTAL STUDENT AID		28,010	\$ 40,776,569	26,847	\$ 49,921,999	29,617	\$ 56,915,475	29,617	\$ 58,622,940	29,617	\$ 60,381,628

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IX: Stable Campus Expenditure Adjustment for Enrollment Change

	Actual 2001-02	Actual 2002-03	Actual 2003-04	Actual 2004-05	Estimated 2005-06
A. Indiana Resident FTE Enrollment (Note 1)					
1. Undergraduate	20,337	20,426	20,262	19,603	19,608
2. Graduate/Professional	<u>2,225</u>	<u>2,355</u>	<u>2,370</u>	<u>2,429</u>	<u>2,492</u>
	22,562	22,781	22,632	22,032	22,100
B. Stable Campus Enrollment Brackets, consistent with the Commission's September 1997 action, equal 1997-98 resident on-campus FTE, +/-5%, as follows:					
	Indiana University Bloomington: [20,100 to 22,300]				
C. FTE Enrollment Change					
1. 2005-06 Total Resident FTE	22,100				
2. Enrollment Bracket Limit FTE	22,300				
3. Out-of-Bracket FTE = (1) - (2)	-				
4. Adjustment amount per FTE (Note 2)	\$ 3,500				
5. One-time Adjustment = (3) * (4)	- (Note 3)				

Notes:

- (1) These are students reported in the SIS data submission with a value of "1" in the residency status field and a value of "53" (Monroe County) for Bloomington.
- (2) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.
- (3) The one-time adjustment is to be applied in 2007-08 only. Consistent with the Commission's September, 1997 action, the adjustment is NOT to be applied in 2008-09 because the stable campus is expected to manage its enrollment back within the bracket by that time.

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded?

Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers*

Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans?
renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 103,377,569		\$ 118,887,759		\$ 124,563,775		\$ 124,563,775		\$ 124,563,775	
b. Graduate	11,091,819		11,790,732		11,172,311		11,172,311		11,172,311	
c. Professional	5,727,581		5,932,949		6,831,384		6,831,384		6,831,384	
2. Nonresident										
a. Undergraduate	161,987,429		176,785,080		184,199,213		184,199,213		184,199,213	
b. Graduate	43,877,550		48,085,725		51,109,821		51,109,821		51,109,821	
c. Professional	12,609,321		14,707,430		13,860,727		13,860,727		13,860,727	
SUBTOTAL	\$ 338,671,269		\$ 376,189,675		\$ 391,737,231		\$ 391,737,231		\$ 391,737,231	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	3,057,605		3,248,569		2,926,271		2,926,271		2,926,271	
3. Incidental Student Fees	20,961,251		20,668,295		20,475,350		20,475,350		20,475,350	
4. Other Credit Fee Income	4,704,711		4,885,626		4,421,020		4,421,020		4,421,020	
SUBTOTAL	\$ 28,723,567		\$ 28,802,490		\$ 27,822,641		\$ 27,822,641		\$ 27,822,641	
C. Fee Rate Adjustment							\$ 12,586,795		\$ 25,551,196	
D. Gross Unrestricted Fees	\$ 367,394,836		\$ 404,992,165		\$ 419,559,872		\$ 432,146,667		\$ 445,111,068	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 16,061,498		\$ 18,297,029		\$ 24,575,676		\$ 24,822,802		\$ 25,197,033	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 16,061,498		\$ 18,297,029		\$ 24,575,676		\$ 24,822,802		\$ 25,197,033	
F. Net Unrestricted Fees	\$ 351,333,338		\$ 386,695,136		\$ 394,984,196		\$ 407,323,865		\$ 419,914,035	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 2,844,184		\$ 3,002,819		\$ 3,141,000		\$ 3,141,000		\$ 3,141,000
c. Student Government		215,766		482,833		204,050		204,050		204,050
d. Other		1,296,828		1,215,238		1,375,090		1,375,090		1,375,090
2. Performance Facilities										
a. Rec Sports & Union Building		3,755,557		3,756,574		3,750,450		3,750,450		3,750,450
b. IU Auditorium		828,430		828,845		828,145		828,145		828,145
c. Telecommunications										
d. Other Ineligible										
SUBTOTAL		\$ 8,940,765		\$ 9,286,309		\$ 9,298,735		\$ 9,298,735		\$ 9,298,735
Gross Fee Base	\$ 367,394,836	\$ 8,940,765	\$ 404,992,165	\$ 9,286,309	\$ 419,559,872	\$ 9,298,735	\$ 432,146,667	\$ 9,298,735	\$ 445,111,068	\$ 9,298,735

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2004-05 Actual	2005-06 Actual	2006-07 Estimated	2007-08 Requested
Acts of 1965				
Permanently Scheduled*	\$ 16,061,498	\$ 18,297,029	\$ 18,184,856	\$ 20,737,074
Interim Financing	-	-	-	-
New Debt Service**				
Central Heating Plant Renovation				1,250,323
Multidisciplinary Science Building - Phase II				2,835,405
Subtotal, Acts of 1965	\$ 16,061,498	\$ 18,297,029	\$ 18,184,856	\$ 24,822,802
Acts of 1927 or 1929				
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 16,061,498	\$ 18,297,029	\$ 18,184,856	\$ 24,822,802
Reconciliation with Appropriated Amounts				
Reverted to State	242,475	-	6,390,820	
Appropriated Fee Replacement	\$ 16,303,973	\$ 18,297,029	\$ 24,575,676	

* Excludes capitalized interest of \$771,391 in 2006-07.

** Assumes 20 years at 6.25%.

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 388,430,620	\$ 18,208,333	\$ 406,638,953	\$ 6,891,853	\$ 11,318,570	\$ 424,849,376
State Appropriation - Operating	192,152,673	(1)	192,152,672			192,152,672
State Appropriation - Debt Service		18,297,029	18,297,029			18,297,029
State Appropriation - Special		-	-	13,139,242		13,139,242
Indirect Cost Recovery		14,799,933	14,799,933	72,806		14,872,739
Sales and Service		6,275,825	6,275,825	7,652,543	142,948,416	156,876,784
Investment, Endowment, and Other Income		13,848,214	13,848,214	19,417,777	31,731,935	64,997,926
Sponsored Research		-	-	142,000,000		142,000,000
Other Revenue		1,028,460	1,028,460	733,947		1,762,407
Total Revenue	\$ 580,583,293	\$ 72,457,793	\$ 653,041,086	\$ 189,908,168	\$ 185,998,921	\$ 1,028,948,175
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 327,783,764			
Retirement - PERF Program			3,133,900			
Retirement - TIAA Program			40,346,281			
Social Security			20,461,712			
Life Insurance			1,004,615			
Health/Medical Insurance			35,482,840			
Other Personal Services			5,024,567			
Total Personal Services	\$ 408,260,050	\$ 24,977,629	\$ 433,237,679	\$ 98,649,350	\$ 74,977,393	\$ 606,864,422
SUPPLIES & EXPENSES						
General S&E			\$ 89,718,470			
Energy			17,832,479			
Utilities			4,027,280			
Property & Liability Insurance			2,085,787			
Other Supplies and Expenses			28,724,599			
Total Supplies and Expenses	\$ 120,401,000	\$ 21,987,615	\$ 142,388,615	\$ 76,011,865	\$ 102,996,277	\$ 321,396,757
STUDENT ASSISTANCE	\$ 51,922,243	\$ 25,492,549	\$ 77,414,792	\$ 15,246,953	\$ 8,025,251	\$ 100,686,996
OTHER						\$ -
Total Expenditures	\$ 580,583,293	\$ 72,457,793	\$ 653,041,086	\$ 189,908,168	\$ 185,998,921	\$ 1,028,948,175

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 419,559,872	\$ 18,175,870	\$ 437,735,742	\$ 7,001,584	\$ 10,516,542	\$ 455,253,868
State Appropriation - Operating*	189,108,901	2,746,333	191,855,234			191,855,234
State Appropriation - Debt Service		18,738,872	18,738,872			18,738,872
State Appropriation - Special		-	-	11,711,778		11,711,778
Indirect Cost Recovery		15,679,704	15,679,704	255,511		15,935,215
Sales and Service		6,637,122	6,637,122	10,273,536	145,312,214	162,222,872
Investment, Endowment, and Other Income		15,178,658	15,178,658	16,226,524	32,355,894	63,761,076
Sponsored Research		-	-	147,250,000		147,250,000
Other Revenue		1,086,000	1,086,000	1,514,413		2,600,413
Total Revenue	\$ 608,668,773	\$ 78,242,559	\$ 686,911,332	\$ 194,233,346	\$ 188,184,650	\$ 1,069,329,328
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 343,955,386			
Retirement - PERF Program			2,796,745			
Retirement - TIAA Program			43,461,138			
Social Security			21,424,646			
Life Insurance			1,390,413			
Health/Medical Insurance			40,752,912			
Other Personal Services			5,477,608			
Total Personal Services	\$ 426,093,106	\$ 33,165,742	\$ 459,258,848	\$ 99,627,998	\$ 77,777,788	\$ 636,664,634
SUPPLIES & EXPENSES						
General S&E			\$ 87,111,462			
Energy			19,780,027			
Utilities			4,356,206			
Property & Liability Insurance			2,152,566			
Other Supplies and Expenses			29,374,897			
Total Supplies and Expenses	\$ 125,660,192	\$ 17,114,966	\$ 142,775,158	\$ 78,814,669	\$ 101,900,712	\$ 323,490,539
STUDENT ASSISTANCE	\$ 56,915,475	\$ 27,961,851	\$ 84,877,326	\$ 15,790,679	\$ 8,506,150	\$ 109,174,155
OTHER						
Total Expenditures	\$ 608,668,773	\$ 78,242,559	\$ 686,911,332	\$ 194,233,346	\$ 188,184,650	\$ 1,069,329,328

* The legislative budget has been adjusted to move \$2,746,333 in appropriation for Optometry from Bloomington to IUPUI Health Sciences.

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 121,284,933	\$ 139,161,739	\$ 130,099,723	\$ 120,125,716	\$ 109,467,112
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 121,284,933	\$ 139,161,739	\$ 130,099,723	\$ 120,125,716	\$ 109,467,112
New Debt					
Central Heating Plant Renovation			\$ 14,054,530	\$ 13,682,615	\$ 13,287,455
Multidisciplinary Science Building - Phase II			31,872,000	31,028,595	30,132,477
Subtotal, Acts of 1965	\$ 121,284,933	\$ 139,161,739	\$ 176,026,253	\$ 164,836,926	\$ 152,887,044
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 121,284,933	\$ 139,161,739	\$ 176,026,253	\$ 164,836,926	\$ 152,887,044

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 34,805,777	\$ 31,187,770	\$ 27,484,297	\$ 23,581,408	\$ 19,767,849
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 34,805,777	\$ 31,187,770	\$ 27,484,297	\$ 23,581,408	\$ 19,767,849
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ 34,805,777	\$ 31,187,770	\$ 27,484,297	\$ 23,581,408	\$ 19,767,849
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 636,340	\$ 327,437	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	22,057,844	23,725,376	18,138,581	16,313,521	14,403,185
Subtotal	\$ 22,694,184	\$ 24,052,813	\$ 18,138,581	\$ 16,313,521	\$ 14,403,185
New Debt					
Henderson/Atwater Parking Garage			\$ 10,946,000	\$ 10,753,409	\$ 10,548,781
Subtotal, Acts of 1927 or 1929	\$ 22,694,184	\$ 24,052,813	\$ 29,084,581	\$ 27,066,930	\$ 24,951,966
TOTAL	\$ 57,499,961	\$ 55,240,583	\$ 56,568,878	\$ 50,648,338	\$ 44,719,815
GRAND TOTAL, ALL DEBT	\$ 178,784,894	\$ 194,402,322	\$ 232,595,131	\$ 215,485,264	\$ 197,606,859

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident	1,508	\$ 1,994,578	1,365	\$ 2,000,244	1,388	\$ 2,034,370	1,388	\$ 2,095,401	1,388	\$ 2,158,263
b. Non-Resident	6,230	26,160,139	5,588	25,492,549	5,683	25,927,481	5,683	26,705,305	5,683	27,506,464
**Total Graduate Fee Remissions **	7,738	\$ 28,154,717	6,953	\$ 27,492,793	7,072	\$ 27,961,851	7,072	\$ 28,800,706	7,072	\$ 29,664,727
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	1,257	\$ 676,127	1,077	\$ 613,367	998	\$ 568,658	998	\$ 585,718	998	\$ 603,290
2. Spouses	354	84,997	354	80,449	336	76,407	336	78,699	336	81,060
3. Children of Faculty or Staff	1,955	1,778,382	1,881	2,011,921	1,107	1,184,439	1,107	1,219,972	1,107	1,256,571
**Total Fee Courtesy Remissions **	3,566	\$ 2,539,506	3,312	\$ 2,705,737	2,442	\$ 1,829,504	2,442	\$ 1,884,389	2,442	\$ 1,940,921
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	11,304	\$ 30,694,223	10,265	\$ 30,198,530	9,514	\$ 29,791,355	9,514	\$ 30,685,095	9,514	\$ 31,605,648

INDIANA UNIVERSITY BLOOMINGTON
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	23,469	22,795	21,805	21,761	21,596	21,596
2. Non-Resident	12,062	12,163	12,412	12,116	12,024	12,024
3. Reciprocity Non-Resident Unknown/Correspondence	104	2	1			
B. Graduate/Professional						
1. Indiana Resident	3,931	3,916	4,121	4,055	4,055	4,055
2. Non-Resident	6,389	6,403	6,367	6,401	6,401	6,401
3. Reciprocity Non-Resident						
TOTAL	45,955	45,279	44,706	44,333	44,076	44,076
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	20,262	19,603	19,608	19,568	19,420	19,420
2. Non-Resident	10,305	10,046	10,132	9,890	9,815	9,815
3. Reciprocity Non-Resident Unknown/Correspondence	13	1				
B. Graduate/Professional						
1. Indiana Resident	2,370	2,429	2,492	2,452	2,452	2,452
2. Non-Resident	4,951	4,819	4,906	4,932	4,932	4,932
3. Reciprocity Non-Resident						
TOTAL	37,901	36,898	37,138	36,842	36,619	36,619
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	157	129	61	61	61	61
B. Graduate/Professional Resident and Reciprocity	14	7	6	6	6	6
C. Undergraduate Nonresident	256	325	341	333	330	330
D. Graduate/Professional Nonresident	2	4	13	13	13	13
E. Undergraduate Residency Unknown	13	1				

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (none of the dual credit enrollment was recorded in time for census)

0

0

INDIANA UNIVERSITY BLOOMINGTON
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ 588,391	\$ 12,338,248	\$ 12,926,639
B. Supplies and Expenses			
1. General S&E	\$ 511,619	\$ 10,728,385	\$ 11,240,004
2. Energy	799,920	16,773,901	17,573,821
3. Utilities	171,637	3,599,125	3,770,762
4. Fire & Casualty Insurance	86,293	1,809,510	1,895,803
* Subtotal	\$ 1,569,469	\$ 32,910,921	\$ 34,480,390
C. Total Operating Expenditures (A+B)	\$ 2,157,860	\$ 45,249,169	\$ 47,407,029
D. Total Gross Square Feet	315,230	7,977,582	8,292,812
E. Total Assignable Square Feet	193,530	4,704,869	4,898,399
F. Plant O&M Expenditures per GSF (C / D)	\$ 6.85	\$ 5.67	\$ 5.72
G. Plant O&M Expenditures per ASF (C / E)	\$ 11.15	\$ 9.62	\$ 9.68
Total campus academic/administrative ASF			4,898,399
Total ASF classified as research/nonclass lab			478,586

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

INDIANA UNIVERSITY BLOOMINGTON
2005-07 OPERATING APPROPRIATION REQUEST

Background VII: Sponsored Research and University General Fund Support for Research

	<u>2001-02</u> <u>Actual</u>	<u>2002-03</u> <u>Actual</u>	<u>2003-04</u> <u>Actual</u>	<u>2004-05</u> <u>Actual</u>	<u>2005-06</u> <u>Estimated</u>	<u>2006-07</u> <u>Projected</u>	<u>2007-08</u> <u>Projected</u>
Sponsored Research Expenditures							
A. Federal	\$ 36,823,193	\$ 43,408,133	\$ 47,911,249	\$ 42,908,729	\$ 45,993,786	\$ 51,342,044	\$ 57,312,209
B. Industry	1,678,690	3,091,695	2,635,004	2,546,141	2,318,361	2,587,945	2,888,877
C. Private Foundation / Other	<u>12,647,210</u>	<u>10,649,639</u>	<u>10,284,918</u>	<u>10,435,103</u>	<u>15,302,325</u>	<u>17,081,713</u>	<u>19,068,012</u>
D. Total	\$ 51,149,093	\$ 57,149,466	\$ 60,831,170	\$ 55,889,973	\$ 63,614,473	\$ 71,011,702	\$ 79,269,098
Increase in Competitively Awarded Sponsored Research Expenditures		\$ 6,000,373	\$ 3,681,704	\$ (4,941,197)	\$ 7,724,500	\$ 7,397,229	\$ 8,257,396
Four-year average, 2004-05 through 2007-08	\$ 67,446,311						
Four-year average, 2002-03 through 2005-06	<u>59,371,271</u>						
Change	\$ 8,075,040						
50% of Change	\$ 4,037,520						

A complete list of all competitively awarded sponsored research expenditures by category for the fiscal years 2004 and 2005, specifying grantor, identification number, descriptive title, and amount expended during the appropriate fiscal year, will be provided.

Indiana University
East

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
East	68	238,000

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
East	\$ 231,763	\$ 238,713

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUE:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Total Debt Service	\$ 11,657	\$ -36,211

INDIANA UNIVERSITY EAST
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ 238,000		\$ 238,000	\$ -		\$ 238,000	\$ 476,000
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ 238,000		\$ 238,000	\$ -		\$ 238,000	\$ 476,000
II. Price Inflation								
A. Personnel Services	\$ 13,115,608	\$ 393,468	3.0%	\$ 13,509,076	\$ 405,273	3.0%	\$ 13,914,349	\$ 27,423,425
B. Supplies and Expense	3,158,123	94,744	3.0%	3,252,867	97,585	3.0%	3,350,452	6,603,319
C. Student Assistance	174,089	5,223	3.0%	179,312	5,379	3.0%	184,691	364,003
Subtotal	\$ 16,447,820	\$ 493,435	3.0%	\$ 16,941,255	\$ 508,237	3.0%	\$ 17,449,492	\$ 34,390,747
III. Quality Improvements								
A. Informatics		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	<u>\$ 16,447,820</u>	<u>\$ 731,435</u>	4.4%	<u>\$ 17,179,255</u>	<u>\$ 508,237</u>	3.0%	<u>\$ 17,687,492</u>	<u>\$ 34,866,747</u>
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 8,938,738	\$ 268,161	3.0%	\$ 9,206,899	\$ 276,208	3.0%	\$ 9,483,107	\$ 18,690,006
2. (less) Academic Facilities Fees	(2,026,511)	(11,657)	0.6%	(2,038,168)	36,211	-1.8%	(2,001,957)	(4,040,125)
3. (less) Other Dedicated/Restricted Fees	(216,300)	(6,489)	3.0%	(222,789)	(6,684)	3.0%	(229,473)	(452,262)
Subtotal (= Net Unrestricted Fees)	\$ 6,695,927	\$ 250,015	3.7%	\$ 6,945,942	\$ 305,735	4.4%	\$ 7,251,677	\$ 14,197,619
B. Reallocation (Inter-Campus)								
Subtotal	\$ 6,695,927	\$ 250,015	3.7%	\$ 6,945,942	\$ 305,735	4.4%	\$ 7,251,677	\$ 14,197,619
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 7,725,382	\$ 469,763	6.1%	\$ 8,195,145	\$ 238,713	2.9%	\$ 8,433,858	\$ 16,629,003
B. Fee Replacement	2,026,511	11,657	0.6%	2,038,168	(36,211)	-1.8%	2,001,957	4,040,125
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 9,751,893	\$ 481,420	4.9%	\$ 10,233,313	\$ 202,502	2.0%	\$ 10,435,815	\$ 20,669,128
Total Income Budget	<u>\$ 16,447,820</u>	<u>\$ 731,435</u>	4.4%	<u>\$ 17,179,255</u>	<u>\$ 508,237</u>	3.0%	<u>\$ 17,687,492</u>	<u>\$ 34,866,747</u>

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 315,000		\$ -
2. Vocational-Technical	1,655,913	198	8,363	1,708,284	194	8,806	1,772,099	202	8,773
3. Undergraduate	12,763,111	1,520	8,397	13,190,701	1,492	8,841	13,661,267	1,551	8,808
4. Graduate	382,054	35	10,916	459,730	40	11,493	492,367	43	11,450
5. Professional	-		-	-		-	-		-
SUBTOTAL (Credit Instruction)	\$ 14,801,078	1,753	8,443	\$ 15,358,715	1,726	8,898	\$ 16,240,733	1,796	9,043
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ -			\$ -			\$ -		
B. Individual Project Research	30,073			31,206			32,998		
SUBTOTAL	\$ 30,073			\$ 31,206			\$ 32,998		
PUBLIC SERVICE									
A. Community Service	\$ -			\$ -			\$ -		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ -			\$ -			\$ -		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 6,586			\$ 12,400			\$ -		
B. Scholarships	168,661			169,712			143,207		
C. Grants/Fellowships	914			1,978			30,882		
SUBTOTAL	\$ 176,161			\$ 184,090			\$ 174,089		
TOTAL EDUCATIONAL SERVICES	\$ 15,007,312	1,753	\$ 8,561	\$ 15,574,011	1,726	\$ 9,023	\$ 16,447,820	1,796	\$ 9,158

Remedial Course Numbers and Titles:

EDUC-X 050 Basic Reading Techniques
ENG-W 001 Fundamentals of English
MATH-M 006 Elementary Mathematical Skills
MATH-M 007 Elementary Algebra

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Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 9,954,682	\$ 298,640	3.0%	\$ 10,253,322	\$ 307,600	3.0%	\$ 10,560,922
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	1,222,233	36,667	3.0%	1,258,900	37,767	3.0%	1,296,667
ii. PERF & Other	95,403	2,862	3.0%	98,265	2,948	3.0%	101,213
b. Social Security	681,529	20,446	3.0%	701,975	21,059	3.0%	723,034
c. Life Insurance	44,942	1,348	3.0%	46,290	1,389	3.0%	47,679
d. Health Insurance	1,116,819	33,505	3.0%	1,150,324	34,510	3.0%	1,184,834
TOTAL PERSONNEL SERVICES	\$ 13,115,608	\$ 393,468	3.0%	\$ 13,509,076	\$ 405,273	3.0%	\$ 13,914,349
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 2,568,388	\$ 77,052	3.0%	\$ 2,645,440	\$ 79,363	3.0%	\$ 2,724,803
2. Energy:							
a. Electricity	514,398	15,432	3.0%	529,830	15,895	3.0%	545,725
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	9,712	291	3.0%	10,003	300	3.0%	10,303
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ 524,110	\$ 15,723	3.0%	\$ 539,833	\$ 16,195	3.0%	\$ 556,028
3. Utilities:							
a. Sewer	22,863	686	3.0%	23,549	706	3.0%	24,255
b. Water	19,718	592	3.0%	20,310	609	3.0%	20,919
SUBTOTAL	\$ 42,581	\$ 1,278	3.0%	\$ 43,859	\$ 1,315	3.0%	\$ 45,174
4. Fire & Casualty Insurance	23,044	691	3.0%	23,735	712	3.0%	24,447
TOTAL SUPPLIES & EXPENSES	\$ 3,158,123	\$ 94,744	3.0%	\$ 3,252,867	\$ 97,585	3.0%	\$ 3,350,452
C. STUDENT ASSISTANCE	\$ 174,089	\$ 5,223	3.0%	\$ 179,312	\$ 5,379	3.0%	\$ 184,691
GRAND TOTAL	\$ 16,447,820	\$ 493,435	3.0%	\$ 16,941,255	\$ 508,237	3.0%	\$ 17,449,492

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Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$	12	\$ 8,892		\$		\$		\$
(2) Other criteria	4	4,232	1	2,215						
b. Non-Resident										
(1) Need-based		\$		\$				\$		\$
(2) Other criteria	1	2,354	3	1,293		\$				
Subtotal	5	\$ 6,586	16	\$ 12,400		\$		\$		\$
3. <u>Other Graduate</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Remitted Fees	5	\$ 6,586	16	\$ 12,400		\$		\$		\$
B. Undergraduate Scholarships, Awards and Other Grants										
a. Resident										
(1) Need-based	89	\$ 45,444	80	\$ 48,459	69	\$ 41,596	69	\$ 42,844	69	\$ 44,129
(2) Other criteria	199	62,677	188	59,136	132	49,195	132	50,671	132	52,191
b. Non-Resident										
(1) Need-based	15	\$ 22,218	14	\$ 25,278	14	\$ 25,423	14	\$ 26,186	14	\$ 26,972
(2) Other criteria	65	38,322	37	36,839	37	26,993	37	27,803	37	28,637
Subtotal	368	\$ 168,661	319	\$ 169,712	252	\$ 143,207	252	\$ 147,504	252	\$ 151,929
C. Graduate Fellowships, Awards and Other Grants										
a. Resident	1	\$ 914	2	\$ 645	31	\$ 10,070	31	\$ 10,372	31	\$ 10,683
b. Non-Resident			2	1,333	31	20,812	31	21,436	31	22,079
Subtotal	1	\$ 914	4	\$ 1,978	62	\$ 30,882	62	\$ 31,808	62	\$ 32,762
D. Loan Programs (Matching Funds)										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	374	\$ 176,161	339	\$ 184,090	315	\$ 174,089	315	\$ 179,312	315	\$ 184,691
RECAP										
Resident Student Aid	293	\$ 113,267	283	\$ 119,347	232	\$ 100,861	232	\$ 103,887	232	\$ 107,003
Non-Resident Student Aid	81	62,894	56	64,743	83	73,228	83	75,425	83	77,688
TOTAL STUDENT AID	374	\$ 176,161	339	\$ 184,090	315	\$ 174,089	315	\$ 179,312	315	\$ 184,691

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

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Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		1,508	1,452	1,518	1,519	
2. Graduate/Professional		33	33	36	36	
B. Reciprocity Non-Resident FTE Enrollment (Notes 1 & 5)						
1. Undergraduate		195	217	218	219	
2. Graduate/Professional		1	6	6	6	
C. Total Eligible FTE Enrollment (A+B)	1,683	1,737	1,708	1,778	1,780	1,751
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	1,751					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	1,683					
3. Enrollment Change (= D-1 minus D-2)	68					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	238,000					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104; PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.
- (5) The reciprocity agreement at IUE became effective in fall 2004.

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded?

Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers*

Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					-----
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans?
renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 6,636,618		\$ 6,916,077		\$ 7,512,481		\$ 7,512,481		\$ 7,512,481	
b. Graduate	139,243		158,879		155,682		155,682		155,682	
c. Professional										
2. Nonresident										
a. Undergraduate	107,817		160,892		223,740		223,740		223,740	
b. Graduate	5,532		2,829		5,864		5,864		5,864	
c. Professional										
SUBTOTAL	\$ 6,889,210		\$ 7,238,677		\$ 7,897,767		\$ 7,897,767		\$ 7,897,767	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	123,411		131,130		153,996		153,996		153,996	
3. Incidental Student Fees	619,478		587,187		612,680		612,680		612,680	
4. Other Credit Fee Income	31,971		18,448		57,995		57,995		57,995	
SUBTOTAL	\$ 774,860		\$ 736,765		\$ 824,671		\$ 824,671		\$ 824,671	
C. Fee Rate Adjustment							\$ 261,672		\$ 531,196	
D. Gross Unrestricted Fees	\$ 7,664,070		\$ 7,975,442		\$ 8,722,438		\$ 8,984,110		\$ 9,253,634	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 1,744,640		\$ 1,883,532		\$ 2,026,511		\$ 2,038,168		\$ 2,001,957	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 1,744,640		\$ 1,883,532		\$ 2,026,511		\$ 2,038,168		\$ 2,001,957	
F. Net Unrestricted Fees	\$ 5,919,430		\$ 6,091,910		\$ 6,695,927		\$ 6,945,942		\$ 7,251,677	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 65,437		\$ 44,237		\$ 46,937		\$ 46,937		\$ 46,937
c. Student Government		5,216		7,543		8,003				
d. Other		122,835		152,075		161,360		161,360		161,360
2. Performance Facilities										
SUBTOTAL		\$ 193,488		\$ 203,855		\$ 216,300		\$ 208,297		\$ 208,297
Gross Fee Base	\$ 7,664,070	\$ 193,488	\$ 7,975,442	\$ 203,855	\$ 8,722,438	\$ 216,300	\$ 8,984,110	\$ 208,297	\$ 9,253,634	\$ 208,297

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	<u>2004-05 Actual</u>	<u>2005-06 Actual</u>	<u>2006-07 Estimated</u>	<u>2007-08 Requested</u>
Acts of 1965				
Permanently Scheduled*	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,352,015
Interim Financing	-	-	-	-
New Debt Service**				
South Bend Land Acquisition				177,925
Subtotal, Acts of 1965	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,529,940
Acts of 1927 or 1929				
Permanently Scheduled***	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Total Debt Service	\$ 17,147,568	\$ 18,447,909	\$ 19,290,638	\$ 19,982,278
Reconciliation with Appropriated Amounts				
Reverted to State	670,233	-	557,653	
Appropriated Fee Replacement	\$ 17,817,801	\$ 18,447,909	\$ 19,848,291	

* Excludes federal interest subsidy grant of \$162,188 (2004-05 and 2005-06) and capitalized interest of \$1,029,175 (2004-05).

** Assumes 20 years at 6.25%.

*** Debt service on the South Bend campus and Southeast campus Student Union Building Bonds of 1979.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	<u>Allocation Basis: Projected Credit Hours</u>	<u>2007-08 Allocation</u>	<u>2008-09 Allocation</u>
East	51,500	\$ 2,038,168	\$ 2,001,957
Kokomo	60,498	2,394,274	2,351,735
Northwest	109,062	4,316,246	4,239,561
South Bend	150,787	5,967,558	5,861,535
Southeast	133,061	5,266,032	5,172,473
Total	504,908	\$ 19,982,278	\$ 19,627,261

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 8,293,512	\$ (278,722)	\$ 8,014,790	\$ 264,506	\$ -	\$ 8,279,296
State Appropriation - Operating	7,570,790	-	7,570,790			7,570,790
State Appropriation - Debt Service		1,883,532	1,883,532			1,883,532
State Appropriation - Special		-	-			-
Indirect Cost Recovery		26,000	26,000			26,000
Sales and Service		59,166	59,166	186,183	1,677,426	1,922,775
Investment, Endowment, and Other Income		342,055	342,055	120,458	11,725	474,238
Sponsored Research		-	-	4,600,000		4,600,000
Other Revenue		19,000	19,000			19,000
Total Revenue	\$ 15,864,302	\$ 2,051,031	\$ 17,915,333	\$ 5,171,147	\$ 1,689,151	\$ 24,775,631
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 9,465,542			
Retirement - PERF Program			109,121			
Retirement - TIAA Program			1,171,658			
Social Security			671,433			
Life Insurance			34,813			
Health/Medical Insurance			1,000,433			
Other Personal Services			17,442			
Total Personal Services	\$ 12,637,270	\$ (166,828)	\$ 12,470,442	\$ 2,554,482	\$ 229,201	\$ 15,254,125
SUPPLIES & EXPENSES						
General S&E			\$ 4,387,688			
Energy			499,374			
Utilities			37,427			
Property & Liability Insurance			22,342			
Other Supplies and Expenses			313,971			
Total Supplies and Expenses	\$ 3,042,943	\$ 2,217,859	\$ 5,260,802	\$ 2,575,265	\$ 1,459,950	\$ 9,296,017
STUDENT ASSISTANCE	\$ 184,089	\$ -	\$ 184,089	\$ 41,400	\$ -	\$ 225,489
OTHER						\$ -
Total Expenditures	\$ 15,864,302	\$ 2,051,031	\$ 17,915,333	\$ 5,171,147	\$ 1,689,151	\$ 24,775,631

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 8,722,438	\$ (280,140)	\$ 8,442,298	\$ 283,842	\$ -	\$ 8,726,140
State Appropriation - Operating	7,725,382	-	7,725,382			7,725,382
State Appropriation - Debt Service		2,026,511	2,026,511			2,026,511
State Appropriation - Special		-	-			-
Indirect Cost Recovery		26,000	26,000			26,000
Sales and Service		51,166	51,166	187,099	1,462,153	1,700,418
Investment, Endowment, and Other Income		375,537	375,537	140,078	14,150	529,765
Sponsored Research		-	-	4,500,000		4,500,000
Other Revenue		19,000	19,000	-		19,000
Total Revenue	\$ 16,447,820	\$ 2,218,074	\$ 18,665,894	\$ 5,111,019	\$ 1,476,303	\$ 25,253,216
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 9,679,925			
Retirement - PERF Program			95,403			
Retirement - TIAA Program			1,222,233			
Social Security			681,529			
Life Insurance			44,942			
Health/Medical Insurance			1,116,819			
Other Personal Services			29,385			
Total Personal Services	\$ 13,115,608	\$ (245,372)	\$ 12,870,236	\$ 2,517,007	\$ 223,613	\$ 15,610,856
SUPPLIES & EXPENSES						
General S&E			\$ 4,713,153			
Energy			524,110			
Utilities			42,581			
Property & Liability Insurance			23,044			
Other Supplies and Expenses			318,681			
Total Supplies and Expenses	\$ 3,158,123	\$ 2,463,446	\$ 5,621,569	\$ 2,539,792	\$ 1,252,690	\$ 9,414,051
STUDENT ASSISTANCE	\$ 174,089	\$ -	\$ 174,089	\$ 54,220	\$ -	\$ 228,309
OTHER						\$ -
Total Expenditures	\$ 16,447,820	\$ 2,218,074	\$ 18,665,894	\$ 5,111,019	\$ 1,476,303	\$ 25,253,216

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
New Debt					
South Bend Land Acquisition			\$ 2,000,000	\$ 1,947,075	\$ 1,890,842
Subtotal, Acts of 1965	\$ 141,890,536	\$ 133,177,356	\$ 124,788,923	\$ 113,969,986	\$ 103,030,601
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
New Debt					
	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
TOTAL	\$ 143,430,536	\$ 134,367,356	\$ 125,603,923	\$ 114,384,986	\$ 103,030,601

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ -	\$ -	\$ -	\$ -	\$ -
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 2,701,000	\$ 2,490,000	\$ 2,267,000	\$ 2,030,000	\$ 1,780,000
Existing Debt: Interim & Variable Financing	1,276,855	1,166,816	1,086,787	1,017,263	944,337
Subtotal	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
Qualified Energy Savings					
Existing Debt: Permanently Scheduled	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
New Debt					
Kokomo Energy Savings Project			\$ 1,534,606	\$ 1,402,437	\$ 1,262,007
Southeast Energy Savings Project			2,000,000	1,850,036	1,690,699
Subtotal, Qualified Energy Savings	\$ 1,750,000	\$ 1,602,372	\$ 4,983,703	\$ 4,542,621	\$ 4,078,022
TOTAL	\$ 5,727,855	\$ 5,259,188	\$ 8,337,490	\$ 7,589,884	\$ 6,802,359
GRAND TOTAL, ALL DEBT	\$ 149,158,391	\$ 139,626,544	\$ 133,941,413	\$ 121,974,870	\$ 109,832,960

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Graduate Fee Remissions **		\$		\$		\$		\$		\$
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	98	\$ 30,091	82	\$ 31,159	43	\$ 16,206	43	\$ 16,692	43	\$ 17,193
2. Spouses	12	2,141	11	2,014	13	2,443	13	2,516	13	2,591
3. Children of Faculty or Staff	25	12,653	26	8,077	122	37,878	122	39,014	122	40,184
**Total Fee Courtesy Remissions **	135	\$ 44,885	119	\$ 41,250	178	\$ 56,527	178	\$ 58,222	178	\$ 59,968
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	135	\$ 44,885	119	\$ 41,250	178	\$ 56,527	178	\$ 58,222	178	\$ 59,968

INDIANA UNIVERSITY EAST
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	3,139	2,972	2,818	2,946	2,948	2,948
2. Non-Resident	327	72	47	47	47	47
3. Reciprocity Non-Resident* Unknown/Correspondence		315	333	335	337	337
B. Graduate/Professional						
1. Indiana Resident	145	178	130	142	142	142
2. Non-Resident	10	4	3	3	3	3
3. Reciprocity Non-Resident*		4	8	8	8	8
TOTAL	3,621	3,545	3,339	3,481	3,485	3,485
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,557	1,508	1,452	1,518	1,519	1,519
2. Non-Resident	177	15	17	17	17	17
3. Reciprocity Non-Resident* Unknown/Correspondence		195	217	218	219	219
B. Graduate/Professional						
1. Indiana Resident	28	33	33	36	36	36
2. Non-Resident	2	1	1	1	1	1
3. Reciprocity Non-Resident*		1	6	6	6	6
TOTAL	1,764	1,753	1,726	1,796	1,798	1,798
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (most of the dual credit enrollment is reflected in census)

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* The reciprocity agreement at IUE became effective in fall 2004.

INDIANA UNIVERSITY EAST
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ -	\$ 550,696	\$ 550,696
B. Supplies and Expenses			
1. General S&E	\$ -	\$ 272,400	\$ 272,400
2. Energy	-	523,513	523,513
3. Utilities	-	42,533	42,533
4. Fire & Casualty Insurance	-	23,018	23,018
* Subtotal	\$ -	\$ 861,464	\$ 861,464
C. Total Operating Expenditures (A+B)	\$ -	\$ 1,412,160	\$ 1,412,160
D. Total Gross Square Feet		263,979	263,979
E. Total Assignable Square Feet		165,667	165,667
F. Plant O&M Expenditures per GSF (C / D)	\$ -	\$ 5.35	\$ 5.35
G. Plant O&M Expenditures per ASF (C / E)	\$ -	\$ 8.52	\$ 8.52
Total campus academic/administrative ASF			165,667
Total ASF classified as research/nonclass lab			1,544

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

Indiana University
Kokomo

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
Kokomo	54	189,000

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Kokomo	\$ 303,729	\$ 312,840

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUK:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Total Debt Service	\$ -31,187	\$ -42,539

INDIANA UNIVERSITY KOKOMO
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ 189,000		\$ 189,000	\$ -		\$ 189,000	\$ 378,000
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ 189,000		\$ 189,000	\$ -		\$ 189,000	\$ 378,000
II. Price Inflation								
A. Personnel Services	\$ 16,266,490	\$ 487,996	3.0%	\$ 16,754,486	\$ 502,634	3.0%	\$ 17,257,120	\$ 34,011,606
B. Supplies and Expense	4,182,443	125,474	3.0%	4,307,917	129,238	3.0%	4,437,155	8,745,072
C. Student Assistance	195,000	5,850	3.0%	200,850	6,026	3.0%	206,876	407,726
Subtotal	\$ 20,643,933	\$ 619,320	3.0%	\$ 21,263,253	\$ 637,898	3.0%	\$ 21,901,151	\$ 43,164,404
III. Quality Improvements								
A. Informatics		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	\$ 20,643,933	\$ 808,320	3.9%	\$ 21,452,253	\$ 637,898	3.0%	\$ 22,090,151	\$ 43,542,404
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 10,711,256	\$ 321,338	3.0%	\$ 11,032,594	\$ 330,978	3.0%	\$ 11,363,572	\$ 22,396,166
2. (less) Academic Facilities Fees	(2,425,461)	31,187	-1.3%	(2,394,274)	42,539	-1.8%	(2,351,735)	(4,746,009)
3. (less) Other Dedicated/Restricted Fees	(191,572)	(5,747)	3.0%	(197,319)	(5,920)	3.0%	(203,239)	(400,558)
Subtotal (= Net Unrestricted Fees)	\$ 8,094,223	\$ 346,778	4.3%	\$ 8,441,001	\$ 367,597	4.4%	\$ 8,808,598	\$ 17,249,599
B. Reallocation (Inter-Campus)								
Subtotal	\$ 8,094,223	\$ 346,778	4.3%	\$ 8,441,001	\$ 367,597	4.4%	\$ 8,808,598	\$ 17,249,599
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 10,124,249	\$ 492,729	4.9%	\$ 10,616,978	\$ 312,840	2.9%	\$ 10,929,818	\$ 21,546,796
B. Fee Replacement	2,425,461	(31,187)	-1.3%	2,394,274	(42,539)	-1.8%	2,351,735	4,746,009
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 12,549,710	\$ 461,542	3.7%	\$ 13,011,252	\$ 270,301	2.1%	\$ 13,281,553	\$ 26,292,805
Total Income Budget	\$ 20,643,933	\$ 808,320	3.9%	\$ 21,452,253	\$ 637,898	3.0%	\$ 22,090,151	\$ 43,542,404

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 135,000		\$ -
2. Vocational-Technical	2,732,219	313	8,729	2,834,016	315	8,997	2,883,740	316	9,126
3. Undergraduate	14,957,733	1,645	9,093	15,500,868	1,654	9,372	15,787,047	1,661	9,505
4. Graduate	1,377,566	101	13,639	1,461,992	104	14,058	1,597,008	112	14,259
5. Professional	-		-	-		-	-		-
SUBTOTAL (Credit Instruction)	\$ 19,067,518	2,059	9,261	\$ 19,796,876	2,073	9,550	\$ 20,402,795	2,089	9,767
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ -			\$ -			\$ -		
B. Individual Project Research	43,118			44,768			46,138		
SUBTOTAL	\$ 43,118			\$ 44,768			\$ 46,138		
PUBLIC SERVICE									
A. Community Service	\$ -			\$ -			\$ -		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ -			\$ -			\$ -		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 24,515			\$ 17,477			\$ -		
B. Scholarships	152,286			167,243			195,000		
C. Grants/Fellowships	-			-			-		
SUBTOTAL	\$ 176,801			\$ 184,720			\$ 195,000		
TOTAL EDUCATIONAL SERVICES	\$ 19,287,437	2,059	\$ 9,367	\$ 20,026,364	2,073	\$ 9,661	\$ 20,643,933	2,089	\$ 9,882

Remedial Course Numbers and Titles:

ENG-W 031 Pre-Composition
MATH-M 003 Algebra Skills Recovery Lab
MATH-M 003 Graphics Calculatory Lab
MATH-M 007 Elementary Algebra

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 12,324,658	\$ 369,740	3.0%	\$ 12,694,398	\$ 380,832	3.0%	\$ 13,075,230
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	1,507,490	45,225	3.0%	1,552,715	46,581	3.0%	1,599,296
ii. PERF & Other	132,701	3,981	3.0%	136,682	4,100	3.0%	140,782
b. Social Security	849,021	25,471	3.0%	874,492	26,235	3.0%	900,727
c. Life Insurance	56,658	1,700	3.0%	58,358	1,751	3.0%	60,109
d. Health Insurance	1,395,962	41,879	3.0%	1,437,841	43,135	3.0%	1,480,976
TOTAL PERSONNEL SERVICES	\$ 16,266,490	\$ 487,996	3.0%	\$ 16,754,486	\$ 502,634	3.0%	\$ 17,257,120
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 3,002,025	\$ 90,061	3.0%	\$ 3,092,086	\$ 92,763	3.0%	\$ 3,184,849
2. Energy:							
a. Electricity	529,540	15,886	3.0%	545,426	16,363	3.0%	561,789
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	490,231	14,707	3.0%	504,938	15,148	3.0%	520,086
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ 1,019,771	\$ 30,593	3.0%	\$ 1,050,364	\$ 31,511	3.0%	\$ 1,081,875
3. Utilities:							
a. Sewer	53,588	1,608	3.0%	55,196	1,656	3.0%	56,852
b. Water	37,000	1,110	3.0%	38,110	1,143	3.0%	39,253
SUBTOTAL	\$ 90,588	\$ 2,718	3.0%	\$ 93,306	\$ 2,799	3.0%	\$ 96,105
4. Fire & Casualty Insurance	70,059	2,102	3.0%	72,161	2,165	3.0%	74,326
TOTAL SUPPLIES & EXPENSES	\$ 4,182,443	\$ 125,474	3.0%	\$ 4,307,917	\$ 129,238	3.0%	\$ 4,437,155
C. STUDENT ASSISTANCE	\$ 195,000	\$ 5,850	3.0%	\$ 200,850	\$ 6,026	3.0%	\$ 206,876
GRAND TOTAL	\$ 20,643,933	\$ 619,320	3.0%	\$ 21,263,253	\$ 637,898	3.0%	\$ 21,901,151

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	8	3,163	43	6,066						
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	1	21,352	1	11,411						
Subtotal	9	\$ 24,515	44	\$ 17,477		\$		\$		\$
3. <u>Other Graduate</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Remitted Fees	9	\$ 24,515	44	\$ 17,477		\$		\$		\$
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	707	\$ 84,873	941	\$ 98,564	1,097	\$ 114,944	1,097	\$ 118,392	1,097	\$ 121,944
(2) Other criteria	175	59,393	181	64,615	211	75,338	211	77,598	211	79,926
b. Non-Resident										
(1) Need-based	5	\$ 8,020	1	\$ 4,064	1	\$ 4,718	1	\$ 4,860	1	\$ 5,006
(2) Other criteria										
Subtotal	887	\$ 152,286	1,123	\$ 167,243	1,309	\$ 195,000	1,309	\$ 200,850	1,309	\$ 206,876
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	896	\$ 176,801	1,167	\$ 184,720	1,309	\$ 195,000	1,309	\$ 200,850	1,309	\$ 206,876
RECAP										
Resident Student Aid	890	\$ 147,429	1,165	\$ 169,245	1,308	\$ 190,282	1,308	\$ 195,990	1,308	\$ 201,870
Non-Resident Student Aid	6	29,372	2	15,475	1	4,718	1	4,860	1	5,006
TOTAL STUDENT AID	896	\$ 176,801	1,167	\$ 184,720	1,309	\$ 195,000	1,309	\$ 200,850	1,309	\$ 206,876

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		1,944	1,958	1,963	1,969	
2. Graduate/Professional		98	99	109	109	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	2,008	2,042	2,057	2,072	2,078	2,062
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	2,062					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	2,008					
3. Enrollment Change (= D-1 minus D-2)	54					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	189,000					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104; PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded? Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers* Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					-----
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans? renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 7,635,729		\$ 8,085,859		\$ 8,731,338		\$ 8,731,338		\$ 8,731,338	
b. Graduate	420,151		434,059		423,319		423,319		423,319	
c. Professional										
2. Nonresident										
a. Undergraduate	155,966		129,310		162,961		162,961		162,961	
b. Graduate	37,249		58,543		43,766		43,766		43,766	
c. Professional										
SUBTOTAL	\$ 8,249,095		\$ 8,707,771		\$ 9,361,384		\$ 9,361,384		\$ 9,361,384	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	271,438		358,153		288,470		288,470		288,470	
3. Incidental Student Fees	777,121		836,417		846,780		846,780		846,780	
4. Other Credit Fee Income	20,833		24,205		23,050		23,050		23,050	
SUBTOTAL	\$ 1,069,392		\$ 1,218,775		\$ 1,158,300		\$ 1,158,300		\$ 1,158,300	
C. Fee Rate Adjustment							\$ 315,591		\$ 640,649	
D. Gross Unrestricted Fees	\$ 9,318,487		\$ 9,926,546		\$ 10,519,684		\$ 10,835,275		\$ 11,160,333	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 1,955,510		\$ 2,254,333		\$ 2,425,461		\$ 2,394,274		\$ 2,351,735	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 1,955,510		\$ 2,254,333		\$ 2,425,461		\$ 2,394,274		\$ 2,351,735	
F. Net Unrestricted Fees	\$ 7,362,977		\$ 7,672,213		\$ 8,094,223		\$ 8,441,001		\$ 8,808,598	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 13,604		\$ 14,538		\$ 14,943		\$ 14,943		\$ 14,943
c. Student Government		57,420		61,363		51,341		51,341		51,341
d. Other		105,654		112,908		125,288		125,288		125,288
2. Performance Facilities										
SUBTOTAL		\$ 176,678		\$ 188,809		\$ 191,572		\$ 191,572		\$ 191,572
Gross Fee Base	\$ 9,318,487	\$ 176,678	\$ 9,926,546	\$ 188,809	\$ 10,519,684	\$ 191,572	\$ 10,835,275	\$ 191,572	\$ 11,160,333	\$ 191,572

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	<u>2004-05 Actual</u>	<u>2005-06 Actual</u>	<u>2006-07 Estimated</u>	<u>2007-08 Requested</u>
Acts of 1965				
Permanently Scheduled*	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,352,015
Interim Financing	-	-	-	-
New Debt Service**				
South Bend Land Acquisition				177,925
Subtotal, Acts of 1965	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,529,940
Acts of 1927 or 1929				
Permanently Scheduled***	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Total Debt Service	\$ 17,147,568	\$ 18,447,909	\$ 19,290,638	\$ 19,982,278
Reconciliation with Appropriated Amounts				
Reverted to State	670,233	-	557,653	
Appropriated Fee Replacement	\$ 17,817,801	\$ 18,447,909	\$ 19,848,291	

* Excludes federal interest subsidy grant of \$162,188 (2004-05 and 2005-06) and capitalized interest of \$1,029,175 (2004-05).

** Assumes 20 years at 6.25%.

*** Debt service on the South Bend campus and Southeast campus Student Union Building Bonds of 1979.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	<u>Allocation Basis: Projected Credit Hours</u>	<u>2007-08 Allocation</u>	<u>2008-09 Allocation</u>
East	51,500	\$ 2,038,168	\$ 2,001,957
Kokomo	60,498	2,394,274	2,351,735
Northwest	109,062	4,316,246	4,239,561
South Bend	150,787	5,967,558	5,861,535
Southeast	133,061	5,266,032	5,172,473
Total	504,908	\$ 19,982,278	\$ 19,627,261

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 9,852,362	\$ (384,077)	\$ 9,468,285	\$ 244,908	\$ -	\$ 9,713,193
State Appropriation - Operating	10,162,502	-	10,162,502			10,162,502
State Appropriation - Debt Service		2,254,333	2,254,333			2,254,333
State Appropriation - Special		-	-			-
Indirect Cost Recovery		25,000	25,000			25,000
Sales and Service		32,500	32,500	140,600	1,671,125	1,844,225
Investment, Endowment, and Other Income		283,812	283,812	11,124	24,140	319,076
Sponsored Research		-	-	750,000		750,000
Other Revenue		12,755	12,755	1,000		13,755
Total Revenue	\$ 20,014,864	\$ 2,224,323	\$ 22,239,187	\$ 1,147,632	\$ 1,695,265	\$ 25,082,084
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 11,470,458			
Retirement - PERF Program			146,913			
Retirement - TIAA Program			1,418,250			
Social Security			823,184			
Life Insurance			42,976			
Health/Medical Insurance			1,223,887			
Other Personal Services			23,665			
Total Personal Services	\$ 15,774,263	\$ (624,930)	\$ 15,149,333	\$ 747,184	\$ 253,519	\$ 16,150,036
SUPPLIES & EXPENSES						
General S&E			\$ 5,616,318			
Energy			743,400			
Utilities			100,000			
Property & Liability Insurance			67,927			
Other Supplies and Expenses			377,489			
Total Supplies and Expenses	\$ 4,055,881	\$ 2,849,253	\$ 6,905,134	\$ 320,448	\$ 1,441,746	\$ 8,667,328
STUDENT ASSISTANCE	\$ 184,720	\$ -	\$ 184,720	\$ 80,000	\$ -	\$ 264,720
OTHER						\$ -
Total Expenditures	\$ 20,014,864	\$ 2,224,323	\$ 22,239,187	\$ 1,147,632	\$ 1,695,265	\$ 25,082,084

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 10,519,684	\$ (357,100)	\$ 10,162,584	\$ 245,409	\$ -	\$ 10,407,993
State Appropriation - Operating	10,124,249	-	10,124,249			10,124,249
State Appropriation - Debt Service		2,425,461	2,425,461			2,425,461
State Appropriation - Special		-	-			-
Indirect Cost Recovery		25,000	25,000			25,000
Sales and Service		30,200	30,200	141,839	1,812,910	1,984,949
Investment, Endowment, and Other Income		275,610	275,610	8,098	23,950	307,658
Sponsored Research		-	-	500,000		500,000
Other Revenue		13,255	13,255			13,255
Total Revenue	\$ 20,643,933	\$ 2,412,426	\$ 23,056,359	\$ 895,346	\$ 1,836,860	\$ 25,788,565
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 11,928,319			
Retirement - PERF Program			132,701			
Retirement - TIAA Program			1,507,490			
Social Security			849,021			
Life Insurance			56,658			
Health/Medical Insurance			1,395,962			
Other Personal Services			40,945			
Total Personal Services	\$ 16,266,490	\$ (355,394)	\$ 15,911,096	\$ 587,625	\$ 254,014	\$ 16,752,735
SUPPLIES & EXPENSES						
General S&E			\$ 5,386,694			
Energy			1,019,771			
Utilities			90,588			
Property & Liability Insurance			70,059			
Other Supplies and Expenses			383,151			
Total Supplies and Expenses	\$ 4,182,443	\$ 2,767,820	\$ 6,950,263	\$ 253,221	\$ 1,582,846	\$ 8,786,330
STUDENT ASSISTANCE	\$ 195,000	\$ -	\$ 195,000	\$ 54,500	\$ -	\$ 249,500
OTHER						\$ -
Total Expenditures	\$ 20,643,933	\$ 2,412,426	\$ 23,056,359	\$ 895,346	\$ 1,836,860	\$ 25,788,565

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
New Debt					
South Bend Land Acquisition			\$ 2,000,000	\$ 1,947,075	\$ 1,890,842
Subtotal, Acts of 1965	\$ 141,890,536	\$ 133,177,356	\$ 124,788,923	\$ 113,969,986	\$ 103,030,601
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
New Debt					
	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
TOTAL	\$ 143,430,536	\$ 134,367,356	\$ 125,603,923	\$ 114,384,986	\$ 103,030,601

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ -	\$ -	\$ -	\$ -	\$ -
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 2,701,000	\$ 2,490,000	\$ 2,267,000	\$ 2,030,000	\$ 1,780,000
Existing Debt: Interim & Variable Financing	1,276,855	1,166,816	1,086,787	1,017,263	944,337
Subtotal	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
Qualified Energy Savings					
Existing Debt: Permanently Scheduled	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
New Debt					
Kokomo Energy Savings Project			\$ 1,534,606	\$ 1,402,437	\$ 1,262,007
Southeast Energy Savings Project			2,000,000	1,850,036	1,690,699
Subtotal, Qualified Energy Savings	\$ 1,750,000	\$ 1,602,372	\$ 4,983,703	\$ 4,542,621	\$ 4,078,022
TOTAL	\$ 5,727,855	\$ 5,259,188	\$ 8,337,490	\$ 7,589,884	\$ 6,802,359
GRAND TOTAL, ALL DEBT	\$ 149,158,391	\$ 139,626,544	\$ 133,941,413	\$ 121,974,870	\$ 109,832,960

INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Graduate Fee Remissions **		\$		\$		\$		\$		\$
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	41	\$ 18,391	38	\$ 17,339	45	\$ 20,407	45	\$ 21,019	45	\$ 21,650
2. Spouses	8	1,713	10	1,790	12	2,209	12	2,275	12	2,343
3. Children of Faculty or Staff	22	8,486	18	7,382	84	34,250	84	35,278	84	36,336
**Total Fee Courtesy Remissions **	71	\$ 28,590	66	\$ 26,511	141	\$ 56,866	141	\$ 58,572	141	\$ 60,329
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	71	\$ 28,590	66	\$ 26,511	141	\$ 56,866	141	\$ 58,572	141	\$ 60,329

INDIANA UNIVERSITY KOKOMO
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	3,810	3,775	3,746	3,756	3,767	3,767
2. Non-Resident	27	33	25	32	32	32
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	408	336	319	351	351	351
2. Non-Resident	9	10	11	7	7	7
3. Reciprocity Non-Resident						
TOTAL	4,254	4,154	4,101	4,146	4,157	4,157
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,909	1,944	1,958	1,963	1,969	1,969
2. Non-Resident	9	14	11	14	14	14
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	117	98	99	109	109	109
2. Non-Resident	2	3	5	3	3	3
3. Reciprocity Non-Resident						
TOTAL	2,037	2,059	2,073	2,089	2,095	2,095
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (most of the dual credit enrollment is reflected in census)

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INDIANA UNIVERSITY KOKOMO
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ -	\$ 1,123,674	\$ 1,123,674
B. Supplies and Expenses			
1. General S&E	\$ -	\$ 193,443	\$ 193,443
2. Energy	-	861,687	861,687
3. Utilities	-	76,545	76,545
4. Fire & Casualty Insurance	-	59,146	59,146
* Subtotal	\$ -	\$ 1,190,821	\$ 1,190,821
C. Total Operating Expenditures (A+B)	\$ -	\$ 2,314,495	\$ 2,314,495
D. Total Gross Square Feet		411,381	411,381
E. Total Assignable Square Feet		296,194	296,194
F. Plant O&M Expenditures per GSF (C / D)	\$ -	\$ 5.63	\$ 5.63
G. Plant O&M Expenditures per ASF (C / E)	\$ -	\$ 7.81	\$ 7.81
Total campus academic/administrative ASF			296,194
Total ASF classified as research/nonclass lab			5,421

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

Indiana University
Northwest

INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below summarizes the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change¹</u>
Northwest	-119	-416,500

Plant Expansion

Plant expansion funding provides operating funds for energy and utilities and personnel costs associated with the occupancy of new facilities. In the absence of such adjustments, it would be necessary to divert funds away from faculty salaries and instructional activities when new buildings are brought on line.

Operating funds for new space at IUN are requested for one project that will be occupied during the 2007-09 biennium and will require operating funds:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUNW: Professional Education Building	\$ 465,054	\$ 0

¹ When the loss of lower division enrollment at a regional campus occurs as enrollment in the general education programs at Ivy Tech Community College of Indiana, the Stability Initiative provisions of the Regional Campus Agreement of 2001 is triggered. At the time this narrative is being written, it is not know whether enrollment growth at ITCCI at East Chicago and Gary might trigger a similar adjustment for Northwest.

The Professional Education Building at IU Northwest has an expected occupancy date of July, 2006. With 81,705 assignable square feet, the building will house labs, offices, and clinical space for programs in Allied Health, Nursing, and Medical Education. Space dedicated to the Center for Medical Education includes replacement space for the existing Center for Medical Education and approximately 8,000 assignable square feet of growth.

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Northwest	\$ 526,917	\$ 542,726

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUN:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Total Debt Service	\$ 34,970	\$ -76,685

INDIANA UNIVERSITY NORTHWEST
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ (416,500)		\$ (416,500)	\$ -		\$ (416,500)	\$ (833,000)
B. Plant Expansion	-	465,054		465,054	-		465,054	930,108
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ 48,554		\$ 48,554	\$ -		\$ 48,554	\$ 97,108
II. Price Inflation								
A. Personnel Services	\$ 28,019,480	\$ 840,585	3.0%	\$ 28,860,065	\$ 865,802	3.0%	\$ 29,725,867	\$ 58,585,932
B. Supplies and Expense	8,329,607	249,888	3.0%	8,579,495	257,385	3.0%	8,836,880	17,416,375
C. Student Assistance	484,313	14,529	3.0%	498,842	14,966	3.0%	513,808	1,012,650
Subtotal	\$ 36,833,400	\$ 1,105,002	3.0%	\$ 37,938,402	\$ 1,138,153	3.0%	\$ 39,076,555	\$ 77,014,957
III. Quality Improvements								
A. Informatics		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	\$ 36,833,400	\$ 1,153,556	3.1%	\$ 37,986,956	\$ 1,138,153	3.0%	\$ 39,125,109	\$ 77,112,065
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 19,675,121	\$ 590,253	3.0%	\$ 20,265,374	\$ 607,960	3.0%	\$ 20,873,334	\$ 41,138,708
2. (less) Academic Facilities Fees	(4,281,276)	(34,970)	0.8%	(4,316,246)	76,685	-1.8%	(4,239,561)	(8,555,807)
3. (less) Other Dedicated/Restricted Fees	(405,610)	(12,168)	3.0%	(417,778)	(12,533)	3.0%	(430,311)	(848,089)
Subtotal (= Net Unrestricted Fees)	\$ 14,988,235	\$ 543,115	3.6%	\$ 15,531,350	\$ 672,112	4.3%	\$ 16,203,462	\$ 31,734,812
B. Reallocation (Inter-Campus)								
Subtotal	\$ 14,988,235	\$ 543,115	3.6%	\$ 15,531,350	\$ 672,112	4.3%	\$ 16,203,462	\$ 31,734,812
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 17,563,889	\$ 575,471	3.3%	\$ 18,139,360	\$ 542,726	3.0%	\$ 18,682,086	\$ 36,821,446
B. Fee Replacement	4,281,276	34,970	0.8%	4,316,246	(76,685)	-1.8%	4,239,561	8,555,807
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 21,845,165	\$ 610,441	2.8%	\$ 22,455,606	\$ 466,041	2.1%	\$ 22,921,647	\$ 45,377,253
Total Income Budget	\$ 36,833,400	\$ 1,153,556	3.1%	\$ 37,986,956	\$ 1,138,153	3.0%	\$ 39,125,109	\$ 77,112,065

INDIANA UNIVERSITY NORTHWEST
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Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 342,000		\$ -
2. Vocational-Technical	4,639,797	552	8,405	4,704,137	539	8,728	4,827,918	545	8,859
3. Undergraduate	24,845,073	2,811	8,839	25,191,441	2,745	9,177	25,887,137	2,779	9,315
4. Graduate	4,768,380	415	11,490	4,903,383	411	11,930	5,267,633	435	12,110
5. Professional	-		-	-		-	-		-
SUBTOTAL (Credit Instruction)	\$ 34,253,250	3,778	9,067	\$ 34,798,961	3,695	9,418	\$ 36,324,688	3,759	9,663
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ -			\$ -			\$ -		
B. Individual Project Research	23,008			23,374			24,399		
SUBTOTAL	\$ 23,008			\$ 23,374			\$ 24,399		
PUBLIC SERVICE									
A. Community Service	\$ -			\$ -			\$ -		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ -			\$ -			\$ -		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 7,008			\$ 4,988			\$ 5,912		
B. Scholarships	409,313			433,069			449,479		
C. Grants/Fellowships	11,547			7,635			28,922		
SUBTOTAL	\$ 427,868			\$ 445,692			\$ 484,313		
TOTAL EDUCATIONAL SERVICES	\$ 34,704,126	3,778	\$ 9,186	\$ 35,268,027	3,695	\$ 9,545	\$ 36,833,400	3,759	\$ 9,799

Remedial Course Numbers and Titles:

ENG-W 031 Pre-Composition
MATH-M 007 Elementary Algebra
MATH-M 014 Basic Algebra

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Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 21,232,292	\$ 636,969	3.0%	\$ 21,869,261	\$ 656,078	3.0%	\$ 22,525,339
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	2,594,384	77,832	3.0%	2,672,216	80,166	3.0%	2,752,382
ii. PERF & Other	223,230	6,697	3.0%	229,927	6,898	3.0%	236,825
b. Social Security	1,453,659	43,610	3.0%	1,497,269	44,918	3.0%	1,542,187
c. Life Insurance	98,144	2,944	3.0%	101,088	3,033	3.0%	104,121
d. Health Insurance	2,417,771	72,533	3.0%	2,490,304	74,709	3.0%	2,565,013
TOTAL PERSONNEL SERVICES	\$ 28,019,480	\$ 840,585	3.0%	\$ 28,860,065	\$ 865,802	3.0%	\$ 29,725,867
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 6,708,817	\$ 201,265	3.0%	\$ 6,910,082	\$ 207,302	3.0%	\$ 7,117,384
2. Energy:							
a. Electricity	894,846	26,845	3.0%	921,691	27,651	3.0%	949,342
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	453,000	13,590	3.0%	466,590	13,998	3.0%	480,588
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ 1,347,846	\$ 40,435	3.0%	\$ 1,388,281	\$ 41,649	3.0%	\$ 1,429,930
3. Utilities:							
a. Sewer	75,400	2,262	3.0%	77,662	2,330	3.0%	79,992
b. Water	50,400	1,512	3.0%	51,912	1,557	3.0%	53,469
SUBTOTAL	\$ 125,800	\$ 3,774	3.0%	\$ 129,574	\$ 3,887	3.0%	\$ 133,461
4. Fire & Casualty Insurance	147,144	4,414	3.0%	151,558	4,547	3.0%	156,105
TOTAL SUPPLIES & EXPENSES	\$ 8,329,607	\$ 249,888	3.0%	\$ 8,579,495	\$ 257,385	3.0%	\$ 8,836,880
C. STUDENT ASSISTANCE	\$ 484,313	\$ 14,529	3.0%	\$ 498,842	\$ 14,966	3.0%	\$ 513,808
GRAND TOTAL	\$ 36,833,400	\$ 1,105,002	3.0%	\$ 37,938,402	\$ 1,138,153	3.0%	\$ 39,076,555

INDIANA UNIVERSITY NORTHWEST
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Expenditures IV: Plant Expansion Change Summary

	Requested 2007-08		Requested 2008-09	
	Increase	Total	Increase	Total
Professional Education Building	\$ 465,054	\$ 465,054	\$ -	\$ 465,054
GRAND TOTAL	\$ 465,054	\$ 465,054	\$ -	\$ 465,054

INDIANA UNIVERSITY NORTHWEST
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Expenditures IV-A: Plant Expansion Budget Analysis

		2007-08 Expenditure Amount	2008-09 Expenditure Amount
Building:	Professional Education Building		
1. a. Occupancy Date:	July, 2006		
2. Square Feet			
a. Assignable:	81,705		
b. Gross:	136,175		
			3,990
3. Operating Costs			
a. Personnel Services		\$ 239,940	\$ 239,940
b. Fuel		265,541	265,541
c. Utilities		20,835	20,835
d. Supplies and Expense		109,757	109,757
e. Other		5,719	5,719
f. Total Expenditures		\$ 641,792	\$ 641,792
4. Existing Resources Available for Reallocation: staff & energy/utility savings		\$ 176,738	\$ 176,738
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ 465,054	\$ 465,054
6. Operating Cost per Assignable Square Foot		\$ 19.25	\$ 19.25

INDIANA UNIVERSITY NORTHWEST
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Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	2	551	2	901	2	836	2	861	2	887
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria										
Subtotal	2	\$ 551	2	\$ 901		\$ 836	2	\$ 861	2	\$ 887
3. <u>Other Graduate</u>										
a. Resident	12	\$ 6,457	7	\$ 4,087	9	\$ 5,076	9	\$ 5,228	9	\$ 5,385
b. Non-Resident										
Subtotal	12	\$ 6,457	7	\$ 4,087	9	\$ 5,076	9	\$ 5,228	9	\$ 5,385
Total Remitted Fees	14	\$ 7,008	9	\$ 4,988	9	\$ 5,912	11	\$ 6,089	11	\$ 6,272
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	2	\$ 1,000		\$		\$		\$		\$
(2) Other criteria	572	383,553	484	418,383	502	434,237	502	447,264	502	460,682
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	10	24,760	6	14,686	6	15,242	6	15,699	6	16,170
Subtotal	584	\$ 409,313	490	\$ 433,069	508	\$ 449,479	508	\$ 462,963	508	\$ 476,852
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	23	\$ 11,547	22	\$ 7,635	83	\$ 28,922	83	\$ 29,790	83	\$ 30,684
b. Non-Resident										
Subtotal	23	\$ 11,547	22	\$ 7,635	83	\$ 28,922	83	\$ 29,790	83	\$ 30,684
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	621	\$ 427,868	521	\$ 445,692	600	\$ 484,313	602	\$ 498,842	602	\$ 513,808
RECAP										
Resident Student Aid	611	\$ 403,108	515	\$ 431,006	596	\$ 469,071	596	\$ 483,143	596	\$ 497,638
Non-Resident Student Aid	10	24,760	6	14,686	6	15,242	6	15,699	6	16,170
TOTAL STUDENT AID	621	\$ 427,868	521	\$ 445,692	602	\$ 484,313	602	\$ 498,842	602	\$ 513,808

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

INDIANA UNIVERSITY NORTHWEST
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Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		3,323	3,243	3,288	3,229	
2. Graduate/Professional		413	409	429	429	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	3,810	3,736	3,652	3,717	3,658	3,691
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	3,691					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	3,810					
3. Enrollment Change (= D-1 minus D-2)	(119)					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	(416,500)					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104; PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.
- (5) At the time of submission, enrollment data details for the Ivy Tech Community College at Gary and East Chicago were not known. When information is available, IU may request a hold harmless adjustment to enrollment change funding.

INDIANA UNIVERSITY
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Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded?

Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers*

Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
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Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					-----
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans?
renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 13,185,167		\$ 13,773,667		\$ 14,914,864		\$ 14,914,864		\$ 14,914,864	
b. Graduate	1,633,166		1,683,229		1,827,839		1,827,839		1,827,839	
c. Professional										
2. Nonresident										
a. Undergraduate	441,777		471,234		464,902		464,902		464,902	
b. Graduate	27,449		24,355		20,908		20,908		20,908	
c. Professional										
SUBTOTAL	\$ 15,287,559		\$ 15,952,485		\$ 17,228,513		\$ 17,228,513		\$ 17,228,513	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	305,895		316,626		297,393		297,393		297,393	
3. Incidental Student Fees	1,895,863		1,789,382		1,713,805		1,713,805		1,713,805	
4. Other Credit Fee Income	36,269		36,115		29,800		29,800		29,800	
SUBTOTAL	\$ 2,238,027		\$ 2,142,123		\$ 2,040,998		\$ 2,040,998		\$ 2,040,998	
C. Fee Rate Adjustment							\$ 578,085		\$ 1,173,512	
D. Gross Unrestricted Fees	\$ 17,525,586		\$ 18,094,608		\$ 19,269,511		\$ 19,847,596		\$ 20,443,023	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 3,439,486		\$ 3,979,214		\$ 4,281,276		\$ 4,316,246		\$ 4,239,561	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 3,439,486		\$ 3,979,214		\$ 4,281,276		\$ 4,316,246		\$ 4,239,561	
F. Net Unrestricted Fees	\$ 14,086,100		\$ 14,115,394		\$ 14,988,235		\$ 15,531,350		\$ 16,203,462	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 138,608		\$ 145,579		\$ 137,907		\$ 137,907		\$ 137,907
b. Recreation		115,545		111,325		105,459		105,459		105,459
c. Student Government		50,069		59,944		60,842		60,842		60,842
d. Other		113,589		111,325		101,402		101,402		101,402
2. Performance Facilities										
SUBTOTAL		\$ 417,811		\$ 428,173		\$ 405,610		\$ 405,610		\$ 405,610
Gross Fee Base	\$ 17,525,586	\$ 417,811	\$ 18,094,608	\$ 428,173	\$ 19,269,511	\$ 405,610	\$ 19,847,596	\$ 405,610	\$ 20,443,023	\$ 405,610

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2004-05 Actual	2005-06 Actual	2006-07 Estimated	2007-08 Requested
Acts of 1965				
Permanently Scheduled*	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,352,015
Interim Financing	-	-	-	-
New Debt Service**				
South Bend Land Acquisition				177,925
Subtotal, Acts of 1965	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,529,940
Acts of 1927 or 1929				
Permanently Scheduled***	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Total Debt Service	\$ 17,147,568	\$ 18,447,909	\$ 19,290,638	\$ 19,982,278
Reconciliation with Appropriated Amounts				
Reverted to State	670,233	-	557,653	
Appropriated Fee Replacement	\$ 17,817,801	\$ 18,447,909	\$ 19,848,291	

* Excludes federal interest subsidy grant of \$162,188 (2004-05 and 2005-06) and capitalized interest of \$1,029,175 (2004-05).

** Assumes 20 years at 6.25%.

*** Debt service on the South Bend campus and Southeast campus Student Union Building Bonds of 1979.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	<u>Allocation Basis: Projected Credit Hours</u>	<u>2007-08 Allocation</u>	<u>2008-09 Allocation</u>
East	51,500	\$ 2,038,168	\$ 2,001,957
Kokomo	60,498	2,394,274	2,351,735
Northwest	109,062	4,316,246	4,239,561
South Bend	150,787	5,967,558	5,861,535
Southeast	133,061	5,266,032	5,172,473
Total	504,908	\$ 19,982,278	\$ 19,627,261

INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 18,439,208	\$ (754,009)	\$ 17,685,199	\$ 561,433	\$ -	\$ 18,246,632
State Appropriation - Operating	17,514,736	-	17,514,736			17,514,736
State Appropriation - Debt Service		3,979,214	3,979,214			3,979,214
State Appropriation - Special		-	-			-
Indirect Cost Recovery		27,876	27,876			27,876
Sales and Service		208,165	208,165	373,286	2,945,711	3,527,162
Investment, Endowment, and Other Income		407,820	407,820	206,534	52,890	667,244
Sponsored Research		-	-	1,400,000		1,400,000
Other Revenue		30,800	30,800	132,700		163,500
Total Revenue	\$ 35,953,944	\$ 3,899,866	\$ 39,853,810	\$ 2,673,953	\$ 2,998,601	\$ 45,526,364
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 19,794,944			
Retirement - PERF Program			246,470			
Retirement - TIAA Program			2,484,730			
Social Security			1,421,394			
Life Insurance			75,613			
Health/Medical Insurance			2,149,924			
Other Personal Services			39,943			
Total Personal Services	\$ 27,371,328	\$ (1,158,310)	\$ 26,213,018	\$ 1,797,557	\$ 340,739	\$ 28,351,314
SUPPLIES & EXPENSES						
General S&E			\$ 10,735,803			
Energy			1,558,500			
Utilities			125,800			
Property & Liability Insurance			102,798			
Other Supplies and Expenses			672,200			
Total Supplies and Expenses	\$ 8,136,925	\$ 5,058,176	\$ 13,195,101	\$ 814,796	\$ 2,657,862	\$ 16,667,759
STUDENT ASSISTANCE	\$ 445,691	\$ -	\$ 445,691	\$ 61,600	\$ -	\$ 507,291
OTHER						\$ -
Total Expenditures	\$ 35,953,944	\$ 3,899,866	\$ 39,853,810	\$ 2,673,953	\$ 2,998,601	\$ 45,526,364

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 19,269,511	\$ (735,000)	\$ 18,534,511	\$ 501,575	\$ -	\$ 19,036,086
State Appropriation - Operating	17,563,889	-	17,563,889			17,563,889
State Appropriation - Debt Service		4,281,276	4,281,276			4,281,276
State Appropriation - Special		-	-			-
Indirect Cost Recovery		25,000	25,000			25,000
Sales and Service		199,335	199,335	605,791	2,972,146	3,777,272
Investment, Endowment, and Other Income		384,340	384,340	207,932	70,415	662,687
Sponsored Research		-	-	1,250,000		1,250,000
Other Revenue		29,700	29,700	125,830		155,530
Total Revenue	\$ 36,833,400	\$ 4,184,651	\$ 41,018,051	\$ 2,691,128	\$ 3,042,561	\$ 46,751,740
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 20,388,555			
Retirement - PERF Program			223,230			
Retirement - TIAA Program			2,594,384			
Social Security			1,453,659			
Life Insurance			98,144			
Health/Medical Insurance			2,417,771			
Other Personal Services			67,028			
Total Personal Services	\$ 28,019,480	\$ (776,709)	\$ 27,242,771	\$ 1,792,113	\$ 477,830	\$ 29,512,714
SUPPLIES & EXPENSES						
General S&E			\$ 10,987,894			
Energy			1,347,846			
Utilities			125,800			
Property & Liability Insurance			147,144			
Other Supplies and Expenses			682,283			
Total Supplies and Expenses	\$ 8,329,607	\$ 4,961,360	\$ 13,290,967	\$ 844,015	\$ 2,564,731	\$ 16,699,713
STUDENT ASSISTANCE	\$ 484,313	\$ -	\$ 484,313	\$ 55,000	\$ -	\$ 539,313
OTHER						\$ -
Total Expenditures	\$ 36,833,400	\$ 4,184,651	\$ 41,018,051	\$ 2,691,128	\$ 3,042,561	\$ 46,751,740

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
New Debt					
South Bend Land Acquisition			\$ 2,000,000	\$ 1,947,075	\$ 1,890,842
Subtotal, Acts of 1965	\$ 141,890,536	\$ 133,177,356	\$ 124,788,923	\$ 113,969,986	\$ 103,030,601
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
New Debt					
	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
TOTAL	\$ 143,430,536	\$ 134,367,356	\$ 125,603,923	\$ 114,384,986	\$ 103,030,601

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ -	\$ -	\$ -	\$ -	\$ -
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 2,701,000	\$ 2,490,000	\$ 2,267,000	\$ 2,030,000	\$ 1,780,000
Existing Debt: Interim & Variable Financing	1,276,855	1,166,816	1,086,787	1,017,263	944,337
Subtotal	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
Qualified Energy Savings					
Existing Debt: Permanently Scheduled	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
New Debt					
Kokomo Energy Savings Project			\$ 1,534,606	\$ 1,402,437	\$ 1,262,007
Southeast Energy Savings Project			2,000,000	1,850,036	1,690,699
Subtotal, Qualified Energy Savings	\$ 1,750,000	\$ 1,602,372	\$ 4,983,703	\$ 4,542,621	\$ 4,078,022
TOTAL	\$ 5,727,855	\$ 5,259,188	\$ 8,337,490	\$ 7,589,884	\$ 6,802,359
GRAND TOTAL, ALL DEBT	\$ 149,158,391	\$ 139,626,544	\$ 133,941,413	\$ 121,974,870	\$ 109,832,960

INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Graduate Fee Remissions **		\$		\$		\$		\$		\$
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	132	\$ 64,027	124	\$ 52,597	83	\$ 35,398	83	\$ 36,460	83	\$ 37,554
2. Spouses	12	2,431	9	1,394	26	3,952	26	4,071	26	4,193
3. Children of Faculty or Staff	54	34,761	31	18,262	104	61,266	104	63,104	104	64,997
**Total Fee Courtesy Remissions **	198	\$ 101,219	164	\$ 72,253	213	\$ 100,616	213	\$ 103,635	213	\$ 106,744
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	198	\$ 101,219	164	\$ 72,253	213	\$ 100,616	213	\$ 103,635	213	\$ 106,744

INDIANA UNIVERSITY NORTHWEST
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	6,228	6,002	5,723	5,803	5,699	5,699
2. Non-Resident	76	80	77	67	67	67
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	1,155	1,077	981	1,029	1,029	1,029
2. Non-Resident	13	6	7	21	21	21
3. Reciprocity Non-Resident						
TOTAL	7,472	7,165	6,788	6,920	6,816	6,816
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	3,303	3,323	3,243	3,288	3,229	3,229
2. Non-Resident	34	40	42	36	36	36
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	423	413	409	429	429	429
2. Non-Resident	6	2	2	6	6	6
3. Reciprocity Non-Resident						
TOTAL	3,766	3,778	3,695	3,759	3,700	3,700
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (most of the dual credit enrollment is reflected in census)

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INDIANA UNIVERSITY NORTHWEST
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ -	\$ 1,169,980	\$ 1,169,980
B. Supplies and Expenses			
1. General S&E	\$ -	\$ 782,094	\$ 782,094
2. Energy	-	1,293,087	1,293,087
3. Utilities	-	120,683	120,683
4. Fire & Casualty Insurance	-	100,684	100,684
* Subtotal	\$ -	\$ 2,296,548	\$ 2,296,548
C. Total Operating Expenditures (A+B)	\$ -	\$ 3,466,528	\$ 3,466,528
D. Total Gross Square Feet		653,150	653,150
E. Total Assignable Square Feet		444,142	444,142
F. Plant O&M Expenditures per GSF (C / D)	\$ -	\$ 5.31	\$ 5.31
G. Plant O&M Expenditures per ASF (C / E)	\$ -	\$ 7.80	\$ 7.81
Total campus academic/administrative ASF			444,142
Total ASF classified as research/nonclass lab			14,745

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

Indiana University
South Bend

INDIANA UNIVERSITY SOUTH BEND
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
South Bend	141	493,500

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
South Bend	\$ 671,871	\$ 692,029

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUSB:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Total Debt Service	\$ 54,752	\$ -106,023

INDIANA UNIVERSITY SOUTH BEND
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ 493,500		\$ 493,500	\$ -		\$ 493,500	\$ 987,000
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ 493,500		\$ 493,500	\$ -		\$ 493,500	\$ 987,000
II. Price Inflation								
A. Personnel Services	\$ 39,701,399	\$ 1,191,042	3.0%	\$ 40,892,441	\$ 1,226,774	3.0%	\$ 42,119,215	\$ 83,011,656
B. Supplies and Expense	10,644,197	319,326	3.0%	10,963,523	328,906	3.0%	11,292,429	22,255,952
C. Student Assistance	789,135	23,674	3.0%	812,809	24,384	3.0%	837,193	1,650,002
Subtotal	\$ 51,134,731	\$ 1,534,042	3.0%	\$ 52,668,773	\$ 1,580,064	3.0%	\$ 54,248,837	\$ 106,917,610
III. Quality Improvements								
A. Informatics		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	\$ 51,134,731	\$ 2,027,542	4.0%	\$ 53,162,273	\$ 1,580,064	3.0%	\$ 54,742,337	\$ 107,904,610
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 29,327,498	\$ 879,825	3.0%	\$ 30,207,323	\$ 906,219	3.0%	\$ 31,113,542	\$ 61,320,865
2. (less) Academic Facilities Fees	(5,912,806)	(54,752)	0.9%	(5,967,558)	106,023	-1.8%	(5,861,535)	(11,829,093)
3. (less) Other Dedicated/Restricted Fees	(588,480)	(17,654)	3.0%	(606,134)	(18,184)	3.0%	(624,318)	(1,230,452)
Subtotal (= Net Unrestricted Fees)	\$ 22,826,212	\$ 807,419	3.5%	\$ 23,633,631	\$ 994,058	4.2%	\$ 24,627,689	\$ 48,261,320
B. Reallocation (Inter-Campus)								
Subtotal	\$ 22,826,212	\$ 807,419	3.5%	\$ 23,633,631	\$ 994,058	4.2%	\$ 24,627,689	\$ 48,261,320
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 22,395,713	\$ 1,165,371	5.2%	\$ 23,561,084	\$ 692,029	2.9%	\$ 24,253,113	\$ 47,814,197
B. Fee Replacement	5,912,806	54,752	0.9%	5,967,558	(106,023)	-1.8%	5,861,535	11,829,093
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 28,308,519	\$ 1,220,123	4.3%	\$ 29,528,642	\$ 586,006	2.0%	\$ 30,114,648	\$ 59,643,290
Total Income Budget	\$ 51,134,731	\$ 2,027,542	4.0%	\$ 53,162,273	\$ 1,580,064	3.0%	\$ 54,742,337	\$ 107,904,610

INDIANA UNIVERSITY SOUTH BEND
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Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 270,000		\$ -
2. Vocational-Technical	3,362,126	394	8,533	3,460,910	390	8,874	3,488,027	405	8,612
3. Undergraduate	35,881,045	4,188	8,568	36,957,712	4,148	8,910	37,195,313	4,302	8,646
4. Graduate	8,720,945	783	11,138	8,710,188	752	11,583	9,139,014	813	11,241
5. Professional	-	-	-	-	-	-	-	-	-
SUBTOTAL (Credit Instruction)	\$ 47,964,116	5,365	8,940	\$ 49,128,810	5,290	9,287	\$ 50,092,354	5,520	9,075
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ -			\$ -			\$ -		
B. Individual Project Research	170,585			174,727			178,154		
SUBTOTAL	\$ 170,585			\$ 174,727			\$ 178,154		
PUBLIC SERVICE									
A. Community Service	\$ 71,898			\$ 73,644			\$ 75,088		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ 71,898			\$ 73,644			\$ 75,088		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 369,567			\$ 486,624			\$ 643,224		
B. Scholarships	55,574			80,262			64,665		
C. Grants/Fellowships	59,993			47,250			81,246		
SUBTOTAL	\$ 485,134			\$ 614,136			\$ 789,135		
TOTAL EDUCATIONAL SERVICES	\$ 48,691,733	5,365	\$ 9,076	\$ 49,991,317	5,290	\$ 9,450	\$ 51,134,731	5,520	\$ 9,264

Remedial Course Numbers and Titles:

ENG-W 031 Pre-Composition
MATH-M 004 Introduction to Algebra
MATH-M 014 Basic Algebra

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Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 30,166,462	\$ 904,994	3.0%	\$ 31,071,456	\$ 932,144	3.0%	\$ 32,003,600
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	3,761,629	112,849	3.0%	3,874,478	116,234	3.0%	3,990,712
ii. PERF & Other	270,049	8,101	3.0%	278,150	8,345	3.0%	286,495
b. Social Security	2,042,776	61,283	3.0%	2,104,059	63,122	3.0%	2,167,181
c. Life Insurance	135,119	4,054	3.0%	139,173	4,175	3.0%	143,348
d. Health Insurance	3,325,364	99,761	3.0%	3,425,125	102,754	3.0%	3,527,879
TOTAL PERSONNEL SERVICES	\$ 39,701,399	\$ 1,191,042	3.0%	\$ 40,892,441	\$ 1,226,774	3.0%	\$ 42,119,215
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 8,380,053	\$ 251,402	3.0%	\$ 8,631,455	\$ 258,944	3.0%	\$ 8,890,399
2. Energy:							
a. Electricity	1,050,000	31,500	3.0%	1,081,500	32,445	3.0%	1,113,945
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	960,000	28,800	3.0%	988,800	29,664	3.0%	1,018,464
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ 2,010,000	\$ 60,300	3.0%	\$ 2,070,300	\$ 62,109	3.0%	\$ 2,132,409
3. Utilities:							
a. Sewer	56,000	1,680	3.0%	57,680	1,730	3.0%	59,410
b. Water	51,000	1,530	3.0%	52,530	1,576	3.0%	54,106
SUBTOTAL	\$ 107,000	\$ 3,210	3.0%	\$ 110,210	\$ 3,306	3.0%	\$ 113,516
4. Fire & Casualty Insurance	147,144	4,414	3.0%	151,558	4,547	3.0%	156,105
TOTAL SUPPLIES & EXPENSES	\$ 10,644,197	\$ 319,326	3.0%	\$ 10,963,523	\$ 328,906	3.0%	\$ 11,292,429
C. STUDENT ASSISTANCE	\$ 789,135	\$ 23,674	3.0%	\$ 812,809	\$ 24,384	3.0%	\$ 837,193
GRAND TOTAL	\$ 51,134,731	\$ 1,534,042	3.0%	\$ 52,668,773	\$ 1,580,064	3.0%	\$ 54,248,837

INDIANA UNIVERSITY SOUTH BEND
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Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	52	29,299								
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	161	245,886	51	87,994	57	99,001	57	101,971	57	105,030
Subtotal	213	275,185	51	87,994	57	99,001	57	101,971	57	105,030
3. <u>Other Graduate</u>										
a. Resident	3	1,373	65	30,936	89	42,235	89	43,502	89	44,807
b. Non-Resident	78	93,009	172	367,694	235	501,988	235	517,048	235	532,559
Subtotal	81	94,382	237	398,630	324	544,223	324	560,550	324	577,366
Total Remitted Fees	294	369,567	288	486,624	381	643,224	381	662,521	381	682,396
B. Undergraduate Scholarships, Awards, and Other Grants										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	15	16,458	3	6,600	2	5,318	2	5,478	2	5,642
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	20	39,116	30	73,662	24	59,347	24	61,127	24	62,961
Subtotal	35	55,574	33	80,262	26	64,665	24	61,127	26	68,603
C. Graduate Fellowships, Awards and Other Grants										
a. Resident	5	1,620	50	25,839	86	44,430	86	45,763	86	47,136
b. Non-Resident	44	58,373	7	21,411	12	36,816	12	37,920	12	39,058
Subtotal	49	59,993	57	47,250	98	81,246	98	83,683	98	86,194
D. Loan Programs (Matching Funds)										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	378	485,134	378	614,136	505	789,135	503	807,331	505	837,193
RECAP										
Resident Student Aid	75	48,750	118	63,375	177	91,983	177	94,743	177	97,585
Non-Resident Student Aid	303	436,384	260	550,761	328	697,152	328	718,066	328	739,608
TOTAL STUDENT AID	378	\$ 485,134	378	\$ 614,136	505	\$ 789,135	505	\$ 812,809	505	\$ 837,193

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

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Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		4,385	4,324	4,492	4,518	
2. Graduate/Professional		678	640	709	709	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate						
2. Graduate/Professional						
C. Total Eligible FTE Enrollment (A+B)	4,973	5,063	4,964	5,201	5,227	5,114
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	5,114					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	4,973					
3. Enrollment Change (= D-1 minus D-2)	141					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	493,500					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104; PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.

INDIANA UNIVERSITY
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Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded? Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers* Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
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Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					-----
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans?
renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY SOUTH BEND
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Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 17,104,452		\$ 17,801,244		\$ 19,284,116		\$ 19,284,116		\$ 19,284,116	
b. Graduate	2,590,339		2,655,300		2,829,838		2,829,838		2,829,838	
c. Professional										
2. Nonresident										
a. Undergraduate	2,219,538		2,447,122		2,496,323		2,496,323		2,496,323	
b. Graduate	1,085,585		1,225,650		1,268,766		1,268,766		1,268,766	
c. Professional										
SUBTOTAL	\$ 22,999,914		\$ 24,129,316		\$ 25,879,043		\$ 25,879,043		\$ 25,879,043	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	446,312		479,139		434,625		434,625		434,625	
3. Incidental Student Fees	2,647,674		2,254,735		2,301,600		2,301,600		2,301,600	
4. Other Credit Fee Income	108,488		113,708		123,750		123,750		123,750	
SUBTOTAL	\$ 3,202,474		\$ 2,847,582		\$ 2,859,975		\$ 2,859,975		\$ 2,859,975	
C. Fee Rate Adjustment							\$ 862,171		\$ 1,750,206	
D. Gross Unrestricted Fees	\$ 26,202,388		\$ 26,976,898		\$ 28,739,018		\$ 29,601,189		\$ 30,489,224	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 5,247,419		\$ 5,495,632		\$ 5,912,806		\$ 5,967,558		\$ 5,861,535	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 5,247,419		\$ 5,495,632		\$ 5,912,806		\$ 5,967,558		\$ 5,861,535	
F. Net Unrestricted Fees	\$ 20,954,969		\$ 21,481,266		\$ 22,826,212		\$ 23,633,631		\$ 24,627,689	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 133,600		\$ 185,564		\$ 182,200		\$ 182,200		\$ 182,200
b. Recreation		12,000		19,500		17,800		17,800		17,800
c. Student Government		66,000		70,163		57,500		57,500		57,500
d. Other		362,561		352,970		330,980		330,980		330,980
2. Performance Facilities										
SUBTOTAL		\$ 574,161		\$ 628,197		\$ 588,480		\$ 588,480		\$ 588,480
Gross Fee Base	\$ 26,202,388	\$ 574,161	\$ 26,976,898	\$ 628,197	\$ 28,739,018	\$ 588,480	\$ 29,601,189	\$ 588,480	\$ 30,489,224	\$ 588,480

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	<u>2004-05 Actual</u>	<u>2005-06 Actual</u>	<u>2006-07 Estimated</u>	<u>2007-08 Requested</u>
Acts of 1965				
Permanently Scheduled*	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,352,015
Interim Financing	-	-	-	-
New Debt Service**				
South Bend Land Acquisition				177,925
Subtotal, Acts of 1965	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,529,940
Acts of 1927 or 1929				
Permanently Scheduled***	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Total Debt Service	\$ 17,147,568	\$ 18,447,909	\$ 19,290,638	\$ 19,982,278
Reconciliation with Appropriated Amounts				
Reverted to State	670,233	-	557,653	
Appropriated Fee Replacement	\$ 17,817,801	\$ 18,447,909	\$ 19,848,291	

* Excludes federal interest subsidy grant of \$162,188 (2004-05 and 2005-06) and capitalized interest of \$1,029,175 (2004-05).

** Assumes 20 years at 6.25%.

*** Debt service on the South Bend campus and Southeast campus Student Union Building Bonds of 1979.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	<u>Allocation Basis: Projected Credit Hours</u>	<u>2007-08 Allocation</u>	<u>2008-09 Allocation</u>
East	51,500	\$ 2,038,168	\$ 2,001,957
Kokomo	60,498	2,394,274	2,351,735
Northwest	109,062	4,316,246	4,239,561
South Bend	150,787	5,967,558	5,861,535
Southeast	133,061	5,266,032	5,172,473
Total	504,908	\$ 19,982,278	\$ 19,627,261

INDIANA UNIVERSITY SOUTH BEND
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 27,709,581	\$ (1,027,147)	\$ 26,682,434	\$ 1,449,456	\$ 90,000	\$ 28,221,890
State Appropriation - Operating	22,660,743	-	22,660,743			22,660,743
State Appropriation - Debt Service		5,495,632	5,495,632			5,495,632
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		131,600	131,600	96,550	6,058,205	6,286,355
Investment, Endowment, and Other Income		414,400	414,400	12,053	81,983	508,436
Sponsored Research		-	-	650,000		650,000
Other Revenue		44,500	44,500			44,500
Total Revenue	\$ 50,370,324	\$ 5,058,985	\$ 55,429,309	\$ 2,208,059	\$ 6,230,188	\$ 63,867,556
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 28,720,645			
Retirement - PERF Program			300,833			
Retirement - TIAA Program			3,737,847			
Social Security			2,066,006			
Life Insurance			107,506			
Health/Medical Insurance			3,057,917			
Other Personal Services			56,518			
Total Personal Services	\$ 39,236,606	\$ (1,189,334)	\$ 38,047,272	\$ 1,470,881	\$ 1,144,926	\$ 40,663,079
SUPPLIES & EXPENSES						
General S&E			\$ 13,927,813			
Energy			1,633,242			
Utilities			106,033			
Property & Liability Insurance			143,805			
Other Supplies and Expenses			957,009			
Total Supplies and Expenses	\$ 10,519,583	\$ 6,248,319	\$ 16,767,902	\$ 718,578	\$ 5,083,582	\$ 22,570,062
STUDENT ASSISTANCE	\$ 614,135	\$ -	\$ 614,135	\$ 18,600	\$ 1,680	\$ 634,415
OTHER						\$ -
Total Expenditures	\$ 50,370,324	\$ 5,058,985	\$ 55,429,309	\$ 2,208,059	\$ 6,230,188	\$ 63,867,556

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY SOUTH BEND
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 28,739,018	\$ (1,035,000)	\$ 27,704,018	\$ 1,396,788	\$ 81,500	\$ 29,182,306
State Appropriation - Operating	22,395,713	-	22,395,713			22,395,713
State Appropriation - Debt Service		5,912,806	5,912,806			5,912,806
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		78,265	78,265	137,237	6,058,172	6,273,674
Investment, Endowment, and Other Income		455,853	455,853	14,500	109,296	579,649
Sponsored Research		-	-	750,000		750,000
Other Revenue		44,000	44,000			44,000
Total Revenue	\$ 51,134,731	\$ 5,455,924	\$ 56,590,655	\$ 2,298,525	\$ 6,248,968	\$ 65,138,148
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 28,592,806			
Retirement - PERF Program			270,049			
Retirement - TIAA Program			3,761,629			
Social Security			2,042,776			
Life Insurance			135,119			
Health/Medical Insurance			3,325,364			
Other Personal Services			85,569			
Total Personal Services	\$ 39,701,399	\$ (1,488,087)	\$ 38,213,312	\$ 1,569,514	\$ 1,201,338	\$ 40,984,164
SUPPLIES & EXPENSES						
General S&E			\$ 14,352,700			
Energy			2,010,000			
Utilities			107,000			
Property & Liability Insurance			147,144			
Other Supplies and Expenses			971,364			
Total Supplies and Expenses	\$ 10,644,197	\$ 6,944,011	\$ 17,588,208	\$ 708,011	\$ 5,045,630	\$ 23,341,849
STUDENT ASSISTANCE	\$ 789,135	\$ -	\$ 789,135	\$ 21,000	\$ 2,000	\$ 812,135
OTHER						\$ -
Total Expenditures	\$ 51,134,731	\$ 5,455,924	\$ 56,590,655	\$ 2,298,525	\$ 6,248,968	\$ 65,138,148

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
New Debt					
South Bend Land Acquisition			\$ 2,000,000	\$ 1,947,075	\$ 1,890,842
Subtotal, Acts of 1965	\$ 141,890,536	\$ 133,177,356	\$ 124,788,923	\$ 113,969,986	\$ 103,030,601
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
New Debt					
	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
TOTAL	\$ 143,430,536	\$ 134,367,356	\$ 125,603,923	\$ 114,384,986	\$ 103,030,601

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ -	\$ -	\$ -	\$ -	\$ -
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 2,701,000	\$ 2,490,000	\$ 2,267,000	\$ 2,030,000	\$ 1,780,000
Existing Debt: Interim & Variable Financing	1,276,855	1,166,816	1,086,787	1,017,263	944,337
Subtotal	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
Qualified Energy Savings					
Existing Debt: Permanently Scheduled	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
New Debt					
Kokomo Energy Savings Project			\$ 1,534,606	\$ 1,402,437	\$ 1,262,007
Southeast Energy Savings Project			2,000,000	1,850,036	1,690,699
Subtotal, Qualified Energy Savings	\$ 1,750,000	\$ 1,602,372	\$ 4,983,703	\$ 4,542,621	\$ 4,078,022
TOTAL	\$ 5,727,855	\$ 5,259,188	\$ 8,337,490	\$ 7,589,884	\$ 6,802,359
GRAND TOTAL, ALL DEBT	\$ 149,158,391	\$ 139,626,544	\$ 133,941,413	\$ 121,974,870	\$ 109,832,960

INDIANA UNIVERSITY SOUTH BEND
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Graduate Fee Remissions **		\$		\$		\$		\$		\$
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	89	\$ 42,527	64	\$ 32,906	95	\$ 48,729	95	\$ 50,191	95	\$ 51,697
2. Spouses	25	1,690	17	3,437	27	5,489	27	5,654	27	5,824
3. Children of Faculty or Staff	74	41,012	74	49,221	128	85,087	128	87,640	128	90,269
**Total Fee Courtesy Remissions **	188	\$ 85,229	155	\$ 85,564	250	\$ 139,305	250	\$ 143,485	250	\$ 147,790
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	188	\$ 85,229	155	\$ 85,564	250	\$ 139,305	250	\$ 143,485	250	\$ 147,790

INDIANA UNIVERSITY SOUTH BEND
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	8,037	8,031	7,837	8,141	8,188	8,188
2. Non-Resident	378	397	418	420	422	422
3. Reciprocity Non-Resident Unknown/Correspondence		4				
B. Graduate/Professional						
1. Indiana Resident	1,975	1,907	1,674	1,854	1,854	1,854
2. Non-Resident	180	182	173	161	161	161
3. Reciprocity Non-Resident						
TOTAL	10,570	10,521	10,102	10,576	10,625	10,625
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	4,282	4,385	4,324	4,492	4,518	4,518
2. Non-Resident	203	197	214	215	216	216
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	688	678	640	709	709	709
2. Non-Resident	98	105	112	104	104	104
3. Reciprocity Non-Resident						
TOTAL	5,271	5,365	5,290	5,520	5,547	5,547
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (most of the dual credit enrollment is reflected in census)

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INDIANA UNIVERSITY SOUTH BEND
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ -	\$ 2,152,392	\$ 2,152,392
B. Supplies and Expenses			
1. General S&E	\$ -	\$ 396,811	\$ 396,811
2. Energy	-	1,782,171	1,782,171
3. Utilities	-	94,872	94,872
4. Fire & Casualty Insurance	-	128,407	128,407
* Subtotal	\$ -	\$ 2,402,261	\$ 2,402,261
C. Total Operating Expenditures (A+B)	\$ -	\$ 4,554,653	\$ 4,554,653
D. Total Gross Square Feet		943,310	943,310
E. Total Assignable Square Feet		565,986	565,986
F. Plant O&M Expenditures per GSF (C / D)	\$ -	\$ 4.83	\$ 4.83
G. Plant O&M Expenditures per ASF (C / E)	\$ -	\$ 8.05	\$ 8.05
Total campus academic/administrative ASF			565,986
Total ASF classified as research/nonclass lab			9,483

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

Indiana University
Southeast

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The loss of lower division enrollment at Southeast occurred as enrollment in the general education programs at Ivy Tech Community College of Indiana, thus triggering the Stability Initiative provisions of the Regional Campus Agreement of 2001. Therefore, the loss of 32 FTE should not result in any reduction in enrollment change funding.

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Southeast	\$ 577,558	\$ 594,884

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Fee Replacement Appropriation

Changes in the total amount of fee replacement requested result from 1) scheduled debt service payments on bonds already issued and 2) anticipated debt service for projects authorized by the 2001 and 2003 General Assemblies.

The following tables display changes in fee replacement for IUS:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Total Debt Service	\$ 63,795	\$ -93,559

INDIANA UNIVERSITY SOUTHEAST
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
II. Price Inflation								
A. Personnel Services	\$ 32,435,006	\$ 973,050	3.0%	\$ 33,408,056	\$ 1,002,241	3.0%	\$ 34,410,297	\$ 67,818,353
B. Supplies and Expense	8,723,297	261,699	3.0%	8,984,996	269,549	3.0%	9,254,545	18,239,541
C. Student Assistance	599,790	17,993	3.0%	617,783	18,534	3.0%	636,317	1,254,100
Subtotal	\$ 41,758,093	\$ 1,252,742	3.0%	\$ 43,010,835	\$ 1,290,324	3.0%	\$ 44,301,159	\$ 87,311,994
III. Quality Improvements								
A. Informatics		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	\$ 41,758,093	\$ 1,252,742	3.0%	\$ 43,010,835	\$ 1,290,324	3.0%	\$ 44,301,159	\$ 87,311,994
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 23,091,124	\$ 692,734	3.0%	\$ 23,783,858	\$ 713,516	3.0%	\$ 24,497,374	\$ 48,281,232
2. (less) Academic Facilities Fees	(5,202,237)	(63,795)	1.2%	(5,266,032)	93,559	-1.8%	(5,172,473)	(10,438,505)
3. (less) Other Dedicated/Restricted Fees	(584,992)	(17,550)	3.0%	(602,542)	(18,076)	3.0%	(620,618)	(1,223,160)
Subtotal (= Net Unrestricted Fees)	\$ 17,303,895	\$ 611,389	3.5%	\$ 17,915,284	\$ 788,999	4.4%	\$ 18,704,283	\$ 36,619,567
B. Reallocation (Inter-Campus)								
Subtotal	\$ 17,303,895	\$ 611,389	3.5%	\$ 17,915,284	\$ 788,999	4.4%	\$ 18,704,283	\$ 36,619,567
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 19,251,961	\$ 577,558	3.0%	\$ 19,829,519	\$ 594,884	3.0%	\$ 20,424,403	\$ 40,253,922
B. Fee Replacement	5,202,237	63,795	1.2%	5,266,032	(93,559)	-1.8%	5,172,473	10,438,505
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 24,454,198	\$ 641,353	2.6%	\$ 25,095,551	\$ 501,325	2.0%	\$ 25,596,876	\$ 50,692,427
Total Income Budget	\$ 41,758,093	\$ 1,252,742	3.0%	\$ 43,010,835	\$ 1,290,324	3.0%	\$ 44,301,159	\$ 87,311,994

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 234,000		\$ -
2. Vocational-Technical	558,793	70	7,983	601,373	68	8,844	587,066	68	8,633
3. Undergraduate	32,492,071	4,054	8,015	35,152,880	3,959	8,879	33,918,965	3,913	8,668
4. Graduate	5,355,501	514	10,419	5,944,646	515	11,543	6,276,499	557	11,268
5. Professional	-	-	-	-	-	-	-	-	-
SUBTOTAL (Credit Instruction)	\$ 38,406,365	4,638	8,281	\$ 41,698,899	4,542	9,181	\$ 41,016,530	4,538	9,038
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ -			\$ -			\$ -		
B. Individual Project Research	132,751			144,132			141,773		
SUBTOTAL	\$ 132,751			\$ 144,132			\$ 141,773		
PUBLIC SERVICE									
A. Community Service	\$ -			\$ -			\$ -		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ -			\$ -			\$ -		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 256			\$ 5,665			\$ 7,607		
B. Scholarships	514,019			581,080			592,183		
C. Grants/Fellowships	23,328			-			-		
SUBTOTAL	\$ 537,603			\$ 586,745			\$ 599,790		
TOTAL EDUCATIONAL SERVICES	\$ 39,076,719	4,638	\$ 8,425	\$ 42,429,776	4,542	\$ 9,342	\$ 41,758,093	4,538	\$ 9,202

Remedial Course Numbers and Titles:

MATH-M 074 Elementary Algebra

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 24,703,838	\$ 741,115	3.0%	\$ 25,444,953	\$ 763,349	3.0%	\$ 26,208,302
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	2,898,720	86,962	3.0%	2,985,682	89,570	3.0%	3,075,252
ii. PERF & Other	268,176	8,045	3.0%	276,221	8,287	3.0%	284,508
b. Social Security	1,699,397	50,982	3.0%	1,750,379	52,511	3.0%	1,802,890
c. Life Insurance	112,112	3,363	3.0%	115,475	3,464	3.0%	118,939
d. Health Insurance	2,752,763	82,583	3.0%	2,835,346	85,060	3.0%	2,920,406
TOTAL PERSONNEL SERVICES	\$ 32,435,006	\$ 973,050	3.0%	\$ 33,408,056	\$ 1,002,241	3.0%	\$ 34,410,297
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 7,448,430	\$ 223,453	3.0%	\$ 7,671,883	\$ 230,156	3.0%	\$ 7,902,039
2. Energy:							
a. Electricity	828,000	24,840	3.0%	852,840	25,585	3.0%	878,425
b. Coal	-	-		-	-		-
c. Oil	10,000	300	3.0%	10,300	309	3.0%	10,609
d. Natural Gas	246,000	7,380	3.0%	253,380	7,601	3.0%	260,981
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ 1,084,000	\$ 32,520	3.0%	\$ 1,116,520	\$ 33,495	3.0%	\$ 1,150,015
3. Utilities:							
a. Sewer	-	-		-	-		-
b. Water	105,000	3,150	3.0%	108,150	3,245	3.0%	111,395
SUBTOTAL	\$ 105,000	\$ 3,150	3.0%	\$ 108,150	\$ 3,245	3.0%	\$ 111,395
4. Fire & Casualty Insurance	85,867	2,576	3.0%	88,443	2,653	3.0%	91,096
TOTAL SUPPLIES & EXPENSES	\$ 8,723,297	\$ 261,699	3.0%	\$ 8,984,996	\$ 269,549	3.0%	\$ 9,254,545
C. STUDENT ASSISTANCE	\$ 599,790	\$ 17,993	3.0%	\$ 617,783	\$ 18,534	3.0%	\$ 636,317
GRAND TOTAL	\$ 41,758,093	\$ 1,252,742	3.0%	\$ 43,010,835	\$ 1,290,324	3.0%	\$ 44,301,159

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions	2	256	2	275						
Subtotal	2	\$ 256	2	\$ 275		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria										
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria										
Subtotal		\$		\$		\$		\$		\$
3. <u>Other Graduate</u>										
a. Resident		\$	3	\$ 5,390	4	\$ 7,607	4	\$ 7,835	4	\$ 8,070
b. Non-Resident										
Subtotal		\$	3	\$ 5,390	4	\$ 7,607	4	\$ 7,835	4	\$ 8,070
Total Remitted Fees	2	\$ 256	5	\$ 5,665	4	\$ 7,607	4	\$ 7,835	4	\$ 8,070
B. Undergraduate Scholarships, Awards and Other Grants										
a. Resident										
(1) Need-based	678	\$ 203,293	1,012	\$ 296,598	1,205	\$ 353,162	1,205	\$ 363,757	1,205	\$ 374,670
(2) Other criteria	571	220,803	333	146,508	250	109,967	250	113,266	250	116,664
b. Non-Resident										
(1) Need-based	170	\$ 48,040	292	\$ 98,632	256	\$ 86,474	256	\$ 89,068	256	\$ 91,740
(2) Other criteria	92	41,883	61	39,342	66	42,580	66	43,857	66	45,173
Subtotal	1,511	\$ 514,019	1,698	\$ 581,080	1,777	\$ 592,183	1,777	\$ 609,948	1,777	\$ 628,247
C. Graduate Fellowships, Awards and Other Grants										
a. Resident	33	\$ 16,536		\$		\$		\$		\$
b. Non-Resident	19	6,792								
Subtotal	52	\$ 23,328		\$		\$		\$		\$
D. Loan Programs (Matching Funds)										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	1,565	\$ 537,603	1,703	\$ 586,745	1,781	\$ 599,790	1,781	\$ 617,783	1,781	\$ 636,317
RECAP										
Resident Student Aid	1,284	\$ 440,888	1,350	\$ 448,771	1,459	\$ 470,736	1,459	\$ 484,858	1,459	\$ 499,404
Non-Resident Student Aid	281	96,715	353	137,974	322	129,054	322	132,925	322	136,913
TOTAL STUDENT AID	1,567	\$ 537,603	1,705	\$ 586,745	1,781	\$ 599,790	1,781	\$ 617,783	1,781	\$ 636,317

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the University before that date and while he or she remains continuously enrolled in the University.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purposes of these Rules, but, except as provided in Rule 2(c), such person must be a resident for twelve (12) months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana for the predominant purpose of attending a college, university, or other institution of higher education, shall not be counted in determining the twelve (12) month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least twelve (12) consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University; subject to the exception in (c) below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person (such person refers to unemancipated person under 21 years of age) or administers the property of such person (such person refers to unemancipated person under 21 years of age). In the case of divorce or separation, if either parent meets the residence requirements, such person (such person refers to unemancipated person under 21 years of age) will be considered a resident.
 - b. If such person (such person refers to unemancipated person under 21 years of age) comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing committee on Residence in each case.
 - c. Such person (such person refers to unemancipated person under 21 years of age) may be classified as a resident student without meeting the twelve (12) month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be classified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the University until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (ss Rule 1) of Indiana for the twelve (12) months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of twelve (12) months from the Residence Qualifying Date, i.e., the date upon which the student commenced the twelve (12) month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or

more require a finding of non-resident student status. All factors will be considered in combination and ordinarily resident status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.

- a. The residence of a student's parents or guardians.
 - b. The situs of the source of the student's income.
 - c. To whom the student pays his or her taxes, including property taxes.
 - d. The State in which a student's automobile is registered.
 - e. The State issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
4. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 5. The Registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident, and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 6. A Standing Committee on Residence shall be appointed by the President of the University, and shall include two (2) students from among such as may be nominated by the student body presidents of one or more campuses of the University. If fewer than four are nominated, the President may appoint from among the students not nominated.
 7. A student who is not satisfied by the determination of the Registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the Registrar's determination, which Committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The Committee shall report its determination to the student in writing. If no appeal is taken with the time provided herein, the decision of the Registrar shall be final and binding.
 8. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the Committee shall be final and shall be deemed equivalent to a decision of The Trustees of Indiana University.
 9. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the University, as well as to such other punishments which may be provided for by law.
 10. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 11. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see Rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 12. If any provision of these Rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or application of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these Rules are severable.

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		3,292	3,117	3,178	3,248	
2. Graduate/Professional		279	275	302	305	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate		809	884	781	798	
2. Graduate/Professional		229	234	248	250	
C. Total Eligible FTE Enrollment (A+B)	4,589	4,609	4,510	4,509	4,601	4,557
<i>Memo: Resident & Reciprocity Lower Division FTE</i>		1,959	1,841			
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	4,557					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	4,589					
3. Enrollment Change (= D-1 minus D-2); if >0, enter in 3c	(32)					
3a. If D-3 < 0, Decrease in LD FTE, 04-05 to 05-06	118					
3b. If D-3a > 0, Net Change (if greater than zero, enter zero)	-					
3c. From D-3 or D-3b	-					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3c) * (4)	-					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104, PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded?

Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers*

Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					-----
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

- What are the renewal dates for your institution's medical, dental, and vision plans? renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
- Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
- Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
- Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 15,837,222		\$ 16,647,529		\$ 17,839,911		\$ 17,839,911		\$ 17,839,911	
b. Graduate	2,068,009		2,232,010		2,369,117		2,369,117		2,369,117	
c. Professional										
2. Nonresident										
a. Undergraduate	249,334		285,627		282,329		282,329		282,329	
b. Graduate	66,240		47,959		70,483		70,483		70,483	
c. Professional										
SUBTOTAL	\$ 18,220,805		\$ 19,213,125		\$ 20,561,840		\$ 20,561,840		\$ 20,561,840	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	144,256		173,529		138,163		138,163		138,163	
3. Incidental Student Fees	2,013,942		1,800,504		1,742,340		1,742,340		1,742,340	
4. Other Credit Fee Income	62,811		55,952		63,789		63,789		63,789	
SUBTOTAL	\$ 2,221,009		\$ 2,029,985		\$ 1,944,292		\$ 1,944,292		\$ 1,944,292	
C. Fee Rate Adjustment							\$ 675,184		\$ 1,370,624	
D. Gross Unrestricted Fees	\$ 20,441,814		\$ 21,243,110		\$ 22,506,132		\$ 23,181,316		\$ 23,876,756	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 4,760,513		\$ 4,835,198		\$ 5,202,237		\$ 5,266,032		\$ 5,172,473	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 4,760,513		\$ 4,835,198		\$ 5,202,237		\$ 5,266,032		\$ 5,172,473	
F. Net Unrestricted Fees	\$ 15,681,301		\$ 16,407,912		\$ 17,303,895		\$ 17,915,284		\$ 18,704,283	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 267,731		\$ 292,539		\$ 273,776		\$ 273,776		\$ 273,776
b. Recreation										
c. Student Government		68,680		18,892		18,135		18,135		18,135
d. Other		192,114		261,052		293,081		293,081		293,081
2. Performance Facilities										
SUBTOTAL		\$ 528,525		\$ 572,483		\$ 584,992		\$ 584,992		\$ 584,992
Gross Fee Base	\$ 20,441,814	\$ 528,525	\$ 21,243,110	\$ 572,483	\$ 22,506,132	\$ 584,992	\$ 23,181,316	\$ 584,992	\$ 23,876,756	\$ 584,992

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2004-05 Actual	2005-06 Actual	2006-07 Estimated	2007-08 Requested
Acts of 1965				
Permanently Scheduled*	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,352,015
Interim Financing	-	-	-	-
New Debt Service**				
South Bend Land Acquisition				177,925
Subtotal, Acts of 1965	\$ 16,701,580	\$ 17,997,721	\$ 18,838,550	\$ 19,529,940
Acts of 1927 or 1929				
Permanently Scheduled***	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 445,988	\$ 450,188	\$ 452,088	\$ 452,338
Total Debt Service	\$ 17,147,568	\$ 18,447,909	\$ 19,290,638	\$ 19,982,278
Reconciliation with Appropriated Amounts				
Reverted to State	670,233	-	557,653	
Appropriated Fee Replacement	\$ 17,817,801	\$ 18,447,909	\$ 19,848,291	

* Excludes federal interest subsidy grant of \$162,188 (2004-05 and 2005-06) and capitalized interest of \$1,029,175 (2004-05).

** Assumes 20 years at 6.25%.

*** Debt service on the South Bend campus and Southeast campus Student Union Building Bonds of 1979.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	<u>Allocation Basis: Projected Credit Hours</u>	<u>2007-08 Allocation</u>	<u>2008-09 Allocation</u>
East	51,500	\$ 2,038,168	\$ 2,001,957
Kokomo	60,498	2,394,274	2,351,735
Northwest	109,062	4,316,246	4,239,561
South Bend	150,787	5,967,558	5,861,535
Southeast	133,061	5,266,032	5,172,473
Total	504,908	\$ 19,982,278	\$ 19,627,261

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 21,676,253	\$ (809,357)	\$ 20,866,896	\$ 592,170	\$ -	\$ 21,459,066
State Appropriation - Operating	19,141,674	-	19,141,674			19,141,674
State Appropriation - Debt Service		4,835,198	4,835,198			4,835,198
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		196,027	196,027	368,793	3,805,393	4,370,213
Investment, Endowment, and Other Income		442,076	442,076	146,959	213,346	802,381
Sponsored Research		-	-	900,000		900,000
Other Revenue		47,611	47,611	16,471		64,082
Total Revenue	\$ 40,817,927	\$ 4,711,555	\$ 45,529,482	\$ 2,024,393	\$ 4,018,739	\$ 51,572,614
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 23,292,470			
Retirement - PERF Program			299,744			
Retirement - TIAA Program			2,757,711			
Social Security			1,668,145			
Life Insurance			86,251			
Health/Medical Insurance			2,445,779			
Other Personal Services			42,318			
Total Personal Services	\$ 31,694,103	\$ (1,101,685)	\$ 30,592,418	\$ 1,114,423	\$ 674,750	\$ 32,381,591
SUPPLIES & EXPENSES						
General S&E			\$ 12,291,507			
Energy			1,022,258			
Utilities			161,000			
Property & Liability Insurance			80,407			
Other Supplies and Expenses			782,102			
Total Supplies and Expenses	\$ 8,524,034	\$ 5,813,240	\$ 14,337,274	\$ 858,570	\$ 3,323,989	\$ 18,519,833
STUDENT ASSISTANCE	\$ 599,790	\$ -	\$ 599,790	\$ 51,400	\$ 20,000	\$ 671,190
OTHER						\$ -
Total Expenditures	\$ 40,817,927	\$ 4,711,555	\$ 45,529,482	\$ 2,024,393	\$ 4,018,739	\$ 51,572,614

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 22,506,132	\$ (777,723)	\$ 21,728,409	\$ 627,718	\$ -	\$ 22,356,127
State Appropriation - Operating	19,251,961	-	19,251,961			19,251,961
State Appropriation - Debt Service		5,202,237	5,202,237			5,202,237
State Appropriation - Special		-	-			-
Indirect Cost Recovery		20,000	20,000			20,000
Sales and Service		202,717	202,717	388,519	3,537,441	4,128,677
Investment, Endowment, and Other Income		568,558	568,558	159,388	146,269	874,215
Sponsored Research		-	-	1,000,000		1,000,000
Other Revenue		34,258	34,258	16,087		50,345
Total Revenue	\$ 41,758,093	\$ 5,250,047	\$ 47,008,140	\$ 2,191,712	\$ 3,683,710	\$ 52,883,562
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 23,936,857			
Retirement - PERF Program			268,176			
Retirement - TIAA Program			2,898,720			
Social Security			1,699,397			
Life Insurance			112,112			
Health/Medical Insurance			2,752,763			
Other Personal Services			73,542			
Total Personal Services	\$ 32,435,006	\$ (693,439)	\$ 31,741,567	\$ 1,320,793	\$ 651,101	\$ 33,713,461
SUPPLIES & EXPENSES						
General S&E			\$ 12,598,082			
Energy			1,084,000			
Utilities			105,000			
Property & Liability Insurance			85,867			
Other Supplies and Expenses			793,834			
Total Supplies and Expenses	\$ 8,723,297	\$ 5,943,486	\$ 14,666,783	\$ 813,919	\$ 3,011,109	\$ 18,491,811
STUDENT ASSISTANCE	\$ 599,790	\$ -	\$ 599,790	\$ 57,000	\$ 21,500	\$ 678,290
OTHER						\$ -
Total Expenditures	\$ 41,758,093	\$ 5,250,047	\$ 47,008,140	\$ 2,191,712	\$ 3,683,710	\$ 52,883,562

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 141,890,536	\$ 133,177,356	\$ 122,788,923	\$ 112,022,911	\$ 101,139,759
New Debt					
South Bend Land Acquisition			\$ 2,000,000	\$ 1,947,075	\$ 1,890,842
Subtotal, Acts of 1965	\$ 141,890,536	\$ 133,177,356	\$ 124,788,923	\$ 113,969,986	\$ 103,030,601
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
New Debt					
	\$ -	\$ -	\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 1,540,000	\$ 1,190,000	\$ 815,000	\$ 415,000	\$ -
TOTAL	\$ 143,430,536	\$ 134,367,356	\$ 125,603,923	\$ 114,384,986	\$ 103,030,601

INDIANA UNIVERSITY REGIONAL CAMPUSES
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1965	\$ -	\$ -	\$ -	\$ -	\$ -
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 2,701,000	\$ 2,490,000	\$ 2,267,000	\$ 2,030,000	\$ 1,780,000
Existing Debt: Interim & Variable Financing	1,276,855	1,166,816	1,086,787	1,017,263	944,337
Subtotal	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
New Debt					
			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ 3,977,855	\$ 3,656,816	\$ 3,353,787	\$ 3,047,263	\$ 2,724,337
Qualified Energy Savings					
Existing Debt: Permanently Scheduled	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 1,750,000	\$ 1,602,372	\$ 1,449,097	\$ 1,290,148	\$ 1,125,316
New Debt					
Kokomo Energy Savings Project			\$ 1,534,606	\$ 1,402,437	\$ 1,262,007
Southeast Energy Savings Project			2,000,000	1,850,036	1,690,699
Subtotal, Qualified Energy Savings	\$ 1,750,000	\$ 1,602,372	\$ 4,983,703	\$ 4,542,621	\$ 4,078,022
TOTAL	\$ 5,727,855	\$ 5,259,188	\$ 8,337,490	\$ 7,589,884	\$ 6,802,359
GRAND TOTAL, ALL DEBT	\$ 149,158,391	\$ 139,626,544	\$ 133,941,413	\$ 121,974,870	\$ 109,832,960

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Graduate Fee Remissions **		\$		\$		\$		\$		\$
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	67	\$ 30,482	107	\$ 35,280	122	\$ 40,346	122	\$ 41,556	122	\$ 42,803
2. Spouses	24	4,711	10	1,790	23	4,074	23	4,196	23	4,322
3. Children of Faculty or Staff	75	50,056	63	41,918	95	63,149	95	65,043	95	66,994
**Total Fee Courtesy Remissions **	166	\$ 85,249	180	\$ 78,988	240	\$ 107,569	240	\$ 110,795	240	\$ 114,119
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	166	\$ 85,249	180	\$ 78,988	240	\$ 107,569	240	\$ 110,795	240	\$ 114,119

INDIANA UNIVERSITY SOUTHEAST
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	5,828	5,580	5,185	5,286	5,402	5,402
2. Non-Resident	111	50	62	52	54	54
3. Reciprocity Non-Resident Unknown/Correspondence	1,379	1,445	1,509	1,333	1,362	1,362
B. Graduate/Professional						
1. Indiana Resident	877	885	838	920	929	929
2. Non-Resident	22	16	16	19	16	16
3. Reciprocity Non-Resident	585	595	583	618	623	623
TOTAL	8,802	8,571	8,193	8,228	8,386	8,386
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	3,335	3,292	3,117	3,178	3,248	3,248
2. Non-Resident	64	23	26	22	23	23
3. Reciprocity Non-Resident Unknown/Correspondence	761	809	884	781	798	798
B. Graduate/Professional						
1. Indiana Resident	272	279	275	302	305	305
2. Non-Resident	9	6	6	7	6	6
3. Reciprocity Non-Resident	213	229	234	248	250	250
TOTAL	4,654	4,638	4,542	4,538	4,630	4,630
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (campus just started participation)

0

0

INDIANA UNIVERSITY SOUTHEAST
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ -	\$ 1,590,726	\$ 1,590,726
B. Supplies and Expenses			
1. General S&E	\$ -	\$ 313,724	\$ 313,724
2. Energy	-	1,013,540	1,013,540
3. Utilities	-	98,175	98,175
4. Fire & Casualty Insurance	-	72,997	72,997
* Subtotal	\$ -	\$ 1,498,436	\$ 1,498,436
C. Total Operating Expenditures (A+B)	\$ -	\$ 3,089,162	\$ 3,089,162
D. Total Gross Square Feet		607,670	607,670
E. Total Assignable Square Feet		382,832	382,832
F. Plant O&M Expenditures per GSF (C / D)	\$ -	\$ 5.08	\$ 5.08
G. Plant O&M Expenditures per ASF (C / E)	\$ -	\$ 8.07	\$ 8.07
Total campus academic/administrative ASF			382,832
Total ASF classified as research/nonclass lab			7,978

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

Indiana University-Purdue University
Indianapolis

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

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Campus Narrative

To highlight further the importance of the Life Sciences initiative that underpins IU's funding proposal for the 2007-09 biennium, the request for campus operating appropriation this biennium separates the appropriation to IUPUI into three divisions:

- Medicine
- Other Health Sciences (including the School of Optometry at Bloomington)
- General Academic

The presentation materials assume that the base operating appropriation for the School of Optometry is included in the base for the Other Health Sciences (and not in the Bloomington campus base). Schedules that "drive" the calculation of components of the appropriation are provided where necessary for all three divisions of IUPUI.

The campus appropriation and fee replacement appropriation are essential to the ongoing operations of the campus and the delivery of educational services to students and the State. Modest but necessary increases are requested as described below.

Base Adjustments

Enrollment Change Funding

Consistent with instructions promulgated by the Commission for Higher Education and State Budget Agency, the enrollment change funding request for all but "mature" campuses takes prospective enrollment into consideration. The average enrollment of years 2002-03 through 2005-06 is compared with the average of the actual, estimated, budgeted, and projected enrollments of the four years 2004-05 through 2007-08. As before, only Indiana resident students are included in the calculation.

The marginal cost factor used in the request was determined by dividing the 2006-07 operating appropriation of \$1,185,265,774 by the 183,932 total Hoosier (net of Purdue Statewide Technology Program) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by two to derive marginal cost equal to approximately \$3,500.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
IUPUI Health Sciences	-1	-3,500
IUPUI General Academic	121	423,500

Plant Expansion

Plant expansion funding provides operating funds for energy and utilities and personnel costs associated with the occupancy of new facilities. In the absence of such adjustments, it would be necessary to divert funds away from faculty salaries and instructional activities when new buildings are brought on line.

Operating funds for new space are requested for four projects:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUPUI: Campus Center	\$ 505,796	\$ 168,598
Medical Education Center (Fort Wayne)	0	424,919
Medical Information Sciences Building	1,865,176	0
Research III	<u>0</u>	<u>1,571,879</u>
Total	\$ 2,370,972	\$ 2,165,396

The Medical Information Sciences Building has scheduled occupancy dates for the current biennium. The other projects are slated to become occupied during the 2007-09 biennium. The following points provide some highlights about the new projects:

- The Medical Information Sciences building will contain 95,000 assignable square feet and feature a computing intensive environment for academic research and data analysis, teleconferencing facilities, classroom and seminar rooms and other assembly areas to conduct meetings and workshops for researchers from across the country and around the world. Its expected occupancy date is December, 2006. When the project is complete, it will provide appropriate facilities for computation science functions and assist in bringing more research space to the campus.
- The Campus Center will be a building of 148,052 assignable square feet constructed at the southwest corner of the intersection of University Boulevard and Michigan Street to house various student service and student activities functions including a bookstore, credit union, and other similar auxiliary services and activities often found in student union-type facilities. The Campus Center will become the hub of the campus, serving many different populations: students, faculty, staff, patients, campus visitors, and community groups. It will enhance the academic side by providing a satellite for the Enrollment Center. October, 2007 is the projected occupancy date for the Campus Center. Bonding authority was provided for \$10 million of our total cost of \$50 million.
- The Medical Education Center (Fort Wayne) will have 29,000 assignable square feet. This building project is a new addition to a statewide program to upgrade or replace inadequate medical education facilities. The center currently resides in 14,500 ASF located on the second floor of the Classroom Medical Building on the IPFW campus. The program is confined by the current space, with no room for addition or renovation. Expected occupancy is September, 2008.

- Research Institute III will contain 138,472 square feet of assignable space with 91,470 square feet dedicated to research lab space. This building will provide facilities that will allow Indiana University researchers to continue to foster scientific discovery leading to innovative and life-saving therapies, especially in cancer treatment for years to come. January, 2009 is the expected occupancy date.

Research Support

Research support funding covers institutional costs incurred in sustaining research efforts. The 2003-05 biennium marked the first state funding for these efforts; additional funding was calculated for the 2005-07 biennium.

The Federal government recognizes these costs through the allowance of indirect cost recovery as direct expense or grants. Each institution negotiates rates with its cognizant agency. Many grants, however, do not fully fund the indirect costs associated with them. The requested funding is based on incremental growth in research activity and asks the state to share in the support needed for research activity to take place. The following table displays changes in research support:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUPUI Medicine	\$ 5,866,705	\$ 0

Maintenance of Operations

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
IUPUI Medicine	\$ 2,612,199	\$ 2,690,566
IUPUI Health Sciences	826,268	851,056
IUPUI General Academic	<u>2,324,398</u>	<u>2,394,130</u>
Total	\$ 5,762,865	\$ 5,935,752

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request assumes a general maintenance inflation rate of three percent per year for salaries and benefits, three percent for supplies and expenses, and three percent for student financial assistance (and for offsetting student fee income) in each year of the 2007-09 biennium.

Quality Improvement

A quality improvement request in the amount of \$1.9 million for degree programs at the Columbus campus reflects on-going discussions with state officials representing both the executive and legislative branches, representatives of IU, Purdue, and Ivy Tech, and Columbus community leaders.

Fee Replacement Appropriation

The following tables display changes in fee replacement for IUPUI:

	<u>2007-08 Change</u>	<u>2008-09 Change</u>
Scheduled Debt Service	\$ 4,344,720	\$ 303,868
IUPUI Ft. Wayne Medical Education	<u>1,067,547</u>	<u>0</u>
Total	\$ 5,412,267	\$ 303,868
IUPUI Medicine	556,339	26,842
IUPUI Health Sciences	532,595	25,696
IUPUI General Academic	<u>4,323,333</u>	<u>251,330</u>
Total	\$ 5,412,267	\$ 303,868

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- MEDICINE
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	5,866,705		5,866,705	-		5,866,705	11,733,410
Subtotal	\$ -	\$ 5,866,705		\$ 5,866,705	\$ -		\$ 5,866,705	\$ 11,733,410
II. Price Inflation								
A. Personnel Services	\$ 99,400,987	\$ 2,982,030	3.0%	\$ 102,383,017	\$ 3,071,491	3.0%	\$ 105,454,508	\$ 207,837,525
B. Supplies and Expense	17,724,134	531,724	3.0%	18,255,858	547,677	3.0%	18,803,535	37,059,393
C. Student Assistance	3,381,913	101,457	3.0%	3,483,370	104,501	3.0%	3,587,871	7,071,241
Subtotal	\$ 120,507,034	\$ 3,615,211	3.0%	\$ 124,122,245	\$ 3,723,669	3.0%	\$ 127,845,914	\$ 251,968,159
III. Quality Improvements								
A. None		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	<u>\$ 120,507,034</u>	<u>\$ 9,481,916</u>	7.9%	<u>\$ 129,988,950</u>	<u>\$ 3,723,669</u>	2.9%	<u>\$ 133,712,619</u>	<u>\$ 263,701,569</u>
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 33,433,750	\$ 1,003,012	3.0%	\$ 34,436,762	\$ 1,033,103	3.0%	\$ 35,469,865	\$ 69,906,627
2. (less) Academic Facilities Fees	(1,657,271)	(556,339)	33.6%	(2,213,610)	(26,842)	1.2%	(2,240,452)	(4,454,062)
3. (less) Other Dedicated/Restricted Fees	-	-		-	-		-	-
Subtotal (= Net Unrestricted Fees)	\$ 31,776,479	\$ 446,673	1.4%	\$ 32,223,152	\$ 1,006,261	3.1%	\$ 33,229,413	\$ 65,452,565
B. Reallocation (Inter-Campus)								
Subtotal	\$ 31,776,479	\$ 446,673	1.4%	\$ 32,223,152	\$ 1,006,261	3.1%	\$ 33,229,413	\$ 65,452,565
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 87,073,284	\$ 8,478,904	9.7%	\$ 95,552,188	\$ 2,690,566	2.8%	\$ 98,242,754	\$ 193,794,942
B. Fee Replacement	1,657,271	556,339	33.6%	2,213,610	26,842	1.2%	2,240,452	4,454,062
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 88,730,555	\$ 9,035,243	10.2%	\$ 97,765,798	\$ 2,717,408	2.8%	\$ 100,483,206	\$ 198,249,004
Total Income Budget	<u>\$ 120,507,034</u>	<u>\$ 9,481,916</u>	7.9%	<u>\$ 129,988,950</u>	<u>\$ 3,723,669</u>	2.9%	<u>\$ 133,712,619</u>	<u>\$ 263,701,569</u>

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH SCIENCES
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ (3,500)		\$ (3,500)	\$ -		\$ (3,500)	\$ (7,000)
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ (3,500)		\$ (3,500)	\$ -		\$ (3,500)	\$ (7,000)
II. Price Inflation								
A. Personnel Services	\$ 43,275,385	\$ 1,298,260	3.0%	\$ 44,573,645	\$ 1,337,209	3.0%	\$ 45,910,854	\$ 90,484,499
B. Supplies and Expense	7,046,736	211,402	3.0%	7,258,138	217,744	3.0%	7,475,882	14,734,020
C. Student Assistance	838,780	25,163	3.0%	863,943	25,918	3.0%	889,861	1,753,804
Subtotal	\$ 51,160,901	\$ 1,534,825	3.0%	\$ 52,695,726	\$ 1,580,871	3.0%	\$ 54,276,597	\$ 106,972,323
III. Quality Improvements								
A. None		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	<u>\$ 51,160,901</u>	<u>\$ 1,531,325</u>	3.0%	<u>\$ 52,692,226</u>	<u>\$ 1,580,871</u>	3.0%	<u>\$ 54,273,097</u>	<u>\$ 106,965,323</u>
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 23,618,614	\$ 708,557	3.0%	\$ 24,327,171	\$ 729,815	3.0%	\$ 25,056,986	\$ 49,384,157
2. (less) Academic Facilities Fees	(1,586,546)	(532,595)	33.6%	(2,119,141)	(25,696)	1.2%	(2,144,837)	(4,263,978)
3. (less) Other Dedicated/Restricted Fees	-	-		-	-		-	-
Subtotal (= Net Unrestricted Fees)	\$ 22,032,068	\$ 175,962	0.8%	\$ 22,208,030	\$ 704,119	3.2%	\$ 22,912,149	\$ 45,120,179
B. Reallocation (Inter-Campus)								
Subtotal	\$ 22,032,068	\$ 175,962	0.8%	\$ 22,208,030	\$ 704,119	3.2%	\$ 22,912,149	\$ 45,120,179
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense*	\$ 27,542,287	\$ 822,768	3.0%	\$ 28,365,055	\$ 851,056	3.0%	\$ 29,216,111	\$ 57,581,166
B. Fee Replacement	1,586,546	532,595	33.6%	2,119,141	25,696	1.2%	2,144,837	4,263,978
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 29,128,833	\$ 1,355,363	4.7%	\$ 30,484,196	\$ 876,752	2.9%	\$ 31,360,948	\$ 61,845,144
Total Income Budget	<u>\$ 51,160,901</u>	<u>\$ 1,531,325</u>	3.0%	<u>\$ 52,692,226</u>	<u>\$ 1,580,871</u>	3.0%	<u>\$ 54,273,097</u>	<u>\$ 106,965,323</u>

* The base budget has been adjusted to move \$2,746,333 in appropriation for Optometry from Bloomington to IUPUI Health Sciences.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION (MEDICINE & HEALTH SCIENCES COMBINED)
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ (3,500)		\$ (3,500)	\$ -		\$ (3,500)	\$ (7,000)
B. Plant Expansion	-	-		-	-		-	-
C. Research Support	-	5,866,705		5,866,705	-		5,866,705	11,733,410
Subtotal	\$ -	\$ 5,863,205		\$ 5,863,205	\$ -		\$ 5,863,205	\$ 11,726,410
II. Price Inflation								
A. Personnel Services	\$ 142,676,372	\$ 4,280,290	3.0%	146,956,662	\$ 4,408,700	3.0%	\$ 151,365,362	\$ 298,322,024
B. Supplies and Expense	24,770,870	743,126	3.0%	25,513,996	765,421	3.0%	26,279,417	51,793,413
C. Student Assistance	4,220,693	126,620	3.0%	4,347,313	130,419	3.0%	4,477,732	8,825,045
Subtotal	\$ 171,667,935	\$ 5,150,036	3.0%	\$ 176,817,971	\$ 5,304,540	3.0%	\$ 182,122,511	\$ 358,940,482
III. Quality Improvements								
A. None		\$ -		\$ -	\$ -		\$ -	\$ -
Subtotal		\$ -		\$ -	\$ -		\$ -	\$ -
Total Expenditure Budget	\$ 171,667,935	\$ 11,013,241	6.4%	\$ 182,681,176	\$ 5,304,540	2.9%	\$ 187,985,716	\$ 370,666,892
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 57,052,364	\$ 1,711,569	3.0%	\$ 58,763,933	\$ 1,762,918	3.0%	\$ 60,526,851	\$ 119,290,784
2. (less) Academic Facilities Fees	(3,243,817)	(1,088,934)	33.6%	(4,332,751)	(52,538)	1.2%	(4,385,289)	(8,718,040)
3. (less) Other Dedicated/Restricted Fees	-	-		-	-		-	-
Subtotal (= Net Unrestricted Fees)	\$ 53,808,547	\$ 622,635	1.2%	\$ 54,431,182	\$ 1,710,380	3.1%	\$ 56,141,562	\$ 110,572,744
B. Reallocation (Inter-Campus)								
Subtotal	\$ 53,808,547	\$ 622,635	1.2%	\$ 54,431,182	\$ 1,710,380	3.1%	\$ 56,141,562	\$ 110,572,744
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense*	\$ 114,615,571	\$ 9,301,672	8.1%	\$ 123,917,243	\$ 3,541,622	2.9%	\$ 127,458,865	\$ 251,376,108
B. Fee Replacement	3,243,817	1,088,934	33.6%	4,332,751	52,538	1.2%	4,385,289	8,718,040
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 117,859,388	\$ 10,390,606	8.8%	\$ 128,249,994	\$ 3,594,160	2.8%	\$ 131,844,154	\$ 260,094,148
Total Income Budget	\$ 171,667,935	\$ 11,013,241	6.4%	\$ 182,681,176	\$ 5,304,540	2.9%	\$ 187,985,716	\$ 370,666,892

* The base budget has been adjusted to move \$2,746,333 in appropriation for Optometry from Bloomington to IUPUI Health Sciences.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2005-07 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2007-09 Operating Request

	2006-07 Budget Total \$	2007-08 Request			2008-09 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Enrollment Change	\$ -	\$ 423,500		\$ 423,500	\$ -		\$ 423,500	\$ 847,000
B. Plant Expansion	-	2,370,972		2,370,972	2,165,396		4,536,368	6,907,340
C. Research Support	-	-		-	-		-	-
Subtotal	\$ -	\$ 2,794,472		\$ 2,794,472	\$ 2,165,396		\$ 4,959,868	\$ 7,754,340
II. Price Inflation								
A. Personnel Services	\$ 151,732,086	\$ 4,551,963	3.0%	\$ 156,284,049	\$ 4,688,521	3.0%	\$ 160,972,570	\$ 317,256,619
B. Supplies and Expense	65,308,979	1,959,270	3.0%	67,268,249	2,018,049	3.0%	69,286,298	136,554,547
C. Student Assistance	10,034,331	301,031	3.0%	10,335,362	310,061	3.0%	10,645,423	20,980,785
Subtotal	\$ 227,075,396	\$ 6,812,264	3.0%	\$ 233,887,660	\$ 7,016,631	3.0%	\$ 240,904,291	\$ 474,791,951
III. Quality Improvements								
A. Columbus Degree Programs		\$ 1,876,360		\$ 1,876,360	\$ -		\$ 1,876,360	\$ 3,752,720
Subtotal		\$ 1,876,360		\$ 1,876,360	\$ -		\$ 1,876,360	\$ 3,752,720
Total Expenditure Budget	\$ 227,075,396	\$ 11,483,096	5.1%	\$ 238,558,492	\$ 9,182,027	3.8%	\$ 247,740,519	\$ 486,299,011
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 150,137,442	\$ 4,504,124	3.0%	\$ 154,641,566	\$ 4,639,247	3.0%	\$ 159,280,813	\$ 313,922,379
2. (less) Academic Facilities Fees	(16,403,766)	(4,323,333)	26.4%	(20,727,099)	(251,330)	1.2%	(20,978,429)	(41,705,528)
3. (less) Other Dedicated/Restricted Fees	(541,932)	(16,258)	3.0%	(558,190)	(16,746)	3.0%	(574,936)	(1,133,126)
Subtotal (= Net Unrestricted Fees)	\$ 133,191,744	\$ 164,533	0.1%	\$ 133,356,277	\$ 4,371,171	3.3%	\$ 137,727,448	\$ 271,083,725
B. Reallocation (Inter-Campus)								
Subtotal	\$ 133,191,744	\$ 164,533	0.1%	\$ 133,356,277	\$ 4,371,171	3.3%	\$ 137,727,448	\$ 271,083,725
II. Federal Funds	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
III. State Appropriations								
A. Operating Expense	\$ 77,479,886	\$ 6,995,230	9.0%	\$ 84,475,116	\$ 4,559,526	5.4%	\$ 89,034,642	\$ 173,509,758
B. Fee Replacement	16,403,766	4,323,333	26.4%	20,727,099	251,330	1.2%	20,978,429	41,705,528
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (inter-campus)	-	-		-	-		-	-
SUBTOTAL	\$ 93,883,652	\$ 11,318,563	12.1%	\$ 105,202,215	\$ 4,810,856	4.6%	\$ 110,013,071	\$ 215,215,286
Total Income Budget	\$ 227,075,396	\$ 11,483,096	5.1%	\$ 238,558,492	\$ 9,182,027	3.8%	\$ 247,740,519	\$ 486,299,011

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS--HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ -		\$ -
2. Vocational-Technical	1,301,179	110	11,829	1,512,846	128	11,819	1,387,587	102	13,604
3. Undergraduate	20,223,598	1,696	23,849	23,495,238	1,972	23,829	21,497,342	1,568	13,710
4. Graduate	14,795,661	517	28,618	19,901,849	696	28,595	25,934,971	788	32,912
5. Professional	100,271,369	2,803	35,773	100,259,855	2,805	35,743	114,370,590	2,780	41,141
SUBTOTAL (Credit Instruction)	\$ 136,591,807	5,126	26,647	\$ 145,169,788	5,601	25,919	\$ 163,190,490	5,238	31,155
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ 1,831,792			\$ 1,946,829			\$ 2,188,499		
B. Individual Project Research	636,030			675,973			759,885		
SUBTOTAL	\$ 2,467,822			\$ 2,622,802			\$ 2,948,384		
PUBLIC SERVICE									
A. Community Service	\$ 1,095,115			\$ 1,163,888			\$ 1,308,368		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ 1,095,115			\$ 1,163,888			\$ 1,308,368		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 2,319,959			\$ 2,014,566			\$ 2,178,179		
B. Scholarships	-			-			-		
C. Grants/Fellowships	1,924,652			1,384,103			2,042,514		
SUBTOTAL	\$ 4,244,611			\$ 3,398,669			\$ 4,220,693		
TOTAL EDUCATIONAL SERVICES	\$ 144,399,355	5,126	\$ 28,170	\$ 152,355,147	5,601	\$ 27,201	\$ 171,667,935	5,238	\$ 32,774

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS--GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Services I: Summary of Educational Services

Educational Service	2004-05 Actual			2005-06 Estimated			2006-07 Budgeted		
	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE	Base Expenditure	Annual FTE	Expenditure per FTE
INSTRUCTION									
A. On-Campus Credit Instruction									
1. Remedial/Developmental			\$ -			\$ -	\$ 468,000		\$ -
2. Vocational-Technical	8,232,875	910	9,047	8,881,573	897	9,901	8,575,934	923	9,291
3. Undergraduate	127,763,151	14,009	10,133	137,901,218	13,816	11,090	133,040,792	14,204	9,366
4. Graduate	56,301,271	3,704	15,200	56,627,069	3,404	16,635	52,982,037	3,394	15,611
5. Professional	16,385,737	1,155	14,187	18,988,811	1,223	15,526	19,785,788	1,358	14,570
SUBTOTAL (Credit Instruction)	\$ 208,683,034	19,778	10,551	\$ 222,398,671	19,340	11,499	\$ 214,852,551	19,879	10,808
B. Of the above, total FTE generated by off-campus for-credit instruction									
1. Telecommunications		-			-			-	
2. Correspondence Study		-			-			-	
3. Other		-			-			-	
SUBTOTAL (Non-Credit)		-			-			-	
RESEARCH									
A. Organized Research Centers	\$ 1,490,595			\$ 1,588,564			\$ 1,534,663		
B. Individual Project Research	141,440			150,737			145,622		
SUBTOTAL	\$ 1,632,035			\$ 1,739,301			\$ 1,680,285		
PUBLIC SERVICE									
A. Community Service	\$ 493,635			\$ 526,079			\$ 508,229		
B. Public Broadcasting	-			-			-		
SUBTOTAL	\$ 493,635			\$ 526,079			\$ 508,229		
STUDENT ASSISTANCE									
A. Fee Remissions	\$ 2,536,774			\$ 3,328,054			\$ 3,377,931		
B. Scholarships	3,787,986			4,081,788			3,933,803		
C. Grants/Fellowships	1,942,063			1,743,457			2,722,597		
SUBTOTAL	\$ 8,266,823			\$ 9,153,299			\$ 10,034,331		
TOTAL EDUCATIONAL SERVICES	\$ 219,075,527	19,778	\$ 11,077	\$ 233,817,350	19,340	\$ 12,090	\$ 227,075,396	19,879	\$ 11,423

Remedial Course Numbers and Titles:

ENG-W 001 Fundamentals of English
MATH 001 Intro to Algebra
MATH-M 001 Introduction to Algebra

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- MEDICINE
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 79,681,545	\$ 2,390,446	3.0%	\$ 82,071,991	\$ 2,462,160	3.0%	\$ 84,534,151
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	8,357,206	250,716	3.0%	8,607,922	258,238	3.0%	8,866,160
ii. PERF & Other	439,152	13,175	3.0%	452,327	13,570	3.0%	465,897
b. Social Security	3,953,784	118,614	3.0%	4,072,398	122,172	3.0%	4,194,570
c. Life Insurance	267,100	8,013	3.0%	275,113	8,253	3.0%	283,366
d. Health Insurance	6,702,200	201,066	3.0%	6,903,266	207,098	3.0%	7,110,364
TOTAL PERSONNEL SERVICES	\$ 99,400,987	\$ 2,982,030	3.0%	\$ 102,383,017	\$ 3,071,491	3.0%	\$ 105,454,508
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 17,724,134	\$ 531,724	3.0%	\$ 18,255,858	\$ 547,677	3.0%	\$ 18,803,535
2. Energy:							
a. Electricity		-		-	-		-
b. Coal		-		-	-		-
c. Oil		-		-	-		-
d. Natural Gas		-		-	-		-
e. LP Gas		-		-	-		-
f. Steam		-		-	-		-
g. Energy Management		-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
3. Utilities:							
a. Sewer		-		-	-		-
b. Water		-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
4. Fire & Casualty Insurance		-		-	-		-
TOTAL SUPPLIES & EXPENSES	\$ 17,724,134	\$ 531,724	3.0%	\$ 18,255,858	\$ 547,677	3.0%	\$ 18,803,535
C. STUDENT ASSISTANCE	\$ 3,381,913	\$ 101,457	3.0%	\$ 3,483,370	\$ 104,501	3.0%	\$ 3,587,871
GRAND TOTAL	\$ 120,507,034	\$ 3,615,211	3.0%	\$ 124,122,245	\$ 3,723,669	3.0%	\$ 127,845,914

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH SCIENCES
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 33,876,985	\$ 1,016,310	3.0%	\$ 34,893,295	\$ 1,046,799	3.0%	\$ 35,940,094
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	3,658,749	109,762	3.0%	3,768,511	113,055	3.0%	3,881,566
ii. PERF & Other	332,311	9,969	3.0%	342,280	10,268	3.0%	352,548
b. Social Security	2,031,248	60,937	3.0%	2,092,185	62,766	3.0%	2,154,951
c. Life Insurance	129,749	3,892	3.0%	133,641	4,009	3.0%	137,650
d. Health Insurance	3,246,343	97,390	3.0%	3,343,733	100,312	3.0%	3,444,045
TOTAL PERSONNEL SERVICES	\$ 43,275,385	\$ 1,298,260	3.0%	\$ 44,573,645	\$ 1,337,209	3.0%	\$ 45,910,854
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 7,046,736	\$ 211,402	3.0%	\$ 7,258,138	\$ 217,744	3.0%	\$ 7,475,882
2. Energy:							
a. Electricity	-	-		-	-		-
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	-	-		-	-		-
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
3. Utilities:							
a. Sewer	-	-		-	-		-
b. Water	-	-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
4. Fire & Casualty Insurance	-	-		-	-		-
TOTAL SUPPLIES & EXPENSES	\$ 7,046,736	\$ 211,402	3.0%	\$ 7,258,138	\$ 217,744	3.0%	\$ 7,475,882
C. STUDENT ASSISTANCE	\$ 838,780	\$ 25,163	3.0%	\$ 863,943	\$ 25,918	3.0%	\$ 889,861
GRAND TOTAL	\$ 51,160,901	\$ 1,534,825	3.0%	\$ 52,695,726	\$ 1,580,871	3.0%	\$ 54,276,597

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION (MEDICINE & HEALTH SCIENCES COMBINED)
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 113,558,530	\$ 3,406,756	3.0%	\$ 116,965,286	\$ 3,508,959	3.0%	\$ 120,474,245
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	12,015,955	360,478	3.0%	12,376,433	371,293	3.0%	12,747,726
ii. PERF & Other	771,463	23,144	3.0%	794,607	23,838	3.0%	818,445
b. Social Security	5,985,032	179,551	3.0%	6,164,583	184,938	3.0%	6,349,521
c. Life Insurance	396,849	11,905	3.0%	408,754	12,262	3.0%	421,016
d. Health Insurance	9,948,543	298,456	3.0%	10,246,999	307,410	3.0%	10,554,409
TOTAL PERSONNEL SERVICES	\$ 142,676,372	\$ 4,280,290	3.0%	\$ 146,956,662	\$ 4,408,700	3.0%	\$ 151,365,362
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 24,770,870	\$ 743,126	3.0%	\$ 25,513,996	\$ 765,421	3.0%	\$ 26,279,417
2. Energy:							
a. Electricity	-	-		-	-		-
b. Coal	-	-		-	-		-
c. Oil	-	-		-	-		-
d. Natural Gas	-	-		-	-		-
e. LP Gas	-	-		-	-		-
f. Steam	-	-		-	-		-
g. Energy Management	-	-		-	-		-
h. Chilled Water	-	-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
3. Utilities:							
a. Sewer	-	-		-	-		-
b. Water	-	-		-	-		-
SUBTOTAL	\$ -	\$ -		\$ -	\$ -		\$ -
4. Fire & Casualty Insurance	-	-		-	-		-
TOTAL SUPPLIES & EXPENSES	\$ 24,770,870	\$ 743,126	3.0%	\$ 25,513,996	\$ 765,421	3.0%	\$ 26,279,417
C. STUDENT ASSISTANCE	\$ 4,220,693	\$ 126,620	3.0%	\$ 4,347,313	\$ 130,419	3.0%	\$ 4,477,732
GRAND TOTAL	\$ 171,667,935	\$ 5,150,036	3.0%	\$ 176,817,971	\$ 5,304,540	3.0%	\$ 182,122,511

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2006-07 Base-Year Amount	Projected Change	2007-08 Pct Chg	Total Budget	Projected Change	2008-09 Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 103,908,767	\$ 3,117,263	3.0%	\$ 107,026,030	\$ 3,210,781	3.0%	\$ 110,236,811
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	18,079,995	542,400	3.0%	18,622,395	558,672	3.0%	19,181,067
ii. PERF & Other	1,680,362	50,411	3.0%	1,730,773	51,923	3.0%	1,782,696
b. Social Security	9,902,590	297,078	3.0%	10,199,668	305,990	3.0%	10,505,658
c. Life Insurance	695,711	20,871	3.0%	716,582	21,497	3.0%	738,079
d. Health Insurance	17,464,661	523,940	3.0%	17,988,601	539,658	3.0%	18,528,259
TOTAL PERSONNEL SERVICES	\$ 151,732,086	\$ 4,551,963	3.0%	\$ 156,284,049	\$ 4,688,521	3.0%	\$ 160,972,570
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 44,501,158	\$ 1,335,035	3.0%	\$ 45,836,193	\$ 1,375,086	3.0%	\$ 47,211,279
2. Energy:							
a. Electricity	5,741,907	172,257	3.0%	5,914,164	177,425	3.0%	6,091,589
b. Coal	-	-		-	-		-
c. Oil	30,000	900	3.0%	30,900	927	3.0%	31,827
d. Natural Gas	431,336	12,940	3.0%	444,276	13,328	3.0%	457,604
e. LP Gas	-	-		-	-		-
f. Steam	4,058,400	121,752	3.0%	4,180,152	125,405	3.0%	4,305,557
g. Energy Management	165,000	4,950	3.0%	169,950	5,099	3.0%	175,049
h. Chilled Water	7,500,000	225,000	3.0%	7,725,000	231,750	3.0%	7,956,750
SUBTOTAL	\$ 17,926,643	\$ 537,799	3.0%	\$ 18,464,442	\$ 553,934	3.0%	\$ 19,018,376
3. Utilities:							
a. Sewer	618,088	18,543	3.0%	636,631	19,099	3.0%	655,730
b. Water	513,294	15,399	3.0%	528,693	15,861	3.0%	544,554
SUBTOTAL	\$ 1,131,382	\$ 33,942	3.0%	\$ 1,165,324	\$ 34,960	3.0%	\$ 1,200,284
4. Fire & Casualty Insurance	1,749,796	52,494	3.0%	1,802,290	54,069	3.0%	1,856,359
TOTAL SUPPLIES & EXPENSES	\$ 65,308,979	\$ 1,959,270	3.0%	\$ 67,268,249	\$ 2,018,049	3.0%	\$ 69,286,298
C. STUDENT ASSISTANCE	\$ 10,034,331	\$ 301,031	3.0%	\$ 10,335,362	\$ 310,061	3.0%	\$ 10,645,423
GRAND TOTAL	\$ 227,075,396	\$ 6,812,264	3.0%	\$ 233,887,660	\$ 7,016,631	3.0%	\$ 240,904,291

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV: Plant Expansion Change Summary

	Requested 2007-08		Requested 2008-09	
	Increase	Total	Increase	Total
Campus Center*	\$ 505,796	\$ 505,796	\$ 168,598	\$ 674,394
Medical Education Center (Fort Wayne)*	-	-	424,919	424,919
Medical Information Sciences Building	1,865,176	1,865,176	-	1,865,176
Research III	-	-	1,571,879	1,571,879
GRAND TOTAL	\$ 2,370,972	\$ 2,370,972	\$ 2,165,396	\$ 4,536,368

**partial year occupancy*

INDIANA UNIVERSITY - PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV-A: Plant Expansion Budget Analysis

		2007-08 Expenditure Amount	2008-09 Expenditure Amount
Building:	Campus Center		
1. a. Occupancy Date:	October, 2007		
2. Square Feet			
a. Assignable:	148,052		
b. Gross:	261,052		
	c. Assignable S.F. Classified as Research/Nonclass Lab		-
3. Operating Costs			
a. Personnel Services		594,938	594,938
b. Fuel		1,058,044	1,058,044
c. Utilities		23,495	23,495
d. Supplies and Expense		861,993	861,993
e. Other		32,109	32,109
f. Total Expenditures		<u>\$ 2,570,579</u>	<u>\$ 2,570,579</u>
4. Existing Resources Available for Reallocation:		112,875	112,875
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ 2,457,704	\$ 2,457,704
6. Operating Cost per Assignable Square Foot		\$ 17.36	\$ 17.36
<i>Assignable GSF associated with academic/administrative functions:</i>		71,632	71,632
<i>Academic/Administrative GSF as percent of total:</i>		27.44%	27.44%
<i>Plant expansion based on 27.4% of total:</i>		\$ 674,394	\$ 674,394
<i>Note: Plant expansion request (75% of 1st year total annual cost based on 9 months occupancy).</i>		\$ 505,796	\$ 674,394

INDIANA UNIVERSITY - PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV-B: Plant Expansion Budget Analysis

		2007-08 Expenditure Amount	2008-09 Expenditure Amount
Building:	Medical Education Center (Fort Wayne)		
1. a. Occupancy Date:	September, 2008		
2. Square Feet			
a. Assignable:	29,000	c. Assignable S.F. Classified as Research/Nonclass Lab	13,450
b. Gross:	48,400		
3. Operating Costs			
a. Personnel Services		-	\$ -
b. Fuel			303,754
c. Utilities			7,560
d. Supplies and Expense			200,637
e. Other			-
b. Total Expenditures		\$ -	\$ 511,951
4. Existing Resources Available for Reallocation:			
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ -	\$ 511,951
6. Operating Cost per Assignable Square Foot			\$ 17.65
<i>Note: Plant expansion request (83% of 2nd year total annual cost based on 10 months occupancy).</i>			\$ 424,919

INDIANA UNIVERSITY - PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV-C: Plant Expansion Budget Analysis

		<u>2007-08 Expenditure Amount</u>	<u>2008-09 Expenditure Amount</u>
Building:	Medical Information Sciences Building		
1. a. Occupancy Date:	December, 2006		
2. Square Feet			
a. Assignable:	95,000		
b. Gross:	166,000		
c. Assignable S.F. Classified as Research/Nonclass Lab			-
3. Operating Costs			
a. Personnel Services		584,174	584,174
b. Fuel		1,057,420	1,057,420
c. Utilities		49,800	49,800
d. Supplies and Expense		154,028	154,028
e. Other		19,754	19,754
f. Total Expenditures		<u>\$ 1,865,176</u>	<u>\$ 1,865,176</u>
4. Existing Resources Available for Reallocation:			
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ 1,865,176	\$ 1,865,176
6. Operating Cost per Assignable Square Foot		\$ 19.63	\$ 19.63

Note:

INDIANA UNIVERSITY - PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures IV-D: Plant Expansion Budget Analysis

		2007-08 Expenditure Amount	2008-09 Expenditure Amount
Building:	Research Institute III		
1. a. Occupancy Date:	January, 2009		
2. Square Feet			
a. Assignable:	138,472	c. Assignable S.F. Classified as Research/Nonclass Lab	91,470
b. Gross:	254,000		
3. Operating Costs			
a. Personnel Services		-	624,196
b. Fuel		-	1,375,918
c. Utilities		-	61,722
d. Supplies and Expense		-	1,081,922
e. Other		-	-
f. Total Expenditures		\$ -	\$ 3,143,758
4. Existing Resources Available for Reallocation:			
5. Net Additional Expenditure (Line 3 minus Line 4)		\$ -	\$ 3,143,758
6. Operating Cost per Assignable Square Foot			\$ 22.70
<i>Note: Plant expansion request (50% of 2nd year total annual cost based on 6 months occupancy).</i>			\$ 1,571,879

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures V: Prioritized Quality Improvement Initiatives

Priority	Initiative	2007-08 Change Requested	2008-09	
			Change Requested	Total Funding Requested
1	Columbus Degree Programs: A Single Request for All Programs			
	B.S. in Business			
	New faculty: 4.0	\$ 388,885	\$ -	\$ 388,885
	New support staff: 0.5	25,000	-	25,000
	Supplies, expenses, and travel	8,000	-	8,000
	Subtotal	\$ 421,885	\$ -	\$ 421,885
	M.B.A.			
	New faculty: 4.0	\$ 474,362	\$ -	\$ 474,362
	New support staff: 1.0	50,000	-	50,000
	Supplies, expenses, and travel	10,000	-	10,000
	Subtotal	\$ 534,362	\$ -	\$ 534,362
	Education			
	New faculty: 4.0	\$ 307,146	\$ -	\$ 307,146
	New support staff: 1.0	50,000	-	50,000
	Supplies, expenses, and travel	20,000	-	20,000
	Subtotal	\$ 377,146	\$ -	\$ 377,146
	Nursing			
	New faculty: 3.0	\$ 226,420	\$ -	\$ 226,420
	New support staff: 0.5	25,000	-	25,000
	Supplies, expenses, and travel	15,000	-	15,000
	Subtotal	\$ 266,420	\$ -	\$ 266,420
	Psychology			
	New faculty: 3.0	\$ 218,547	\$ -	\$ 218,547
	New support staff: 1.0	50,000	-	50,000
	Supplies, expenses, and travel	8,000	-	8,000
	Subtotal	\$ 276,547	\$ -	\$ 276,547
	Total	\$ 1,876,360	\$ -	\$ 1,876,360

Expenditures V(A): Quality Improvement Performance Measurement

Performance measures for this initiative will be enrollments and graduates.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	10	5,910	30	9,788	58	19,247	58	19,825	58	20,420
b. Non-Resident										
(1) Need-based	3	\$ 843	1	\$ 281		\$		\$		\$
(2) Other criteria					2	624	2	643	2	662
Subtotal	13	\$ 6,753	31	\$ 10,069	60	\$ 19,872	60	\$ 20,468	60	\$ 21,082
3. <u>Other Graduate</u>										
a. Resident	363	\$ 888,577	326	\$ 629,352	504	\$ 579,655	504	\$ 597,045	504	\$ 614,956
b. Non-Resident	356	1,424,628	277	1,375,145	433	1,578,651	433	1,626,011	433	1,674,791
Subtotal	719	\$ 2,313,205	603	\$ 2,004,497	937	\$ 2,158,307	937	\$ 2,223,056	937	\$ 2,289,747
Total Remitted Fees	732	\$ 2,319,958	634	\$ 2,014,566	997	\$ 2,178,179	997	\$ 2,243,524	997	\$ 2,310,829
B. Undergraduate Scholarships, Awards and Other Grants										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria										
b. Non-Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria										
Subtotal		\$		\$		\$		\$		\$
C. Graduate Fellowships, Awards and Other Grants										
a. Resident	344	\$ 1,448,470	783	\$ 1,034,008	317	\$ 1,860,542	317	\$ 1,916,358	317	\$ 1,973,849
b. Non-Resident	189	476,182	283	350,095	162	181,972	162	187,431	162	193,054
Subtotal	533	\$ 1,924,652	1,066	\$ 1,384,103	479	\$ 2,042,514	479	\$ 2,103,789	479	\$ 2,166,903
D. Loan Programs (Matching Funds)										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	1,265	\$ 4,244,610	1,700	\$ 3,398,669	1,476	\$ 4,220,693	1,476	\$ 4,347,313	1,476	\$ 4,477,732
RECAP										
Resident Student Aid	717	\$ 2,342,957	1,139	\$ 1,673,148	879	\$ 2,459,445	879	\$ 2,533,228	879	\$ 2,609,225
Non-Resident Student Aid	548	1,901,653	561	1,725,521	597	1,761,248	597	1,814,085	597	1,868,507
TOTAL STUDENT AID	1,265	\$ 4,244,610	1,700	\$ 3,398,669	1,476	\$ 4,220,693	1,476	\$ 4,347,313	1,476	\$ 4,477,732

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST

Income I Supplement: Gross Student Fee Revenue Analysis

	Medicine	Budgeted 2006-07	Health Sciences	Budgeted 2006-07	Total Budgeted 2006-07	
	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions						
1. <u>Statutory</u>						
a. County Scholarships				\$		
b. Other Statutory Remissions						
Subtotal				\$		
2. <u>Other Undergraduate</u>						
a. Resident						
(1) Need-based		\$		\$	\$	
(2) Other criteria	56	18,618	2	630	58	19,247
b. Non-Resident						
(1) Need-based						0
(2) Other criteria	2	624			2	624
Subtotal	58	19,242	2	630	60	19,872
3. <u>Other Graduate</u>						
a. Resident	449	373,488	55	206,167	504	579,655
b. Non-Resident	387	1,206,762	46	371,889	433	1,578,651
Subtotal	835	1,580,251	101	578,056	937	2,158,307
Total Remitted Fees	893	1,599,493	104	578,686	997	2,178,179
B. Undergraduate Scholarships, Awards and Other Grants						
a. Resident						
(1) Need-based				\$		\$
(2) Other criteria						
b. Non-Resident						
(1) Need-based				\$		\$
(2) Other criteria						
Subtotal				\$		\$
C. Graduate Fellowships, Awards and Other Grants						
a. Resident	269	1,750,509	48	110,033	317	1,860,542
b. Non-Resident	44	31,912	118	150,061	162	181,972
Subtotal	313	1,782,420	166	260,094	479	2,042,514
D. Loan Programs (Matching Funds)						
a. Resident		\$		\$		\$
b. Non-Resident						
Subtotal		\$		\$		\$
Total Student Aid	1,207	3,381,913	270	838,780	1,476	4,220,693
RECAP						
Resident Student Aid	773	2,142,615	106	316,830	879	2,459,445
Non-Resident Student Aid	433	1,239,298	164	521,950	597	1,761,248
TOTAL STUDENT AID	1,207	\$ 3,381,913	270	\$ 838,780	1,476	\$ 4,220,693

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2004-05 Actual		2005-06 Expenditures		2006-07 Projected		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$		\$		\$
b. Other Statutory Remissions										
Subtotal		\$		\$		\$		\$		\$
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based		\$		\$		\$		\$		\$
(2) Other criteria	153	137,629	646	462,485	855	611,821	855	630,176	855	649,081
b. Non-Resident										
(1) Need-based		\$		\$				\$		\$
(2) Other criteria	26	44,404	132	98,681	172	137,032	172	141,143	172	145,377
Subtotal	179	182,033	778	561,166	1,071	748,853	1,027	771,319	1,027	794,458
3. <u>Other Graduate</u>										
a. Resident	667	594,292	650	707,757	620	675,425	620	695,688	620	716,559
b. Non-Resident	805	1,760,449	1,031	2,059,131	978	1,953,653	978	2,012,263	978	2,072,631
Subtotal	1,472	2,354,741	1,681	2,766,888	1,598	2,629,078	1,598	2,707,951	1,598	2,789,190
Total Remitted Fees	1,651	2,536,774	2,459	3,328,054	2,669	3,377,931	2,625	3,479,270	2,625	3,583,648
B. Undergraduate Scholarships, Awards and Other Grants										
a. Resident										
(1) Need-based	648	335,670	841	475,677	809	457,767	809	471,500	809	485,645
(2) Other criteria	5,304	3,286,022	5,826	3,373,030	5,607	3,246,027	5,607	3,343,408	5,607	3,443,710
b. Non-Resident										
(1) Need-based	3	3,586	5	3,956	5	3,904	5	4,021	5	4,142
(2) Other criteria	128	162,708	230	229,125	227	226,105	227	232,888	227	239,875
Subtotal	6,083	3,787,986	6,902	4,081,788	6,648	3,933,803	232	236,909	6,648	4,173,372
C. Graduate Fellowships, Awards and Other Grants										
a. Resident	209	400,648	240	463,323	377	728,118	377	749,962	377	772,461
b. Non-Resident	474	1,541,415	279	1,280,134	435	1,994,479	435	2,054,313	435	2,115,942
Subtotal	683	1,942,063	519	1,743,457	812	2,722,597	812	2,804,275	812	2,888,403
D. Loan Programs (Matching Funds)										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
Subtotal		\$		\$		\$		\$		\$
Total Student Aid	8,417	8,266,823	9,880	9,153,299	10,129	10,034,331	3,669	6,520,454	10,085	10,645,423
RECAP										
Resident Student Aid	6,981	4,754,261	8,203	5,482,272	8,268	5,719,158	8,268	5,890,734	8,268	6,067,456
Non-Resident Student Aid	1,436	3,512,562	1,677	3,671,027	1,817	4,315,173	1,817	4,444,628	1,817	4,577,967
TOTAL STUDENT AID	8,417	\$ 8,266,823	9,880	\$ 9,153,299	10,085	\$ 10,034,331	10,085	\$ 10,335,362	10,085	\$ 10,645,423

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		1,773	2,031	1,619	1,358	
2. Graduate/Professional		2,786	2,865	3,010	3,010	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	4,614	4,559	4,896	4,629	4,368	4,613
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	4,613					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	4,614					
3. Enrollment Change (= D-1 minus D-2)	(1)					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	(3,500)					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104; PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures X: Changing Campus Expenditure Adjustment for Enrollment Change

	4-Year Average 2003-06	Actual 2004-05	Estimated 2005-06	Budgeted 2006-07	Projected 2007-08	4-Year Average 2004-08
A. Indiana Resident FTE Enrollment (1)						
1. Undergraduate		14,488	14,308	14,697	14,586	
2. Graduate/Professional		3,739	3,552	3,764	3,764	
B. Reciprocity Non-Resident FTE Enrollment (1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	18,104	18,227	17,860	18,461	18,350	18,225
D. FTE Enrollment Change						
1. Line C: 2002-06 4-Year Average FTE (Note 2)	18,225					
2. Line C: 2000-04 4-Year Average FTE (Note 3)	18,104					
3. Enrollment Change (= D-1 minus D-2)	121					
4. Adjustment amount per FTE (Note 4)	\$ 3,500					
5. Adjustment = (3) * (4)	423,500					

Notes:

- (1) FTE enrollment figures must balance Background IV Schedule.
- (2) The 2005-08 four-year average is the simple arithmetic average of the totals for 2004-05, 2005-06, 2006-07, and 2007-08.
- (3) The 2002-06 four-year average is the amount to which the previous biennial budget was adjusted, as follows: IUE 1,683; IUK 2,008; IUN 3,810; IUSB 4,973; IUSE 4,589; IPH 4,614; IPGA 18,104, PUC 5,838; PUNC 2,446; IPFW 8,105; USI 7,776; BSU 18,062; VU 6,403; ITSC 40,542; ISU Mediated Instruction 891.
- (4) The 2006-07 operating appropriation of \$1,185,265,774 divided by the 183,932 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2003-04 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-A
Medical, Dental, and Vision Plan Information

Is your institution fully insured or self-funded? Both: 3 self-funded plans; 1 insured HMO

Plans	Contract Year	Number of Employees Enrolled in FY 06 or Most Recent Year	Total Covered Lives in FY 06 or Most Recent Year	Total Monthly Premium Cost	University Contribution **	
					Dollars	Percentage
IU PPO-Plus	2006	1,420	2,261			
Employee Only		995	995	\$ 458.59	\$ 343.22	74.8%
Employee/Child(ren)		71	189	\$ 908.38	\$ 597.22	65.7%
Employee/Spouse		162	324	\$ 1,112.58	\$ 725.58	65.2%
Family		192	753	\$ 1,263.28	\$ 780.02	61.7%
IU PPO \$900 Deductible	2006	7,886	17,652			
Employee Only		2,967	2,967	\$ 283.14	\$ 282.14	99.6%
Employee/Child(ren)		711	1,778	\$ 564.77	\$ 563.77	99.8%
Employee/Spouse		1,844	3,688	\$ 690.42	\$ 689.42	99.9%
Family		2,364	9,220	\$ 784.08	\$ 780.02	99.5%
Blue Preferred POS	2006	3,757	7,540			
Employee Only		1,807	1,807	\$ 320.03	\$ 319.03	99.7%
Employee/Child(ren)		509	1,302	\$ 633.94	\$ 597.22	94.2%
Employee/Spouse		579	1,158	\$ 776.45	\$ 725.58	93.4%
Family		862	3,274	\$ 881.61	\$ 780.02	88.5%
M-Plan HMO	2006	2,383	5,250			
Employee Only		969	969	\$ 354.46	\$ 343.22	96.8%
Employee/Child(ren)		374	1,002	\$ 667.31	\$ 597.22	89.5%
Employee/Spouse		340	680	\$ 816.78	\$ 725.58	88.8%
Family		700	2,599	\$ 927.09	\$ 780.02	84.1%
IU Dental Plan	2006	15,083	31,562			
Employee Only		6,625	6,625	\$ 20.85	\$ 19.07	91.5%
Employee/Child(ren)		1,600	4,032	\$ 37.55	\$ 27.18	72.4%
Employee/Spouse		2,864	5,728	\$ 48.98	\$ 36.73	75.0%
Family		3,994	15,177	\$ 71.44	\$ 51.73	72.4%

Vision Plans ----- No vision benefit provided -----

Other Pharmaceutical/Benefit Managers* Except for M-Plan HMO, PBM is Anthem Rx, same contract as State of Indiana.

*Provide details of any PBM contract.

** Indiana University does not apply percentages in determining contribution splits; instead, flat dollar amounts determine IU contribution

INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Expenditures XII-B
Medical, Dental, and Vision Plan Expenditures

	Total Institutional Expenditures (1)					Estimated
	2000-01	2001-02	2002-03	2003-04	2004-05	2005-06
Medical	50,269,030	54,352,695	57,332,959	65,071,238	79,326,530	79,300,459
Dental (2)	3,579,903	4,743,245	4,450,676	4,653,674	4,950,600	4,737,863
Vision	----- No benefit provided -----					
Prescription Drugs/Pharmaceuticals	11,853,260	13,632,656	17,086,403	18,494,233	20,020,833	19,046,569

(1) Total plan expenditures for fiscal year or plan year. If plan year, please indicate beginning and ending dates. Total institutional expenditures include university share of expenditures, exclude employee copays and deductibles. Multicampus institutions may submit one schedule covering all institutional expenditures.

(2) Employee contributions deducted from Dental expense beginning FY 2002-03

Expenditures XII-C
General Information on Medical, Dental, and Vision Plans

1. What are the renewal dates for your institution's medical, dental, and vision plans?
renewal negotiations in July and August,
for plan coverage effective January 1 to December 31
2. Are retirees eligible for coverage? If so, are they funded differently? Explain.
Under age 65 - Retirees pay COBRA rates, with no explicit IU contribution
Age 65 and over - Retirees have Medicare Supplement underwritten by Anthem (underwritten separately with no IU contribution).
3. Please supply copies or summaries of descriptions of plan benefits. See http://www.indiana.edu/~uhrs/benefits/health_care.html
4. Name and phone number of benefit manager or other person responsible for completing Expenditures XII-A, B, and C.
Susan Brewer subrewer@indiana.edu 812-855-8381

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 4,609,099		\$ 4,894,785		\$ 5,526,287		\$ 5,526,287		\$ 5,526,287	
b. Graduate	3,648,367		3,923,738		4,444,693		4,444,693		4,444,693	
c. Professional	24,246,593		26,802,522		30,637,248		30,637,248		30,637,248	
2. Nonresident										
a. Undergraduate	281,543		243,869		363,429		363,429		363,429	
b. Graduate	3,489,452		4,498,708		3,893,920		3,893,920		3,893,920	
c. Professional	7,967,446		9,249,004		9,991,826		9,991,826		9,991,826	
SUBTOTAL	\$ 44,242,500		\$ 49,612,626		\$ 54,857,403		\$ 54,857,403		\$ 54,857,403	
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	623,614		758,112		830,381		830,381		830,381	
3. Incidental Student Fees	962,865		867,728		832,532		832,532		832,532	
4. Other Credit Fee Income	192,532		802,848		532,048		532,048		532,048	
SUBTOTAL	\$ 1,779,011		\$ 2,428,688		\$ 2,194,961		\$ 2,194,961		\$ 2,194,961	
C. Fee Rate Adjustment							\$ 1,711,569		\$ 3,474,487	
D. Gross Unrestricted Fees	\$ 46,021,511		\$ 52,041,314		\$ 57,052,364		\$ 58,763,933		\$ 60,526,851	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 3,321,066		\$ 3,047,105		\$ 3,243,817		\$ 4,332,751		\$ 4,385,289	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 3,321,066		\$ 3,047,105		\$ 3,243,817		\$ 4,332,751		\$ 4,385,289	
F. Net Unrestricted Fees	\$ 42,700,445		\$ 48,994,209		\$ 53,808,547		\$ 54,431,182		\$ 56,141,562	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation										
c. Student Government										
d. Other										
2. Performance Facilities										
a. Telecommunications										
SUBTOTAL										
Gross Fee Base	\$ 46,021,511		\$ 52,041,314		\$ 57,052,364		\$ 58,763,933		\$ 60,526,851	

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST

Income I Supplement: Gross Student Fee Revenue Analysis

	Medicine Budgeted 2006-07		Health Sciences Budgeted 2006-07		Total Budgeted 2006-07	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue						
1. Resident						
a. Undergraduate	\$ 1,608,062		\$ 3,918,225		\$ 5,526,287	
b. Graduate	1,447,921		2,996,772		4,444,693	
c. Professional	23,826,507		6,810,741		30,637,248	
2. Nonresident						
a. Undergraduate	9,548		353,881		363,429	
b. Graduate	1,965,496		1,928,424		3,893,920	
c. Professional	4,214,868		5,776,958		9,991,826	
SUBTOTAL	\$ 33,072,402		\$ 21,785,001		\$ 54,857,403	
B. Other Fees						
1. Continuing Education						
2. Special Course Fees	107,854		722,527		830,381	
3. Incidental Student Fees	246,994		585,538		832,532	
4. Other Credit Fee Income	6,500		525,548		532,048	
SUBTOTAL	\$ 361,348		\$ 1,833,613		\$ 2,194,961	
C. Fee Rate Adjustment						
D. Gross Unrestricted Fees	\$ 33,433,750		\$ 23,618,614		\$ 57,052,364	
E. Academic Facilities Fees Contained in (A)						
1. Debt Service	\$ 1,657,271		\$ 1,586,546		\$ 3,243,817	
2. Coverage or Reserve Requirements						
SUBTOTAL	\$ 1,657,271		\$ 1,586,546		\$ 3,243,817	
F. Net Unrestricted Fees	\$ 31,776,479		\$ 22,032,068		\$ 53,808,547	
G. Other Dedicated Fee Revenue						
1. Student Activities						
a. Athletics						
b. Recreation						
c. Student Government						
d. Other						
2. Performance Facilities						
a. Telecommunications						
SUBTOTAL						
Gross Fee Base	\$ 33,433,750		\$ 23,618,614		\$ 57,052,364	

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2004-05		Estimated Actual 2005-06		Budgeted 2006-07		Projected 2007-08		Projected 2008-09	
	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary	Unrestricted	Restricted Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 73,426,558		\$ 80,455,565		\$ 87,393,290		\$ 87,393,290		\$ 87,393,290	
b. Graduate	13,294,654		13,984,947		14,989,160		14,989,160		14,989,160	
c. Professional	6,988,351		7,843,699		8,413,245		8,413,245		8,413,245	
2. Nonresident										
a. Undergraduate	6,084,817		6,733,289		6,292,224		6,292,224		6,292,224	
b. Graduate	15,884,957		18,042,689		16,154,458		16,154,458		16,154,458	
c. Professional	3,225,781		4,234,853		5,352,698		5,352,698		5,352,698	
SUBTOTAL	\$ 118,905,118		\$ 131,295,042		\$ 138,595,075		\$ 138,595,075		\$ 138,595,075	
B. Other Fees										
1. Continuing Education		\$ 118,961		\$ 45,522						
2. Special Course Fees	2,490,049		2,681,140		2,500,495		2,500,495		2,500,495	
3. Incidental Student Fees	9,830,326		8,789,564		7,826,805		7,826,805		7,826,805	
4. Other Credit Fee Income	607,153		708,208		673,135		673,135		673,135	
SUBTOTAL	\$ 12,927,528	\$ 118,961	\$ 12,178,912	\$ 45,522	\$ 11,000,435		\$ 11,000,435		\$ 11,000,435	
C. Fee Rate Adjustment							\$ 4,487,866		\$ 9,110,367	
D. Gross Unrestricted Fees	\$ 131,832,646	\$ 118,961	\$ 143,473,954	\$ 45,522	\$ 149,595,510		\$ 154,083,376		\$ 158,705,877	
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 15,544,404		\$ 15,409,015		\$ 16,403,766		\$ 20,727,099		\$ 20,978,429	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 15,544,404		\$ 15,409,015		\$ 16,403,766		\$ 20,727,099		\$ 20,978,429	
F. Net Unrestricted Fees	\$ 116,288,242	\$ 118,961	\$ 128,064,939	\$ 45,522	\$ 133,191,744		\$ 133,356,277		\$ 137,727,448	
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 757,132								
b. Recreation		47,153		\$ 38,532		\$ 38,500		\$ 38,500		\$ 38,500
c. Student Government										
d. Other		1,168,194		1,017,436		503,432		503,432		503,432
2. Performance Facilities										
a. Telecommunications										
SUBTOTAL		\$ 1,972,479		\$ 1,055,968		\$ 541,932		\$ 541,932		\$ 541,932
Gross Fee Base	\$ 131,832,646	\$ 2,091,440	\$ 143,473,954	\$ 1,101,490	\$ 149,595,510	\$ 541,932	\$ 154,083,376	\$ 541,932	\$ 158,705,877	\$ 541,932

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	<u>2004-05 Actual</u>	<u>2005-06 Actual</u>	<u>2006-07 Estimated</u>	<u>2007-08 Requested</u>
Acts of 1965				
Permanently Scheduled*	\$ 18,865,470	\$ 18,456,120	\$ 19,075,670	\$ 23,992,303
Interim Financing	-	-	-	-
New Debt Service**				
Medical Education Center (Fort Wayne)				1,067,547
Subtotal, Acts of 1965	\$ 18,865,470	\$ 18,456,120	\$ 19,075,670	\$ 25,059,850
Acts of 1927 or 1929				
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 18,865,470	\$ 18,456,120	\$ 19,075,670	\$ 25,059,850
Reconciliation with Appropriated Amounts				
Reverted to State	917,278	-	571,913	
Appropriated Fee Replacement	\$ 19,782,748	\$ 18,456,120	\$ 19,647,583	

* Excludes capitalized interest of \$778,896 in 2005-06 and \$1,918,625 in 2006-07.

** Assumes 20 years at 6.25%.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	Allocation Basis: Projected Credit Hours	2007-08 Allocation	2008-09 Allocation
Medicine	61,791	\$ 2,213,610	\$ 2,240,452
Health	59,154	2,119,141	2,144,837
General Academic	578,579	20,727,099	20,978,429
Total	699,524	\$ 25,059,850	\$ 25,363,718

TOTAL INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2005-06 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget*	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 191,293,076	\$ (4,157,128)	\$ 187,135,948	\$ 3,227,875	\$ 1,669,259	\$ 192,033,082
State Appropriation - Operating	189,793,342	2	189,793,344			189,793,344
State Appropriation - Debt Service		18,456,120	18,456,120			18,456,120
State Appropriation - Special		-	-	1,214,303		1,214,303
Indirect Cost Recovery		40,122,750	40,122,750			40,122,750
Sales and Service		9,245,863	9,245,863	11,672,117	63,452,768	84,370,748
Investment, Endowment, and Other Income		13,279,780	13,279,780	23,168,206	76,027,143	112,475,129
Sponsored Research		-	-	245,000,000		245,000,000
Other Revenue		110,047,265	110,047,265	8,337,402	767,142	119,151,809
Total Revenue	\$ 381,086,418	\$ 186,994,652	\$ 568,081,070	\$ 292,619,903	\$ 141,916,312	\$ 1,002,617,285
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 217,406,680			
Retirement - PERF Program			2,737,084			
Retirement - TIAA Program			28,691,874			
Social Security			15,489,543			
Life Insurance			843,958			
Health/Medical Insurance			24,407,566			
Other Personal Services			83,297,145			
Total Personal Services	\$ 282,073,077	\$ 90,800,773	\$ 372,873,850	\$ 153,402,183	\$ 78,013,454	\$ 604,289,487
SUPPLIES & EXPENSES						
General S&E			\$ 126,663,844			
Energy			17,113,245			
Utilities			899,232			
Property & Liability Insurance			1,847,606			
Other Supplies and Expenses			35,618,477			
Total Supplies and Expenses	\$ 86,305,605	\$ 95,836,799	\$ 182,142,404	\$ 132,439,489	\$ 62,478,178	\$ 377,060,071
STUDENT ASSISTANCE	\$ 12,707,736	\$ 357,080	\$ 13,064,816	\$ 6,778,231	\$ 1,424,680	\$ 21,267,727
OTHER						\$ -
Total Expenditures	\$ 381,086,418	\$ 186,994,652	\$ 568,081,070	\$ 292,619,903	\$ 141,916,312	\$ 1,002,617,285

* General Fund Budget totals include the Medical Practice General Funds, including \$109,779,765 of other revenues, \$83,209,327 compensation, \$26,424,538 supplies and expenses, and \$145,900 student assistance.

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

TOTAL INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2006-07 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget*	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 206,647,874	\$ (3,818,242)	\$ 202,829,632	\$ 3,401,232	\$ 1,739,368	\$ 207,970,232
State Appropriation - Operating**	192,095,457	(2,746,333)	189,349,124			189,349,124
State Appropriation - Debt Service	-	19,647,583	19,647,583			19,647,583
State Appropriation - Special	-	-	-	1,214,303		1,214,303
Indirect Cost Recovery	-	39,145,853	39,145,853			39,145,853
Sales and Service	-	10,749,729	10,749,729	10,723,180	64,429,633	85,902,542
Investment, Endowment, and Other Income	-	15,801,958	15,801,958	23,563,194	84,021,650	123,386,802
Sponsored Research	-	-	-	242,250,000		242,250,000
Other Revenue	-	123,490,448	123,490,448	8,075,768	1,243,018	132,809,234
Total Revenue	\$ 398,743,331	\$ 202,270,996	\$ 601,014,327	\$ 289,227,677	\$ 151,433,669	\$ 1,041,675,673
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 224,946,142			
Retirement - PERF Program			2,451,825			
Retirement - TIAA Program			30,095,950			
Social Security			15,887,622			
Life Insurance			1,092,560			
Health/Medical Insurance			27,413,204			
Other Personal Services			97,247,446			
Total Personal Services	\$ 294,408,458	\$ 104,726,291	\$ 399,134,749	\$ 153,797,826	\$ 81,251,113	\$ 634,183,688
SUPPLIES & EXPENSES						
General S&E			\$ 131,212,481			
Energy			17,926,643			
Utilities			1,131,382			
Property & Liability Insurance			1,751,323			
Other Supplies and Expenses			35,488,725			
Total Supplies and Expenses	\$ 90,079,849	\$ 97,430,705	\$ 187,510,554	\$ 128,713,819	\$ 68,651,113	\$ 384,875,486
STUDENT ASSISTANCE	\$ 14,255,024	\$ 114,000	\$ 14,369,024	\$ 6,716,032	\$ 1,531,443	\$ 22,616,499
OTHER			\$ -			\$ -
Total Expenditures	\$ 398,743,331	\$ 202,270,996	\$ 601,014,327	\$ 289,227,677	\$ 151,433,669	\$ 1,041,675,673

* General Fund Budget totals include the Medical Practice General Funds, including \$123,222,948 of other revenues, \$96,751,437 compensation, \$26,357,511 supplies and expenses, and \$114,000 student assistance.

** The legislative budget has been adjusted to move \$2,746,333 in appropriation for Optometry from Bloomington to IUPUI Health Sciences.

NOTE: Beginning with 2004-05, the Official Budget of the University was reported net of internal transfers. Figures are not directly comparable with prior years' reported numbers.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Background II-A: Retirement of Principal for Outstanding Capital Debt
Projects Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ 147,799,302	\$ 182,612,622	\$ 173,220,741	\$ 161,805,888	\$ 149,651,030
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ 147,799,302	\$ 182,612,622	\$ 173,220,741	\$ 161,805,888	\$ 149,651,030
New Debt					
Medical Education Center (Fort Wayne)			\$ 12,000,000	\$ 11,682,453	\$ 11,345,059
Subtotal, Acts of 1965	\$ 147,799,302	\$ 182,612,622	\$ 185,220,741	\$ 173,488,341	\$ 160,996,089
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Existing Debt: Interim & Variable Financing	-	-	-	-	-
Subtotal	\$ -	\$ -	\$ -	\$ -	\$ -
New Debt			\$ -	\$ -	\$ -
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
TOTAL	\$ 147,799,302	\$ 182,612,622	\$ 185,220,741	\$ 173,488,341	\$ 160,996,089

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Background II-B: Retirement of Principal for Outstanding Capital Debt
Projects Not Eligible for Fee Replacement

	Outstanding Principal June 30, 2005	Outstanding Principal June 30, 2006	Estimated Outstanding Principal		
			June 30, 2007	June 30, 2008	June 30, 2009
<u>Projects Not Eligible for Fee Replacement</u>					
Acts of 1965					
Existing Debt: Permanently Scheduled	\$ -	\$ 14,840,000	\$ 14,840,000	\$ 14,840,000	\$ 14,505,000
Existing Debt: Interim & Variable Financing	-	20,000,000	-	-	-
Subtotal	\$ -	\$ 34,840,000	\$ 14,840,000	\$ 14,840,000	\$ 14,505,000
New Debt					
Campus Center			\$ 20,000,000	\$ 19,648,108	\$ 19,274,223
Subtotal, Acts of 1965	\$ -	\$ 34,840,000	\$ 34,840,000	\$ 34,488,108	\$ 33,779,223
Acts of 1927 or 1929					
Existing Debt: Permanently Scheduled	\$ 53,867,660	\$ 51,667,563	\$ 49,323,000	\$ 46,950,000	\$ 44,145,000
Existing Debt: Interim & Variable Financing	28,404,302	58,946,810	26,768,633	25,833,218	24,852,480
Subtotal	\$ 82,271,962	\$ 110,614,373	\$ 76,091,633	\$ 72,783,218	\$ 68,997,480
New Debt					
Research Institute III			\$ 25,000,000	\$ 24,338,443	\$ 23,635,539
University Place Hotel			31,200,000	30,651,048	30,067,787
Subtotal, Acts of 1927 or 1929	\$ 82,271,962	\$ 110,614,373	\$ 132,291,633	\$ 127,772,709	\$ 122,700,806
TOTAL	\$ 82,271,962	\$ 145,454,373	\$ 167,131,633	\$ 162,260,817	\$ 156,480,029
GRAND TOTAL, ALL DEBT	\$ 230,071,264	\$ 328,066,995	\$ 352,352,374	\$ 335,749,158	\$ 317,476,118

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident	8	\$ 6,800	7	\$ 7,255	7	\$ 7,710	7	\$ 7,941	7	\$ 8,179
b. Non-Resident	6	36,583	4	39,031	4	41,479	4	42,723	4	44,005
**Total Graduate Fee Remissions **	14	\$ 43,383	11	\$ 46,286	11	\$ 49,189	11	\$ 50,664	11	\$ 52,184
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	652	\$ 376,913	536	\$ 321,335	260	\$ 155,588	260	\$ 160,256	260	\$ 165,064
2. Spouses	118	29,449	95	24,429	112	28,766	112	29,629	112	30,518
3. Children of Faculty or Staff	291	200,172	249	203,759	544	445,917	544	459,295	544	473,074
**Total Fee Courtesy Remissions **	1,061	\$ 606,534	880	\$ 549,523	916	\$ 630,271	916	\$ 649,180	916	\$ 668,656
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
****TOTAL SPECIAL FEE REMISSIONS ****	1,075	\$ 649,917	891	\$ 595,809	927	\$ 679,460	927	\$ 699,844	927	\$ 720,840

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2007-09 OPERATING APPROPRIATION REQUEST

Background III: Special Fee Remissions and/or Waivers

	2004-05 Actual		2005-06 Actual		2006-07 Budgeted		2007-08 Projected		2008-09 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
I. Budget Information										
A. <u>Undergraduate Fee Remissions</u>										
a. Resident		\$		\$		\$		\$		\$
b. Non-Resident										
**Total Undergraduate Fee Remissions **		\$		\$		\$		\$		\$
B. <u>Graduate Fee Remissions</u>										
a. Resident	97	\$ 121,054	73	\$ 97,962	80	\$ 106,801	80	\$ 110,005	80	\$ 113,305
b. Non-Resident	62	199,608	46	172,149	50	187,683	50	193,313	50	199,112
**Total Graduate Fee Remissions **	159	\$ 320,662	119	\$ 270,111	130	\$ 294,484	130	\$ 303,318	130	\$ 312,417
C. <u>Faculty and Staff Courtesy Fee Remissions</u>										
1. Employed Faculty or Staff	1,013	\$ 585,222	832	\$ 498,930	403	\$ 241,577	403	\$ 248,824	403	\$ 256,289
2. Spouses	182	45,725	147	37,931	173	44,654	173	45,994	173	47,374
3. Children of Faculty or Staff	452	310,801	385	316,369	843	692,208	843	712,974	843	734,363
**Total Fee Courtesy Remissions **	1,647	\$ 941,747	1,364	\$ 853,230	1,419	\$ 978,439	1,419	\$ 1,007,792	1,419	\$ 1,038,026
D. <u>Other Special Fee Remissions</u>		\$		\$		\$		\$		\$
***TOTAL SPECIAL FEE REMISSIONS ***	1,806	\$ 1,262,409	1,483	\$ 1,123,341	1,549	\$ 1,272,923	1,549	\$ 1,311,110	1,549	\$ 1,350,443

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	2,893	2,748	3,190	2,543	2,133	2,133
2. Non-Resident	50	46	102	75	63	63
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	1,894	1,820	2,267	2,382	2,382	2,382
2. Non-Resident	301	349	541	475	475	475
3. Reciprocity Non-Resident						
TOTAL	5,138	4,963	6,100	5,475	5,053	5,053
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	2,204	1,773	2,031	1,619	1,358	1,358
2. Non-Resident	68	33	69	51	43	43
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	2,757	2,786	2,865	3,010	3,010	3,010
2. Non-Resident	543	534	636	558	558	558
3. Reciprocity Non-Resident						
TOTAL	5,572	5,126	5,601	5,238	4,969	4,969
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction

0

0

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2005-07 OPERATING APPROPRIATION REQUEST

Background IV: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2003-04	Actual 2004-05	Estimated 2005-06	Projected 2006-07	Projected 2007-08	Projected 2008-09
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	24,779	24,791	24,480	25,146	24,956	24,956
2. Non-Resident	858	813	752	798	791	791
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	8,072	7,796	6,666	7,064	7,064	7,064
2. Non-Resident	1,862	2,173	2,024	1,860	1,860	1,860
3. Reciprocity Non-Resident						
TOTAL	35,571	35,573	33,922	34,868	34,671	34,671
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	14,173	14,488	14,308	14,697	14,586	14,586
2. Non-Resident	402	431	405	430	426	426
3. Reciprocity Non-Resident Unknown/Correspondence						
B. Graduate/Professional						
1. Indiana Resident	3,584	3,739	3,552	3,764	3,764	3,764
2. Non-Resident	890	1,120	1,075	988	988	988
3. Reciprocity Non-Resident						
TOTAL	19,049	19,778	19,340	19,879	19,764	19,764
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity						
B. Graduate/Professional Resident and Reciprocity						
C. Undergraduate Nonresident						
D. Graduate/Professional Nonresident						
E. Undergraduate Residency Unknown						

MEMO ITEM: FTE generated in the above attributable to dual credit instruction

0

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INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2007-09 OPERATING APPROPRIATION REQUEST

Background VI: 2006-08 Budgeted Expenditures for Plant Operations and Maintenance

	Research & NonClass Lab Facilities (1)	Other Facilities (2)	Total (3)
A. Personnel Services	\$ 2,765,603	\$ 14,517,339	\$ 17,282,942
B. Supplies and Expenses			
1. General S&E	\$ 336,223	\$ 1,764,921	\$ 2,101,144
2. Energy	1,965,919	10,319,602	12,285,521
3. Utilities	754,175	3,958,853	4,713,028
4. Fire & Casualty Insurance	229,785	1,206,200	1,435,985
* Subtotal	\$ 3,286,102	\$ 17,249,576	\$ 20,535,678
C. Total Operating Expenditures (A+B)	\$ 6,051,705	\$ 31,766,915	\$ 37,818,620
D. Total Gross Square Feet	613,628	4,371,820	4,985,448
E. Total Assignable Square Feet	349,204	2,642,065	2,991,269
F. Plant O&M Expenditures per GSF (C / D)	\$ 9.86	\$ 7.27	\$ 7.59
G. Plant O&M Expenditures per ASF (C / E)	\$ 17.33	\$ 12.02	\$ 12.64
Total campus academic/administrative ASF			2,991,269
Total ASF classified as research/nonclass lab			459,526

Notes:

(1) Includes ONLY academic/administrative buildings in which 50% or more of the assignable space is classified as 250 or 255 under the definitions in the 1992 Postsecondary Education Facilities Inventory and Classification Manual. Does NOT include auxiliary facilities.

(2) Includes all other academic/administrative space. Does NOT include auxiliary facilities.

(3) Total of the two columns displayed.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- MEDICINE
2005-07 OPERATING APPROPRIATION REQUEST

Background VII: Sponsored Research and University General Fund Support for Research

	<u>2001-02</u> <u>Actual</u>	<u>2002-03</u> <u>Actual</u>	<u>2003-04</u> <u>Actual</u>	<u>2004-05</u> <u>Actual</u>	<u>2005-06</u> <u>Estimated</u>	<u>2006-07</u> <u>Projected</u>	<u>2007-08</u> <u>Projected</u>
Sponsored Research Expenditures							
A. Federal	\$ 61,702,231	\$ 71,247,633	\$ 78,331,045	\$ 78,503,373	\$ 73,491,847	\$ 82,784,865	\$ 93,252,982
B. Industry	1,474,242	1,898,113	1,963,579	2,500,157	2,472,908	2,785,607	3,137,846
C. Private Foundation / Other	<u>21,796,502</u>	<u>30,107,735</u>	<u>32,363,042</u>	<u>27,130,535</u>	<u>33,767,038</u>	<u>38,036,868</u>	<u>42,846,617</u>
D. Total	\$ 84,972,974	\$ 103,253,481	\$ 112,657,666	\$ 108,134,064	\$ 109,731,794	\$ 123,607,340	\$ 139,237,445
Increase in Competitively Awarded Sponsored Research Expenditures		\$ 18,280,506	\$ 9,404,185	\$ (4,523,601)	\$ 1,597,729	\$ 13,875,546	\$ 15,630,105
Four-year average, 2004-05 through 2007-08	\$ 120,177,661						
Four-year average, 2002-03 through 2005-06	<u>108,444,251</u>						
Change	\$ 11,733,410						
50% of Change	\$ 5,866,705						

A complete list of all competitively awarded sponsored research expenditures by category for the fiscal years 2004 and 2005, specifying grantor, identification number, descriptive title, and amount expended during the appropriate fiscal year, will be provided.

Special State Appropriations

INDIANA UNIVERSITY
2007-09 SPECIAL STATE APPROPRIATION REQUEST

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INDIANA UNIVERSITY
2007-09 OPERATING APPROPRIATION REQUEST

Summary of Proposed Requests

	2006-07 Base Year	2007-08			2008-09		
		Amount Change	Percent Change	Amount	Amount Change	Percent Change	Amount
Life Sciences							
State General Funds	\$ -	\$ 30,000,000	0.0%	\$ 30,000,000	\$ 20,000,000	66.7%	\$ 50,000,000
Pre-Collegiate Outreach							
State General Funds	\$ -	\$ 5,000,000	0.0%	\$ 5,000,000	\$ -	0.0%	\$ 5,000,000
Optometry Education Fund							
State General Funds	\$ 1,500	\$ 27,500	1833.3%	\$ 29,000	\$ (27,500)	-94.8%	\$ 1,500
Chemical Test Training Program							
State General Funds	\$ 644,058	\$ 19,321	3.0%	\$ 663,379	\$ 19,901	3.0%	\$ 683,280
Indiana Institute for Disability and Community							
State General Funds	\$ 2,432,526	\$ 72,976	3.0%	\$ 2,505,502	\$ 75,165	3.0%	\$ 2,580,667
State Dedicated Funds	2,506,804	(864,799)	-34.5%	1,642,005	(1,317,106)	-80.2%	324,899
Federal Funds	694,360	(69,137)	-10.0%	625,223	(14,036)	-2.2%	611,187
Total	\$ 5,633,690	\$ (860,960)	-15.3%	\$ 4,772,730	\$ (1,255,977)	-26.3%	\$ 3,516,753
Indiana Geological Survey							
State General Funds	\$ 3,046,002	\$ 91,380	3.0%	\$ 3,137,382	\$ 94,121	3.0%	\$ 3,231,503
Indiana Advisory Commission on Intergovernmental Relations							
State General Funds	\$ 55,518	\$ 1,666	3.0%	\$ 57,184	\$ 1,716	3.0%	\$ 58,900
Abilene Network Operations							
State General Funds	\$ 817,502	\$ 24,524	3.0%	\$ 842,026	\$ 25,261	3.0%	\$ 867,287
Indiana GigaPop Project							
State General Funds	\$ 727,638	\$ 21,829	3.0%	\$ 749,467	\$ 22,484	3.0%	\$ 771,951
IU Spinal Cord/Head Injury Research							
State General Funds	\$ 514,726	\$ 15,442	3.0%	\$ 530,168	\$ 15,905	3.0%	\$ 546,073
TOTALS							
State General Funds	\$ 8,239,470	\$ 35,274,638	428.1%	\$ 43,514,108	\$ 20,227,053	46.5%	\$ 63,741,161
State Dedicated Funds	2,506,804	(864,799)	-34.5%	1,642,005	(1,317,106)	-80.2%	324,899
Federal Funds	694,360	(69,137)	-10.0%	625,223	(14,036)	-2.2%	611,187
All Funds	\$ 11,440,634	\$ 34,340,702	300.2%	\$ 45,781,336	\$ 18,895,911	41.3%	\$ 64,677,247

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Life Sciences Initiative	
ACCT # & NAME		

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ -	\$ -	\$ -		\$ 10,000,000	\$ 20,000,000		
Fringe Benefits	-	-	-		3,900,000	7,800,000		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ -	\$ -	\$ -		\$ 13,900,000	\$ 27,800,000		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	-	-	-		2,500,000	5,000,000		
.5 Equipment	-	-	-		13,600,000	17,200,000		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ -	\$ -	\$ -		\$ 16,100,000	\$ 22,200,000		
GRAND TOTAL	\$ -	\$ -	\$ -		\$ 30,000,000	\$ 50,000,000		
Funded by General Fund	-	-	-		30,000,000	50,000,000		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ -	\$ -	\$ -		\$ 30,000,000	\$ 50,000,000		

Summary of Request

Indiana can heighten its competitiveness in the life sciences industry through further investment in IU's life sciences enterprise. Indeed, the state's new economic development strategic plan, *Accelerating Indiana*, emphasizes the need for further increasing the capacity of the state's universities to conduct cutting-edge research. IU's request for special state appropriation for the life sciences represents the first of multi-biennial requests to assist the university with implementation of its Life Sciences Strategic Plan, which was released in early 2006. The request for the FY 2007-09 biennium will allow us to recruit approximately 100 new faculty that will be engaged in the life sciences during the biennium. Additional base operating support for the eight IU School of Medicine Centers for Medical Education is also included. Finally, funds are requested to accelerate the commercialization of intellectual property and to expand IU's statewide economic development efforts in the life sciences.

New faculty hired through this initiative will be working on the frontiers of life sciences research. They and their colleagues will play a key role in IU's efforts to contribute to expansion of the life sciences industry in the state. Funds are requested to assist with financing start-up packages, which are key to successful recruitment of top scientific talent, and to provide ongoing financial support for the new faculty.

The request for additional base support for our eight School of Medicine Centers for Medical Education will be used to expand research engagements at selected campuses that are aligned with regional economic development priorities, to increase medical school enrollment in order to increase the supply of medical school graduates, and to promote rural health initiatives.

Funds are also requested to enhance and enlarge IU's efforts in statewide economic development activities pursued by its Advancing Indiana Office and to increase commercialization of intellectual property developed by IU faculty through the Indiana University Research and Technology Corporation technology transfer office.

Indiana University is requesting \$30 million in base funds for the life sciences initiative for FY 2007-08 and another \$20 million in FY 2008-09, thus bringing total base support to \$50 million. Subsequent biennial appropriation requests will include funds for further implementation of the initiative over the next ten years

Narrative Description

A recent report by the Battelle Memorial Institute identified Indiana as one of the nation's top four life sciences leaders based on the number and concentration of life sciences jobs. For years, Indiana University has contributed to the development of this life sciences industry through extensive research engagements, primarily at the IU School of Medicine (IUSM) and at the Bloomington campus. In addition, IU has trained many of the workers employed in the life sciences industry through its extensive academic program offerings. In fact, IU has recently expanded its programs in the life sciences. For example, new undergraduate and graduate degrees are being offered in biotechnology and human biology on the Bloomington campus. With a strong foundation in the life sciences already laid, the time is ripe for additional strategic investment by the state in life sciences research at Indiana University, as set out in the state's own economic

development strategic plan, *Accelerating Growth*. This plan calls for increasing Indiana's capacity for conducting cutting-edge research and development at universities and business.

Indiana University has the experience and the organizational track record to move our state's already robust life sciences industry even farther ahead, attracting talent, encouraging innovation, and inspiring investment that will increase Hoosier incomes and opportunities for years to come. Because of IU's success and the talent of its faculty, Indiana is in a unique position to grow its life sciences industry more quickly than other states.

IU has been expanding its life sciences research engagements in recent years. Three major grants from the Lilly Endowment have provided funding to launch important new life sciences initiatives. In 2000, officials of Indiana University and the Lilly Endowment announced the creation of the Indiana Genomics Initiative, which is supported by a \$105 million grant from the Lilly Endowment. The creation of the Indiana Genomics Initiative (INGEN) was a transformative event. It has fueled a new era of research at the IU School of Medicine, prompted unprecedented academic collaboration, and laid the foundation for the formation of BioCrossroads and development of Indiana University's Life Sciences Strategic Plan.

The initial INGEN grant had such a significant impact that in 2003 the Lilly Endowment awarded a second INGEN grant of \$50 million. Within IU and especially at IUSM, INGEN has been a catalyst with a very broad impact. It has resulted in:

- Continued developments in life sciences at IU, including the METACyt initiative (discussed below), and the creation of a life sciences strategic plan for IU.
- Recruitments of more than 60 talented new researchers.
- The equipping of laboratories in which those scientists can do their work.
- New and expanded research support (core) services to assist investigators. Significant investments in supercomputing and data storage resources necessary to conduct modern life sciences research. In fact, IU's supercomputer system was recently ranked as the faster supercomputer owned and operated by a U.S. university.
- A new wave of entrepreneurial activities to bring discoveries to the marketplace.
- A new era of collaboration within Indiana University, and between IU and other universities, businesses and government agencies in the state and nation.
- Funding for two new education programs: The INGEN Scholars Program for M.D. /PhD students (supplementing existing M.D. /PhD. programs) and the Biotechnology Training Program.
- The attraction of additional research dollars. As of January 2006, INGEN funds had supported grant proposals that brought in \$387 million in new research funds.

Among these new research dollars is another grant from the Lilly Endowment to fund the Metabolomics and Cytomics Initiative (METACyt). This \$53 million grant, awarded to the Bloomington campus in 2004, is helping propel Indiana University into the newest endeavors in the life sciences – the intense world-wide competition to understand the functioning of biological cells. Such research will aid scientists in understanding biological systems at the molecular level and will lead to better understanding, diagnosis and treatment of human diseases. Over five years,

the grant will provide critical financial support for faculty, research, and new facilities and equipment.

Combined with IU's current strengths in genomics, proteomics, and information technology, the METACyt and INGEN initiatives will help the university attain its goal of moving to the front ranks of national research in the life sciences. Accordingly, this initiative seeks to leverage the Endowment grant by bringing in substantially increased federal research funds. In addition, coupled with other initiatives such as BioCrossroads, Inproteo, and INCAPS, METACyt is fueling the development of new spin-off companies and intellectual property that is facilitating life sciences growth in Indiana, critical to the State's future economic vitality. METACyt has already provided seed money for two new ventures, one in Bloomington and one in Indianapolis, and more are being considered. Clearly, the Lilly Endowment has helped lay the foundation for the life sciences revolution in Indiana.

Indiana University's Life Sciences Strategic Plan, released in February 2006, details actions that will further advance IU's life sciences research engagements. The plan builds on the explosive growth of life science research activities at IU recent years. In addition to analytical chemistry, implementation of the plan will focus our research agenda and move Indiana into the ranks of the nation's top research centers in six areas of the life sciences:

- organic chemistry
- cancer biology
- neurosciences
- diabetes
- metabolic disorders
- model systems in biological research

The plan spells out how IU can align existing strengths in these life sciences fields with its substantial information technology and supercomputing capability. Combining these resources in cooperative ventures will increase IU's competitiveness for major research grants from the National Institutes of Health, the National Science Foundation, and from other federal and private grantors. Pursuit of strategies outlined in the plan will encourage collaboration between faculty working in the laboratories in Bloomington – which have some of the most sophisticated devices in the world for analyzing and measuring chemical compounds – with faculty working in the laboratories at the IU School of Medicine, where innovative research is being done on cancer, diabetes and other diseases.

The comprehensive IU Life Sciences Strategic Plan sets key priority areas and prescribes specific actions for elevating areas of life sciences research to international prominence. Accordingly, IU has established a goal of doubling externally funded research over ten years. The plan outlines strategies that will enable Indiana University to:

1. Develop and attract even more talent by

- Recruiting additional researchers and scientists in the six areas of life science concentration who will in return recruit teams of laboratory researchers.

- Increasing laboratory, office and teaching space for additional life scientists.
- Supporting the education of life scientists, especially those who will remain in Indiana to work in academia or for industry.

2. Foster innovation by

- Encouraging and facilitating interdisciplinary research projects in the life sciences involving not only biologists, chemists and medical researchers, but physicists, environmental scientists, health and recreation researchers and agricultural researchers.
- Improving IU life scientists' access to informatics tools and experts.

3. Inspire investment by

- Doubling federal support of IU research within the decade.
- Expanding the "translation" of basic science discoveries into applied, licensable technologies for use in medicine and other areas of human activity.
- Working with private industry partners and investors to attract more federal and private support for the life sciences and health industries.

The strategic plan also prescribes dozens of specific actions to accomplish these and other goals. Finally, the plan identifies a need for more than a million square feet of additional laboratory space in Bloomington and Indianapolis and recommends the recruitment and hiring of a significant number of top research professionals at both campuses. The Life Sciences Strategic Plan can be found at: <http://lifesciences.iu.edu/strategic/>

Indiana University has achieved a record of remarkable performance and excellence in the life sciences in a relatively short period. IU leads the Big 10 in National Academy of Sciences members in the life sciences. Therefore, the time has come for additional strategic investment by the state to further accelerate IU's contributions to the life sciences industry in Indiana. Accordingly, the university is presenting a special request for state funds for implementation of its life sciences initiative, consistent with its strategic plan.

A key to further expansion of life sciences research is recruiting many additional faculty at both the Bloomington and Indianapolis campuses. IU proposes to add approximately 100 new faculty during the upcoming biennium and house them in new research facilities that will open on each campus during the next 2-3 years. Most of the state funds requested will be used to help fund start-up packages necessary to recruit the best research talent through national and international searches and to provide a portion of the funds necessary to support ongoing research activity.

Substantial start-up packages are required for recruitment of faculty scientists. Start-up packages fund laboratory set-up expenses, including equipment procurement, and support initial research operations. These packages are, in effect, seed funds for the acquisition of external grants that provide continuing direct support for cutting-edge research.

It is important to point out that conducting research involves an additional cost to the university. Despite substantial external grant awards that are often secured by scientific researchers, such funding does not cover all of the costs that research universities such as IU incur in their research

engagements. Thus, this request also includes ongoing state financial support for the new faculty to help IU cover unfunded expenses for life sciences research.

Another element of the life sciences initiative is increased funding for the Indiana University School of Medicine Centers for Medical Education. These Centers are located at IU's Northwest, Fort Wayne, and Bloomington campuses. Additional Centers are located on partner campuses at the University of Notre Dame (South Bend), Indiana State University (Terre Haute), Purdue University (West Lafayette), Ball State University (Muncie), and the University of Southern Indiana (Evansville). \$6 million for FY 2007-08 and \$8 million for FY 2008-09 in additional base operating funds are being sought to expand research engagements at selected Centers in concert with their host educational institutions and/or their regional economies. In addition, these funds will assist with development of an expanded rural health focus and facilitate an increase in the number of students enrolled at certain centers in an effort to ensure that there is an adequate number of primary care physicians practicing in Indiana in future years as a generation of physicians currently in practice begins to retire.

The final element of the life sciences initiative involves additional resources to ensure maximum commercialization of intellectual property developed at Indiana University and to expand the University's statewide economic development engagements. The intent is to launch a three-pronged pilot project designed to extend the promise of life sciences job creation and income throughout the state. IU is requesting \$1.5 million in FY 2007-08 and \$1.8 million in FY 2008-09 to support these activities.

Extending the impact of IU's life sciences enterprise will combine the power of the Indiana University Research and Technology Corporation, key IU community and economic development centers, as well as catalyze the network of the IU regional campuses and medical education centers. IURTC and the newly established Advancing Indiana office will coordinate a three-pronged campaign that will:

- Accelerate commercialization and transfer of intellectual property developed by IU faculty.
- Build regional life sciences industry clusters based on local assets.
- Develop regional workforce development agendas in nursing, primary care, and related health- and life sciences fields.

Advancing Indiana is a statewide effort, involving all IU campuses, to develop collaborative programs to advance Hoosier job creation and income growth. The Advancing Indiana office, based in the Emerging Technology Center in Indianapolis, serves as the central coordinating point and contact for business and governmental units throughout Indiana. In addition to enhanced coordination with each of our regional campuses, a satellite office has been established in Fort Wayne, Indiana in conjunction with Purdue University, IPFW, the Northeast Indiana Corporate Council, and the Northeast Indiana Innovation Center.

The Advancing Indiana office is building upon, connecting, and further enhancing these regional activities into a more organized and strategic network of partners. Greater coordination results in more efficient use of resources, enhanced sharing of solutions to common challenges, and better investment in high-impact efforts.

Accelerate commercialization and transfer of intellectual property – As described in the following section, IU has achieved great success in expanding its commercialization activities (technology transfer) through the Indiana University Research and Technology Corporation (IURTC).

IURTC is responsible for working with IU researchers to commercialize intellectual property that they have produced. Through such commercialization, new products are brought to the marketplace, and in some cases, new companies are started. As reported in Section III, record-breaking results were posted this year.

As research activity and resulting intellectual property creation has increased, IU has increased investment in this function. However, resources currently are not sufficient to staff at optimal levels to do what needs to be done to move IU and the State of Indiana ahead of the pack in this area, realizing the full potential of investment in the life sciences initiative and helping IU become less reliant on state funding. Thus, this element of the life sciences initiative includes a request for state funds to add four employees to the IURTC technology transfer office to further expand commercialization efforts.

Build regional life sciences industry clusters based on local assets – IU recognizes that there is untapped potential in the network of IU regional campuses and IU School of Medicine Centers for Medical Education. Indiana's life sciences industries are spread across the state. Moving to the next level requires purposeful planning and strategic action to align IU's assets with Indiana's aspirations for a thriving statewide life sciences economy. IU, through its Advancing Indiana office, will lead the charge to develop robust regional life sciences industry clusters, based on local community and corporate strengths.

Indiana University has long provided strategic data, information, and policy analysis to inform and assist community and business leadership throughout the state. Economic development, however, is increasingly driven by the unique assets and opportunities of regions. As a result, communities throughout Indiana have indicated an increased desire to access the data, policy and community planning expertise of Indiana University.

Advancing Indiana will bring together the resources of these Centers and other University technical assets to assist selected Indiana communities in assessing life sciences resources and work with them to create a strategic economic development plan for growing these sectors. Initial efforts will be launched in Evansville, Fort Wayne, and Richmond, expanding as interest grows and results are seen in these initial areas.

These plans will be developed by university-community working groups that include various experts from IU centers and institutes such as the Indiana Business Research Center, the Polis Center, the Center for Philanthropy, and the Center for Urban Policy and the Environment.

Develop regional workforce development agendas – Building a robust regional health and life sciences sector will require many strong minds in nursing, primary care, biotechnology, and related fields. As the primary producer of graduates in many of these fields, IU has an abiding

interest and responsibility in helping communities define both the demand and supply for well-trained health and life sciences workers.

Over the last ten years, IU has graduated 43% of all people earning a bachelor's degree or higher in the health and life sciences fields. Given the high proportion of IU graduates in nursing, medicine, biology, chemistry, and related fields who live and work in Indiana, we have a strong interest in increasing opportunities for these graduates to walk off campus and into a life sciences job in Indiana.

Working in concert with the same communities and IU experts, as well as economic and workforce development professionals, the Advancing Indiana office will create regional workforce development plans designed to identify areas of critical need that align with local health and life sciences industry employment demands. These plans can then be used for strategic enrollment planning to ensure that our campuses are offering degrees that Hoosiers need to succeed in the knowledge-based economies that are developing around the state.

IU will develop outcome measures that will be reported to state officials in order to demonstrate successes achieved through implementation of the life sciences initiative. Such measures are expected to include the economic benefit accruing from this initiative including the number of additional jobs generated directly and indirectly, additional external research grant awards generated by new faculty hired through the initiative, and projections of commercialization successes.

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SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Pathways to Success	
ACCT # & NAME		

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ -	\$ -	\$ -		\$ 2,620,000	\$ 2,620,000		
Fringe Benefits	-	-	-		800,000	800,000		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ -	\$ -	\$ -		\$ 3,420,000	\$ 3,420,000		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	-	-	-		130,000	130,000		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		1,450,000	1,450,000		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ -	\$ -	\$ -		\$ 1,580,000	\$ 1,580,000		
GRAND TOTAL	\$ -	\$ -	\$ -		\$ 5,000,000	\$ 5,000,000		
Funded by General Fund	-	-	-		5,000,000	5,000,000		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ -	\$ -	\$ -		\$ 5,000,000	\$ 5,000,000		

**Pathways to Success: Opening Routes to STEM Disciplines
for Underrepresented Secondary Students**

Lack of success in mathematics and science has been a barrier to a wide range of opportunities for many students. Access to well-paying high-tech jobs and many majors in post-secondary education has been limited for those students who have not completed higher-level mathematics and science courses in high school. Through *Pathways to Success*, IU will demonstrate how intensive involvement by a multi-campus research university in high-need secondary (i.e. middle, junior high and high) schools can open up routes to STEM disciplines for students for whom the route is often blocked. Specifically Indiana University will partner with a select set of secondary schools in Marion, St. Joseph, and Lake Counties for the purpose of enhancing the life opportunities of students from these schools. We will engage with the administration, teachers, guidance counselors, students and parents and other community members in order to develop local capacities to improve graduation rates and increase matriculation into post-secondary education, especially in the areas of science, technology, engineering and mathematics (STEM). The target population, as evidenced by the schools selected, is students of color and students from families in chronic poverty – students who are most at risk and least likely to persist and succeed in STEM-related coursework and careers.

Among the 25 high schools that are the top “feeder” schools of African American and Hispanic students to Indiana University, all but three are located in either Marion County or Lake County. Two are located in St. Joseph County and one is in Portage County. Of the top 10 feeder high schools, all are in Marion and Lake Counties and in 6 of the 10 schools over 50% of students qualify for free or reduced lunch. Over 50% of the students in the 2 high schools in St. Joseph County also qualify for free or reduced lunch. It is with these 8 “high-need” high schools and the middle or junior high schools from which they receive their students that IU will intensify its engagement. The high schools and school corporations are:

Marion County:

Arsenal Technical HS and Broad Ripple HS of Indianapolis Public Schools

Lake County:

West Side HS, Theodore Roosevelt HS, and Lew Wallace HS of Gary Schools
East Chicago Central HS of East Chicago Schools

St. Joseph County:

George Washington HS and John Adams HS of South Bend Schools

It is clear that providing secondary school students with learning experiences that help them gain 21st Century knowledge and skills is something that not many schools are prepared to do well. Discussed in a recent Education Policy Brief of the IU School of Education Center for Evaluation and Education Policy, *Redesigning High Schools to Prepare Students for the Future: 2006 Update* (CEEP, Spring 2006), 21st Century knowledge and skills include not only mastery of core academic subjects in the traditional sense, but also critical-thinking and problem-solving skills; information and communication technology (ICT) literacy; and life skills. Indiana has aligned high school academic standards with college and workforce expectations and high schools are now striving to help more students to meet those standards and graduate from high school prepared for higher education and the workforce. However, many middle/junior high and high schools are struggling. In particular, schools that serve large numbers of students who have historically not been successful in meeting traditional standards – students from families in chronic poverty and students of color – are looking for ways to meet the needs of these students.

Indiana is not alone. Throughout the country, employers and institutions of higher education have noted that students coming to them from high school are not prepared for the work expected of them. According to a Policy Brief from the Education Commission of the States:

Preparation for college and the workforce is a growing problem for American students. While U.S. students in 4th and 8th grades score above the international average in mathematics, 15-year-olds score below the international average, according to the U.S. Department of Education. Once many of these same students get into college, 40% of four-year students and 63% of two-year students require remediation. This lack of preparation for college also prevents far too many students from participating in postsecondary education. Nationally, only 38% of 9th graders persist through high school and directly enter college. (ECS, April, 2006)

The effort on which we will embark involves intensively engaging the resources of Indiana University to provide the most at-risk students with the secondary school education necessary to successfully enter the STEM workforce or STEM-related courses of study in post-secondary institutions such as IU. The action plan we propose follows.

Enhancing the Relationship between IU and High-Need Secondary Schools

As schools with relatively high numbers of low-performing students, the selected secondary schools have been involved in projects to improve student performance. Teachers are often compelled to participate with little say in what actually goes on. The activities have typically been short-lived and most have little impact. Our engagement will be different. We will be true partners with school personnel and the students and have a sustained presence in the schools. Together with school and community members, faculty, staff and students of IU will engage in a process of developing strategies for STEM education that all can buy into. University personnel will spend a great deal of time in the secondary schools and the communities. Because the schools are located near IUPUI, IUSB and IUNW, the capacity of those campuses, as well as IUB, to engage with schools in STEM areas must be developed. This will require additional faculty with expertise in science and mathematics and in the teaching and learning of these subjects. These individuals will spend part of their time on-site building relationships with school administrators, teachers, students, guidance counselors, and parents. It will require the development of a cadre of faculty in the sciences and mathematics, and other STEM-related areas like computer science, who are passionate about and rewarded for engagement with schools, teachers and students.

The relationship must be built on a common understanding of the task at hand and the issues that surround it. This means that school and university participants must analyze data related to the school and the university. Information including the following will be collected and/or analyzed:

- Courses available in STEM
- Student course taking and achievement
- Student engagement
- Teacher characteristics, including preparation in content areas
- Opportunities for students to learn 21st Century knowledge and skills
- Other school initiatives already in place
- Student post-secondary paths
- Student course taking and success in post-secondary institutions
- Community efforts to support STEM education and education in general
- Local STEM-related opportunities for teachers and students.

Strategies to Enhance STEM Education in the Selected Secondary Schools

As a trusting relationship and a common understanding of the needs of the schools are developed, a number of activities will be initiated or enhanced. The activities will be designed to reflect what is known about best practices in this domain.

On-Site Teacher Professional Development (PD)

Teacher professional development should be ongoing and embedded in the daily work of the teachers during the school year and also available during the summer. The campuses of IU offer a number of PD opportunities for STEM teachers on a regular basis. The funding requested will provide financial assistance for teachers to take advantage of PD offerings that fill gaps in their knowledge base and, when appropriate, teachers will be awarded IU graduate credit for participation. It is clear from the research that teachers need support in order to implement what they know in their classrooms, especially in schools such as the ones we have selected. The evidence suggests that on-site teacher coaches or resource teachers, because of their experience as secondary school teachers themselves, will make a real difference in how math and science teachers teach and thus what students learn. Along with the IU faculty, these on-site coaches will work with teachers during planning periods, teach demonstration lessons, and organize extra-curricular activities for teachers, students and parents. They will not only be available in the academic year but also in summers to work with teachers and others such as administrators and guidance counselors from the selected schools.

On-Line Teacher Professional Development

One of the keys to teaching differently is analyzing real examples of good teaching. With internal funding together with funding from the National Science Foundation, Indiana University has developed the on-line *Inquiry Learning Forum* (ilf.crlt.indiana.edu). This web-based resource provides access to over 50 lessons taught by experienced Indiana teachers and the predominance of these lessons are in STEM disciplines. In addition to viewing the lessons, teachers can examine lesson plans and objectives, comments from other teachers about the lessons, and electronically share their own thoughts about the lessons with their peers. This resource is continually expanding and over time, volunteer teachers from the high-need schools will be videotaped and added to this on-line collection. This will help the teachers and schools in the project overcome the isolation that teachers in individual schools feel and become part of the larger community of educators working to improve education, particularly STEM education.

Initial work with the schools will help determine what other on-line resources are especially critical for the development of both teachers and students. For example, IU can develop on-line courses that address particular needs of the schools. With Vision Athena and other video-conferencing capabilities in place, PD opportunities offered at one site can have participants at many sites. Thus, IU faculty from a given campus can work with teachers and students across the selected schools.

Teacher Professional Development Related to Initiating Advanced Course Offerings

Recent studies by the U.S. Department of Education and the College Board, titled *Answers in the Tool Box* and *College Persistence, Graduation, and Remediation* respectively, demonstrate that high school students who take rigorous and high-quality coursework are more likely to complete a bachelor's degree in four years or less. It is essential to ensure that each of the 8 targeted high schools have math and science teachers qualified and prepared to teach both Advanced Placement and Advance College Program courses. This will require extensive professional development for selected teachers. The Advance College Project (ACP) is a partnership program between Indiana University and participating high schools. ACP offers college credit to qualified high school seniors who enroll in IU general education courses that are offered at

their local high schools during the regular school day and taught by certified high school teachers who hold adjunct lecturer status with Indiana University. At this time, of the 8 targeted high schools, only John Adams in South Bend offers an ACP course. Similarly, the College Board's Advanced Placement (AP) courses and AP exams offer high school students opportunities to participate in college-level courses and often gain college credit or at least advanced standing in a subject. While most of the targeted high schools offer AP calculus, the numbers of students enrolling are low and the opportunities to take other AP courses are very limited.

Enriched Student Opportunities in STEM in and out of School

The availability of AP and ACP courses will enrich the opportunities for students in STEM domains and other areas. However, these courses are primarily for students in grades 11 and 12. There must be opportunities developed for students in the early years of secondary school that will entice and engage them. There are a number of curricula in both science and mathematics that have been shown to engage students. Identifying such curricula and implementing them in the secondary schools will take the collaboration of all interested parties, but will have a significant impact on student engagement and learning. As with the AP and ACP courses, professional development for teachers will need to be geared toward using these curriculum materials in classrooms.

But courses, no matter how engaging, will not be sufficient to meet our goals. It will be important to design activities that involve large and small businesses and IU departments to demonstrate how STEM and other 21st Century knowledge and skills make a difference in the workplace and in higher education. Businesses, schools and IU will collaborate to provide access to these out of school activities to the students that typically have neither the financial resources nor the transportation to attend such activities. Programs that connect STEM professionals and university students with secondary schools students will be developed to help nurture relationships of support and encouragement -- mentorship relationships. Secondary school students must be convinced that success in STEM is possible for them and role models are an important factor in developing their beliefs.

Another key to getting students to attend college is to help them understand what life is like on a college campus. Thus, *Pathways* "field trips" will be arranged for sophomores and juniors to visit the campuses of IUB, IUPUI, IUN or IUSB. As part of these trips, students will see the campuses, visit classes, and meet with faculty and students who can provide them with a picture of student life and a sense that they can be successful. The *21st Century Scholars* program, administered by the State Student Assistance Commission of Indiana, is one of the top-rated state financial aid agencies in the country and *Pathways* will work with this program to get more students enrolled and persisting in the program so that finances are less of a barrier to post-secondary education.

IU brings many secondary school students to its campuses for extended summer experiences. Students from families in poverty are often excluded from these because of their family circumstances -- lack of money to pay for the program, lack of transportation to the campus, or simply lack of initiative to enroll in the program. *Pathways to Success* will work with teachers and guidance counselors in the selected schools to identify students who would most benefit from summer programs on campus, particularly in STEM areas. *Pathways* will facilitate those students attending these more extended campus experiences. To complement these summer opportunities, *Pathways* after-school and weekend programs that engage students in STEM activities will be developed. Such programs will also provide professional development opportunities for teachers.

Establishment of a University-School Feedback Loop

Schools need data on which to base decisions about change. Essential data include how well students are prepared for higher education and the workforce. We will establish a mechanism by which schools are provided data to answer questions such as the following.

- Are we increasing the number of students going to IU from our schools?
- How do our students do on placement exams?
- How do our students do in freshmen courses compared to other IU students?
- What is the retention rate of our students?
- What is the GPA of our students compared to the campus?
- How long to our students take to graduate?
- What majors do our students select?

Clearly, success rates of all students, but particularly those from high-need schools are as important to IU as they are to the high schools that prepare them. Thus, in cases where the answers to the questions posed are not satisfactory, IU will look at what can be done by the faculty and staff of the university to improve the recruitment and retention of students from these schools.

Evaluation of IU's Engagement with High-Need Schools

How will we know whether IU's engagement with the selected secondary schools is making a difference in the life opportunities of the students? The data regularly collected from schools by the Indiana Department of Education – ISTEP+ scores, course taking patterns, matriculation into higher education, and other indicators of success – will be analyzed for changes that indicate the IU engagement is making a difference. Analyses of these data will be conducted collaboratively by faculty from the university, high schools and middle/junior high schools. Additionally, we will use the IU-based High School Survey of Student Engagement (HSSSE) to measure student engagement and develop a related measure of Middle/Junior High School student engagement. Data will be analyzed to provide information to IU and to the participating schools that will help inform future strategies to improve student engagement in academic behaviors. Similarly, the data described earlier as providing feedback to schools will be used to evaluate the IU engagement effort.

Longer-Term Goals

After *Pathways to Success* has demonstrated a positive impact on the schools selected for the start of the program – the 8 high schools and their feeder schools -- we intend to expand the program to additional middle and high schools. The long-term goal is to improve the quality of all Indiana high school graduates, particularly in STEM disciplines. By working intensively with teachers and students in the initial secondary schools we will increase the capacity within these school corporations for further work on STEM education. High school teachers and students will become able to work with other high school and middle school teachers and students. Additionally, the further development of web-based resources such as the *Inquiry Learning Forum* and the creation of the recently announced Indiana STEM Education Resource Center will develop resources to support STEM teachers throughout Indiana. Research conducted on the process and products of this engagement will inform not only Indiana's school reform, but reform across the nation. How can research-intensive universities engage with K-12 schools in ways that make a difference in student preparedness for both postsecondary education and the workforce? We need some answers. Support of *Pathways to Success* now will begin to open routes to STEM disciplines that can eventually be pathways to STEM success for a much larger audience of Indiana students and their teachers. Lessons

learned in this initial work and the local capacity built will mean that in the future *Pathways* can be brought to scale at a much lower per school cost.

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SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	School of Optometry	
ACCT # & NAME	100-285	School of Optometry

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ -	\$ -	\$ -		\$ -	\$ -		
Fringe Benefits	-	-	-		-	-		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ -	\$ -	\$ -		\$ -	\$ -		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	-	-	-		-	-		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	1,500	29,000	1,500		29,000	1,500		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		
GRAND TOTAL	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		
Funded by General Fund	1,500	29,000	1,500		29,000	1,500		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		

**JUSTIFICATION OF BUDGET REQUEST
2007-09**

**OPTOMETRY BOARD
EDUCATION FUND**

The Acts of 1951 (Burns 63-1024) added \$17.00 to the annual optometry registration renewal fee, to be collected by the Optometry Board and deposited in an optometry school account of the State general fund. All such fees deposited by April 1 each year are to be paid to Indiana University by July 1 and are to be used for "the advancement of optometrical research and the maintenance and support of the department in which the science of optometry is taught at the University." An appropriation sufficient to pay this amount is authorized by law. This budget is merely to set the amount of these appropriations.

Registration fees are collected biennially. 2008-09 will be the "off" year in which little is collected. The estimate of \$1,500 is high to cover safely the expected collections for that fiscal year.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	IUSM: Dept Pharm/Tox: State Dept of Toxicology	
ACCT # & NAME	100-796	IUSM: Dept Pharm/Tox: State Dept of Toxicology

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 525,543	\$ 655,672	\$ 478,428		\$ 492,781	\$ 507,564		
Fringe Benefits	170,054	220,763	162,874		167,760	172,793		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 695,597	\$ 876,435	\$ 641,302		\$ 660,541	\$ 680,357		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	251,205	203,355	2,756		2,838	2,923		
.5 Equipment	-	104,000	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ 251,205	\$ 307,355	\$ 2,756		\$ 2,838	\$ 2,923		
GRAND TOTAL	\$ 946,802	\$ 1,183,790	\$ 644,058		\$ 663,379	\$ 683,280		
Funded by General Fund	644,058	644,058	644,058		663,379	683,280		
Dedicated Funds	302,744	539,732	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 946,802	\$ 1,183,790	\$ 644,058		\$ 663,379	\$ 683,280		

Future Status of the State Department of Toxicology

The Indiana State Department of Toxicology is the State agency with total responsibility for the alcohol breath test program. The program responsibilities include: training and certification of breath test operators, selection and certification of evidentiary instruments, and certification of any procedures used for such breath testing. In addition, we operate a Forensic Laboratory that conducts testing of blood or urine for alcohol and other drugs. The services of the forensic laboratory are provided for state law enforcement agencies at no charge.

The State Department of Toxicology is the only state agency charged with supporting other agencies in the enforcement of impaired driving laws. Consequently, anything that negatively impacts on our ability to accurately and efficiently perform our functions (i.e. to satisfy the mandates of the state) also negatively impacts all public agencies involved with impaired driving. It should be noted that in FY 2004-2005, the State Department of Toxicology has trained 546 law enforcement officers to operate breath test instruments and has recertified 2,884 individuals. We conducted 943 inspections of breath test equipment and answered 247 service calls. In the forensics laboratory, we conducted 22,738 drug screens on blood and 10,099 on urine. We performed 3,088 alcohol screens and did 6,192 confirmation tests for alcohol. In addition, we did 11,759 confirmation tests for various other drugs.

A number of significant issues regarding support for the operations of the State Department of Toxicology require immediate attention.

1. The Budget for the Forensic Laboratory needs to be increased.

In FY 2005, the Forensic Laboratory performed approximately 4.5 times as many drug and alcohol tests compared to FY 2001 (see figure 1). Although the volume of testing increased significantly over the last five years, the budget for the laboratory dropped by approximately 5% (see figure 1) and the number of personnel in the laboratory has only increased by one individual. Other budgetary concerns include significant increases in laboratory supply costs over the last five years and the fact that much of the analytical equipment will need replacing in the near future. Without an increase in the budget to accommodate the increased costs of operation, we cannot maintain the level of analysis currently being performed.

Indiana State Department of Toxicology - Tests Performed Versus State Appropriation

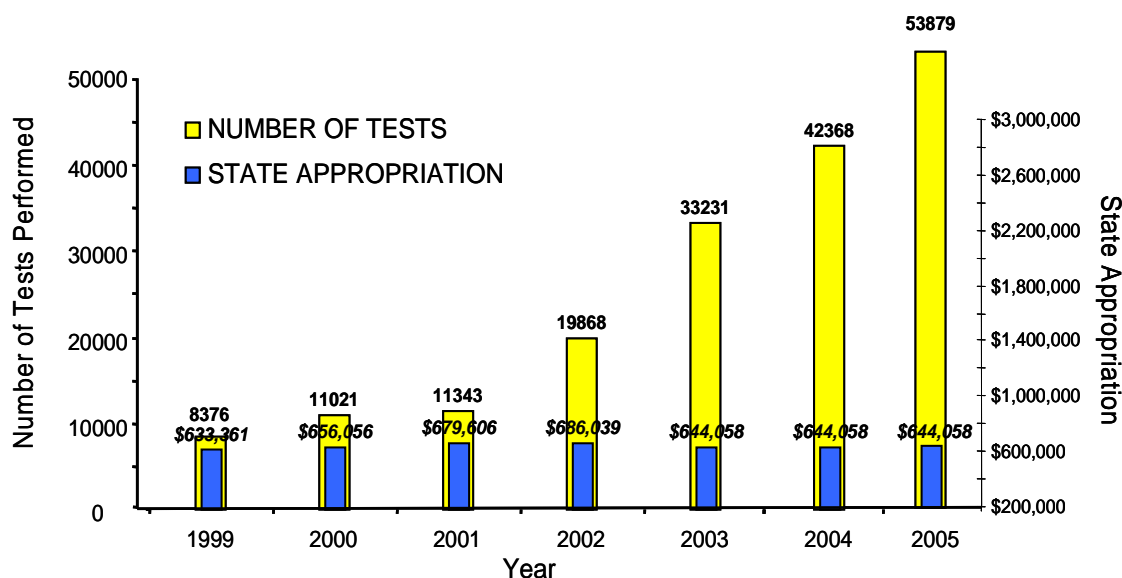


Figure 1. State appropriation to forensic laboratory and number of tests performed over the last seven years.

2. Accreditation of the Forensic Laboratory is needed.

Accreditation for various types of crime laboratories has been required for years. In the future, accreditation is likely to become the legal standard, and it is highly probable that data generated in laboratories without accreditation will be difficult if not impossible to use as irrefutable evidence in court. Since the Forensic Laboratory provides evidence for criminal trials, it is imperative that this laboratory be accredited.

In order to achieve accreditation, we need an individual to develop and monitor procedures, documentation, and the use of proper controls to fulfill the requirements of accrediting agencies. Two options exist to accommodate the degree and quality of oversight required for accreditation: 1) budget increases are made to accommodate the hiring of personnel for the accreditation project; or 2) we reduce the time current personnel spend on drug testing and on court testimony. If the second option is enacted, the laboratory could not maintain its current work load, and law enforcement agencies and county prosecutors will be required to have testing performed in commercial laboratories at considerable expense.

3. The Breath Test Instruments will need to be replaced.

We have used the BAC DataMaster as a breath test instrument in Indiana for over sixteen years. For the last five years, it has been the only approved evidentiary instrument in the State. Although this is an excellent instrument all but 11 are at least six years old and 100 of the instruments are at least 10 years old (see figure 2). It also is becoming increasingly difficult for us to obtain repair parts for these instruments from the manufacturer, National Patent Analytical Systems (NPAS) because they will cease manufacturing the instrument at the end of 2005 or in 2006 and will be making a new

instrument. Thus, parts will become more and more difficult to obtain. We currently perform in excess of 200 repairs per year and without parts, the Breath Test Instruments will not be able to be used for evidence. Consequently, we must begin to evaluate other instruments to be used by our law enforcement agencies as soon as possible. Currently there is no money in the budget for us to replace the breath test instruments in the state, and this needs to be addressed.

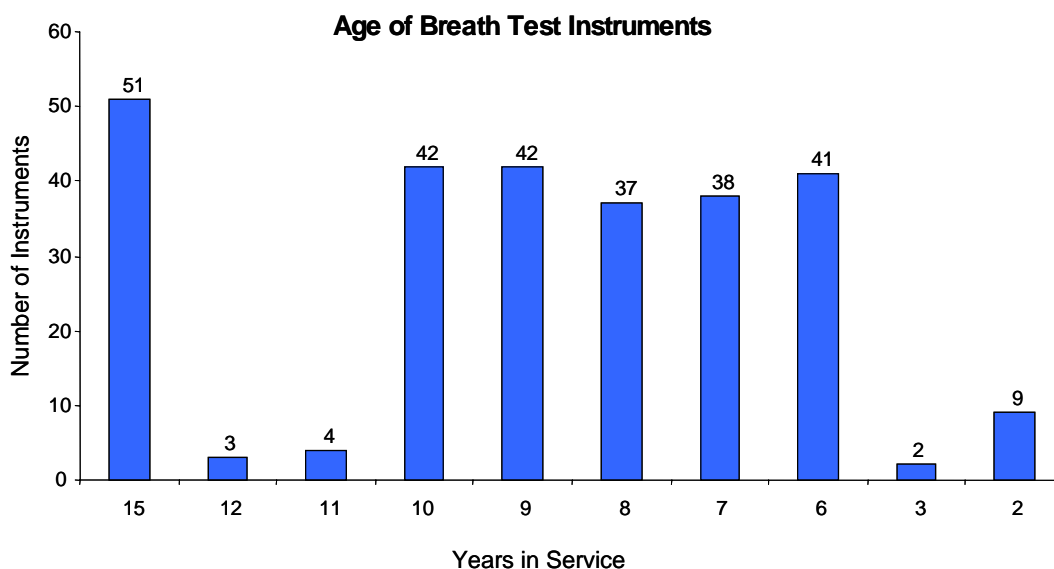


Figure 2. Age of breath test instruments used in the State of Indiana

According to Indiana Administrative Code 260 1.1-5-1, evidentiary breath test instrument models must be evaluated and approved by the Director of the Indiana State Department of Toxicology. In order to approve a model, the Director must determine if an instrument meets the needs of the Indiana breath-test program. Obtaining this information requires extensive testing of the new instrument. Once the new instrument is chosen, a new Approved Method must be written and incorporated into the Administrative Code 260 IAC. Additionally, the Breath Test Operator training program will need to be modified to allow for training on the new instrument. The State Department of Toxicology will need sufficient funds to purchase new instruments and to hire additional personnel to train law enforcement officers. This process will involve a significant amount of time and effort.

4. The State Department of Toxicology Needs to Recruit a New Director.

The Acting Director of the State Department of Toxicology will be reaching retirement age in the next few years. With the present funding situation, there is no way to recruit a highly qualified individual for this position. It is important to point out that the acting director was the laboratory manager in the State Department prior to assuming the additional responsibilities of Director. Budgetary limitations prevented the hiring of a new individual to fill the job vacated by the acting Director, leaving the laboratory short of staff. It is critical that the funds be provided to recruit a qualified permanent Director since this individual will be responsible for the future operations of the State Department of Toxicology and will need credentials sufficient to provide expert testimony in court.

5. Funds are needed to move to the new Forensic and Health Sciences Laboratory.

In late 2006, the State Department of Toxicology will be moving into the new Forensic and Health Sciences facility, under construction at Martin Luther King Drive at Sixteenth Street in Indianapolis. This move will involve significant costs for furniture, window coverings, signage, and moving expenses.

6. Additional funding is needed to satisfy the mandates of the original enabling legislation.

Some mandates contained in the original enabling legislation (IC 20-12-34; see attached summary) cannot be met with the current funding. These include performing tests for and consulting with coroners; teaching medical and graduate students; conducting research on detection of toxic compounds; and evaluating other laboratories that perform alcohol testing. The research mandate is especially important for the following three reasons: 1) It is useful for the scientific and forensic community; 2) it provides greater professional depth; and 3) it enables us to attract the best people into positions in the department.

The budgetary need is for an ongoing appropriation of \$2,406,000 plus one-time funds of \$800,000 for equipment. In December of last year, we informed the State of the need for additional funding for the operation of the State Department of Toxicology. Despite this effort, there was no increase budget for the Department by the State for the next biennium. Without additional permanent funding, it is not feasible to expect the State Department of Toxicology to continue providing the services it currently provides. We do not have funds to purchase critical analytical equipment or new breath test instruments. We also cannot purchase new breath test instruments. Furthermore, the options available to us without increases in funding will have negative impact on the ability of law enforcement agencies to prosecute alcohol and drug offenders in the state and damage out ability to detect impaired drivers. We will be forced to cut back the number of tests that can be performed in the laboratory. In addition, test results will not be delivered in a timely manner and this could affect court cases. We could be forced to reduce the number of employees, which would further reduce the work load that could be accommodated. This will be harmful to the citizens of Indiana and result in increased costs to law enforcement agencies to have tests performed by private companies. As such, the state will lose the expertise and experience of the staff in pursuing criminal proceedings.

CONSOLIDATION OF BUDGET REQUEST

AGENCY NAME	Indiana University		Page 1 of 1
PROGRAM NAME	IUSM: Dept Pharm/Tox: State Dept of Toxicology		
ACCT # & NAME	100-796	IUSM: Dept Pharm/Tox: State Dept of Toxicology	

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
MAJOR OBJECT CATEGORIES BY BASE BUDGET AND NEW PROGRAMS	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
PROGRAM MAINTENANCE								
A. General Fund								
Salaries and Wages	\$ 440,972	\$ 478,428	\$ 478,428		\$ 492,781	\$ 507,564		
Fringe Benefits	145,059	162,874	162,874		167,760	172,793		
Other Personnel Services					-			
.1 TOTAL PERSONNEL SERVICES	\$ 586,031	\$ 641,302	\$ 641,302		\$ 660,541	\$ 680,357		
.2 Services Other Than Personnel-move					-			
.3 Services by Contract					-			
.4 Materials, Supplies, Parts	58,027	2,756	2,756		2,838	2,923		
.5 Equipment (inc. \$113k mass spec. '07)					-			
.6 Land and Structures					-			
.7 Grants, Subsidies, Refunds, Awards					-			
.8 In-State Travel			-		-			
.9 Out-of-State Travel					-			
TOTAL OTHER OPERATING	\$ 58,027	\$ 2,756	\$ 2,756		\$ 2,838	\$ 2,923		
SUBTOTAL GENERAL FUND	\$ 644,058	\$ 644,058	\$ 644,058		\$ 663,379	\$ 683,280		
B. Other Funds								
Salaries and Wages	\$ 84,571	\$ 177,244						
Fringe Benefits	24,995	57,889						
Other Personnel Services								
.1 TOTAL PERSONNEL SERVICES	\$ 109,566	\$ 235,133	\$ -		\$ -	\$ -		
.2 Services Other Than Personnel								
.3 Services by Contract								
.4 Materials, Supplies, Parts	193,178	200,599						
.5 Equipment		104,000						
.6 Land and Structures								
.7 Grants, Subsidies, Refunds, Awards								
.8 In-State Travel								
.9 Out-of-State Travel								
TOTAL OTHER OPERATING	\$ 193,178	\$ 304,599	\$ -		\$ -	\$ -		
SUBTOTAL OTHER FUNDS	\$ 302,744	\$ 539,732	\$ -		\$ -	\$ -		
TOTAL PROGRAM MAINTENANCE	\$ 946,802	\$ 1,183,790	\$ 644,058		\$ 663,379	\$ 683,280		

ESTIMATE OF REVENUES AND FEDERAL RECEIPTS

AGENCY NAME	Indiana University		Page 1 of 1
PROGRAM NAME	IUSM: Dept Pharm/Tox: State Dept of Toxicology		
ACCT # & NAME	100-796	IUSM: Dept Pharm/Tox: State Dept of Toxicology	

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
MAJOR OBJECT CATEGORIES BY BASE BUDGET AND NEW PROGRAMS	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Dedicated Funds								
TUITION REVENUE								
LAB REVENUE								
Total Dedicated Funds	\$ -	\$ -	\$ -		\$ -	\$ -		
State Grants and Contracts								
4786202	\$ 302,744	\$ -	\$ -		\$ -	\$ -		
4786218		539,732						
Total State	\$ 302,744	\$ 539,732	\$ -		\$ -	\$ -		
Federal Funds								
Grant 1	\$ -	\$ -	\$ -		\$ -	\$ -		
Grant 2								
Total Federal	\$ -	\$ -	\$ -		\$ -	\$ -		

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana Institute on Disability and Community	
ACCT # & NAME	100-797	Indiana Institute on Disability and Community

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 3,534,866	\$ 3,287,580	\$ 3,081,503		\$ 2,616,666	\$ 2,400,298		
Fringe Benefits	1,134,109	1,070,004	1,043,101		913,811	846,342		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 4,668,975	\$ 4,357,584	\$ 4,124,604		\$ 3,530,477	\$ 3,246,640		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	121,273	-	58,174		-	-		
.4 Materials, Supplies, Parts	1,436,645	1,660,665	1,296,860		1,126,138	216,134		
.5 Equipment	5,468	5,468	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	222,712	169,253	131,053		95,248	32,957		
.9 Out-of-State Travel	25,641	32,079	22,999		20,867	21,022		
TOTAL OTHER OPERATING	\$ 1,811,739	\$ 1,867,465	\$ 1,509,086		\$ 1,242,253	\$ 270,113		
GRAND TOTAL	\$ 6,480,714	\$ 6,225,049	\$ 5,633,690		\$ 4,772,730	\$ 3,516,753		
Funded by General Fund	2,432,526	2,432,526	2,432,526		2,505,502	2,580,667		
Dedicated Funds	3,212,714	2,877,814	2,506,804		1,642,005	324,899		
Federal Funds	835,474	914,709	694,360		625,223	611,187		
GRAND TOTAL CHECK	\$ 6,480,714	\$ 6,225,049	\$ 5,633,690		\$ 4,772,730	\$ 3,516,753		

REQUEST FOR ASSISTANCE RELATED TO COMMUNITY LIVING FOR PERSONS WITH DEVELOPMENTAL DISABILITIES

2004-2005 Highlights

Research and Evaluation

The Indiana Institute supported 30 research and evaluation projects across five areas of emphasis (early intervention, education and transition, employment, community living, and community supports).

Examples include studies of:

- Evaluation of the First Steps Early Intervention System
- Graduate Follow-Up Study
- Autism Team Training
- Results-Based Funding Evaluation
- Follow-Up of Individuals Who Have Left the State Developmental Center in 2001, 2002, and 2003.
- Customer Satisfaction Surveys for the Indiana Protection and Advocacy System
- Evaluation of Medicaid Waiver Budgets

University Teaching

- The Institute supported 26 graduate and undergraduate students, representing 10 disciplines, involved in research and education projects.
- Institute faculty and staff provided course instruction to 824 university students through 36 courses taught at Indiana University, Bloomington, and Ivy Tech State College. This generated 2,429 student credit hours.
- Eighty-three classroom lectures were presented by Institute faculty and staff.

Dissemination

- Disseminated over 90,000 products developed by the Institute.
- Provided library services related to disability (holdings over 12,000) to persons with disabilities, educators, service providers, university faculty and staff, and students.
- Increased library circulation by 30% through on-line availability.
- Provided a World Wide Web presence averaging 52,000 visits per month and 710,000 visits per year.

Training and Technical Assistance

- Sponsored 1,284 training and technical assistance events such as conferences, workshops, and program consultations attended by more than 48,000 individuals.
- Provided over 12,500 hours of technical assistance to schools, community agencies, and state and local governments.

The Indiana Institute on Disability and Community at Indiana University, Bloomington puts new ideas and research results into every day practice in schools and communities. Since its inception in 1970 as the Developmental Training Center (DTC), the Indiana Institute works with schools, community services providers, state and local governments, institutions of higher education, persons with disabilities and their families, and advocacy organizations to conduct research and put research into practice.

This budget request justification describes the conceptual base which forms the foundation of the Indiana Institute's work — our mission statement, organizational structure, shared values, guiding principles and their implementation strategies, proposed program development, and a comprehensive overview of the origins and purpose of the Indiana Institute on Disability and Community. First, however, we summarize our current needs.

In the last biennium appropriation (2005-2007), the Indiana Institute on Disability and Community has seen a reduction in its base funding at a time when demand for our services and products are increasing and when the state of Indiana is moving toward community-based services and supports for persons with disabilities and away from segregated programming. There are increased needs for training of community providers and professionals to support this shift in focus. Additionally, there is a need for more data collection and analysis to support evidence-based new practices and needed changes in policy. For example:

- **SCHOOL INCLUSION:** Although 72.5% of students with disabilities attend classes in regular classrooms or resource rooms, the percentage of those students graduating high school with a diploma or certificate is still at 33%. In addition, the legislation known as “No Child Left Behind” is putting a greater burden on schools and they are requesting our assistance in greater numbers.
- **AUTISM SPECTRUM DISORDERS:** Three key positions within the Institute's Indiana Resource Center for Autism have remained unfilled due to the current reduction in base funding. This at a time when the incidence of individuals diagnosed with autism spectrum disorders has increased from 1 in 1,000 to 1 in 400.
- **DEINSTITUTIONALIZATION AND COMMUNITY LIVING:** As more persons with disabilities are moved out of institutions and segregated programs across the state, there is a significant need to build community capacity and train providers and family members in waiver programs and person-centered planning. In addition, the Indiana Family and Social Services Administration is expanding community services in the next few years adding an additional 5,000 to 7,000 families to its service roles.

The mission of the Indiana Institute on Disability and Community at Indiana University, Bloomington is to work with communities to welcome, value, and support the meaningful participation of people of all abilities through research, education, and service.

“to work with communities”

The Institute collaborates with community agencies, schools, advocacy organizations, government, institutions of higher education and other community partners to effect improvements in quality of life.

“to welcome, value, and support the meaningful participation of people of all ages and abilities”

This is the core of our mission. It defines outcomes for diverse individuals, including people with disabilities, in all life spaces: school, employment, home, and community settings.

“through research, education and service”

Research means investigation and inquiry into ideas and practices in order to develop knowledge that will benefit people of all ages and abilities.

Education means teaching approaches, concepts and new knowledge to students and community members in institutions of higher education and in community settings.

Service means putting research and new knowledge into practice in school and community settings. Service means collaborations and partnerships to promote inclusive communities. Service means participation in local, state, national, international, and higher education committees, councils, and association's activities and governance.

ORGANIZATIONAL STRUCTURE

The work of the Indiana Institute on Disability and Community is carried out in seven Centers that address the following life span issues:

- Early Childhood Center
- Center on Education and Lifelong Learning
- Center on Community Living and Careers
- Center on Aging and Community
- Indiana Resource Center for Autism
- Center for Disability Information and Referral
- Center for Planning and Policy Studies

The Institute reports to the Office of the Vice President for Research and the Trustees of Indiana University. Nationally, the Indiana Institute is an active member of the Association of University Centers on Disabilities (AUCD) since 1970. Additionally, the Institute partners with Indiana's Governor's Council on Disability and the Indiana Protection and Advocacy System.

VALUES STATEMENTS

Our mission is grounded in the values held by the faculty and staff who work at the Indiana Institute:

- People with disabilities are full, participating, contributing members of their communities — in school, work, home, neighborhood, spiritual, and recreational settings.
- People with disabilities exercise choice and control over their futures.
- People with disabilities have dignity and are treated with respect.
- People with disabilities and their families are involved in the design, operation, and monitoring of services and supports.

ORGANIZATIONAL PRINCIPLES

In addition to these shared values, the programs and activities of the Indiana Institute are defined by the following organizational principles.

- Life span focus;
- Emphasis on community;
- Systems change;
- Unique partnerships; and
- Strengthening connections between university and community.
-

IMPLEMENTATION STRATEGIES

The Institute's guiding principles described above are complemented by following implementation strategies that are federally-mandated. They include:

UNIVERSITY TEACHING: Interdisciplinary preservice and continuing education experiences for students across roles that affect the lives of people with disabilities and family members.

The faculty and staff of the Institute provide university training in disabilities to undergraduate and graduate students who have diverse interests and areas of study. The Institute's Interdisciplinary Training Program provides opportunities that enable students to expand their knowledge and experience of best practices across the disability spectrum.

- In 2004-2005, 26 students were supported in Institute-sponsored projects.
- In 2004-2005, Institute faculty and staff provided instruction to 824 students through 36 individual courses taught on the Bloomington campus of Indiana University and Ivy Tech State College generating 2,429 credit hours.

UNIVERSITY TEACHING (2004-2009)
(Number of Students)

YEAR	UNIVERSITY INSTRUCTION		
	Practica/Interns (ID Trainees)	Number of Students Enrolled	Student Credit Hours
04-05*	26	824	2,429
05-06**	27	1,011	3,033
06-07**	28	1,033	3,099
07-08**	29	1,055	3,165
08-09**	30	1,077	3,231

* Actual **Projected

INFORMATION DISSEMINATION: Information and research findings disseminated to a wide audience, including people with disabilities and family members.

The Institute has a long standing commitment to serve the disability-related needs of Hoosiers across Indiana. Resources include:

- A lending library of over 12,000 books, videos, and kits that may be borrowed by anyone in Indiana. Materials cross disabilities, the life span, life areas, and disciplines.
- The capacity to provide interactive distance learning to Indiana schools, communities, and institutions of higher education via Vision Athena, the Indiana Higher Education Telecommunications System (IHETS), and Indiana University's Virtual Interactive Classroom (VIC) system. Wireless technology utilizes the current data network and allows users to maintain a computer connection wherever they are without restrictions to their range of motion.
- Each year, the Indiana Institute develops products (e.g., videotapes, manuals, technical reports, refereed journal articles, Web-based papers). These products are distributed in response to request.
- In 2004-2005, over 90,000 copies of products were disseminated across the Institute's seven Centers.
- A comprehensive World Wide Web site encompassing information pertaining to the research and information dissemination activities of each of the Institute's seven Centers. The Institute Web site averages 52,000 visits per month and 710,000 visits per year.
- * *The Institute anticipates a 30-40% increase in Web-based usage over the course of the next three years*
- * *The Institute foresees growth in web-based technical assistance and support to schools and community agencies at a yearly rate of 10% or more.*

COMMUNITY SERVICES: Promote community participation and self-determination for people with disabilities of all ages.

A major emphasis of the Institute is to provide training and technical assistance to demonstrate inclusive practices and exemplary community services. Field sites serve as practicum locations for university students, as demonstration sites for persons with disabilities, parents, and as research sites. Additionally, faculty and staff of the Institute provide technical assistance to public and private agencies throughout Indiana and nationally.

- In 2004-2005, the Indiana Institute sponsored a total of 1,284 outreach events totaling over 12,500 hours.

EDUCATION/TRAINING (2004-2009)

YEAR	OFF-CAMPUS EDUCATION/TRAINING				
	Professional/ Paraprofessional	Consumers/ Parents	General Public	University Students	Legislators/ Policymakers
04-05*	32,757	6,208	5,750	1,406	175
05-06**	30,576	12,123	4,290	2,748	1,368
06-07**	32,104	13,881	4,503	2,885	1,436
07-08**	33,710	14,574	4,729	3,029	1,508
08-09**	35,395	15,303	4,968	3,181	1,583

* Actual **Projected

* The growth rate anticipated by the Indiana Institute in the areas of community service are projected at 5-10% per year.

RESEARCH: Applied research and public policy analysis that contribute to the implementation of effective community practices.

The Institute research commitment derives from its broader mission to improve quality practices and services to persons with disabilities and their families. Institute faculty and staff are involved in a variety of studies that use both quantitative and qualitative methodologies that include statewide needs assessments and policy-related surveys, efficacy studies, research studies about inclusive school practices and employment outcomes, case studies of client interventions, program evaluations, trainee follow-up, and policy analyses.

**RESEARCH ACTIVITIES (2004-2009)
(Number of Research Projects)**

YEAR	RESEARCH AREAS				
	Early Intervention	Inclusive Schools/Transition	Employment	Community Living/Residential	Community Supports
04-05*	3	9	3	3	12
05-06**	4	9	4	3	13
06-07**	4	10	4	4	13
07-08**	5	10	5	4	14
08-09**	5	11	5	5	14

* Actual ** Projected

* Restoration of base funding will assist the Indiana Institute to continue and expand programs and projects related to its research to practice commitment.

AREAS OF EMPHASIS AND PROPOSED PROGRAM DEVELOPMENT

Component 1.0: Early Intervention and Childcare

- Promote quality, inclusive early intervention services
- Enhance overall quality and accessibility of child care services
- Establish a statewide, outcomes-based evaluation system

Component 2.0: Inclusive Education and Transition

- Promote education change in the context of school reform
- Promote effective transition services
- Assist schools with the implementation of “No Child Left Behind”

Component 3.0: Employment

- Promote supported and competitive employment with natural supports
- Education about Social Security work incentives and Ticket-to-Work
- Operate a state-wide data system on employment outcomes

Component 4.0: Community Living/Residential

- Promote continued deinstitutionalization
- Promote supported living and home ownership
- Promote community participation strategies for older adults with disabilities

Component 5.0: Other Community Supports

- Develop regional capacity for community-based supports
- Support development of informal and formal supports
- Promote civic participation and civil rights
- Promote self-advocacy

ORIGINS AND PURPOSE OF THE INDIANA INSTITUTE ON DISABILITY AND COMMUNITY

MILESTONES: 1970-2006

The Indiana Institute on Disability and Community was founded in 1970 as the Mental Retardation Developmental Training Center when Indiana University received funds under the 1963 Developmental Disabilities Construction Act to establish a service and training program in mental retardation. Since that time, the Indiana Institute has expanded its programs and changed its focus in response to the needs of Hoosiers with disabilities, their families, and those who support them. Roots of the Institute’s current direction lie in its history.

MILESTONES OF THE 1970S

In early 1971, when Henry Schroeder joined the Indiana Institute on Disability and Community as Executive Director, the primary focus of the Institute was to address the need for assessment and the case management of children with developmental disabilities.

DEINSTITUTIONALIZATION PROJECT

The Institute, in 1973, developed a deinstitutionalization project in conjunction with the Indiana Department of Mental Health and Muscatatuck State Hospital to move adults with severe disabilities to community settings. This project was the forerunner of today's community inclusion efforts in Indiana.

INSTRUCTIONAL MATERIALS CENTER

In 1974, the Indiana Department of Education, Division of Special Education asked the Institute to create an instructional materials center on developmental disabilities. During that time, four counties surrounding Bloomington and Monroe County received the benefits of this program. Today, the Center for Disability Information and Referral plays a major role in the dissemination efforts of the Indiana Institute and serves the entire state of Indiana with a toll free disability information referral service and a library collection of over 8,000 items.

EARLY CHILDHOOD PROGRAM

The Institute's program in early childhood, now the Early Childhood Center, was established in 1975. The Community Program of Preschool and Parent Education (PREPARE) provided an early intervention model program for infants and toddlers as part of the Handicapped Children's Early Education Program (HCEEP).

INDIANA HOME TEACHING SYSTEM (IHTS)

In the summer of 1975, the Indiana Home Teaching System (IHTS) was developed to provide a comprehensive model for the assessment and delivery of educational interventions to preschool children with disabilities in the home. This program design was disseminated in Region V, which included Indiana, Illinois, Wisconsin, Minnesota, Michigan, and Ohio.

PROJECT ASSIST

Training materials for classroom paraprofessionals were developed by the Institute in 1978. ASSIST: Associate Instructional Support for Teachers provided training and skill building for those who worked as instructional associates in special education classrooms throughout Indiana. ASSIST was sponsored by the U.S. Department of Education and the Indiana Department of Education, Division of Special Education.

Milestones of the 1980s

In its second decade, the Indiana Institute on Disability and Community began to focus on new program development and systems change. While the developmental disabilities field as a whole shifted away from institutionalization, an emphasis on community membership across the life span emerged in the Institute's philosophy and work.

INDIANA RESOURCE CENTER FOR AUTISM

In 1981, the state of Indiana made an important commitment to the Institute when the Indiana Legislature appropriated funds to establish a statewide resource center on autism. Initially, the Indiana Resource Center for Autism focused on supporting the return of children with autism, who were residing in out-of-state facilities, to Indiana. Today, IRCA provides materials, training, research, and advocacy on behalf of people with autism spectrum disorders, their families, and those who support them.

SCHOOL INTEGRATION

In 1985, the Indiana Institute again collaborated with the Indiana Department of Education, Division of Special Education to move secondary students with substantial disabilities into least restrictive environments. Starting with the publication of a position paper, *A New Future for Children with Substantial Handicaps: The Second Wave of Least Restrictive Environment*, the Institute assisted the Division in refocusing public school special education programs to emphasize integrated classes, functional curricula, and community-based training experiences for these students. The Community Integration Resource Group (CIRG), now the Center on Education and Lifelong Learning, was founded as a result of this initiative.

COLLABORATIVE WORK LAB

In the mid-1980s, the Institute began to investigate ways that computer technology could be applied to its technical assistance mission, particularly in the area of planning and policy development. In 1986, the Indiana Institute established what is now called the Collaborative Work Lab, a computer-based electronic meeting room for use by human service organizations.

CENTER FOR AGING PERSONS WITH DEVELOPMENTAL DISABILITIES

With visions of a life span approach, the Indiana Institute in 1988 established a program that focused on aging persons with disabilities. The Center for Aging Persons with Developmental Disabilities (CAPDD), now the Center on Aging and Community, was created through funding from the Department of Health and Human Services, Administration on Developmental Disabilities and the Indiana Legislature to provide research and training in the areas of health and functional wellness and community membership for aging persons with disabilities.

PEER TUTORING AND SCHOOL RESTRUCTURING

By the end of the 1980s, the Indiana Institute was playing a leadership role in the development of peer tutor programs throughout the state and stimulated consideration of special education restructuring in the context of general education reform.

HIGHER EDUCATION CONSORTIUM

As the number of integrated programs for students with disabilities increased throughout Indiana, in 1989 the Indiana Institute on Disability and Community played an integral part in the development of a higher education consortium designed to infuse information about least restrictive environment into teacher training programs. These training programs were developed to include instruction for the advocacy and implementation of best educational practices in public school classrooms serving students with severe disabilities.

CONSUMER SATISFACTION SURVEY

In 1989, the Indiana Governor's Planning Council for People with Disabilities (GPC) asked the Institute to conduct a Consumer Satisfaction Survey and to facilitate development of Indiana's 1990 Report to Congress.

Milestones of the 1990s

In the 1990s, with ongoing support from the state and continued grant acquisitions at the state and federal levels, the Indiana Institute on Disability and Community has built upon its past experiences and

relationships to be a valued participant in the movement toward creating inclusive communities for persons with disabilities throughout the state of Indiana and the nation.

RESEARCH GRANT ON PROBLEM BEHAVIOR

In 1990, the Institute received a United States Department of Education (USDOE), Office of Special Education and Rehabilitation Services (OSERS) grant to research the causes of high intensity problem behavior by students with severe disabilities. Information dissemination and support to general education personnel, regarding the implementation of inclusive classrooms and other best practices in services for all students with disabilities, was initiated as well.

COLLABORATIVE LINKAGES

A collaborative effort in 1992, between the Indiana Institute and the Family and Social Services Administration, Division of Disability, Aging, and Rehabilitative Services, continued the tradition of Indiana University and the Institute's 30-year history of interagency collaboration. This collaborative link initiated a systems-wide approach to improve the quality and availability of supported employment services to persons with disabilities.

PROJECT CONNECT

Project CONNECT was established in 1992 to take a systems change approach to restructuring services for students with severe emotional disorders (SED). Project CONNECT combined interagency collaboration, parent involvement, and modified existing services with less restrictive alternatives and effective programming in school and community settings to develop an effective, needs-based continuum of services for students with emotional and behavioral problems.

INTERAGENCY INITIATIVES IN TRANSITION

In 1992, the Indiana Institute took on another initiative for systems change, this time in the arena of services to adults with severe disabilities. Through a collaborative effort with the Indiana Division on Disability, Aging, and Rehabilitative Services and the U.S. Department of Education, the Indiana Employment Initiative (IEI) began working to affect broad improvements in the quality and availability of a program to enhance the employment outcomes of people with severe disabilities of all types of supported employment. The Institute assisted in moving the state of Indiana from initial implementation of supported employment to a position of leadership. As a result, in 1995 and 1998, the Division of Disability, Aging, and Rehabilitative Services renewed its partnership with the Institute. By working with the state and local communities, competitive employment is now a reality for over 4,500 Hoosiers with severe disabilities.

CENTER FOR PLANNING AND POLICY STUDIES

In 1993, another consortium between the Indiana Institute and the Indiana Department of Education, Division of Special Education, in conjunction with Vocational Rehabilitation and four institutions of higher learning, was formed to develop policy and establish interagency initiatives in the area of transition services for youth with disabilities. Building on the Indiana Institute's interagency planning experiences, several programs were brought together to create the Center for Planning and Policy Studies. The 1990s have seen this group supporting interagency and consumer collaboration in local communities and in state policy development, such as the implementation of the Americans with Disabilities Act (ADA) within Indiana.

WORLD WIDE WEB

In 1995, the Indiana Institute and each of its eight centers mounted information about Institute resources and services on the World Wide Web. Additionally, the Institute conducted its first seminar entitled "Using the Internet to Adapt Instruction for Inclusive Classrooms: A Distance Learning Workshop" utilizing electronic mail (e-mail) and converted one of its publications entitled *Adapting Curriculum and Instruction in Inclusive Classrooms* to a CD-ROM format for use in professional development.

REDESIGNING SPECIAL EDUCATION POSITION PAPER

Also in 1995, as a follow up to the first position paper written in 1985, *A New Future for Children with Substantial Handicaps: The Second Wave of Least Restrictive Environment*, a group of understanding, accomplished, and experienced people from across Indiana gathered to discuss the restructuring of special education in Indiana. The discussion evolved into a discourse focused on restructuring education to meet the needs of all students. A second position paper was designed and developed from the discussions of this "Think Tank".

The document, *Redesigning Special Education Services for All Students: The Indiana Agenda* was intended to generate discussion and promote consensus-building among practitioners, communities, and decision-makers on how best to meet the needs of ALL Hoosier school students. The document includes four sections: Context for Change, Perception of Difference: "General" vs. "Special" Education, The Vision: Redesigned Schools and The Five Goals: Barriers and Bridges. During the summer and fall of 1997, copies of the "Redesigning" document were mailed to educators and parents throughout Indiana. Along with the document, a questionnaire was mailed, requesting readers to respond to the various aspects of the document. Over 400 questionnaires were returned. The reactions and feedback to the document were overwhelmingly positive.

These two position papers have become the framework from which the Institute continues to provide technical assistance and support to Indiana schools. The school initiatives are designed to work at the local school site level, facilitating the development of best practice and school improvement that is generated from teachers, administrators, and parents within the local school.

NEW INSTITUTE DIRECTOR

In the summer of 1996, David M. Mank, Ph.D., Associate Professor, College of Education at the University of Oregon, was appointed to the position of Executive Director of the Indiana Institute on Disability and Community. Mank assumed the directorship position from Henry J. Schroeder, who stepped down after 25 years of service.

Mank holds a Bachelor's Degree in Psychology and English from Rockhurst College, Kansas City, a Master's from Portland State University in Special Education, and a Doctorate in Special Education and Rehabilitation from the University of Oregon, Eugene.

In addition to his duties as Executive Director of the Indiana Institute, Mank is a Full Professor in Indiana University's School of Education's program in Special Education, Department of Curriculum and Instruction.

SUPPORTED LIVING FOCUS

Indiana as a state is still highly reliant on institutional residential settings for people with developmental disabilities. In fact, over 75% of funding in Indiana for residential services goes to institutions and other congregate settings. Since 1996, the Institute has added a focus on supported living to try and reverse this

statistic. An additional collaborative effort with the Indiana Governor's Planning Council for People with Disabilities, the Indiana Institute has conducted research on the outcomes of supported living. This information is helping to drive the state's shift from segregated to integrated lifestyles for all people with disabilities. A related initiative, the Home of Your Own Project, assisted individuals with disabilities to become homeowners. This project provided information and referral and involves many "non-disability" agencies including the U.S. Housing and Urban Development (HUD).

NAME CHANGE FOR FAMILY/CONSUMER COMMITTEE

In 1998, the Indiana Institute's Family and Consumer Committee, established in 1994, changed their name to the Family and Individual Resource and Support Team (FIRST). FIRST membership includes Institute employees with a strong interest in ensuring that the voice of individuals with disabilities and their families is heard both within the Institute and across Indiana.

INSTITUTE EXPANDS ITS CENTERS

In March of 1998, the Institute expanded from seven to eight centers to better meet the needs of our customers and reflect the diversity of areas that the Indiana Institute has addressed over the years. The Center for School and Community Integration (CSCI) expanded from one to two centers creating the Center on Community Living and Careers (CCLC) and the Center on Education and Lifelong Learning (CELL). CCLC program objectives focus on improving transition and adult services in the areas of person-directed planning, community living, integrated employment, community membership, organizational change, career development, and inclusive education.

CELL's objectives focus on improving school services for students ages 3-22 in the areas of organization and structure, leadership, curriculum and instruction, transition, positive behavior supports, inclusive school communities, and school change and improvement.

INDIANA INSTITUTE NAME CHANGE

In May of 1999, after a six month process of discussion and exchange with constituents and others, the Indiana Institute changed its name from the Institute for the Study of Developmental Disabilities (ISDD) to the Indiana Institute on Disability and Community.

The Indiana Institute has long been invested in disability and community issues with a mission to improve the lives of people with disabilities in typical community settings.

The Institute had rethought and reshaped its commitments and roles with Indiana communities and people with disabilities in typical community settings. The name, the Indiana Institute on Disability and Community, better reflects the organization's vision of commitment and ongoing improvement toward the achievement of its mission.

INDIANA INSTITUTE CENTER NAME CHANGES

Also in May of 1999, the Center for Aging Persons with Developmental Disabilities (CAPDD) changed its name to the Center on Aging and Community. The Center faculty and staff determined that issues of importance to older persons such as retirement and semi-retirement activities, change in physical status, and late-life loss are best addressed within a community context. Thus, the Center's name change which more accurately reflects its mission to provide leadership enabling older persons to lead self-determined lives within their communities.

The Indiana Institute's Center for Innovative Practices for Young Children (CIPYC) changed its name as well to the Early Childhood Center. Center staff chose this new name to simplify it and to emphasize its role within the Indiana Institute: To enable the Institute to provide leadership in areas touching young children and their families and to provide education and research that promotes strong families and communities for all young children.

DISTANCE EDUCATION

Retrofitting of the Institute's Training Room began in June of 1999 converting the facility to a Vision Athena video distance learning send site. The Indiana Institute now has the capacity to provide interactive distance learning to Indiana schools, communities, and institutions of higher education via Vision Athena, the Indiana Higher Education Telecommunications System (IHETS), and Indiana University's Virtual Interactive Classroom (VIC) system.

MILESTONES: 2000-2004

REGULATED CHILD CARE STUDY

In the summer of 2000, a study conducted by the Indiana Institute concluded that, in comparison to the national health and safety performance standards for regulated child care centers, children with and without disabilities enrolled in regulated child care settings in Indiana were significantly at risk for injury and illness. An analysis found that approximately 40% of the national health and safety standards were not addressed by these child care centers in Indiana. Since the release of this study, the state of Indiana has rewritten its regulated child care standards to meet approximately 90% of the federal guidelines.

Additionally, in-home standards have been rewritten to meet 50% of the national standards, and are currently open for public comment. Finally, rules that govern registered child care ministries in Indiana are in the process of being reviewed.

CIVIC PARTICIPATION PROJECT

A collaborative coalition and movement to promote civic participation among people with disabilities was implemented by the Indiana Institute in the fall of 2000 in conjunction with the Indiana Governor's Planning Council for People with Disabilities.

PeoplePower, a media campaign designed to encourage individuals with disabilities to vote, provided information on deadlines for absentee voting, Presidential and Gubernatorial candidate positions on disability issues, and a worksheet on how to make campaigns more accessible. Free local transportation to, and from, the polls on election-day was also organized as a part of this project.

INDIANA INCLUSION STUDY

In 2000, a two-year study that investigated the effects of inclusive programs for students without disabilities and students identified with mild disabilities was implemented. Students' academic progress in reading and mathematics were compared using a curriculum-based measure. Study outcomes revealed that students without disabilities educated in inclusive settings made significantly greater academic progress in mathematics. Their progress in reading was not significantly different from students without disabilities who were educated in traditional settings. While a significant difference was not noted, further analysis of progress scores and group means demonstrated a consistent pattern in favor of inclusive settings.

Year three of the Indiana Inclusion Study examined the teaching practices and school structures that existed within inclusive elementary schools in which students with and without disabilities demonstrated high rates of academic progress over the course of the school year. Study results indicated that students with and without disabilities educated in inclusive settings made as great or greater academic gains than students educated in schools that utilized more traditional, pull-out models for supporting students with disabilities.

HOME OWNERSHIP ALLIANCE

The Institute's Back Home in Indiana Alliance was one of three Indiana Projects (and one of 100 nationally from 2,800 nominations) to receive a "Best of the Best" practice award from the federal office of Housing and Urban Development (HUD) for assisting people with disabilities to obtain a home of their own.

Through the work of the Alliance, individuals with disabilities have acquired their own homes, including those with incomes of 30% of the median (people with disabilities are the most underserved in the mortgage and lending industry. It is estimated that less than 1% of persons with disabilities own their own homes, compared to approximately 67% of all American citizens.)

FAMILY AND SCHOOL PARTNERSHIPS

In 2001, calls from family members to the Indiana Institute consistently indicated that families were becoming more frustrated with their school and the IEP process.

Likewise, school personnel indicated that families were being unreasonable in their demands and expectations. This increased frustration by both parties prompted a statewide survey of families and school personnel by the Indiana Institute's Family and Individual Resource and Support Team (FIRST).

The survey was designed to identify problem areas within the special education process and also show strengths that may exist in the family-school relationships. Survey results led to the implementation of a Forum on Family and School Partnerships. Forum participants included family members, general and special education administrators and personnel representing elementary, middle, and high schools from throughout Indiana. In addition, agency representatives from the Indiana Center for Family, School, and Community Partnerships, Indiana Protection and Advocacy Services, Indiana Parent Information Network and IN*SOURCE were invited.

Forum participants were asked what they thought the purpose of the Forum was. After some discussion, the group decided that the overarching question to address was: "How can schools and families build partnerships so that each child continuously improves?"

Results of the Family School Collaboration Survey and the Family and School Partnerships Forum were used to design training and technical assistance to facilitate more positive approaches to family and school collaboration and communication.

CAPE GRANT

In December of 2001, a multi-faceted initiative between the Community Foundation of Bloomington and Monroe County, the Monroe County Community School Corporation (MCCSC), the Indiana University School of Education, and the Indiana Institute was established to build community learning with an emphasis on family involvement and community literacy for children, birth through age 18.

Supported by a five million dollar grant from the Lilly Endowment Inc., the four-year Community Alliances to Promote Education (CAPE) project, supports three education-oriented initiatives that impact Monroe County's 11 townships.

Initiative one created four family resource centers to provide early intervention and educational support for families with newborn and preschool children. Initiative two supports 10 elementary schools to develop and offer comprehensive child and family literacy programs. Initiative three funds 30 community asset-building grants aimed at middle and high school students with a focus on healthy development and educational success.

INDIANA DAY AND EMPLOYMENT SERVICES OUTCOME SYSTEM

In 2002, Indiana Vocational Rehabilitation Services and the Bureau of Developmental Disabilities Services asked the Indiana Institute to develop a data system to replace the Indiana Community Employment Reporting System. The new system was designed to provide better information about the outcomes achieved by individuals with disabilities receiving services as well as their service providers. The data collection system was simplified in an effort to reduce data collection burdens on service providers to increase the response rate. Additionally, data is collected on an individual service recipient basis rather than at a program level, special studies can be implemented on targeted topics, and statewide and regional employment outcomes can be measured.

SERVICE LEARNING PROJECT

A core value of the Institute is that people with disabilities are full, participating, and contributing members of their communities. To implement this core value, the Indiana Institute actively engages in service learning projects.

Through the promotion of service learning, young people with, and without, disabilities are gaining and utilizing the tools to foster greater collaboration and accessibility, and to fight hunger, homelessness, and a myriad of other environmental and social problems.

STAR Schools and Learn and Serve programs received technical assistance from the Institute.

Five Learn and Serve programs were housed in special needs classrooms, impacting over 250 community members through their service learning classes. Schools in some 60 school districts offered service learning benefiting hundreds of participants and community members.

As part of the National Service Inclusion Project, the Indiana Institute works to bring the disability community and the concept of national service together to build the capacity of communities to sustain all their citizens, regardless of ability. Currently, people with disabilities are engaging in volunteer activities ranging from neighborhood clean-up projects and book drives to providing testimony on relevant issues, supporting people in transition, building low-income housing, assisting elders in their homes, tutoring, mentoring, teaching peace education, and much more.

HOME MODIFICATIONS SURVEY

With the aging of Indiana's population and the state's efforts to deinstitutionalize congregate care facilities, the Indiana Institute, with funding from the Indiana Governor's Planning Council for People with Disabilities and the Indiana Housing Finance Authority, authored two surveys in 2003 to address issues of home ownership, fair housing, and home modification for persons with and without disabilities across the state.

The surveys focused on the “state-of-the-art” of home modification activities throughout Indiana. Forty-five public and private home modification and repair contractors, representing services in 91 of the state’s 92 counties, completed survey one.

An additional survey was distributed to members of the Indiana Builders Association, with 150 responses providing additional important information about perceived barriers to the expansion of home modification and educational needs of the industry.

Survey outcomes resulted in a number of program and policy recommendations at the local, regional, and state level to help improve the status of home modification services in Indiana to enable more Hoosiers to become, and/or remain, independent in their homes and active in their neighborhoods and communities.

PURPOSEFUL TECHNOLOGY

In addition to the Institute’s Vision Athena video-distance learning send and receive site providing interactive distance learning, an additional meeting facility was fitted with a Polycom videoconferencing system in 2003. System features include interactive distance learning and two-way meeting capability and multicast video streaming.

These multifunction capabilities provide additional distance education teaching and learning opportunities for Indiana Institute faculty and staff as well as representatives from other departments within Indiana University.

Also in 2003, the implementation of wireless technology or WLANs was installed on the Indiana University campus. Wireless technology utilizes the current data network and allows users to maintain a computer connection wherever they are without restrictions to their range of motion.

MILESTONES: FROM PLACE TO PURPOSE...

The Indiana Institute on Disability and Community at Indiana University, Bloomington works to put new ideas and the results of research into everyday practice. These outcomes are accomplished in partnership with other community agencies, people with disabilities and their families, advocacy organizations, state and local government agencies, and other institutions of higher education.

In the summer of 2002, Indiana Institute faculty and staff began a series of discussions about our work culture, our organizational place, and our direction for the future. These discussions continued and have led to a refocusing of our organizational purpose.

The Indiana Institute’s work discussions resulted in a new vision and mission statement. These statements reflect the Institute’s continued commitment to disability, and include expansion of our role in the context of the broader community (e.g., school, business, church, home, etc.). This led to the following goals:

- Foster unique collaborations and partnerships locally, across the state of Indiana, nationally, and internationally;
- Invest in ideas and initiatives with a focus on the broader community that encompass housing, employment, community resources, education, post secondary education, and prevention;
- Expand our university roles through investment in teaching more graduate assistants and students involved in student advising, university governance, and research activities;

- Focus on our own work culture as it pertains to shared leadership, mission, vision, strategic planning, change, visibility and marketing, human resources, and the purposeful use of technology; and
- Diversify our sources of funding and other resources.

Effecting change in the context of the broader community has evolved over time in the work of the Indiana Institute. As Helen Keller once said, “To keep our faces toward change, and behave like free spirits in the presence of fate, is strength undefeatable.” Thus, the collective vision and mission of the Indiana Institute embraces change by fostering communities that welcome, value, and support the participation and contributions of people of all ages and abilities through research, education, and service.

CONSOLIDATION OF BUDGET REQUEST

AGENCY NAME	Indiana University		Page 1 of 1
PROGRAM NAME	Indiana Institute on Disability and Community		
ACCT # & NAME	100-797	Indiana Institute on Disability and Community	

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
MAJOR OBJECT CATEGORIES BY BASE BUDGET AND NEW PROGRAMS	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
PROGRAM MAINTENANCE								
A. General Fund								
Salaries and Wages	\$ 1,663,556	\$ 1,673,990	\$ 1,681,073		\$ 1,731,504	\$ 1,783,449		
Fringe Benefits	599,970	583,049	613,950		632,369	651,340		
Other Personnel Services					-	0		
.1 TOTAL PERSONNEL SERVICES	\$ 2,263,526	\$ 2,257,039	\$ 2,295,023		\$ 2,363,873	\$ 2,434,789		
.2 Services Other Than Personnel					-	0		
.3 Services by Contract					-	0		
.4 Materials, Supplies, Parts	146,250	152,791	114,753		118,196	121,742		
.5 Equipment					-	0		
.6 Land and Structures					-	0		
.7 Grants, Subsidies, Refunds, Awards					-	0		
.8 In-State Travel	16,380	18,146	18,200		18,746	19,308		
.9 Out-of-State Travel	6,370	4,550	4,550		4,687	4,828		
TOTAL OTHER OPERATING	\$ 169,000	\$ 175,487	\$ 137,503		\$ 141,629	\$ 145,878		
SUBTOTAL GENERAL FUND	\$ 2,432,526	\$ 2,432,526	\$ 2,432,526		\$ 2,505,502	\$ 2,580,667		
B. Other Funds								
Salaries and Wages	\$ 1,871,310	\$ 1,613,590	\$ 1,400,430		\$ 885,162	\$ 616,849		
Fringe Benefits	534,139	486,955	429,151		281,442	195,002		
Other Personnel Services								
.1 TOTAL PERSONNEL SERVICES	\$ 2,405,449	\$ 2,100,545	\$ 1,829,581		\$ 1,166,604	\$ 811,851		
.2 Services Other Than Personnel								
.3 Services by Contract	121,273		58,174					
.4 Materials, Supplies, Parts	1,290,395	1,507,874	1,182,107		1,007,942	94,392		
.5 Equipment	5,468	5,468						
.6 Land and Structures								
.7 Grants, Subsidies, Refunds, Awards								
.8 In-State Travel	206,332	151,107	112,853		76,502	13,649		
.9 Out-of-State Travel	19,271	27,529	18,449		16,180	16,194		
TOTAL OTHER OPERATING	\$ 1,642,739	\$ 1,691,978	\$ 1,371,583		\$ 1,100,624	\$ 124,235		
SUBTOTAL OTHER FUNDS	\$ 4,048,188	\$ 3,792,523	\$ 3,201,164		\$ 2,267,228	\$ 936,086		
TOTAL PROGRAM MAINTENANCE	\$ 6,480,714	\$ 6,225,049	\$ 5,633,690		\$ 4,772,730	\$ 3,516,753		

ESTIMATE OF REVENUES AND FEDERAL RECEIPTS

AGENCY NAME	Indiana University		Page 1 of 1
PROGRAM NAME	Indiana Institute on Disability and Community		
ACCT # & NAME	100-797	Indiana Institute on Disability and Community	

(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
MAJOR OBJECT CATEGORIES BY BASE BUDGET AND NEW PROGRAMS	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Dedicated Funds								
Source 1--Income	\$ 254,679	\$ 254,679	\$ 254,679		\$ 262,319	\$ 270,189		
Source 2								
Total Dedicated Funds	\$ 254,679	\$ 254,679	\$ 254,679		\$ 262,319	\$ 270,189		
State Grants and Contracts								
Voc. Rehab/FSSA	\$ 441,450	\$ 441,450						
DOE/Schools Initiative	394,306		167,028					
Unified Training System	23,123	30,000	30,000		30,000	30,000		
DOE/Service Learning	21,200	21,200	24,710		24,710	24,710		
DDARS Consultant	160,424	159,909	160,424					
INSIG/DOE	1,324,976	1,324,976	1,324,976		1,324,976			
MedWorks/FSSA	40,281							
IHETS	15,807							
ISDH Childcare	280,000	328,654	284,118					
MEDPAS	71,517							
Medicaid Waiver/FSSA	102,417							
Benefits Information Network/FSSA	69,879	69,829	96,175					
Autism Training/DOE		158,768	164,694					
IPAS-Customer Satisfaction	12,655	12,655						
FSSA--Meeting Place		4,640						
ISDH--Meeting Place		20,000						
IPAS --Knowledge of Empowerment		20,500						
Fssa/Lifespan		30,554						
Total State	\$ 2,958,035	\$ 2,623,135	\$ 2,252,125		\$ 1,379,686	\$ 54,710		
Federal Funds								
UCE-Admin Support	\$ 428,453	\$ 500,000	\$ 500,000		\$ 500,000	\$ 500,000		
IN Works/Social Security	148,432	197,909	65,970					
ADA/Indiana	97,616	100,000	100,000		100,000	80,000		
Cape/MCCSC	107,345	46,428	28,390		25,223	31,187		
Indiana Parent Information Network	43,738							
Civil Rights Commission	9,890							
Memory in Place/IU		19,714						
University of Connecticut		8,158						
Autism Advocates		5,000						
Office of Faith Based Initiatives		37,500						
Total Federal	\$ 835,474	\$ 914,709	\$ 694,360		\$ 625,223	\$ 611,187		

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana Geological Survey	
ACCT # & NAME	102-928	Indiana Geological Survey

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 2,033,652	\$ 2,027,906	\$ 2,062,289		\$ 2,124,158	\$ 2,187,881		
Fringe Benefits	754,484	702,807	750,797		773,321	796,521		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 2,788,136	\$ 2,730,713	\$ 2,813,086		\$ 2,897,479	\$ 2,984,402		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	183,612	218,864	155,816		160,490	165,305		
.5 Equipment	20,000	37,500	25,000		25,750	26,523		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	9,254	13,925	11,400		11,742	12,094		
.9 Out-of-State Travel	45,000	45,000	40,700		41,921	43,179		
TOTAL OTHER OPERATING	\$ 257,866	\$ 315,289	\$ 232,916		\$ 239,903	\$ 247,101		
GRAND TOTAL	\$ 3,046,002	\$ 3,046,002	\$ 3,046,002		\$ 3,137,382	\$ 3,231,503		
Funded by General Fund	3,046,002	3,046,002	3,046,002		3,137,382	3,231,503		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 3,046,002	\$ 3,046,002	\$ 3,046,002		\$ 3,137,382	\$ 3,231,503		

JUSTIFICATION OF BUDGET REQUEST INDIANA GEOLOGICAL SURVEY 2007-09

Purpose of the Program: The mission of the Indiana Geological Survey (IGS), as established in state statute, is to provide geologic information and counsel contributing to the wise stewardship and economic development of the energy, mineral, and ground-water resources of Indiana, and to the health, safety, and welfare of its citizenry. This mission is carried out through applied research; cooperative studies with other universities and government agencies; the publication of maps, reports, and databases; public service and education; and the collection and archiving of geologic data and materials.

Numbers and Profile of Population Served (include historic and projected changes): Through the work of the Geological Survey, all of Indiana's citizens are served directly or indirectly, whether, for example, through the orderly development of the mineral and energy resources of Indiana, by providing answers to questions related to environmental conditions, or through its various educational programs reaching all age groups of Hoosiers. Specific groups are able to obtain geologic information often vital to their mission and operation. These groups include land and minerals owners; coal, petroleum, aggregate and building-stone industries; and various divisions of the Indiana Department of Natural Resources, Indiana Department of Environmental Management, Indiana Department of Transportation, State Board of Health, Office of the State Chemist, and Indiana Department of Homeland Security. Federal agencies benefited include the U.S. Geological Survey, Environmental Protection Agency, Department of Energy, Office of Surface Mining, National Oceanic and Atmospheric Administration, and Federal Emergency Management Agency. The concerns of the general public are addressed on a request basis and are handled in writing or orally. The staff of the Geological Survey all play a role in its outreach efforts. These activities include collaboration with the Indiana University Department of Geological Sciences Undergraduate and Graduate instruction and Graduate advising, IU Mini University program, Indiana Master Naturalist program, and various events that occur throughout the year, such as Hoosier Association of Science Teachers, Inc. (HASTI), Science Olympiad, Indiana GIS Conference, Earth Day Indiana, and Earth Science Week. As one measure of the success of the Geological Survey outreach, the number of page views delivered by the IGS Website has doubled each of the past seven years totaling 10.3 million to more than 750,000 visitors in 2005. Moreover, the Geological Survey's Web-based Atlas for Indiana has become *the* source for reliable geographic information.

Program Goals for the 2007-09 Biennium: Plans for the forthcoming biennium include continuing many ongoing programs of directed research and education. Among them, the following projects display the depth and variety of activities of the Indiana Geological Survey scientists and staff:

- The U.S. Office of Surface Mining and the Indiana Department of Natural Resources, Division of Reclamation, are supporting the Geological Survey's efforts to acquire, scan, and digitize historic maps of abandoned underground coal mines. Once these maps are part of the IGS's Coal Mine Information System, they will have a direct impact on safety, environment, and economic concerns of Indiana's citizens and Indiana's coal mine industry. Additionally, IGS scientists are part of a national initiative that is training personnel from other states and tribes in the proper use of technology necessary to restore, preserve, and digitize historic mine maps. Additionally, the IGS has been invited to create a system for long-term digital storage for a national repository of digital mine maps. This will be created and maintained within Indiana University's Massive Data Storage System. The IGS will serve as a clearinghouse to provide access to these digital images by developing and maintaining an Internet Web site interface to view and download archived images.
- The IGS is collaborating with the geological surveys of neighboring states on two U.S. Department of Energy-funded studies to investigate the feasibility of burying (sequestering)

JUSTIFICATION OF BUDGET REQUEST INDIANA GEOLOGICAL SURVEY 2007-09

carbon dioxide in deep geologic structures as a means to mitigate the rise of this gas in the earth's atmosphere. Potential sequestration targets include producing and depleted oil and gas fields, unconventional oil and gas reservoirs, uneconomic coal seams, and saline aquifers. The investigators will identify major geologic formations best suited for long-term, safe, and secure storage of this greenhouse gas.

- A grant from the Indiana Department of Transportation will ensure continued enhancements and provide maintenance for the Geographic Information System Atlas of Indiana available on the Internet. This atlas of digital maps and databases includes more than 225 layers of information ranging from energy and mineral resources to land use, infrastructure, history, environment, biology, geology, and natural hazards. It receives even broader application by state agencies and by municipalities and county planners and decision makers.
- The Indiana Geological Survey will continue in its information and outreach programs. Field trips, school-classroom presentations, continuing education programs, short courses, and public lectures will be implemented for many of its ongoing and completed projects. The Internet will be further used as a means to ensure rapid and efficient public access to geologic resources, hazards, and environmental information.

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SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	School of Public and Environmental Affairs	
ACCT # & NAME	100-164	IN Advisory Comm. On Intergovernmental Relations

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 35,629	\$ 36,690	\$ 35,646		\$ 36,716	\$ 37,818		
Fringe Benefits	13,139	12,689	13,206		13,602	14,010		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 48,768	\$ 49,379	\$ 48,852		\$ 50,318	\$ 51,828		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	5,250	5,139	5,941		6,119	6,303		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	1,500	1,000	725		747	769		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ 6,750	\$ 6,139	\$ 6,666		\$ 6,866	\$ 7,072		
GRAND TOTAL	\$ 55,518	\$ 55,518	\$ 55,518		\$ 57,184	\$ 58,900		
Funded by General Fund	55,518	55,518	55,518		57,184	58,900		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 55,518	\$ 55,518	\$ 55,518		\$ 57,184	\$ 58,900		

The Indiana Advisory Commission on Intergovernmental Relations (IACIR) was established by the General Assembly to provide a forum for discussion and planning in light of the increasing demands being made on state and local governments. IC 4-23-24.2-12 names the Indiana University Center for Urban Policy and the Environment (Center) to staff and administer the Commission. The Center, as part of the School of Public and Environmental Affairs, brings to the IACIR a strong reputation for merging policy analysis research with the experience of practitioners to develop pragmatic solutions for state and local governments in Indiana.

Since its inception in 1995, the IACIR has undertaken numerous efforts to improve intergovernmental decision-making and to provide an ongoing forum for discussion and resolution of intergovernmental problems and concerns. The commission's work has included a periodic survey of local governments and series of studies and public forums on several important issues facing Indiana's local governments and citizens: annexation, the implementation of the new property tax assessment and appeals procedures, the efficacy of local economic development tools, and estimates of needed investments in water and wastewater infrastructure. Current projects include the 2006 survey of local governments and a study of policy options for increasing the effectiveness of the statute regarding interlocal agreements.

As in its first eleven years, the IACIR is poised to provide research as well as a discussion forum for complex issues affecting state and local government. The IACIR anticipates several initiatives during the upcoming biennium (2007-2009), including:

- Annual surveys of local governments;
- Study of policy options regarding interlocal agreements;
- Continued work on documenting the state's needs for water infrastructure and funding options
- Continued work on issues surrounding local government fiscal and organizational reforms; and
- Other work items assigned by Legislative Council.

In addition, the Center for Urban Policy and the Environment, staff to the commission, will actively engage IACIR members as participants and reviewers of the intergovernmental elements of its work, particularly regarding its work on investments in Indiana.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Abilene Network Operations Center	
ACCT # & NAME	099-012	Abilene Network Operations Center

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 536,966	\$ 550,267	\$ 550,267		\$ 566,773	\$ 583,776		
Fringe Benefits	182,301	177,022	177,022		182,332	187,802		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 719,267	\$ 727,288	\$ 727,288		\$ 749,105	\$ 771,578		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	25,495	39,096	39,096		40,269	41,477		
.5 Equipment	51,132	26,557	26,557		27,353	28,174		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	3,778	4,018	4,018		4,139	4,263		
.9 Out-of-State Travel	17,831	20,543	20,543		21,160	21,795		
TOTAL OTHER OPERATING	\$ 98,235	\$ 90,214	\$ 90,214		\$ 92,921	\$ 95,709		
GRAND TOTAL	\$ 817,502	\$ 817,502	\$ 817,502		\$ 842,026	\$ 867,287		
Funded by General Fund	817,502	817,502	817,502		842,026	867,287		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 817,502	\$ 817,502	\$ 817,502		\$ 842,026	\$ 867,287		

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana GigaPOP	
ACCT # & NAME	099-012	Indiana GigaPOP

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 147,100	\$ 149,000	\$ 149,000		\$ 153,470	\$ 158,074		
Fringe Benefits	56,235	55,801	55,801		57,475	59,199		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 203,335	\$ 204,801	\$ 204,801		\$ 210,945	\$ 217,273		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	497,550	502,394	502,394		517,465	532,989		
.5 Equipment	19,599	12,839	12,839		13,224	13,621		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	500	750	750		773	796		
.9 Out-of-State Travel	6,654	6,854	6,854		7,060	7,272		
TOTAL OTHER OPERATING	\$ 524,303	\$ 522,837	\$ 522,837		\$ 538,522	\$ 554,678		
GRAND TOTAL	\$ 727,638	\$ 727,638	\$ 727,638		\$ 749,467	\$ 771,951		
Funded by General Fund	727,638	727,638	727,638		749,467	771,951		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 727,638	\$ 727,638	\$ 727,638		\$ 749,467	\$ 771,951		

Indiana University, the Abilene Network Operations Center (NOC), and the Indiana GigaPoP

In August of 1998, Indiana University was chosen as the home for the Abilene Network Operations Center (NOC). The Abilene NOC provides comprehensive network management services for all the physical and operational aspects of the Abilene network and plays a critical role in the deployment of the advanced networking initiatives and services that are the focus of the Internet2 project.

The Abilene NOC is physically located on the Indiana University-Purdue University-Indianapolis (IUPUI) campus. The initial selection and the subsequent re-endorsement of IU as the home of the Abilene network operations center confirm the status and importance of Indianapolis as a national networking center.

Indiana University also manages the Indiana GigaPoP, which continues to play an expanding role in Indiana's linkage to the developing national cyber infrastructure. As a key infrastructure component of I-Light and now I-Light2, the GigaPoP has become one of a very few premier network interface points in the nation. The GigaPoP now services not only Indiana University and Purdue University, but also the rest of the State through the connectivity of IHETS and the Indiana Telecommunications Network. The GigaPoP is now the single interface point for the State's entire higher education sector to not only Internet2 and other advanced networks, but also the commodity Internet. Its placement and use have enabled Indiana's K-20 community to take advantage of tremendous reductions in rates for internet connectivity, which in turn has allowed the State to dramatically increase its connectivity without a single dollar increase in the funding required to provide that service. And in the future, we expect to see costs actually decrease, while capacity continues to increase significantly. The Indiana GigaPoP is the State's main point of presence on the national Internet infrastructure, and is helping Indiana maintain a place among the nationally leaders in this arena.

Strong support from the State of Indiana enables Indiana University to continue to be the home of the Abilene NOC, and to maintain this unique national interface. The State recognizes the importance of supporting the development of the new generation of high-speed Internet technologies that provide promise of major impacts in such diverse areas as distance and lifelong learning, healthcare and geographically distributed design and planning.

In addition, in large part due to the existence of ongoing State funding for the Indiana GigaPoP and the Abilene NOC, IU has been able to further leverage this investment with additional national and international network and research collaboration responsibilities, all of which bring great acclaim and prestige to IU and the State of Indiana.

A. Abilene/Internet2 Network

The Abilene Network is an Internet2 high-performance backbone network that enables the development of advanced Internet applications and the deployment of leading-edge network services to Internet2 universities and research labs across the country. The network has become the most advanced native Internet-Protocol (IP) backbone network available to participating universities.

The Abilene Network supports the development of applications such as virtual laboratories, digital libraries, distance education and tele-immersion, as well as the advanced networking capabilities that are the focus of Internet2. Abilene complements and peers with other high-performance research networks in the U.S. and internationally.

Created by the Internet2 community, Abilene connects regional network aggregation points—called GigaPoPs—to provide advanced network services to Internet2, university, corporate, and affiliate member institutions in all 50 states, the District of Columbia, and Puerto Rico, and to 34 similarly advanced R&E networks through the world. Today Abilene has 44 direct connectors including the Indiana GigaPop, 228 participants in all 50 states, 104 sponsored participants such as Eli Lilly and Company here in Indiana

(which IU sponsors), and 33 state education group partners (SEG-P) such as the Indiana Higher Education Telecommunications Service (IHETS).

Abilene is a proving ground for high-bandwidth technologies. The cross-country backbone is a primarily OC-192c (10 gigabits per second) backbone employing optical transport technology and advanced high-performance routers, with the goal of offering at least 100 megabits per second of connectivity between every Abilene connected desktop.

The Abilene Network is a partnership of Internet2, Qwest Communications, Nortel Networks, Juniper Networks, and Indiana University.

B. Regional, National, and Global Networks

Hosting the NOC for Abilene has enabled IU to develop the reputation and critical mass of expertise in managing the operations centers of many high performance networks, and led to the establishment of the Indiana University Global Research Network Operations Center (GR-NOC.)

The GR-NOC is housed on the IUPUI (Indianapolis) campus, in the Informatics and Communications Technology Complex. Staffed by sixteen full-time technicians, the GR-NOC operates on a 24 hour, 7 day per week, 365 days per year schedule. The GR-NOC has been awarded contracts to support not only the Abilene Internet2 network, but also the following networks, which are described below: TransPAC and TransPAC2, the International Virtual Data Grid Laboratory, Open Science Grid, AMPATH, and National Lambda Rail (NLR). IU has also recently been awarded management of the fiber network infrastructure--the "OmniPoP"--operated in Chicago by the Committee on Institutional Cooperation (CIC; a consortium of the Big Ten Conference universities plus the University of Chicago).

TransPAC

TransPAC and its successor project Transpac2 provides high performance Internet2 network connectivity from the Asia Pacific Advanced Network to other global networks for the purpose of international collaborations in research and education.

International Virtual Data Grid Laboratory

The International Virtual Data Grid Laboratory's (iVDGL) Grid Operation Center (iGOC) provides a repository for information regarding network, storage and computing resources of the iVDGL, and serves as a central monitoring point for operational activity at each site and among the sites (the iVDGL in total).

AMPATH

The AmericasPATH (AMPATH) network is a project that interconnects the R&E networks in South and Central America, the Caribbean and Mexico to US and non-US Research and Education (R&E) networks via Internet2's Abilene network.

National Lambda Rail (NLR)

IU continues to have responsibility for providing management services to the newest advanced national high performance network, the National Lambda Rail (NLR). IU was selected in 2003 to provide both Network Operations Center and Network Engineering services to NLR, which in FY 2004-05 added almost \$600,000 in additional annual contract income. This would not have been possible without the base level of support provided by the appropriation for the Abilene NOC and the Indiana GigaPoP.

CIC OmniPoP

The OmniPoP is a collaborative effort of the Committee on Institutional Cooperation to serve the participants' networking needs by creating a framework for a network infrastructure to promote regional network connectivity and shared services. Indiana University is the OmniPoP Operating Partner.

C. Examples of Support for Scientific Collaboration and Applications

In addition to management of network infrastructures, the GR-NOC provides support to a variety of scientific research activities:

Open Science Grid

The Open Science Grid is a US grid-computing infrastructure that supports scientific computing via an open collaboration of science researchers, software developers and computing, storage and network providers. The OSG Grid Operations Center is based at Indiana University.

Advanced Networking for Telemicroscopy

Scientists at Osaka University and University of California, San Diego, utilize international research networks to couple the world's largest and most powerful transmission electron microscope at the Research Center for Ultra High Voltage Electron Microscopy, Osaka University, to a remote-use computer pavilion set up at UCSD.

Grid Datafarm

The Grid Datafarm is a data-intensive computing project initiated in Japan to develop a peta- to exa-scale parallel file system exploiting local storages of PCs spread over the worldwide Grid.

Data and Information Access Link

DIAL is a Web-based distributed system to search access and visualize satellite remote sensing data for global change research. 3D data is transferred from NASA to NASDA using TransPAC/APAN, then processed and visualized for the Web.

iGrid

Started in 1998, the biennial iGrid (International Grid) event showcases application and middleware advancements enabled by globally connected, high performance networks. iGrid 2002 challenged scientists and technologists to optimally utilize 10Gbps experimental networks, with special emphasis on e-science, grid and virtual laboratory applications.

C. Indiana State Networking

I-Light

IU operates I-Light, a fiber-optic network connecting Indiana University-Bloomington, IUPUI, and Purdue's West Lafayette campus to each other, and to the national Internet2 infrastructure. Launched in December 2001, I-Light made Indiana the first state in the nation to have a fully operational network of this kind.

I-Light Expansion Project (aka I-Light2)

The Governor, the State Budget Agency, and Indiana Information of Technology have assigned the responsibility for building and operating an expanded I-Light optical network, which will serve all Indiana universities and colleges, public and private, to Indiana University and Purdue University. The experiences, facilities, and services of the GR-NOC at IUPUI will be leveraged to build and operate the expanded I-Light network, which, once active, will stretch to all four corners of the State, and will become the largest such statewide optical network (per capita) in the US.

IP Grid

Extending the original I-Light network to Chicago via the acquisition of a new optical-fiber connection created the "IP-Grid." Using this connection, researchers from Indiana University and Purdue University

can now collaborate with colleagues at other institutions in real time, as though they were in the same room or laboratory.

D. Research and Educational Network Information Sharing and Analysis Center (REN-ISAC)

Involvement of Indiana University in all of the networking and collaboration activities described above provides the University a unique view of security issues in the higher education and research communities.

Hosted by Indiana University and with the support and cooperation of Internet2, Louisiana State University, EDUCAUSE, and individual contributors, the REN-ISAC is an integral part of higher education's strategy to improve network security through information collection, analysis, dissemination, early warning, and response. REN-ISAC services and products are specifically designed to support the unique environment and needs of organizations connected to served higher education and research networks, and supports efforts to protect the national cyber infrastructure by participating in the formal U.S. ISAC structure.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Spinal Cord and Head Injury Research	
ACCT # & NAME	099-035	Spinal Cord and Head Injury Research

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 04-05	FY 05-06	FY 06-07	FY 06-07	FY 07-08	FY 08-09	FY 07-08	FY 08-09
Salaries and Wages	\$ 322,526	\$ 347,874	\$ 347,874		\$ 358,310	\$ 369,060		
Fringe Benefits	107,607	111,295	111,295		114,634	118,073		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 430,133	\$ 459,169	\$ 459,169		\$ 472,944	\$ 487,132		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	2,500	500	500		515	530		
.4 Materials, Supplies, Parts	70,659	45,457	45,457		46,821	48,226		
.5 Equipment	5,000	5,300	5,300		5,459	5,623		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	2,000	300	300		309	318		
.9 Out-of-State Travel	4,434	4,000	4,000		4,120	4,244		
TOTAL OTHER OPERATING	\$ 84,593	\$ 55,557	\$ 55,557		\$ 57,224	\$ 58,941		
GRAND TOTAL	\$ 514,726	\$ 514,726	\$ 514,726		\$ 530,168	\$ 546,073		
Funded by General Fund	514,726	514,726	514,726		530,168	546,073		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 514,726	\$ 514,726	\$ 514,726		\$ 530,168	\$ 546,073		

Brief History

The Indiana University Spinal Cord and Head Injury Research Center was created in 1999 with an appropriation from the State of Indiana. This program has collaborated with the Purdue Veterinary School Center for Paralysis Research who also received the same appropriation. The Center was granted additional funding support in July 2001, July 2003 and July 2005.

Basic Science Program Update:

1. Stem Cell Laboratory

The Basic Science Laboratory has continued to use the expertise of Dr. Feng Zhou to use stem cells in an attempt to improve the regeneration of the spinal cord. This laboratory is supported by one post doctoral fellow, one graduate student and two research technicians. We have procured robust neuro stem cells not only from embryonic sources but also from adult spinal cord. These cells can renew over years. They are nestin positive and capable of turning into various types of differentiated cells. We have demonstrated that stem cells can express neuronal and glial functional phenotypes.

Using spinal transection and contusion rodent models, we have transplanted neuro stem cells into the injured spinal cord examined the replenished stem cells. The labeled transplanted stem cells are found not only located in the injury site at the thoracic cord but also migrating millimeters away from the transplant site weeks after transplantation. The cavity induced by the lesions in the spinal cord was reduced. These anatomical features correlated with observed behavioral improvement. Specifically, spinal transection at the thoracic level rendered a permanent paralysis of animals in hind limb. After stem cell transplantation, animals were capable of two joint movements with increase BBB scoring weeks after the neuro stem cells treatment.

Through the progress of spinal neuro stem cells from embryonic and adult spinal cord rodents, The Indiana University School of Medicine is launching a program to procure stem cells from spinal cord tissue from adult donors.

The following papers and abstracts have been produced by the stem cell laboratory since the last budget submission:

Papers:

Zhou, F.C. and R. P. Singh (2003) Regulation of neural stem cells in the adult mammalian brain, In Neuronal and Vascular Plasticity: Elucidating Basic Cellular Mechanisms for Future Therapeutic Discovery. Chap 9.

P219-256. Kluwer Academic Publishers. New York. Ed. Kenneth Maise, March, 2003. Book Cover Featuring

Zhou F.C. Special Issue on Stem Cells: An Introduction from the Guest Editor. Current Neurovascular Research, July 2004, vol. 1, iss. 3, 195-196.

Powrozek, TA, Sari, Y. Singh, RP, and FC Zhou. Neurotransmitters and drugs of abuse: effects on adult neurogenesis, Current Neurovascular Res. 1 (2004)252-260

Abstracts:

Zhou, FC. H. Chai, J. Duguid, and P. Nelson. Transplantation of peripheral stem cells from dorsal root ganglia has a greater ability than central stems from the spinal cord in alleviating hindlimb paralysis in a transection model. American Society of Neural Therapy and Repair, May 1-4, 2003

F.C. Zhou, R.P. Singh, H. Chai, Z. Pang, Z.C. Xu, G. Yuan, G. Nicol, and M. Kuwagata Phenotypic expression of Neuroprogenitor Cells from adult spinal cord and dorsal root ganglia. Abstr. Soc. Neurosci. (2003) 33, 140.14

R. P. Singh and F. C. Zhou. Differentiation of sensory phenotypes of adult dorsal root ganglia neural progenitor cells is modulated by neurotrophins. Exp Neurology, 2006(198)p589,.

Y-H. Cheng, R.P. Singh, P. Nelson, and F.C. Zhou Spatiotemporal distribution of nestin, vesicular glutamate transporter-2, and serotonin after spinal cord injury. Exp Neurology, 2006 (198)p564,.

In Preparation

R. P. Singh & F.C. Zhou. Neural Progenitor Cells of the Embryonic & Adult Rat Dorsal Root Ganglia. In preparation

F. C. Zhou, H. Chai, Xu, Zao. C, and P. Nelson, and T. Ogawa, Characterization of Robust Neuroprogenitor cells from Injured Adult Spinal Cord, in preparation

2. Dr. Richard Friedman's Laboratory

The following abstracts report the preliminary characterization of a new substance that may be effective in countering glutamate excitotoxicity, a problem encountered following spinal cord injury:

"The Effects of the D3 Stereoisomere of a Carboxy Derivative of Buckminsterfullerene on Excitatory Junctional Potentials at a Crayfish Neuromuscular Junction." JM Voorhies, D Hughes, R Hassan, RN Friedman. Journal of Investigative Medicine, (2006).

"Low Concentration Effects of a Carboxy Derivative of a Buckminsterfullerene on Excitatory Junction Potentials at a Crayfish Neuromuscular Junction." D Hughes, JM Voorhies, R Hassan, RN Friedman. Journal of Investigative Medicine, (2006).

3. The Stark Neuroscience Institute

In 2003, Dr. Gerry Oxford became the Director of the School of Medicine's new Stark Neuroscience Institute which is located in the newly constructed Research II building. The Stark Institute has dedicated laboratories for spinal cord injury research.

4. Endowed Research Chair

A final candidate was recently offered the Mari Hulman-George Basic Science Chair, but his current employer was able to match our substantial offer and the candidate chose not to leave. Dr. Paul Nelson,

Chairman of the Department of Neurological Surgery, and Dr. Oxford will continue their active recruitment of the endowed Mari Hulman-George Basic Science Chair who will devote his/her entire time to spinal cord injury research.

5. Collaboration with Purdue

Four neurosurgical residents and one Indiana University medical student have worked at the Purdue Center for Paralysis Research.

- a. Richard B. Rodgers, M.D. studied polyethylene glycol (PEG)
- b. Kevin Jackson, M.D. studied 4-AP
- c. Benjamin Spomar studied poloxamer 188.
- d. Scott Purvines, M.D. studied Inosine and OFS combined treatment in spinal cord injury.
- e. Phillip Smucker, M.D. studied polyethylene glycol (PEG) in a head injury rat model.

In recent projects, Dr. Purvines studied Inosine versus Oscillating Field Stimulation plus Inosine in treating experimental chronic spinal cord injury in a guinea pig. Results showed that with Inosine plus OFS significantly improved recovery and regeneration in a chronic SCI model.

Dr. Smucker studied head injury in a rat model using PEG. Results showed better movement and histological function in the rats treated with PEG.

Dr. Rodgers has gone on to study voltage gated calcium channels in a vitro SCI model. With results showing that blockage of the voltage gated Calcium channel is detrimental to membrane potential recovery and compound action potentials. This study has been submitted for publication.

Clinical Program Update:

1. Completion of Phase I Trial Studying the use of and Oscillating Field Stimulator (OFS) in patients with acute, complete spinal cord injuries.

An electrical field cathode (negative pole) has trophic and tropic effects on injured spinal cord axons in animal studies. In collaboration with Purdue, a human oscillating field stimulator (OFS) was built, an FDA exemption was obtained, and IRB approval was given for a Phase I trial to study 10 humans with complete motor and sensory spinal cord injuries. This study has been completed under the direction of Dr. Scott Shapiro and has been submitted for publication. There were no major complications. Patients had significant improvement in their perception of touch and pinprick below their injuries. Seven of the patients had slight recovery in motor function. Some improvement in somatosensory evoked potentials was also observed. An application to study an additional 10 patients was approved by the FDA. Three patients have been enrolled in this study since December of 2005. No complications have occurred and early results are consistent with above reported results.

2. Head Injury Database

A database has been established to study children with head injuries that are admitted to The Riley Children's Hospital. Riley Hospital is also participating in a multi-center database that will collect data on children under the age of three with head injury. The database project has been directed by Dr. Thomas Luerksen who is the Director of Pediatric Neurosurgery.

3. Adaptive Bicycle Rehabilitation Study

In 2005 and 2006, we collaborated with Riley Hospital for Children's Community Education and Child Advocacy Department to study the impact that use of an adapted bike has on the rehabilitation progress and social skills development of children with spinal cord injuries. The data from this project is being processed and we hope to have several publications from this research.

In summary, progress has been demonstrated in both the basic science and clinical programs.

A7776.doc