



INDIANA UNIVERSITY

“We can, we must, and we will build Indiana University into one of the great universities of the 21st century. We will do so carefully, prudently, and deliberately. We will adjust, where necessary, to changing circumstances, recognizing that true leadership requires a clear understanding of what is possible at any given moment in time. And we will be faithful always to the two great missions at the core of this institution: education and research.”

—President Michael A. McRobbie

2011–2013 Operating Request and Special State Appropriation Request

August 2010



August 20, 2010

OFFICE OF THE
PRESIDENT

Mr. Christopher Ruhl
Director
Indiana Office of Management and Budget
212 State House
Indianapolis, IN 46204

Ms. Teresa Lubbers
Commissioner
Indiana Commission for Higher Education
101 West Ohio Street, Suite 550
Indianapolis, IN 46204

Dear Colleagues:

On behalf of the Trustees of Indiana University, our students, faculty, staff and alumni, I am delighted to submit IU's FY 2011-13 operating, fee replacement, and special state appropriation request. The Trustees and I have worked together to establish the funding priorities outlined herein, and this appropriation request is submitted with their approval.

Our strategic vision for Indiana University remains integrally tied to IU's core missions. That vision focuses on initiatives that advance excellence in education and research so that Indiana University retains and enhances its place as one of the best public research universities of the twenty-first century. It also focuses on leveraging IU's resources to enhance the prosperity and well being of the state's citizens.

In pursuit of this vision, and in recognition of the many competing priorities the General Assembly, the State Budget Agency, and Commission for Higher Education must consider, IU's request for changes in appropriation levels is limited to those base adjustments specified by the State and for fee replacement for debt service. Consistent with directions, we do not include requests for funding adjustments related to inflation. The resulting level of funding in 2012-13, \$541.9 million, would be nearly the same as in 2007-08.

Our special appropriations request highlights the Indiana Innovation Alliance, a major collaboration between Purdue University and Indiana University funded by the 2009 General Assembly. Funding for IU will provide for expansion of regional medical education programs and for core research.

We welcome the opportunity to provide any additional information you and your colleagues may desire and enthusiastically look forward to opportunities to discuss IU's request with you.

Yours sincerely,

A handwritten signature in blue ink that reads "Michael A. McRobbie".

Michael A. McRobbie
President

cc: Trustees of Indiana University

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Executive Summary

Indiana University requests \$9.2 million in new state operating and fee replacement appropriations in the 2011-13 biennium. The components of the request are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

In addition, in partnership with Purdue, IU is requesting continuation of the special appropriation for the Indiana Innovation Alliance.

Indiana Innovation Alliance

Two years ago Indiana University and Purdue University entered in a research partnership, the Indiana Innovation Alliance (IIA). This partnership was a response to the strong desire by Presidents McRobbie and Cordova that the two institutions increase collaboration on research engagements, especially those focused on the life sciences. Building on the state's existing robust foundation in health and bioscience related companies, the IIA initiative seeks to significantly grow the state's share of national investments in bioscience research and development. In addition, the IIA involves a related investment in expanded health-related education and healthcare innovations. The 2009 General Assembly recognized the importance of the Indiana Innovation Alliance initiative by appropriating to the State Budget Agency a total of \$10 million dollars for each year in the current biennium. The funding was to have been divided between three areas: the Medical Education Center expansion (\$3 million), Technical Assistance and Advanced Manufacturing (\$2 million), and Core Research (\$5 million). Due to the economic downturn, the State has not been able to release funds for the latter two components of the initiative during the current biennium. However, the State Budget Committee did approve a revised budget plan for the Medical Education Center expansion that reduced the state funding amount from \$3 million to \$2 million for FY 2011. According to IU's revised budget plan, the \$2 million state funding level would be applicable for FYs 2011-13, returning to \$3 million beginning in FY 2014.

The Medical Education Center expansion funding is to be distributed to the eight existing medical education centers. The Technical Assistance and Advanced Manufacturing funding was to have been distributed to Purdue University to expand postgraduate pharmacy residency training and postgraduate biomedical engineering specialization, and for a technical assistance program for cost containment through the Healthcare Technology Assistance Program. The Core Research funding was intended to fund facilities, equipment, researchers, and related expenses at both Purdue University and Indiana University.

We will continue to ask the State to support this very important initiative in the 2011-2013 biennium. Therefore, we have reflected this initiative as line items and IU and Purdue have

requested funding levels at the respective FY 2011 appropriation amounts for the Core Research and Technical Assistance and Advanced Manufacturing components of the initiative. IU also has requested \$2 million for the Medical Education expansion, consistent with State Budget Committee action. No incremental funding will be requested.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the *base adjustments* that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- *Research support* provides state funding as a match for institutional expenditures to support research funding. The funding is calculated at 50% of the increase in actual federal, industry, and competitively procured private foundation expenditures from FY 2005 to FY 2008, the last base period funded, compared to projected increases from FY 2009 to FY 2012. *Total request for Bloomington and IUPUI: \$11.2 million.*
- *Successfully completed credit hours* and *dual credit hours completed* provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with a non-failing grade. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12. The Bloomington campus is eligible only for the dual credit hours completed adjustment. *Amount requested: \$11.0 million for successfully completed and \$0.6 for dual credit hours.*
- *Change in degrees* and *low-income degree completion* acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.) *Amount requested: \$2.6 million for change in degrees and \$0.6 for low-income degrees.*
- *On-time degree* recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.) *Amount requested: \$0.2 million for on-time degrees.*

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

Special State Appropriations

Indiana University receives special state (“line item”) appropriations for a small number of activities. Such appropriations are specially designated because either the institution or the General Assembly wanted to be clear the funds were for a specific purpose. Consistent with the campus request, there is no assumption made for inflationary increases for ongoing operations. In addition, no requests for quality improvement or other funding increases are included. The requests therefore equal the current 2010-11 levels of support.

	2010-11	Biennial Changes	
	Base	Amount	Percent
IN Innovation Alliance	\$ 4,500,000	\$ -	-
IN Geological Survey	3,102,244	-	-
IN Institute on Disability & Community	2,477,440	-	-
State Dept of Toxicology	2,463,380	-	-
I-Light Network	1,471,833	-	-
Abilene Network Operations Center	832,596	-	-
GigaPoP Operations	694,756	-	-
IU Spinal Cord/Head Injury Research	524,230	-	-
IN Advisory Comm Intergov’t Relations	56,543	-	-
Optometry Education Fund	1,500	-	-
TOTAL	\$ 16,124,522	-	-

Institutional Narrative

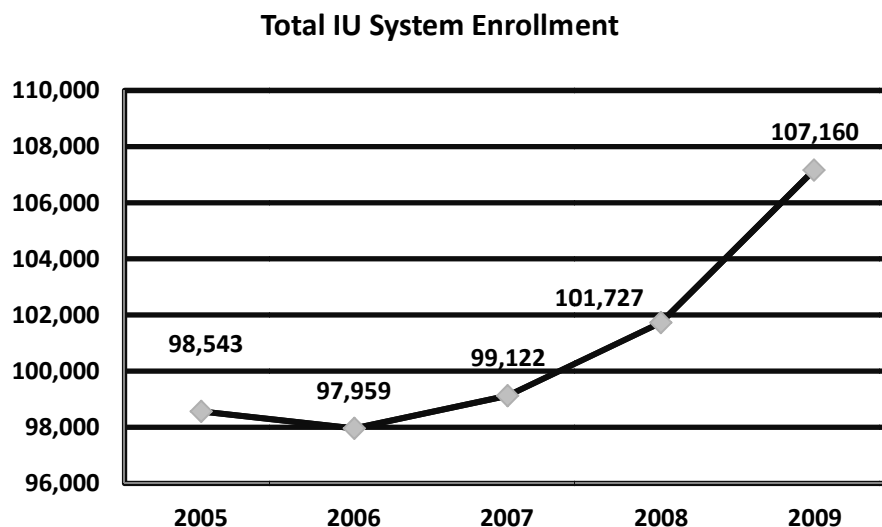
Introduction

In framing its institutional narrative, Indiana University is presenting its accomplishments and initiatives under the headings of the six key action items adopted by the Indiana Commission for Higher Education (ICHE) for implementation of its strategic plan, *Reaching Higher: Strategic Directions for Higher Education in Indiana*. Using this framework signifies IU's commitment to assisting the Commission in meeting the goals set out in *Strategic Directions* and for achieving the subsequently adopted action items.

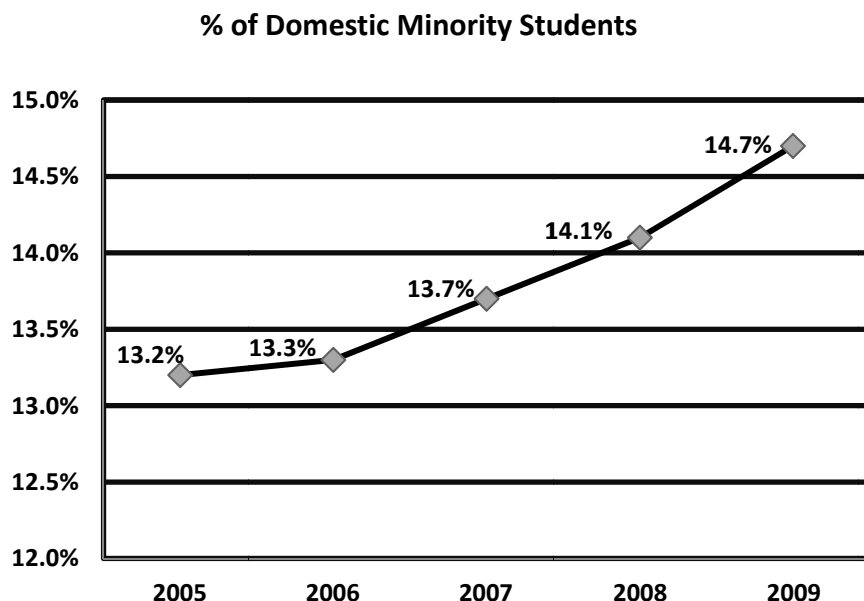
College Access to Degree Success

Enrollment Increases

Through partnering with the Indiana Commission for Higher Education, higher education institutions have enjoyed great success in increasing the number of Hoosiers who are pursuing a postsecondary education degree, especially students who enroll in college immediately after high school graduation. Indiana University has contributed to this success by increasing its enrollment over the past several years as demonstrated by the following chart. IU system fall enrollment, including students enrolled at IU programs at Fort Wayne, has increased 8.7% from more than 98,543 students in 2005 to a record 107,160 in 2009.



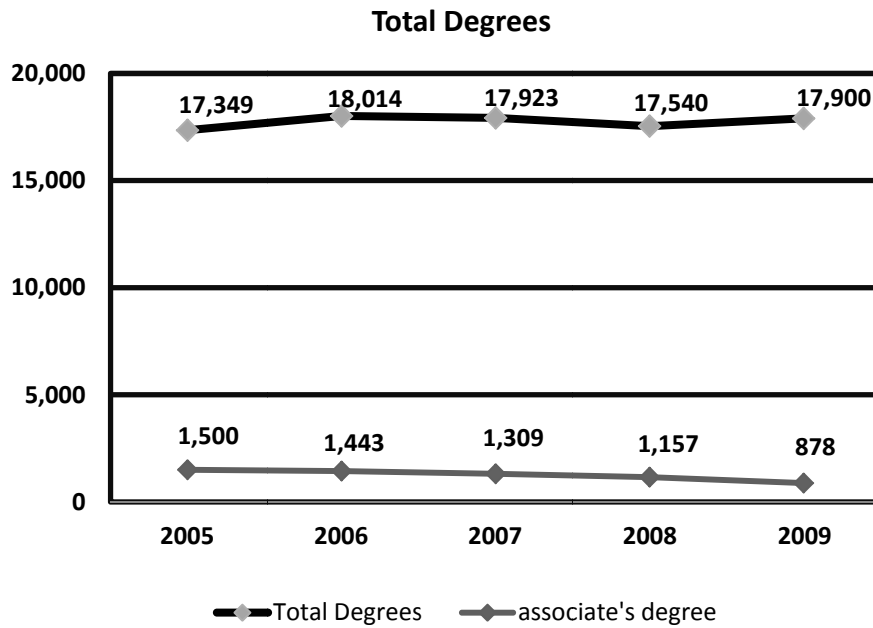
Increasing domestic minority enrollment at IU campuses - African American, American Indian, Asian American, and Hispanic students - has been an important goal of the Indiana University Trustees and administration. The percentage of domestic minority students has increased from 13.2% in fall 2005 to 14.7% in fall 2009.



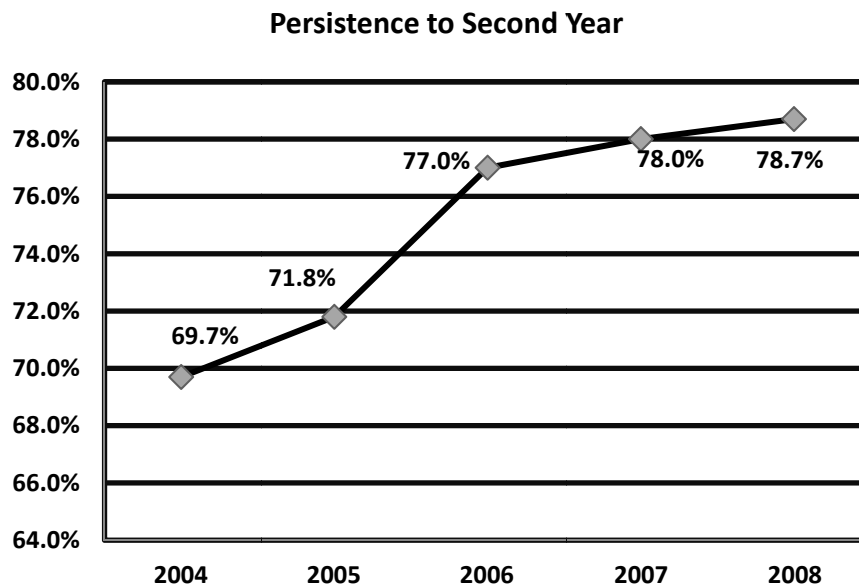
In recent years, IU has aggressively recruited the most academically prepared Hoosiers students. IU understands that keeping these students in Indiana for college increases the chance that they will remain in the state following graduation. To do this, IU has initiated new strategies to reach out to top students during their high school years and has introduced several scholarships to attract these students to IU campuses. In part a testament to the success of these efforts, the incoming beginning class at Bloomington in fall 2009 was the most academically talented ever with a mean SAT score of 1,203 and mean high school GPA of 3.6.

Student Success

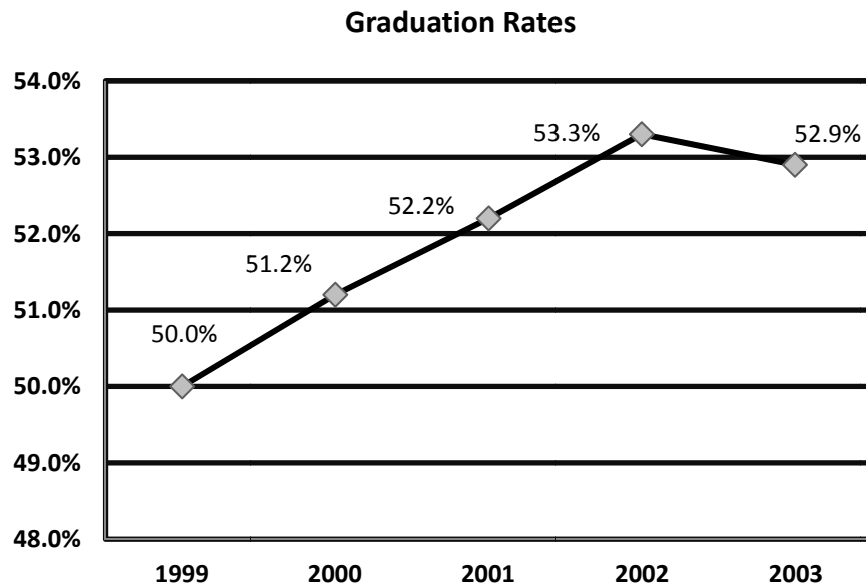
While growing enrollment is essential to the ICHE's goal of increasing the number of Hoosiers who hold a postsecondary degree, the value of enrolling additional students is greatly diminished if they do not complete a degree. IU has increased the number of total degrees (undergraduate and graduate) awarded to students (not including Fort Wayne) from 17,349 in 2005 to 17,900 in 2009, a 3.2% increase. As indicated on the following chart, it is important to note that the number of degrees increased despite IU's elimination of most associate degree programs, as indicated on the following chart. In coming years, IU expects continued growth in the number of diplomas awarded due to recent increases in enrollment.



Of course, ensuring that students persist in their studies and continue to work towards their degree is essential to increasing the number of Hoosiers with postsecondary education credentials. The following chart demonstrates the significant success that has been achieved in first year to second year student persistence rates. University-wide, persistence to the second year increased from 69.7% to 78.7% for cohorts beginning in 2004 compared to 2008 as indicated by the following chart.



Another measure of student success is the six-year graduation rate. As can be seen from the following chart, the six-year graduation rate for all campuses increased from 50.0% of students for the cohort beginning during 1999 to 52.9% for the cohort enrolling in 2003.



Initiatives to Improve Student Completion

President McRobbie has been committed to student retention and persistence from his first days in this office. In his inaugural address, he announced his “Degrees of Excellence” initiative, which required each campus to set aside new funds for student retention programs. To ensure high school graduates are prepared to succeed academically, IU Bloomington has adopted the Core 40 Academic Honors diploma as its standard for admission effective with the first summer session in 2011. The other IU campuses have adopted the Core 40 diploma as the standard for admission, also effective during the first summer session next year.

Preparing K-12 Teachers, School Leaders, and Students for College Success

Crispus Attucks Medical Magnet Program

An outstanding example of engagement by the IUPUI campus in K-12 education is the Crispus Attucks Medical Magnet High School (CAMMHS), the product of an innovative partnership between Indianapolis Public Schools and IUPUI. The engagement is overseen by the Special Programs for Academic Nurturing (SPAN) Division at IUPUI.

In this program, IUPUI faculty work in conjunction with CAMMHS teachers to prepare students for the transition from high school to college, including communicating skill sets necessary for students to succeed in college courses. Qualified CAMMHS students are eligible to complete up to 17 tuition-free credit hours on the IUPUI campus funded by IUPUI. These students are immersed in regular IUPUI courses alongside regular college students. Not only do students benefit from the academic rigor of college-level courses, they receive college credits, learn what it takes to succeed in college, and they benefit from interaction with college students. In many cases, students from CAMMHS are among the top performers in the postsecondary courses. In 2008-09 CAMMHS completed its pilot year with 23 students earning a total of 122 credit hours. During the 2009-10 academic year, 44 students participated and completed 390 credit hours. Within five years, CAMMHS hopes to increase that number to 200 students.

Ninety-two percent of students attending CAMMHS are minorities, 82% are eligible for free and reduced lunch, while the vast majority are first generation college students. Of the 102 students who began as freshmen in fall 2006, 87% graduated on time from either CAMMHS or another secondary school. Virtually all students had plans to continue their education at a postsecondary institution upon graduation.

Indiana University School of Education Center for P-16 Research and Collaboration

The Center for P-16 Research and Collaboration has engagements across the state to assist public schools. Three examples are presented below.

For more than four years, the Center has partnered with the Gary Community School Corporation (GCSC) to address declining enrollment, some of the highest dropout rates in Indiana, and low college attendance rates. The Center has used a combination of professional development, technical assistance, and direct service to students to reform teaching and learning in ways that encourage students' engagement in their education and community, higher college attendance aspirations and participation rates, and better preparation for 21st century careers. The professional development initiatives for educators focus on science, technology, and mathematics. In addition, the Center strives to provide comprehensive support of the city's youth who are at risk of dropping out.

At the South Bend Community School Corporation, the Center has been involved in the planning, implementation, and most recently, development of the Parent Program for Riley Early College High School (RECHS). RECHS, a school-within-a-school opened in fall 2009, provides first-generation and other students traditionally underrepresented in colleges the opportunity to earn both a high school diploma and up to two years of college course credit or an associate's degree by graduation. To provide students with the comprehensive support necessary to effectively increase their access to postsecondary education, RECHS engages parents in the process and provides them with the resources to support their children's college aspirations and participation. The Center also collaborated with the school to design a 5-year professional development program that leverages expertise from IU South Bend, Notre Dame, and Memorial Hospital.

The Math Matters program provides Project-Based Learning (PBL) instruction and ongoing support for math teachers in 10 southeast Indiana counties. The goal of Math Matters is to help teachers improve student achievement in math through rigorous, authentic projects in which students are engaged. Under the program, teachers participate in intensive training in PBL methods and project creation. The program also involves the local community through partnerships with businesses and organizations that help develop “real-world” projects in which the students apply math to complete. Through the program and the PBL method of instruction, student content knowledge is improved along with development of 21st century skills such as collaboration, critical thinking, and problem solving. Math Matters has been supported by I-STEM, a National Governors Association grant, and EcO15, which is funded by a grant from the Lilly Endowment.

Concurrent Enrollment Programs

The opportunity for students to transfer college credit earned while in high school can be another contributor to student success. For years, IU’s Advanced College Program has been a leader in offering National Alliance of Concurrent Enrollment Partnerships (NACEP) accredited dual credit courses at high schools located throughout Indiana that are designed to be equivalent to the academic rigor of similar courses offered on campus. Both the number of courses and credits has increased significantly in recent years. From Academic Year (AY) 2006-07 to AY 2008-09, the number of unduplicated students enrolled in IU dual credit courses has increased by 52% from 3,592 to 5,459. Even more impressive, credit hours have more than doubled from 9,838 to 20,188 during this period. Finally, the number of students taking IU dual credit courses who are eligible for free/reduced lunch, thus receiving tuition waivers from IU, has increased from 279 to 621 during the same period.

Indiana University’s representative on the Dual Credit Advisory Council, co-chaired by Indiana Commissioner for Higher Education Teresa Lubbers and Superintendent of Public Instruction Tony Bennett, is Dr. Kurt Zorn, Associate Vice Provost for Undergraduate Education. IU has played a key role in the Council’s efforts to expand dual credit course offerings and to develop a new formula that provides adequate funding to continue the recent impressive enrollment growth achieved not only by IU, but by other Indiana institutions as well.

During the 2010 legislative session, Indiana University staff worked closely with the author and sponsor of HEA 1173, which guarantees that students who achieve a score of 3 or higher on an Advanced Placement exam receive college credit. Indiana University faculty are currently engaged in an effort to update the university’s policies regarding acceptance of credit for Advanced Placement coursework and IU looks forward to collaborating with the ICHE and the Indiana Department of Education on communicating its policies to prospective and enrolled students.

Making College Affordable

Moderation in Tuition Increases

Over the past several years, IU has intensified its commitment to balance the need to secure adequate resources to meet annual cost increases necessary to maintain academic competitiveness with the goal of keeping tuition and fee increases moderate. Cuts in state support in other states have led to national annual fee rate increases averaging 7.1% for the past six years (through AY 2009-10). The combination of the efforts by the state to continue its support of higher education and the careful management of budgetary resources at Indiana University has made it possible for IU's fee rate increases at Bloomington to average 4.8% per year over the same period. Similarly modest tuition increases were implemented at other IU campuses. In recognition of its value, *Kiplinger Magazine* ranked the Bloomington campus 28th out of more than 500 public institutions on the basis of "delivering strong academics at affordable prices."

In setting tuition for the FY 2009-11 biennium, IU limited resident undergraduate increases to a maximum of 4.6% for AY 2009-10 and 4.8% for AY 2010-11, within Indiana Commission for Higher Education guidelines. To further reduce the impact of these tuition increases, the university initiated the IU Incentive Grant program, which provides an award to resident undergraduate students who demonstrate a commitment to degree completion and academic achievement. Students at the Bloomington and Indianapolis campuses completing at least 12 credit hours and achieving a GPA of 3.0 or greater are eligible for a \$300 award each semester. The award is \$200 at each of IU's regional campuses.

Student Financial Assistance Programs

While moderating tuition increases, IU has significantly increased resources allocated to both merit and need-based student financial assistance programs to reduce net tuition expense. These programs are especially geared to provide financial incentives for Hoosier students to pursue post-secondary education in Indiana. During the 2009-10 academic year, the Bloomington campus awarded 1,816 automatic academic scholarships totaling over \$9.4 million as part of a campus commitment to fund the best and brightest Indiana residents. This has resulted in a 12% increase in the number of students and 29% increase in the dollars awarded to Hoosiers over the previous year.

Examples of automatic merit-based scholarship programs at the Bloomington campus include:

- IU Excellence – A recipient is eligible for \$36,000, or \$9,000 per year, if they have achieved a minimum SAT score of 1,340 and a minimum GPA of 3.8.
- IU Prestige – A Prestige Scholarship totaling \$16,000, or \$4,000 per year is awarded to students who have achieved a minimum SAT score of 1,220 and a minimum GPA of 3.7.
- Valedictorian Award – Eligible students who graduated first in their Indiana high school class are eligible for \$4,000, or \$1,000 per year.

These automatic merit-based programs compliment numerous other Bloomington programs including the Wells Scholars, Hudson and Holland Scholars, Hutton Honors College Scholarships, and numerous scholarships awarded by various schools.

The Indianapolis campus has also increased its expenditures on student financial assistance and offers the following automatic merit-based scholarship programs:

- Academic Excellence – A recipient is eligible for \$16,000 or \$4,000 per year if they have achieved a minimum SAT score of 1,250 and a minimum GPA of 3.75.
- Dean of the Faculties – A scholarship for \$12,000, or \$3,000 per year is awarded to students who have achieved a minimum SAT score of 1,150 and a minimum GPA of 3.5.
- Valedictorian Award – Eligible students who graduated first in their Indiana high school class are eligible for \$20,000, or \$5,000 per year.

Other merit-based scholarship programs on IU campuses include the Herbert Presidential Scholars program, which provides four-year renewable awards to top students enrolling at any IU campus to as many as 40 incoming freshmen each year. This award, funded by the Lilly Endowment to support its vision to recruit and retain intellectual capital in Indiana, provides full tuition as well as funds for a personal laptop computer and study abroad during the junior or senior year. The Bepko Scholars program at the Indianapolis campus provides undergraduate tuition, two years of on-campus housing, and up to \$5,000 per year for four years of graduate or professional study, an approximate total value of \$80,000. The Bloomington and Indianapolis campuses recently graduated the 5th class of Cox Scholars. Cox scholarships are awarded to students who balance both work and study while pursuing an IU degree. Each IU regional campus also offers a variety of scholarship programs. For example, the Kokomo campus Early Scholars Program provides a \$2,500 per year renewable scholarship to students who graduated in the top 10% of their class and scored a minimum SAT score of 1,150.

In recent years Indiana University has also initiated a number of new need-based grant programs. Two Bloomington programs that have received much acclaim are the 21st Century Scholars Covenant and Pell Promise programs. Under the 21st Century Scholars Covenant, students who are designated 21st Century Scholars by the state (and eligible for free tuition) receive additional grant funds from IU up to the amount of the student's financial need defined by FAFSA to pay for the full cost of attendance, including room and board, and books. During the 2009-10 academic year, 1,005 students at Bloomington were eligible for this award. Under the Pell Promise program, IU provides the balance of funding needed to cover the full cost of tuition and fees for Hoosier undergraduate students who qualify for federal Pell grants and who have achieved a minimum SAT score of 1,150 and maintain a minimum of a 3.0 GPA in college. There were 619 Pell Promise recipients at the Bloomington campus during the 2009-10 academic year.

The Indianapolis and Columbus campuses offer similar programs, the 21st Century Grant, a maximum award of \$5,000 per year, which supported 305 students during 2009-10, and the IUPUI

Pell Pledge Grant, also a maximum annual award of \$5,000 per year, which supported 46 students. Regional campuses also offer a number of need-based grant programs. An example is the Chase Scholarship at IU Northwest, which provides for payment of full tuition and mandatory fees as well as the cost of textbooks. Preference is given to African-American or Latino students with financial need who rank in the top 25% of their high school class and demonstrate leadership ability.

Textbook Rental Program

Recognizing the increasing financial burden that textbook costs place on students, IU developed a new agreement with its bookstore manager, Barnes & Noble, which will result in significant student savings. Under the updated agreement, the most popular books -- comprising about 30 percent of titles sold -- will be available to students to rent for a cost about 53 percent below the new textbook price, saving students an estimated \$3 million per year. Additionally, the IU Bookstore will reduce all new textbook prices, saving IU students nearly \$1.5 million per year.

Community College Collaborations

IU/Ivy Tech Elementary Education Transfer Agreement

In fall, 2009 Indiana University and Ivy Tech Community College announced a major new articulation agreement whereby students graduating from any Ivy Tech campus with an A.S. in Elementary Education may transfer credits toward an Indiana University B.S. degree in Elementary Education at any IU campus. Under the articulation agreement, certain courses will be accepted as course equivalents toward an IU Elementary Education degree including courses in language arts, mathematics, and selected introductory education classes. This new articulation agreement builds on previous agreements for six liberal arts degrees, nursing, computer science, business, and criminal justice degrees.

Special Admission Programs

Several of IU's campuses have special admission programs in collaboration with Ivy Tech for students who demonstrate potential to succeed at IU but their academic records indicate they could benefit from additional structured studies. Under these programs, students successfully completing initial credit hours at Ivy Tech may transfer to IU after demonstrating their academic qualifications. The largest and oldest program is the Partners program at Indianapolis, begun in the early 1990s. Under the Partners program, IUPUI applicants are directed to begin their college studies at Ivy Tech to improve their academic skills and those who complete 15 credits of freshmen-level courses (and any necessary remedial work) with a grade of C or better are then admitted to IUPUI.

In 2008, the Bloomington campus began a similar program, Hoosier Link, which permits students to live in an IU residential hall while they complete either a 15 credit hour semester with a 3.0 GPA or 26 credit hour academic year of required coursework with a 2.5 GPA at Ivy Tech's Bloomington campus. Approximately 100 students participate in the program each year and 72% of the students are admitted to the Bloomington campus after two semesters of Ivy Tech coursework. Several of the regional campuses have similar special admission programs and the IU Southeast program also permits participating students to live in the campus' dormitory facilities.

Other Collaborations

The IU East campus has received much publicity regarding numerous collaborative efforts with Ivy Tech Richmond, many of them accruing from the unique benefit of sharing a campus. IUPUI has a long-standing collaborative relationship with Ivy Tech stemming from a 1990 agreement to ensure coordination between the two campuses. Under the program name, Passport, these efforts involve collaborations on courses, student services, marketing and community relations, and course credit transfer. There are many other examples of initiatives begun at various campuses designed to increase collaborations with neighboring Ivy Tech campuses. For example, at IU Southeast and IU Kokomo, an admissions counselor is on site weekly at nearby Ivy Tech campuses to meet with and advise prospective transfer students.

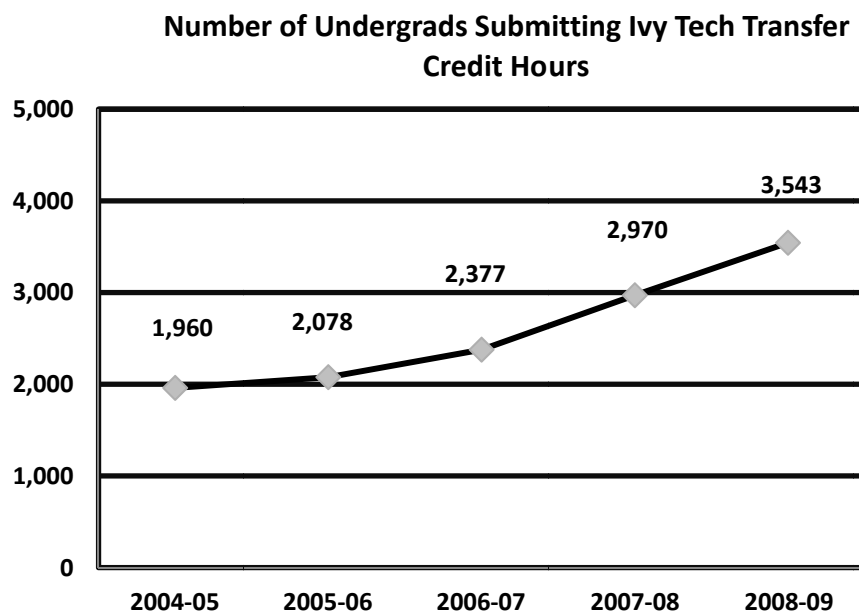
Increased Student Transferring

Each of IU's campuses has established various initiatives in collaboration with Ivy Tech to increase the number of students transferring credit from Ivy Tech to Indiana University. All campuses have developed significant course and degree program articulation agreements. Several campuses encourage transferring by Ivy Tech students through scholarship programs. For example, the Passport to IUPUI Scholarship provides a renewable \$1,500 annual scholarship for up to four semesters for students transferring from Ivy Tech or Vincennes University who have achieved a minimum cumulative GPA of 3.3. Another IUPUI program is "Bridges to Baccalaureate in Central Indiana", which is funded by a five-year \$940,000 National Institutes of Health grant. The program is designed to encourage Ivy Tech students to enroll in life and health science programs at IUPUI upon completion of their Associates degree at Ivy Tech. At IU East, students receiving associate degrees from Ivy Tech's Richmond campus receive automatic scholarships, the amount depending on their GPA. Other new student financial assistance programs targeted to Ivy Tech students have been initiated at other IU campuses.

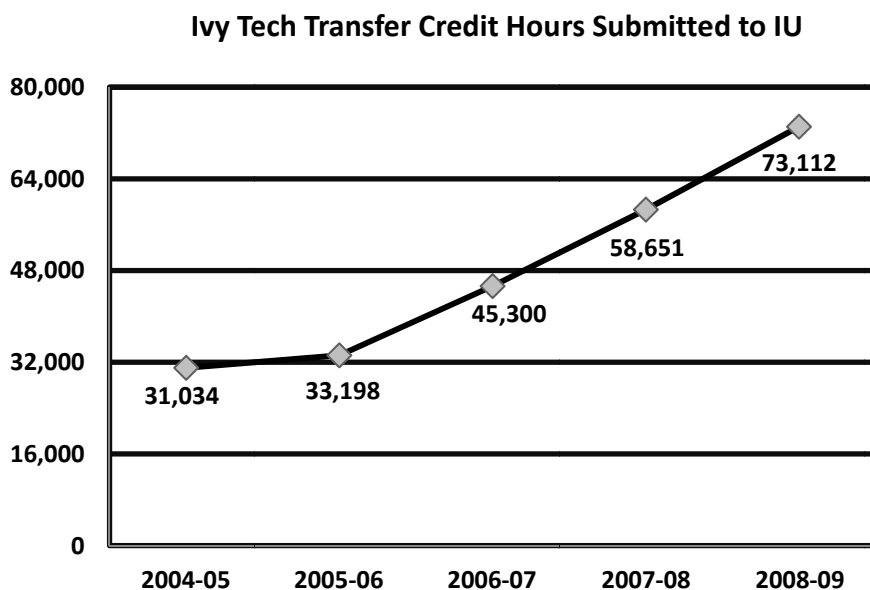
A product of these many initiatives is a significant increase in the number of Ivy Tech students transferring to Indiana University campuses. The following table shows the number of students transferring credit to each IU campus, with most campuses enjoying significant increases.

Undergraduates Submitting Ivy Tech Transfer Credit Hours by Campus			
Campus	AY 2004-05	AY 2008-09	% change
IUB	257	968	277%
IUN	91	218	140%
IUPUC	84	151	80%
IUSB	152	269	77%
IUE	132	212	61%
IUPUI	883	1333	51%
IUSB	182	202	11%
IUK	179	190	6%

The following graph shows that the total number of students transferring Ivy Tech credit for all IU campuses for academic years 2004-05 through 2008-09 increased by 81%.



The final graph shows the total number of Ivy Tech credit hours accepted by all IU campuses for academic years 2004-05 through 2008-09 has more than doubled.



Strengthening the Role of IU's Research Campuses

Faculty Excellence

Perhaps the best evidence of academic excellence is national and international recognition for scholarly activity. At the Bloomington campus, the following awards are just a few examples of national and international recognition recently earned by faculty:

- Dr. Elinor Ostrom, the Arthur F. Bentley Professor of Political Science in the College of Arts and Sciences, was awarded the Nobel Prize in Economic Sciences, the highest recognition for such scholarly activity in this field, for her work on economic governance. Dr. Ostrom was previously recognized with memberships in the American Academy of Arts and Sciences, the National Academy of Sciences, and the American Philosophical Society.
- Dr. Michael Lynch, already recognized as an IU Distinguished Professor, was named a National Academy of Sciences fellow for his work as an evolutionary biologist. Fellowship in the NAS is considered to be the highest honor afforded in American science. Dr. Lynch's accomplishments include theory accounting for genetic duplication, pioneering work in ecological genetics and extensive work on mutation rates.
- Dr. John Hanson, an associate professor in the Department of History in the College of Arts and Sciences, was named a National Humanities Center Fellow for the 2009-10 academic year. Dr. Hanson's research examines how the Ahmadiyya Muslim have been an important force for education and progress in Western Africa.
- IU Maurer School of Law Distinguished Professor Fred Cate was elected President of the Phi Beta Kappa Society, the nation's oldest academic honor society. Cate, the Director of the

Center for Applied Cybersecurity Research at IU, is an information privacy and security law expert and is considered one of the world's leading authorities on issues involving cybersecurity.

Two of IU's leading administrators also received national recognition for their scholarly work:

- President Michael McRobbie was appointed to serve on the primary private-sector policy advisory group for the National Institute of Standards and Technology (NIST). NIST, a non-regulatory federal technology agency within the U.S. Department of Commerce, promotes national innovation and industrial competitiveness by advancing measurement science, standards and technology.
- John Applegate, Vice President for University Regional Affairs, Planning, and Policy and the Walter W. Foskett Professor of Law at the IU Maurer School of Law, was appointed to the National Academy of Sciences Nuclear and Radiation Studies Board (NRSB). NSRB organizes and oversees studies on safety, security, technical efficacy, and other policy and societal issues arising from the application of radiation-based technologies.

Faculty at schools located on the Indianapolis campus were honored with the following recognitions:

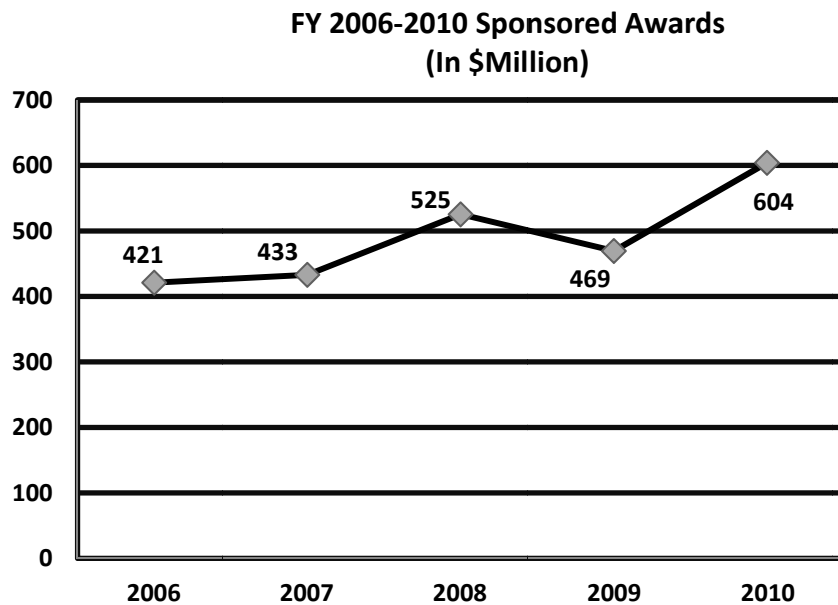
- Dr. Hugh C. Hendrie, a professor of psychiatry, was named to the U.S. Department of Health and Human Services National Advisory Council on Aging, which advises the HHS secretary, the director of the National Institutes of Health, and the director of National Institute on Aging in its mission to lead a broad scientific effort to understand the nature of aging. Dr. Hendrie has spent more than 20 years conducting a large, ongoing cross-cultural study of various aspects of Alzheimer's disease in African-Americans in Indianapolis and Africans in Ibadan, Nigeria.
- George W. Sledge Jr., MD, a nationally recognized pioneer in the development of novel therapies for breast cancer, was elected president of the American Society of Clinical Oncology. Dr. Sledge is the Ballve-Lantero Professor of Oncology and professor of pathology and laboratory medicine at the IU School of Medicine.
- Indiana University Distinguished Professor Hal E. Broxmeyer, Ph.D., a leading researcher in blood-related diseases, was selected as the 2010 president of the American Society of Hematology. Dr. Broxmeyer, who is the Mary Margaret Walther Professor Emeritus of Microbiology and Immunology at the IU School of Medicine, is internationally recognized as a scientific pioneer in the field of umbilical cord blood stem cell transplantation.
- Dr. Sapna Deo, assistant chemistry professor at the School of Science, was awarded the National Science Foundation "Early Career Award for Scientists and Engineers." The award is considered the highest honor bestowed by the U.S. government to scientists for their extensive research accomplishments and educational contributions.
- Dr. Ian McIntosh, Director of International Partnerships at IUPUI and a faculty member in the Department of Anthropology, was named a 2010 Rotary World Peace Fellow. Rotary World Peace Fellows are leaders promoting national and international cooperation, peace,

and the successful resolution of conflict. Dr. McIntosh's research focuses on issues of truth and reconciliation in global perspective.

Growth in Research Awards

Indiana University enjoyed a record year in FY 2009-10 with total awards of contracts and grants surpassing \$600 million. Not only do these awards support cutting-edge research in numerous disciplines, they support a substantial number of jobs at the university, including faculty, research assistants, and other support personnel.

As can be seen from the following chart, IU's sponsored awards have increased by 43% since FY 2006, a remarkable achievement at a time when competition for such funds has been increasingly keen.



Notable Research Grants

Since IU's last biennial request, IU has been awarded a number of major research grants, a few featured below:

- **\$64 million in American Recovery and Reinvestment Act (ARRA) funding for IU Researchers:** The American Recovery and Reinvestment Act appropriated funds for research, mostly to be awarded to universities. Indiana University has been aggressive in applying for these funds. Through June 2010, IU was awarded \$64 million in ARRA funds.

- **\$60 million Lilly Endowment Grant:** In December 2009, the Lilly Endowment awarded \$60 million to the Indiana University School of Medicine to fund the Indiana Physician Scientist Initiative. This initiative promotes translational research – the development of important scientific discoveries in the laboratory, determining how those discoveries could improve human health, and turning them into new products and treatments that benefit patients while producing new businesses and jobs. This new grant from the Lilly Endowment is a strategic addition to the foundation’s previous investments in IU research programs, including the Indiana Genomics Initiative (INGEN), which was funded by a total of \$155 million in grants from the Endowment in 2000 and 2003.
- **\$15 million Pervasive Technology Institute (PTI) Award:** In November 2008, the Lilly Endowment awarded \$15 million to support IU’s Pervasive Technology Institute. PTI’s basic strategy is to help scientists harness the powers of supercomputing in their research, give data-dependent meteorologists tools to interpret data for better weather forecasts, and assist in the cyber security and health fields.
- **\$10 Million National Science Foundation (NSF) Award for FutureGrid:** In September 2009, NSF awarded IU a \$10.1 million grant to run an experimental network of supercomputers called FutureGrid. The four-year project will link six universities across the country, allowing researchers to more precisely study topics such as climate change, pandemics and DNA. The award solidifies IU’s status as a national leader in supercomputing, sharing such status with University of California- San Diego, the University of Texas-Austin, the University of Chicago/Argonne National Laboratory, and the Pittsburgh Supercomputing Center, a joint venture of Carnegie Mellon University and the University of Pittsburgh.
- **IU-Ohio State \$9 Million National Cancer Institute Award:** In June 2010, Indiana University and the Ohio State University were awarded a \$9 million grant from the National Cancer Institute, a division of the National Institutes of Health. The grant will fund the study of cancer genes and the sometimes-unnatural agents that mask the genes’ expression, called epigenetics, at the OSU-IU Center for Cancer Systems Biology, a multidisciplinary union of scientists and clinicians. A major objective of the research is to identify a panel of biomarkers for predicting responsiveness to anti-hormone treatments and chemotherapies in cancer patients.
- **\$5 Million Great Lakes EPA Grant:** In October 2009, the U.S. Environmental Protection Agency awarded IU a \$5 million grant to continue a project that measures levels of airborne toxic chemicals being deposited in the Great Lakes. IU has been in charge of the U.S. portion of the project since 1994. The project not only estimates deposits of chemicals into

the Great Lakes, but also tracks environmental progress and provides trend data on such chemicals.

External Fund Raising

Indiana University understands that in today's fiscal environment, funding of a major research university is dependent on philanthropic gifts to supplement state appropriations and other revenues.

Thus, the IU Bloomington campus "Matching the Promise" campaign was launched in 2003 as a comprehensive fundraising plan to meet the priority needs of the campus during the start of the 21st century. The campaign's original goal of \$1 billion, surpassed in October 2009, was raised to \$1.1 billion, which the campus expects to surpass when the results are tallied. The Matching the Promise campaign, which ended on June 30, 2010, was initiated to provide scholarships and fellowships to help keep IU Bloomington affordable and attractive to top-notch students, provide funding for faculty chairs to attract and retain leading academic talent, and to assist with constructing high-quality teaching and research facilities. The outstanding academic and research reputation of the campus enables the university to successfully solicit contributions from alumni and other financial supporters who have a great interest in investing in the university's continued success. Some of the more noted gifts to the campaign include:

- \$61.3 million from Jesse and Beulah Cox and from their estate for scholarships for IU Bloomington working students, the largest gift for scholarships at IU
- \$44 million from the Lilly Endowment for the Jacobs School of Music to construct and equip a studio building that will provide technologically and acoustically superior teaching and practice facilities to rival those of any music school or conservatory in the world
- \$40.6 million from Barbara and David Jacobs for the School of Music for scholarships, fellowships, endowed faculty positions and other enhancements
- \$35 million from Michael S. "Mickey" and Janie Maurer for student scholarships
- \$25 million from William J. Godfrey for need-based scholarships and for the William J. Godfrey Graduate and Executive Education Center
- \$25 million from the Lilly Endowment for the Maurer School of Law to attract and retain world-class teachers and scholars and to elevate the school to one of the best public university law schools in the country

Economic Development Contributions

Innovate Indiana is Indiana University's initiative to channel the university's vast resources to strengthen the Hoosier economy. With eight campuses and nine medical education centers that provide rich resources in technology, the life sciences, entrepreneurship, and the arts, IU has the vital assets needed to transform Indiana's economy. The Office of the Vice President for Engagement is responsible for implementing the Innovate Indiana initiative through:

- Investing in and coordinating IU's economic development activities across the state
- Transforming the innovations of IU faculty into new products, services, and treatments through the IU Research & Technology Corporation (IURTC)
- Connecting the business community in Indiana, the nation, and the world to IU

During the past two years, the Office of Engagement has achieved a number of notable economic development successes, a few of which are illustrated below:

- With key support from IU Southeast, a partnership with Ivy Tech and Purdue was formed to co-host the Southeast Indiana Small Business Development Center in New Albany to provide technical assistance to small businesses in the southeast Indiana region.
- Opened a new Southwest Indiana Engagement Office in Evansville, in partnership with Purdue University
- Opened the new Bloomington IU Innovation Center, providing much-needed developmental space (wet lab, dry lab, office) for faculty and entrepreneurs
- Established a new \$10 million Innovate Indiana Fund to provide a critical source of funding for early stage IU research-based ventures having significant commercial market potential
- Completed the \$100 million sale of Angel Learning, a university-based company start-up, producing a \$24 million return on investment to the university to be re-invested in the research and technology transfer enterprise
- Created the University Council on Regional Engagement and Economic Development (CREED) composed of representatives from each campus to foster an enhanced statewide network of IU economic development resources

Accountability

Presidential Goals

Indiana University's overarching objective is to be one of the great research universities of the twenty-first century. IU will be recognized nationally and internationally for the excellence of its teaching and research across a wide range of disciplines in baccalaureate, graduate, and professional education. To make the most of the internal diversity of a major university, different campuses, schools, and other academic and administrative units will implement these priorities in different ways and to different degrees.

- **Excellent, innovative, and relevant education:** Ensure that Indiana University's academic programs are of the highest quality appropriate to their campus missions.
- **Commitment to academic success, completion:** Enhance undergraduate student learning and success through university-wide efforts to address retention and graduation issues in a systematic, sustained fashion by prioritizing initiatives, coordinating efforts, and providing ongoing assessment tied to attainable goals.
- **Externally funded research and creative activity:** Maximize IU's full capacity for research, scholarship, and creative activity.
- **Intercampus collaboration:** Leverage the combined intellectual resources of IU's campuses through broad-based, interdisciplinary collaborations internally and externally.
- **Engagement and economic development based on education and research:** Accelerate the transformation of faculty, staff, and student innovations and inventions into new

products, services, and companies through first-rate support services, policies, and procedures.

- **Recruit excellent students:** Attract academically outstanding, promising students from Indiana and across the nation.
- **Access and affordability for Hoosiers:** Ensure that an IU education remains geographically, programmatically, and financially accessible for all qualified students.
- **Great faculty:** Recruit and retain a faculty from among the very best researchers, scholars, teachers, and creative artists.
- **IT infrastructure:** Sustain IU's leadership in information technology services and infrastructure, leveraging past accomplishments to speed the adoption and installation of exciting new IT applications in support of the university's missions.
- **Physical infrastructure:** Improve existing and construct new physical facilities and infrastructure as needed to accelerate IU's trajectory of excellence.
- **Revitalize arts & humanities:** Enhance and expand Indiana's glorious traditions in the arts and humanities and bring the fruits of these efforts to every corner of Indiana.
- **Hoosier health and life sciences:** Improve the health and quality of life for Hoosiers by deepening and expanding Indiana University's engagement in and commitment to research, clinical care, personal education, and workforce development in the healthcare sector.
- **International experiences and engagement:** Continue to increase Indiana University's engagement internationally through globally aware education, enlarged study abroad activity, and expanded strategic partnerships with leading institutions of higher learning throughout the world.
- **Diversity:** Increase the proportion of historically underrepresented minority students in the undergraduate student population.
- **Energize alumni and donors:** Invigorate support for these goals among Indiana University's faculty, students, alumni, friends, and the citizens of the Indiana.
- **Stewardship of the resources entrusted to us:** Demonstrate effective and efficient use of public and private resources entrusted to Indiana University through a focus on the core education and research missions of each campus.

Strategic Planning

At the direction of President McRobbie, a number of strategic planning activities are currently underway at Indiana University to help guide the university's future path. First, under the leadership of John Applegate, the newly appointed Vice President for University Regional Affairs, Planning, and Policy, IU is developing a regional campus strategic plan, the *Blueprint for Student Attainment*. This planning process will ensure that each of IU's regional campuses pursue a strategy that is in concert with state and regional higher educational goals. A related and concurrent planning activity will be conducted by the Expenditure Review Committee, led by IU East Chancellor Nasser Paydar, which will produce a separate report focusing on affordability and efficiency in all of the above priority areas as well as identification of opportunities for cost efficiencies on the regional campuses.

The university is implementing this planning process to revisit the structure and mission of IU's regional campuses in order to systematically develop shared goals, to foster collective strengths, and to build on the unique character and attributes of each regional campus. This planning effort will be broad-based and inclusive, involving six joint faculty-administration teams charged with developing strategies, actions, and metrics for addressing six priority areas as follows:

- Excellent, distinctive educational experience
- Student success
- Access and innovation in instruction and curriculum
- Pathways and transitions to and from regional campuses
- Affordability and efficiency
- Meeting regional and state needs

The *Blueprint for Student Attainment* strategic planning process will be completed by spring 2011 and will culminate in the production of a single plan that advances the shared vision and goals of the regional campuses to ensure that each campus continues to play a vital educational and service role in the communities in which they serve.

Two additional assessment and planning initiatives were announced by President McRobbie in his 2010 State of the University Address. Under the "New Academic Directions" initiative, the Bloomington and Indianapolis campuses are engaged in an assessment and planning process that will ensure that each campus continues to be best positioned to retain and elevate their status as world class institutions where 21st century teaching, learning and research take place. This initiative will enable each campus to answer the following questions posed by President McRobbie:

- Are we offering the right kinds of degrees and educational opportunities that one should expect of a university that aspires to be one of the finest universities of the 21st century?
- Do the structure and organization of the academic units at IU allow this to happen in the most effective way?
- Are there areas in which we should be considering new schools or other units in which our peer institutions nationally and internationally have already established flourishing academic programs not present at IU?
- Should some of our present schools be transformed in ways that allow them to take better advantage of some of the major mega-trends seen around the world?

The second assessment and planning initiative announced by President McRobbie during the 2010 State of the University Address is the "New Directions in Learning" initiative. Each campus is responsible for establishing a New Directions in Learning Committee. Once again, the President charged the Committees with answering several questions:

- Are we teaching in ways and in settings that are most meaningful for the students of today?

- Can we be using the technologies that were unavailable just a decade ago to educate more effectively?
- Are we teaching intentionally by identifying learning goals and outcomes and assuring that they are met?
- Have we identified the knowledge and skills that students need for a rewarding intellectual, economic, and community life, and how are we assuring ourselves that such knowledge and skills have been transmitted?

The university will convene a conference during the spring semester of 2011 to share ideas developed by each campus' committee and comprehensive reports incorporating findings and recommendations will be produced by the end of the next academic year.

Finally, it is important to note that in addition to the major planning initiatives discussed above, strategic plans have been prepared in recent years addressing diversity and multicultural affairs, economic development and engagement, information technology, international affairs, and life sciences.

Effective Fiscal Management

The biennial budget passed in June 2009 reduced Indiana University's system-wide state operating appropriations by \$22 million in FY 2010 and \$29 million in FY 2011, with these reductions intended to be "back-filled" with federal stimulus funds. The continued shortfall in state revenue collections led to a decision by the Daniels Administration to cut higher education funding by \$150 million during the biennium, with IU's share totaling \$59 million, primarily through elimination of the federal stimulus funds. In a spirit of cooperation and willingness to do the university's part to reduce state expenditures, IU has implemented plans to make these budget reductions, but not without serious impact on every department and every campus. Actions taken include a one-year salary freeze, staff reductions, cutting from the budget 50% of any funding for non-academic staff positions that become vacant, a 50% reduction in travel, and increasing the vesting requirement for IU's retirement plan. IU has used the budget reductions as an opportunity to implement additional efficiencies and one primary example of such an opportunity is the consolidation of individual campus purchasing offices into a centralized single university-wide office serving all IU campuses.

Substantial long-term savings in facility financing costs will result from a recent announcement by Moody's Investors Service awarding Indiana University a credit rating of Aaa, the highest level possible, making the university one of only seven public institutions to receive such a rating. This rating is attributable to a record of strong fiscal management at IU over many years. Both the state and the university will benefit from very low financing costs attributable to the high credit rating.

Embracing Accountability

Indiana University has supported and worked closely with the staff of the Indiana Commission for Higher Education in its development of metrics for both the major research institutions and regional

campuses. In addition, as part of the regional campus strategic planning process discussed above, IU is developing institutional progress reports for submission to the Commission.

All IU campuses have participated in the National Survey of Student Engagement (NSSE) survey, which is conducted by the Indiana Center for Postsecondary Research at the IU School of Education in Bloomington. Hundreds of public and private higher education institutions across the nation participate in the NSSE survey in an effort to determine how they can improve the undergraduate experience on their campuses. As part of this effort, each campus will be submitting their NSSE benchmark results to the USA Today national website at:

<http://www.usatoday.com/news/education/nsse.htm>

IU campuses may be using a common template for displaying the information required by the Higher Education Opportunity Act. In addition, IU campuses are planning to participate in the Educational Testing Service validity study for the Proficiency Profile, one of the tests used within the pilot student learning component of the Voluntary System of Accountability (VSA). This study examines how student progress in college can be measured, indicated by the performance difference between freshmen and seniors, after controlling for admission scores. Assuming that the pilot is successful and the instrument found to be valid, all IU campuses may participate in this element of the VSA.

Finally, the University Institutional Research and Reporting office regularly reports on a number of areas of academic performance including detailed reports on enrollment, student persistence, retention and graduation, and degree production. In addition, the office produces special reports, including the recently produced report on transfers by Ivy Tech students to IU campuses.

Indiana University
Bloomington

INDIANA UNIVERSITY BLOOMINGTON

2011-13 OPERATING APPROPRIATION REQUEST

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IU BLOOMINGTON

The requested change in operating and fee replacement appropriations for the Bloomington campus in the 2011-13 biennium is \$-22.3 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Research support provides state funding as a match for institutional expenditures to support research funding. The funding is calculated at 50% of the increase in actual federal, industry, and competitively procured private foundation expenditures from FY 2005 to FY 2008, the last base period funded, compared to projected increases from FY 2009 to FY 2012.

The table below displays the change in expenditures and funding impact:

	<u>Change in Expenditures</u>	<u>Funding Change</u>
Bloomington	\$ 2,213,000	\$ 1,106,500

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12. The Bloomington campus, considered a "mature campus," is eligible only for the dual credit hours completed adjustment.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
Bloomington dual credit	80	\$ 374,000

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are “low-income.” (Only baccalaureate degrees are considered for IU campuses.)

No request for change in degree or low-income degree completion.

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

No request for on-time degrees.

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
Bloomington scheduled debt service	\$ -16,496,227	\$ -7,316,108

Quality improvement request

Mindful of the state’s fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY BLOOMINGTON
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget Total \$	2011-12 Request			2012-13 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ -		\$ -	\$ -		\$ -	\$ -
B. Dual Credit Hours	-	374,000		374,000	-	0.0%	374,000	748,000
C. Change in Degrees	-	-		-	-		-	-
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	-		-	-		-	-
F. Research Incentive	-	1,106,500		1,106,500	-	0.0%	1,106,500	2,213,000
Subtotal	\$ -	\$ 1,480,500		\$ 1,480,500	\$ -	0.0%	\$ 1,480,500	\$ 2,961,000
II. Price Inflation								
A. Personnel Services	\$ 532,887,264							
B. Supplies and Expense	163,669,829							
C. Student Assistance	112,059,322							
Subtotal	\$ 808,616,415							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 808,616,415							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 629,099,504							
2. (less) Academic Facilities Fees	(39,480,478)							
3. (less) Other Dedicated/Restricted Fees	(11,153,175)							
Subtotal (= Net Unrestricted Fees)	\$ 578,465,851							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 578,465,851							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 190,670,086	\$ 1,480,500	0.8%	\$ 192,150,586	\$ -	0.0%	\$ 192,150,586	\$ 384,301,172
B. Fee Replacement	39,480,478	(16,496,227)	-41.8%	22,984,251	(7,316,108)	-31.8%	15,668,143	38,652,394
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 230,150,564	\$ (15,015,727)	-6.5%	\$ 215,134,837	\$ (7,316,108)	-3.4%	\$ 207,818,729	\$ 422,953,566
Total Income Budget	\$ 808,616,415							

INDIANA UNIVERSITY BLOOMINGTON
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 386,034,448						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	46,408,046						
ii. PERF & Other	4,534,596						
b. Social Security	25,446,873						
c. Life Insurance	563,875						
d. Health Insurance	69,899,426						
TOTAL PERSONNEL SERVICES	\$ 532,887,264						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 128,465,718						
2. Energy:							
a. Electricity	20,423,444						
b. Coal	3,390,000						
c. Oil	73,500						
d. Natural Gas	3,400,000						
e. LP Gas	33,600						
f. Steam	-						
g. Energy Management	200						
h. Chilled Water	-						
SUBTOTAL	\$ 27,320,744						
3. Utilities:							
a. Sewer	2,499,300						
b. Water	1,449,150						
SUBTOTAL	\$ 3,948,450						
4. Fire & Casualty Insurance	3,934,917						
TOTAL SUPPLIES & EXPENSES	\$ 163,669,829						
C. STUDENT ASSISTANCE	\$ 112,059,322						
GRAND TOTAL	\$ 808,616,415						

INDIANA UNIVERSITY BLOOMINGTON
2011-13 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships	184	\$ 1,142,922	172	\$ 1,149,891	178	\$ 1,325,001				
b. Other Statutory Remissions	20	272,362	19	207,421	20	239,008				
Subtotal	204	\$ 1,415,284	191	\$ 1,357,312	198	\$ 1,564,009				
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based	319	\$ 826,188	354	\$ 1,006,810	367	\$ 1,160,132				
(2) Other criteria	238	1,305,634	346	1,455,212	263	132,843				
b. Non-Resident										
(1) Need-based	2	9,789								
(2) Other criteria	181	947,217	158	966,657	162	1,113,865				
Subtotal	740	\$ 3,088,828	858	\$ 3,428,679	792	\$ 2,406,840				
3. <u>Other Graduate</u>										
a. Resident	331	\$ 1,399,140	463	\$ 2,739,743	470	\$ 3,153,268				
b. Non-Resident	1,616	13,656,691	1,621	15,791,199	1,656	17,997,721				
Subtotal	1,947	\$ 15,055,831	2,084	\$ 18,530,942	2,126	\$ 21,150,989				
Total Remitted Fees	2,891	\$ 19,559,943	3,133	\$ 23,316,933	3,116	\$ 25,121,838				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	2,021	\$ 7,257,647	4,414	\$ 9,623,225	4,559	\$ 11,035,625				
(2) Other criteria	3,915	18,262,619	5,244	26,908,293	5,272	29,260,370				
b. Non-Resident										
(1) Need-based	7	17,301	27	228,338						
(2) Other criteria	5,029	25,328,922	4,874	27,344,257	4,904	30,639,979				
Subtotal	10,972	\$ 50,866,489	14,559	\$ 64,104,113	14,735	\$ 70,935,974				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	469	\$ 2,174,216	492	\$ 2,198,379	470	\$ 2,371,395				
b. Non-Resident	1,990	12,094,091	1,941	13,257,605	1,781	13,630,115				
Subtotal	2,459	\$ 14,268,307	2,433	\$ 15,455,984	2,251	\$ 16,001,510				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	16,322	\$ 84,694,739	20,125	\$ 102,877,030	20,102	\$ 112,059,322				
RECAP										
Resident Student Aid	7,477	\$ 32,368,366	11,485	\$ 45,081,553	11,579	\$ 48,438,634				
Non-Resident Student Aid	8,845	52,326,373	8,640	57,795,477	8,523	63,620,688				
TOTAL STUDENT AID	16,322	\$ 84,694,739	20,125	\$ 102,877,030	20,102	\$ 112,059,322				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

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Expenditures IX: Stable Campus Expenditure Adjustment for Enrollment Change

	Actual 2005-06	Actual 2006-07	Actual 2007-08	Actual 2008-09	Estimated 2009-10
A. Indiana Resident FTE Enrollment (Note 1)					
1. Undergraduate	19,612	19,475	19,332	19,859	20,432
2. Graduate/Professional	2,493	2,379	2,277	2,320	2,384
	22,105	21,854	21,609	22,179	22,816
B. Stable Campus Enrollment Brackets, consistent with the Commission's September 1997 action, equal 1997-98 resident on-campus FTE, +/-5%, as follows: Indiana University Bloomington: [20,100 to 22,300]					
C. FTE Enrollment Change					
1. 2009-10 Total Resident FTE					
2. Enrollment Bracket Limit FTE					
3. Out-of-Bracket FTE = (1) - (2)					
4. Adjustment amount per FTE (Note 2)					
5. One-time Adjustment = (3) * (4)					

Notes:

- (1) These are students reported in the SIS data submission with a value of "1" in the residency status field and a value of "53" (Monroe County) for Bloomington.
- (2) The 2008-09 operating appropriation of \$1,282,212,101 divided by the 187,063 total Hoosier (net of Purdue SWT) and reciprocity FTE for 2006-07 (the most recent actual enrollment data available at the time) divided by 2 to reflect marginal cost, equals approximately \$3,500.
- (3) The one-time adjustment is to be applied in 2011-12 only. Consistent with the Commission's September, 1997 action, the adjustment is not to be applied in 2012-13 because the stable campus is expected to manage its enrollment back within the bracket by that time.

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Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	315	358	400	408	415	395
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	395					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	315					
3. Change (= D-1 minus D-2)	80					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	374,000					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

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Expenditures XIII: Change in Degrees

	<u>Baccalaureate Degrees Conferred (Resident, unduplicated)</u>	<u>Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09</u>	<u>Change in Average Degrees Conferred</u>	<u>Funding: \$5,000 per Incremental Degree</u>
2003-04	4,092			
2004-05	3,976	4,034.0		
2007-08	3,827			
2008-09	3,806	3,816.5	(217.5)	\$ -

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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	4,253	1,941	45.6%		
2004-05*	4,093	1,979	48.4%		
2005-06	4,519	2,023	44.8%	-0.9%	\$ -

* 2002-03 is displayed only to complete the time series.

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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	541			
2006-07	539	540.0		
2007-08	518			
2008-09	542	530.0	(10.0)	\$ -

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Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 143,427,790		\$ 154,613,845		\$ 163,338,291					
b. Graduate	13,823,606		14,858,905		17,647,668					
c. Professional	7,367,055		8,657,112		9,783,640					
2. Nonresident										
a. Undergraduate	271,160,230		293,109,014		280,883,022					
b. Graduate	68,286,975		88,026,147		89,058,702					
c. Professional	17,701,958		20,408,791		19,429,161					
SUBTOTAL	\$ 521,767,614		\$ 579,673,814		\$ 580,140,484					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 12,083,716		\$ 15,963,564		\$ 15,689,565					
3. Incidental Student Fees	19,138,524		19,306,357		18,718,233					
4. Other Credit Fee Income	3,605,738		3,709,887		3,398,047					
SUBTOTAL	\$ 34,827,978		\$ 38,979,808		\$ 37,805,845					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 556,595,592		\$ 618,653,622		\$ 617,946,329					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 25,582,466		\$ 26,901,091		\$ 39,480,478		\$ 22,984,251		\$ 15,668,143	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 25,582,466		\$ 26,901,091		\$ 39,480,478					
F. Net Unrestricted Fees	\$ 531,013,126		\$ 591,752,531		\$ 578,465,851					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 3,758,762		\$ 4,191,090		\$ 3,949,000				
c. Student Government		339,504		355,881		341,864				
d. Other		1,577,272		1,617,942		1,461,747				
2. Performance Facilities										
a. Rec Sports & Union Building		3,754,311		3,752,651		3,756,075				
b. IU Auditorium		824,760		819,235		818,605				
c. Cyberinfrastructure Building						825,884				
SUBTOTAL		\$ 10,254,609		\$ 10,736,799		\$ 11,153,175				
Gross Fee Base	\$ 556,595,592	\$ 10,254,609	\$ 618,653,622	\$ 10,736,799	\$ 617,946,329	\$ 11,153,175				

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Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled*	\$ 25,582,466	\$ 26,901,091	\$ 40,374,820	\$ 22,984,251	\$ 15,668,143
Interim Financing	-	-	-	-	-
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 25,582,466	\$ 26,901,091	\$ 40,374,820	\$ 22,984,251	\$ 15,668,143
Acts of 1927 or 1929					
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
 Total Debt Service	 \$ 25,582,466	 \$ 26,901,091	 \$ 40,374,820	 \$ 22,984,251	 \$ 15,668,143
Reconciliation with Appropriated Amounts					
Adjustment to Appropriated Level	-	-	(894,342) **		
Reverted to State	535,855	-	-		
Appropriated Fee Replacement	\$ 26,118,321	\$ 26,901,091	\$ 39,480,478		

* Net of federal interest subsidies of \$276,183 in 2010-11, \$353,828 in 2011-12, and \$353,828 in 2012-13.

** Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

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Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled*	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 22,984,251	\$ -	\$ -	\$ 22,984,251
2012-13	15,668,143	-	-	15,668,143
2013-14	15,682,558	-	-	15,682,558
2014-15	15,885,425	-	-	15,885,425
2015-16	15,882,501	-	-	15,882,501
2016-17	15,875,522	-	-	15,875,522
2017-18	16,098,311	-	-	16,098,311
2018-19	13,396,414	-	-	13,396,414
2019-20	11,952,675	-	-	11,952,675
2020-21	11,950,409	-	-	11,950,409
2021-22	10,519,291	-	-	10,519,291
2022-23	10,517,484	-	-	10,517,484
2023-24	10,514,890	-	-	10,514,890
2024-25	10,512,977	-	-	10,512,977
2025-26	8,237,195	-	-	8,237,195
2026-27	8,253,251	-	-	8,253,251
2027-28	3,069,917	-	-	3,069,917
2028-29	1,730,109	-	-	1,730,109
2029-30	1,728,802	-	-	1,728,802
2030-31	-	-	-	-

* Net of federal interest subsidies.

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Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 577,073,705	\$ 22,861,324	\$ 599,935,029	\$ 8,738,039	\$ 12,454,853	\$ 621,127,921
State Appropriation - Operating	202,202,196	-	202,202,196			202,202,196
State Appropriation - Debt Service		26,901,091	26,901,091			26,901,091
State Appropriation - Special		-	-	12,452,434		12,452,434
Indirect Cost Recovery		17,863,950	17,863,950	12,000		17,875,950
Sales and Service		6,065,658	6,065,658	7,378,169	166,628,615	180,072,442
Investment, Endowment, and Other Income		16,920,874	16,920,874	20,382,812	46,836,313	84,139,999
Sponsored Research		-	-	153,000,000		153,000,000
Other Revenue		2,649,000	2,649,000	15,913,751		18,562,751
Total Revenue	\$ 779,275,901	\$ 93,261,897	\$ 872,537,798	\$ 217,877,205	\$ 225,919,781	\$ 1,316,334,784
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 409,733,242			
Retirement - PERF Program			5,686,015			
Retirement - TIAA Program			49,369,378			
Social Security			25,472,781			
Life Insurance			499,034			
Health/Medical Insurance			61,582,274			
Other Personal Services			8,187,821			
Total Personal Services			\$ 560,530,545	\$ 107,860,352	\$ 92,904,688	\$ 761,295,585
SUPPLIES & EXPENSES						
General S&E			\$ 109,520,091			
Energy			26,479,495			
Utilities			3,732,130			
Property & Liability Insurance			3,610,404			
Other Supplies and Expenses			39,377,033			
Total Supplies and Expenses			\$ 182,719,153	\$ 79,176,713	\$ 121,979,864	\$ 383,875,730
STUDENT ASSISTANCE						
			\$ 129,288,100	\$ 30,840,140	\$ 11,035,229	\$ 171,163,469
OTHER						
Total Expenditures	\$ 779,275,901	\$ 93,261,897	\$ 872,537,798	\$ 217,877,205	\$ 225,919,781	\$ -

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Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 617,946,329	\$ 26,247,737	\$ 644,194,066	\$ 9,472,334	\$ 12,745,810	\$ 666,412,210
State Appropriation - Operating	190,670,086	-	190,670,086			190,670,086
State Appropriation - Debt Service		40,374,820	40,374,820			40,374,820
State Appropriation - Special		-	-	7,600,014		7,600,014
Indirect Cost Recovery		18,435,000	18,435,000	847,917		19,282,917
Sales and Service		2,100,833	2,100,833	8,181,185	179,253,105	189,535,123
Investment, Endowment, and Other Income		8,534,611	8,534,611	20,078,755	53,107,940	81,721,306
Sponsored Research		-	-	155,000,000		155,000,000
Other Revenue		2,838,820	2,838,820	16,043,835	13,077	18,895,732
Total Revenue	\$ 808,616,415	\$ 98,531,821	\$ 907,148,236	\$ 217,224,040	\$ 245,119,932	\$ 1,369,492,208
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 409,601,128			
Retirement - PERF Program			4,534,596			
Retirement - TIAA Program			46,408,046			
Social Security			25,446,873			
Life Insurance			563,875			
Health/Medical Insurance			69,899,426			
Other Personal Services			8,434,791			
Total Personal Services			\$ 564,888,735	\$ 110,056,503	\$ 99,265,613	\$ 774,210,851
SUPPLIES & EXPENSES						
General S&E			\$ 115,935,414			
Energy			27,320,744			
Utilities			3,948,450			
Property & Liability Insurance			3,934,917			
Other Supplies and Expenses			39,656,303			
Total Supplies and Expenses			\$ 190,795,828	\$ 76,315,120	\$ 133,892,318	\$ 401,003,266
STUDENT ASSISTANCE						
			\$ 151,463,673	\$ 30,852,417	\$ 11,962,001	\$ 194,278,091
OTHER						
Total Expenditures	\$ 808,616,415	\$ 98,531,821	\$ 907,148,236	\$ 217,224,040	\$ 245,119,932	\$ 1,369,492,208

INDIANA UNIVERSITY BLOOMINGTON
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Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	22,044	22,410	22,955	23,394	23,802	23,802
2. Non-Resident	13,537	14,127	14,121	13,549	12,705	12,705
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	4,012	3,966	3,845	3,876	3,920	3,920
2. Non-Resident	6,871	6,992	8,180	8,364	8,382	8,382
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	46,464	47,495	49,101	49,183	48,809	48,809
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	19,332	19,859	20,432	20,823	21,186	21,186
2. Non-Resident	11,313	12,051	12,247	11,751	11,019	11,019
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	2,277	2,320	2,384	2,403	2,430	2,430
2. Non-Resident	5,162	5,159	5,764	5,894	5,907	5,907
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	38,084	39,389	40,827	40,871	40,542	40,542
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	162	181	216	220	224	224
B. Graduate/Professional Resident and Reciprocity	84	106	202	204	206	206
C. Undergraduate Nonresident	399	317	257	247	232	232
D. Graduate/Professional Nonresident	65	63	582	595	596	596

MEMO ITEM: FTE generated in the above attributable to dual credit instruction (Bloomington enrollment not recorded in time for census)

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INDIANA UNIVERSITY BLOOMINGTON
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Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	17,708	18,722	19,064	19,429	19,768	19,768
2. Non-Resident	10,682	11,426	11,448	10,984	10,300	10,300
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	2,108	2,154	2,093	2,110	2,134	2,134
2. Non-Resident	4,345	4,352	4,805	4,913	4,924	4,924
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	34,843	36,654	37,410	37,436	37,126	37,126
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	164	187	221	225	229	229
B. Graduate/Professional Resident and Reciprocity	96	122	190	192	194	194
C. Undergraduate Nonresident	392	317	259	249	233	233
D. Graduate/Professional Nonresident	74	69	568	581	582	582
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	188	358	400			

INDIANA UNIVERSITY BLOOMINGTON
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Background VII: Sponsored Research and University General Fund Support for Research

	2008-09 Actual	2009-10 Estimated	2010-11 Projected	2011-12 Projected
Sponsored Research Expenditures*				
A. Federal**	\$ 47,601,767	\$ 49,820,245	\$ 50,000,000	\$ 45,000,000
B. Industry	2,999,437	2,653,445	3,410,000	3,000,000
C. Private Foundation / Other	<u>18,227,157</u>	<u>19,425,191</u>	<u>18,600,000</u>	<u>17,900,000</u>
D. Total	\$ 68,828,361	\$ 71,898,881	\$ 72,010,000	\$ 65,900,000
 Increase in Competitively Awarded Sponsored Research Expenditures		 \$ 3,070,520	 \$ 111,119	 \$ (6,110,000)
 Four-year average, 2008-09 through 2011-12	 \$ 69,659,311			
Four-year average, 2004-05 through 2007-08***	<u>67,446,311</u>			
Change	\$ 2,213,000			
 50% of Change	 \$ 1,106,500			

* Includes expenditures of externally-supported research grants and contracts.

** Excludes ARRA expenditures.

*** Case - as passed in the 2007-09 biennium.

Indiana University
East

INDIANA UNIVERSITY EAST 2011-13 OPERATING APPROPRIATION REQUEST

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IU EAST

The requested change in operating and fee replacement appropriations for the East campus in the 2011-13 biennium is \$1.4 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12.

The table below displays the change expenditures and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
East	259	\$ 1,210,825
East dual credit	12	\$ 56,100

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in Degrees</u>	<u>Funding Change</u>
East	36	\$ 180,000

No request for low-income degree completion.

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

No request for on-time degrees.

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
East scheduled debt service	\$ -918	\$ -411

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY EAST
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Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget	2011-12 Request			2012-13 Request			Total Biennium
	Total \$	Change \$	%	Total \$	Change \$	%	Total \$	\$
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 1,210,825		\$ 1,210,825	\$ -	0.0%	\$ 1,210,825	\$ 2,421,650
B. Dual Credit Hours	-	56,100		56,100	-	0.0%	56,100	112,200
C. Change in Degrees	-	180,000		180,000	-	0.0%	180,000	360,000
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	-		-	-		-	-
F. Research Incentive	-	-		-	-		-	-
Subtotal	\$ -	\$ 1,446,925		\$ 1,446,925	\$ -	0.0%	\$ 1,446,925	\$ 2,893,850
II. Price Inflation								
A. Personnel Services	\$ 15,418,955							
B. Supplies and Expense	5,054,909							
C. Student Assistance	292,874							
Subtotal	\$ 20,766,738							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 20,766,738							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 13,150,733							
2. (less) Academic Facilities Fees	(1,400,591)							
3. (less) Other Dedicated/Restricted Fees	(280,000)							
Subtotal (= Net Unrestricted Fees)	\$ 11,470,142							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 11,470,142							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 7,896,005	\$ 1,446,925	18.3%	\$ 9,342,930	\$ -	0.0%	\$ 9,342,930	\$ 18,685,860
B. Fee Replacement	1,400,591	(918)	-0.1%	1,399,673	(411)	0.0%	1,399,262	2,798,935
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 9,296,596	\$ 1,446,007	15.6%	\$ 10,742,603	\$ (411)	0.0%	\$ 10,742,192	\$ 21,484,795
Total Income Budget	\$ 20,766,738							

INDIANA UNIVERSITY EAST
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Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 11,462,456						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	1,256,576						
ii. PERF & Other	158,302						
b. Social Security	754,309						
c. Life Insurance	21,886						
d. Health Insurance	1,765,426						
TOTAL PERSONNEL SERVICES	\$ 15,418,955						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 4,253,551						
2. Energy:							
a. Electricity	705,621						
b. Coal	-						
c. Oil	-						
d. Natural Gas	2,384						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ 708,005						
3. Utilities:							
a. Sewer	30,073						
b. Water	23,073						
SUBTOTAL	\$ 53,146						
4. Fire & Casualty Insurance	40,207						
TOTAL SUPPLIES & EXPENSES	\$ 5,054,909						
C. STUDENT ASSISTANCE	\$ 292,874						
GRAND TOTAL	\$ 20,766,738						

INDIANA UNIVERSITY EAST
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Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions										
Subtotal		\$		\$		\$				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based										
(2) Other criteria	62	32,984	97	47,866	68	35,097				
b. Non-Resident										
(1) Need-based										
(2) Other criteria	3	145								
Subtotal	65	33,129	97	47,866	68	35,097				
3. <u>Other Graduate</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Remitted Fees	65	33,129	97	47,866	68	35,097				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	101	114,607	81	109,128	57	80,016				
(2) Other criteria	81	65,797	158	197,429	110	144,762				
b. Non-Resident										
(1) Need-based	5	20,244	6	35,550	4	26,066				
(2) Other criteria	13	7,613	2	3,681	1	2,699				
Subtotal	200	208,261	247	345,788	172	253,543				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	27	14,638	17	5,775	12	4,234				
b. Non-Resident										
Subtotal	27	14,638	17	5,775	12	4,234				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	292	256,028	361	399,429	252	292,874				
RECAP										
Resident Student Aid	271	228,026	353	360,198	247	264,109				
Non-Resident Student Aid	21	28,002	8	39,231	5	28,765				
TOTAL STUDENT AID	292	\$ 256,028	361	\$ 399,429	252	\$ 292,874				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

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Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		1,210	1,336	1,357	1,357	
2. Graduate/Professional		57	62	62	62	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		178	214	194	194	
2. Graduate/Professional		2	7	5	5	
C. Total Eligible FTE Enrollment (A+B)	1,317	1,447	1,619	1,618	1,618	1,576
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	1,576					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	1,317					
3. Change (= D-1 minus D-2)	259					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	1,210,825					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

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Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	51	51	73	64	64	63
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	63					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	51					
3. Change (= D-1 minus D-2)	12					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	56,100					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

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Expenditures XIII: Change in Degrees

	<u>Baccalaureate Degrees Conferred (Resident, unduplicated)</u>	<u>Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09</u>	<u>Change in Average Degrees Conferred</u>	<u>Funding: \$5,000 per Incremental Degree</u>
2003-04	166			
2004-05	141	153.5		
2007-08	182			
2008-09	197	189.5	36.0	\$ 180,000

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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	188	18	9.6%		
2004-05*	200	11	5.5%		
2005-06	190	17	8.9%	-0.6%	\$ -

* 2002-03 is displayed only to complete the time series.

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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	62			
2006-07	75	68.5		
2007-08	62			
2008-09	74	68.0	(0.5)	\$ -

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Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 8,610,377		\$ 10,441,073		\$ 10,744,799					
b. Graduate	301,415		419,248		378,598					
c. Professional										
2. Nonresident										
a. Undergraduate	454,831		674,019		468,403					
b. Graduate	5,275		2,879							
c. Professional										
SUBTOTAL	\$ 9,371,898		\$ 11,537,219		\$ 11,591,800					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 145,079		\$ 305,094		\$ 358,547					
3. Incidental Student Fees	664,228		791,754		730,316					
4. Other Credit Fee Income	205,457		290,924		190,070					
SUBTOTAL	\$ 1,014,764		\$ 1,387,772		\$ 1,278,933					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 10,386,662		\$ 12,924,991		\$ 12,870,733					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 1,948,483		\$ 1,896,844		\$ 1,400,591		\$ 1,399,673		\$ 1,399,262	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 1,948,483		\$ 1,896,844		\$ 1,400,591					
F. Net Unrestricted Fees	\$ 8,438,179		\$ 11,028,147		\$ 11,470,142					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 52,182		\$ 51,757		\$ 60,760				
c. Student Government		6,389		13,618		10,360				
d. Other		181,769		222,528		208,880				
2. Performance Facilities										
SUBTOTAL		\$ 240,340		\$ 287,903		\$ 280,000				
Gross Fee Base	\$ 10,386,662	\$ 240,340	\$ 12,924,991	\$ 287,903	\$ 12,870,733	\$ 280,000				

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled	\$ 1,948,483	\$ 1,896,844	\$ 2,180,670	\$ 1,399,673	\$ 1,399,262
Interim Financing	-	-	-	-	-
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 1,948,483	\$ 1,896,844	\$ 2,180,670	\$ 1,399,673	\$ 1,399,262
Acts of 1927 or 1929					
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 1,948,483	\$ 1,896,844	\$ 2,180,670	\$ 1,399,673	\$ 1,399,262
Reconciliation with Appropriated Amounts					
Adjustment to Appropriated Level	-	-	(780,079) *		
Reverted to State	53,473	-	-		
Appropriated Fee Replacement	\$ 2,001,956	\$ 1,896,844	\$ 1,400,591		

* Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 1,399,673	\$ -	\$ -	\$ 1,399,673
2012-13	1,399,262	-	-	1,399,262
2013-14	1,400,665	-	-	1,400,665
2014-15	1,246,022	-	-	1,246,022
2015-16	1,245,583	-	-	1,245,583
2016-17	1,245,987	-	-	1,245,987
2017-18	1,061,296	-	-	1,061,296
2018-19	575,198	-	-	575,198
2019-20	424,195	-	-	424,195
2020-21	424,538	-	-	424,538
2021-22	-	-	-	-
2022-23	-	-	-	-
2023-24	-	-	-	-
2024-25	-	-	-	-
2025-26	-	-	-	-
2026-27	-	-	-	-
2027-28	-	-	-	-
2028-29	-	-	-	-
2029-30	-	-	-	-
2030-31	-	-	-	-

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 10,529,785	\$ (358,231)	\$ 10,171,554	\$ 232,304	\$ -	\$ 10,403,858
State Appropriation - Operating	8,322,137	-	8,322,137			8,322,137
State Appropriation - Debt Service		1,896,844	1,896,844			1,896,844
State Appropriation - Special		-	-			-
Indirect Cost Recovery		32,000	32,000			32,000
Sales and Service		31,216	31,216	206,544	230,600	468,360
Investment, Endowment, and Other Income		371,237	371,237	4,059,757	6,100	4,437,094
Sponsored Research		-	-	3,800,000		3,800,000
Other Revenue		19,300	19,300			19,300
Total Revenue	\$ 18,851,922	\$ 1,992,366	\$ 20,844,288	\$ 8,298,605	\$ 236,700	\$ 29,379,593
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 10,177,324			
Retirement - PERF Program			199,352			
Retirement - TIAA Program			1,220,796			
Social Security			715,580			
Life Insurance			18,852			
Health/Medical Insurance			1,451,859			
Other Personal Services			39,442			
Total Personal Services			\$ 13,823,205	\$ 2,123,739	\$ -	\$ 15,946,944
SUPPLIES & EXPENSES						
General S&E			\$ 5,698,418			
Energy			662,687			
Utilities			53,146			
Property & Liability Insurance			37,294			
Other Supplies and Expenses			350,805			
Total Supplies and Expenses			\$ 6,802,350	\$ 2,236,194	\$ 236,700	\$ 9,275,244
STUDENT ASSISTANCE						
			\$ 218,733	\$ 3,938,672	\$ -	\$ 4,157,405
OTHER						
Total Expenditures	\$ 18,851,922	\$ 1,992,366	\$ 20,844,288	\$ 8,298,605	\$ 236,700	\$ 29,379,593

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 12,870,733	\$ (336,408)	\$ 12,534,325	\$ 280,000	\$ -	\$ 12,814,325
State Appropriation - Operating	7,896,005	-	7,896,005			7,896,005
State Appropriation - Debt Service		2,180,670	2,180,670			2,180,670
State Appropriation - Special		-	-			-
Indirect Cost Recovery		32,000	32,000			32,000
Sales and Service		28,216	28,216	62,300	250,872	341,388
Investment, Endowment, and Other Income		181,058	181,058	341,059	2,100	524,217
Sponsored Research		-	-	4,000,000		4,000,000
Other Revenue		19,300	19,300	5,043,493		5,062,793
Total Revenue	\$ 20,766,738	\$ 2,104,836	\$ 22,871,574	\$ 9,726,852	\$ 252,972	\$ 32,851,398
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 10,617,737			
Retirement - PERF Program			158,302			
Retirement - TIAA Program			1,256,576			
Social Security			754,309			
Life Insurance			21,886			
Health/Medical Insurance			1,765,426			
Other Personal Services			18,385			
Total Personal Services			\$ 14,592,621	\$ 1,991,993	\$ -	\$ 16,584,614
SUPPLIES & EXPENSES						
General S&E			\$ 6,774,145			
Energy			708,005			
Utilities			53,146			
Property & Liability Insurance			40,207			
Other Supplies and Expenses			410,576			
Total Supplies and Expenses			\$ 7,986,079	\$ 2,414,916	\$ 252,972	\$ 10,653,967
STUDENT ASSISTANCE						
			\$ 292,874	\$ 5,319,943	\$ -	\$ 5,612,817
OTHER						
Total Expenditures	\$ 20,766,738	\$ 2,104,836	\$ 22,871,574	\$ 9,726,852	\$ 252,972	\$ 32,851,398

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	2,695	2,925	3,278	3,306	3,306	3,306
2. Non-Resident	94	146	254	158	158	158
3. Reciprocity Non-Resident	320	329	399	362	362	362
B. Graduate/Professional						
1. Indiana Resident	141	140	160	160	160	160
2. Non-Resident	5	5	1	-	-	-
3. Reciprocity Non-Resident	5	7	18	14	14	14
TOTAL	3,260	3,552	4,110	4,000	4,000	4,000
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,399	1,535	1,784	1,799	1,799	1,799
2. Non-Resident	30	54	79	49	49	49
3. Reciprocity Non-Resident	193	212	249	226	226	226
B. Graduate/Professional						
1. Indiana Resident	32	58	64	64	64	64
2. Non-Resident	1	1	-	-	-	-
3. Reciprocity Non-Resident	1	2	8	6	6	6
TOTAL	1,656	1,862	2,184	2,144	2,144	2,144
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	154	407	568	566	566	566
B. Graduate/Professional Resident and Reciprocity	2	6	4	4	4	4
C. Undergraduate Nonresident	8	30	58	36	36	36
D. Graduate/Professional Nonresident	-	-	-	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	31	53	71			

INDIANA UNIVERSITY EAST
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,134	1,261	1,409	1,421	1,421	1,421
2. Non-Resident	28	48	66	41	41	41
3. Reciprocity Non-Resident	167	178	214	194	194	194
B. Graduate/Professional						
1. Indiana Resident	31	57	62	62	62	62
2. Non-Resident	1	1	-	-	-	-
3. Reciprocity Non-Resident	1	2	7	5	5	5
TOTAL	1,362	1,547	1,758	1,723	1,723	1,723
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	199	329	420	418	418	418
B. Graduate/Professional Resident and Reciprocity	3	5	3	3	3	3
C. Undergraduate Nonresident	9	27	43	27	27	27
D. Graduate/Professional Nonresident	-	-	-	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	29	52	73			

Indiana University
Kokomo

INDIANA UNIVERSITY KOKOMO 2011-13 OPERATING APPROPRIATION REQUEST

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IU KOKOMO

The requested change in operating and fee replacement appropriations for the Kokomo campus in the 2011-13 biennium is \$1.0 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
Kokomo	40	\$ 187,000
Kokomo dual credit	16	\$ 74,800

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in Degrees</u>	<u>Funding Change</u>
Kokomo	72.5	\$ 362,500
Kokomo low-income	19.5	\$ 97,500

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

No request for on-time degrees.

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
Kokomo scheduled debt service	\$ 266,276	\$ -1,755

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget	2011-12 Request			2012-13 Request			Total Biennium
	Total \$	Change \$	%	Total \$	Change \$	%	Total \$	\$
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 187,000		\$ 187,000	\$ -	0.0%	\$ 187,000	\$ 374,000
B. Dual Credit Hours	-	74,800		74,800	-	0.0%	74,800	149,600
C. Change in Degrees	-	362,500		362,500	-	0.0%	362,500	725,000
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	97,500		97,500	-	0.0%	97,500	195,000
F. Research Incentive	-	-		-	-		-	-
Subtotal	\$ -	\$ 721,800		\$ 721,800	\$ -	0.0%	\$ 721,800	\$ 1,443,600
II. Price Inflation								
A. Personnel Services	\$ 17,755,621							
B. Supplies and Expense	5,531,308							
C. Student Assistance	362,137							
Subtotal	\$ 23,649,066							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 23,649,066							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 13,535,030							
2. (less) Academic Facilities Fees	(1,553,532)							
3. (less) Other Dedicated/Restricted Fees	(231,959)							
Subtotal (= Net Unrestricted Fees)	\$ 11,749,539							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 11,749,539							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 10,345,995	\$ 721,800	7.0%	\$ 11,067,795	\$ -	0.0%	\$ 11,067,795	\$ 22,135,590
B. Fee Replacement	1,553,532	266,276	17.1%	1,819,808	(1,755)	-0.1%	1,818,053	3,637,861
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 11,899,527	\$ 988,076	8.3%	\$ 12,887,603	\$ (1,755)	0.0%	\$ 12,885,848	\$ 25,773,451
Total Income Budget	\$ 23,649,066							

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 13,166,641						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	1,400,083						
ii. PERF & Other	216,554						
b. Social Security	897,642						
c. Life Insurance	25,643						
d. Health Insurance	2,049,058						
TOTAL PERSONNEL SERVICES	\$ 17,755,621						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 4,290,890						
2. Energy:							
a. Electricity	600,000						
b. Coal	-						
c. Oil	-						
d. Natural Gas	400,000						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ 1,000,000						
3. Utilities:							
a. Sewer	75,000						
b. Water	40,000						
SUBTOTAL	\$ 115,000						
4. Fire & Casualty Insurance	125,418						
TOTAL SUPPLIES & EXPENSES	\$ 5,531,308						
C. STUDENT ASSISTANCE	\$ 362,137						
GRAND TOTAL	\$ 23,649,066						

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions										
Subtotal		\$		\$		\$				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based	69	38,781	125	63,791	119	63,650				
(2) Other criteria										
b. Non-Resident										
(1) Need-based	2	10,333	1	307	1	306				
(2) Other criteria										
Subtotal	71	\$ 49,114	126	\$ 64,098	120	\$ 63,956				
3. <u>Other Graduate</u>										
a. Resident	1	\$ 403		\$		\$				
b. Non-Resident										
Subtotal	1	\$ 403		\$		\$				
Total Remitted Fees	72	\$ 49,517	126	\$ 64,098	120	\$ 63,956				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	206	\$ 83,050	142	\$ 128,373	135	\$ 128,089				
(2) Other criteria	144	137,117	133	162,789	126	162,429				
b. Non-Resident										
(1) Need-based	3	1,949	3	3,855	3	3,846				
(2) Other criteria										
Subtotal	353	\$ 222,116	278	\$ 295,017	264	\$ 294,364				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	4	\$ 1,189	3	\$ 3,825	3	\$ 3,817				
b. Non-Resident										
Subtotal	4	\$ 1,189	3	\$ 3,825	3	\$ 3,817				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	429	\$ 272,822	407	\$ 362,940	387	\$ 362,137				
RECAP										
Resident Student Aid	424	\$ 260,540	403	\$ 358,778	383	\$ 357,985				
Non-Resident Student Aid	5	12,282	4	4,162	4	4,152				
TOTAL STUDENT AID	429	\$ 272,822	407	\$ 362,940	387	\$ 362,137				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

INDIANA UNIVERSITY KOKOMO
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Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		1,454	1,585	1,550	1,562	
2. Graduate/Professional		105	102	100	103	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	1,600	1,559	1,687	1,650	1,665	1,640
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	1,640					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	1,600					
3. Change (= D-1 minus D-2)	40					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	187,000					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	70	63	94	92	93	86
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	86					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	70					
3. Change (= D-1 minus D-2)	16					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	74,800					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

INDIANA UNIVERSITY KOKOMO
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Expenditures XIII: Change in Degrees

	Baccalaureate Degrees Conferred (Resident, unduplicated)	Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09	Change in Average Degrees Conferred	Funding: \$5,000 per Incremental Degree
2003-04	242			
2004-05	220	231.0		
2007-08	282			
2008-09	325	303.5	72.5	\$ 362,500

INDIANA UNIVERSITY KOKOMO
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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	306	35	11.4%		
2004-05*	324	27	8.3%		
2005-06	365	27	7.4%	-4.0%	\$ -

* 2002-03 is displayed only to complete the time series.

INDIANA UNIVERSITY KOKOMO
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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	60			
2006-07	65	62.5		
2007-08	72			
2008-09	92	82.0	19.5	\$ 97,500

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 8,827,384		\$ 10,219,946		\$ 10,953,997					
b. Graduate	551,259		624,637		639,190					
c. Professional										
2. Nonresident										
a. Undergraduate	157,174		189,473		202,094					
b. Graduate	9,990		26,273		3,790					
c. Professional										
SUBTOTAL	\$ 9,545,807		\$ 11,060,329		\$ 11,799,071					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 291,354		\$ 446,711		\$ 625,400					
3. Incidental Student Fees	780,728		861,179		838,250					
4. Other Credit Fee Income	46,450		41,851		40,350					
SUBTOTAL	\$ 1,118,532		\$ 1,349,741		\$ 1,504,000					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 10,664,339		\$ 12,410,070		\$ 13,303,071					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 2,288,919		\$ 2,103,973		\$ 1,553,532		\$ 1,819,808		\$ 1,818,053	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 2,288,919		\$ 2,103,973		\$ 1,553,532					
F. Net Unrestricted Fees	\$ 8,375,420		\$ 10,306,097		\$ 11,749,539					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 14,600		\$ 17,877		\$ 17,397				
c. Student Government		59,180		73,683		70,516				
d. Other		120,890		150,024		144,046				
2. Performance Facilities										
SUBTOTAL		\$ 194,670		\$ 241,584		\$ 231,959				
Gross Fee Base	\$ 10,664,339	\$ 194,670	\$ 12,410,070	\$ 241,584	\$ 13,303,071	\$ 231,959				

INDIANA UNIVERSITY KOKOMO
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Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled	\$ 2,288,919	\$ 2,103,973	\$ 1,932,256	\$ 1,819,808	\$ 1,818,053
Interim Financing	-	-	-	-	-
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 2,288,919	\$ 2,103,973	\$ 1,932,256	\$ 1,819,808	\$ 1,818,053
Acts of 1927 or 1929					
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
 Total Debt Service	 \$ 2,288,919	 \$ 2,103,973	 \$ 1,932,256	 \$ 1,819,808	 \$ 1,818,053
Reconciliation with Appropriated Amounts					
Adjustment to Appropriated Level	-	-	(378,724) *		
Reverted to State	62,816	-	-		
Appropriated Fee Replacement	\$ 2,351,735	\$ 2,103,973	\$ 1,553,532		

* Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 1,819,808	\$ -	\$ -	\$ 1,819,808
2012-13	1,818,053	-	-	1,818,053
2013-14	1,819,787	-	-	1,819,787
2014-15	1,601,862	-	-	1,601,862
2015-16	1,602,027	-	-	1,602,027
2016-17	1,598,854	-	-	1,598,854
2017-18	1,338,679	-	-	1,338,679
2018-19	1,844,733	-	-	1,844,733
2019-20	1,631,044	-	-	1,631,044
2020-21	1,629,788	-	-	1,629,788
2021-22	-	-	-	-
2022-23	-	-	-	-
2023-24	-	-	-	-
2024-25	-	-	-	-
2025-26	-	-	-	-
2026-27	-	-	-	-
2027-28	-	-	-	-
2028-29	-	-	-	-
2029-30	-	-	-	-
2030-31	-	-	-	-

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 11,344,853	\$ (390,015)	\$ 10,954,838	\$ 192,128	\$ -	\$ 11,146,966
State Appropriation - Operating	10,817,455	-	10,817,455			10,817,455
State Appropriation - Debt Service		2,103,973	2,103,973			2,103,973
State Appropriation - Special		-	-			-
Indirect Cost Recovery		20,000	20,000			20,000
Sales and Service		31,340	31,340	140,283	448,240	619,863
Investment, Endowment, and Other Income		449,800	449,800	99,348	25,000	574,148
Sponsored Research		-	-	600,000		600,000
Other Revenue		12,900	12,900	2,289,256		2,302,156
Total Revenue	\$ 22,162,308	\$ 2,227,998	\$ 24,390,306	\$ 3,321,015	\$ 473,240	\$ 28,184,561
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 12,050,668			
Retirement - PERF Program			255,325			
Retirement - TIAA Program			1,436,750			
Social Security			855,326			
Life Insurance			22,707			
Health/Medical Insurance			1,730,758			
Other Personal Services			47,346			
Total Personal Services			\$ 16,398,880	\$ 501,249	\$ 47,984	\$ 16,948,113
SUPPLIES & EXPENSES						
General S&E			\$ 6,096,002			
Energy			1,000,000			
Utilities			115,000			
Property & Liability Insurance			113,308			
Other Supplies and Expenses			423,153			
Total Supplies and Expenses			\$ 7,747,463	\$ 391,260	\$ 425,256	\$ 8,563,979
STUDENT ASSISTANCE						
			\$ 243,963	\$ 2,428,506	\$ -	\$ 2,672,469
OTHER						
Total Expenditures	\$ 22,162,308	\$ 2,227,998	\$ 24,390,306	\$ 3,321,015	\$ 473,240	\$ 28,184,561

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 13,303,071	\$ (384,500)	\$ 12,918,571	\$ 231,959	\$ -	\$ 13,150,530
State Appropriation - Operating	10,345,995	-	10,345,995			10,345,995
State Appropriation - Debt Service		1,932,256	1,932,256			1,932,256
State Appropriation - Special		-	-			-
Indirect Cost Recovery		18,000	18,000			18,000
Sales and Service		29,050	29,050	145,232	452,000	626,282
Investment, Endowment, and Other Income		150,129	150,129	104,826	23,900	278,855
Sponsored Research		-	-	500,000		500,000
Other Revenue		12,900	12,900	3,804,752		3,817,652
Total Revenue	\$ 23,649,066	\$ 1,757,835	\$ 25,406,901	\$ 4,786,769	\$ 475,900	\$ 30,669,570
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 12,567,845			
Retirement - PERF Program			216,554			
Retirement - TIAA Program			1,400,083			
Social Security			897,642			
Life Insurance			25,643			
Health/Medical Insurance			2,049,058			
Other Personal Services			19,306			
Total Personal Services			\$ 17,176,131	\$ 450,865	\$ 47,568	\$ 17,674,564
SUPPLIES & EXPENSES						
General S&E			\$ 6,138,586			
Energy			1,000,000			
Utilities			115,000			
Property & Liability Insurance			125,418			
Other Supplies and Expenses			489,629			
Total Supplies and Expenses			\$ 7,868,633	\$ 397,952	\$ 428,332	\$ 8,694,917
STUDENT ASSISTANCE						
			\$ 362,137	\$ 3,937,952	\$ -	\$ 4,300,089
OTHER						
Total Expenditures	\$ 23,649,066	\$ 1,757,835	\$ 25,406,901	\$ 4,786,769	\$ 475,900	\$ 30,669,570

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	3,543	3,410	3,835	3,751	3,782	3,782
2. Non-Resident	27	27	27	27	19	19
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	276	256	253	248	255	255
2. Non-Resident	2	2	4	-	-	-
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	3,848	3,695	4,119	4,026	4,056	4,056
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,852	1,772	2,005	1,961	1,977	1,977
2. Non-Resident	9	8	14	14	10	10
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	100	111	110	108	111	111
2. Non-Resident	-	1	2	-	-	-
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	1,961	1,892	2,131	2,083	2,098	2,098
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	8	12	19	19	19	19
B. Graduate/Professional Resident and Reciprocity	-	-	-	-	-	-
C. Undergraduate Nonresident	-	-	-	-	-	-
D. Graduate/Professional Nonresident	-	-	-	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	54	63	95			

INDIANA UNIVERSITY KOKOMO
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,543	1,517	1,679	1,642	1,655	1,655
2. Non-Resident	7	7	11	11	8	8
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	93	105	102	100	103	103
2. Non-Resident	-	1	2	-	-	-
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	1,643	1,630	1,794	1,753	1,766	1,766
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	6	10	12	12	12	12
B. Graduate/Professional Resident and Reciprocity	-	-	-	-	-	-
C. Undergraduate Nonresident	-	-	-	-	-	-
D. Graduate/Professional Nonresident	-	-	-	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	53	63	94			

Indiana University
Northwest

INDIANA UNIVERSITY NORTHWEST 2011-13 OPERATING APPROPRIATION REQUEST

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IU NORTHWEST

The requested change in operating and fee replacement appropriations for the Northwest campus in the 2011-13 biennium is \$5.2 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
Northwest	482	\$ 2,253,350
Northwest dual credit	12	\$ 56,100

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in Degrees</u>	<u>Funding Change</u>
Northwest	3	\$ 15,000

No request for low-income degree completion.

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

	<u>Change in Cohort Graduation Rate</u>	<u>Funding Change</u>
Northwest	3.0%	\$ 61,136

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
Northwest schedule debt service	\$ -283,303	\$ 206,052
Northwest Tamarack Hall	<u>2,877,090</u>	<u>0</u>
	\$ 2,593,787	\$ 206,052

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY NORTHWEST
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget	2011-12 Request			2012-13 Request			Total Biennium
	Total \$	Change \$	%	Total \$	Change \$	%	Total \$	\$
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 2,253,350		\$ 2,253,350	\$ -	0.0%	\$ 2,253,350	\$ 4,506,700
B. Dual Credit Hours	-	56,100		56,100	-	0.0%	56,100	112,200
C. Change in Degrees	-	15,000		15,000	-	0.0%	15,000	30,000
D. On-Time Degrees	-	61,136		61,136	-	0.0%	61,136	122,272
E. Low Income Degree Completion	-	-		-	-		-	-
F. Research Incentive	-	-		-	-		-	-
Subtotal	\$ -	\$ 2,385,586		\$ 2,385,586	\$ -	0.0%	\$ 2,385,586	\$ 4,771,172
II. Price Inflation								
A. Personnel Services	\$ 32,685,181							
B. Supplies and Expense	11,508,181							
C. Student Assistance	951,151							
Subtotal	\$ 45,144,513							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 45,144,513							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 28,747,001							
2. (less) Academic Facilities Fees	(2,879,072)							
3. (less) Other Dedicated/Restricted Fees	(552,000)							
Subtotal (= Net Unrestricted Fees)	\$ 25,315,929							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 25,315,929							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 16,949,512	\$ 2,385,586	14.1%	\$ 19,335,098	\$ -	0.0%	\$ 19,335,098	\$ 38,670,196
B. Fee Replacement	2,879,072	2,593,787	90.1%	5,472,859	206,052	3.8%	5,678,911	11,151,770
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 19,828,584	\$ 4,979,373	25.1%	\$ 24,807,957	\$ 206,052	0.8%	\$ 25,014,009	\$ 49,821,966
Total Income Budget	\$ 45,144,513							

INDIANA UNIVERSITY NORTHWEST
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 24,382,384						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	2,547,625						
ii. PERF & Other	384,199						
b. Social Security	1,623,968						
c. Life Insurance	46,270						
d. Health Insurance	3,700,735						
TOTAL PERSONNEL SERVICES	\$ 32,685,181						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 8,998,632						
2. Energy:							
a. Electricity	1,575,000						
b. Coal	-						
c. Oil	-						
d. Natural Gas	522,000						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ 2,097,000						
3. Utilities:							
a. Sewer	150,000						
b. Water	85,400						
SUBTOTAL	\$ 235,400						
4. Fire & Casualty Insurance	177,149						
TOTAL SUPPLIES & EXPENSES	\$ 11,508,181						
C. STUDENT ASSISTANCE	\$ 951,151						
GRAND TOTAL	\$ 45,144,513						

INDIANA UNIVERSITY NORTHWEST
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Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions										
Subtotal		\$		\$		\$				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based										
(2) Other criteria	13	2,794	151	109,948	154	117,468				
b. Non-Resident										
(1) Need-based										
(2) Other criteria	1	1,566	2	803	2	858				
Subtotal	14	\$ 4,360	153	\$ 110,751	156	\$ 118,326				
3. <u>Other Graduate</u>										
a. Resident	5	\$ 6,580	3	\$ 4,809	3	\$ 5,138				
b. Non-Resident			1	575	1	614				
Subtotal	5	\$ 6,580	4	\$ 5,384	4	\$ 5,752				
Total Remitted Fees	19	\$ 10,940	157	\$ 116,135	160	\$ 124,078				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	17	\$ 50,193	13	\$ 50,296	13	\$ 53,736				
(2) Other criteria	233	598,254	247	722,805	251	772,241				
b. Non-Resident										
(1) Need-based	1	1,192								
(2) Other criteria	3	2,295	1	614	1	656				
Subtotal	254	\$ 651,934	261	\$ 773,715	265	\$ 826,633				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	1	\$ 1,520	2	\$ 412	2	\$ 440				
b. Non-Resident										
Subtotal	1	\$ 1,520	2	\$ 412	2	\$ 440				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	274	\$ 664,394	420	\$ 890,262	427	\$ 951,151				
RECAP										
Resident Student Aid	269	\$ 659,341	416	\$ 888,270	423	\$ 949,023				
Non-Resident Student Aid	5	5,053	4	1,992	4	2,128				
TOTAL STUDENT AID	274	\$ 664,394	420	\$ 890,262	427	\$ 951,151				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

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Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		2,633	2,985	3,063	3,109	
2. Graduate/Professional		424	428	437	430	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	2,895	3,057	3,413	3,500	3,539	3,377
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	3,377					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	2,895					
3. Change (= D-1 minus D-2)	482					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	2,253,350					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

INDIANA UNIVERSITY NORTHWEST
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Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	18	11	34	36	37	30
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	30					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	18					
3. Change (= D-1 minus D-2)	12					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	56,100					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

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Expenditures XIII: Change in Degrees

	Baccalaureate Degrees Conferred (Resident, unduplicated)	Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09	Change in Average Degrees Conferred	Funding: \$5,000 per Incremental Degree
2003-04	360			
2004-05	359	359.5		
2007-08	374			
2008-09	351	362.5	3.0	\$ 15,000

INDIANA UNIVERSITY NORTHWEST
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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	515	44	8.5%		
2004-05*	500	37	7.4%		
2005-06	407	47	11.5%	3.0%	\$ 61,136

* 2002-03 is displayed only to complete the time series.

INDIANA UNIVERSITY NORTHWEST
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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	66			
2006-07	112	89.0		
2007-08	85			
2008-09	85	85.0	(4.0)	\$ -

INDIANA UNIVERSITY NORTHWEST
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Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 16,715,720		\$ 20,626,106		\$ 21,965,154					
b. Graduate	2,661,179		2,944,818		3,069,453					
c. Professional										
2. Nonresident										
a. Undergraduate	554,060		625,774		641,075					
b. Graduate	103,247		94,314		95,340					
c. Professional										
SUBTOTAL	\$ 20,034,206		\$ 24,291,012		\$ 25,771,022					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 345,301		\$ 506,306		\$ 610,395					
3. Incidental Student Fees	1,627,892		1,844,821		1,758,913					
4. Other Credit Fee Income	54,199		57,662		54,671					
SUBTOTAL	\$ 2,027,392		\$ 2,408,789		\$ 2,423,979					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 22,061,598		\$ 26,699,801		\$ 28,195,001					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 4,126,319		\$ 3,899,173		\$ 2,879,072		\$ 5,472,859		\$ 5,678,911	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 4,126,319		\$ 3,899,173		\$ 2,879,072					
F. Net Unrestricted Fees	\$ 17,935,279		\$ 22,800,628		\$ 25,315,929					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 226,626		\$ 280,032		\$ 187,680				
b. Recreation		86,095		96,372		143,520				
c. Student Government		12,658		30,355		82,800				
d. Other		163,979		156,773		138,000				
2. Performance Facilities										
SUBTOTAL		\$ 489,358		\$ 563,532		\$ 552,000				
Gross Fee Base	\$ 22,061,598	\$ 489,358	\$ 26,699,801	\$ 563,532	\$ 28,195,001	\$ 552,000				

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Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled	\$ 4,126,319	\$ 3,899,173	\$ 2,183,776	\$ 2,595,769	\$ 2,801,821
Interim Financing*	-	-	-	2,877,090	2,877,090
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 4,126,319	\$ 3,899,173	\$ 2,183,776	\$ 5,472,859	\$ 5,678,911
Acts of 1927 or 1929					
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 4,126,319	\$ 3,899,173	\$ 2,183,776	\$ 5,472,859	\$ 5,678,911
Reconciliation with Appropriated Amounts					
Reverted to State	113,242	-	695,296		
Appropriated Fee Replacement	\$ 4,239,561	\$ 3,899,173	\$ 2,879,072		

* Includes Tamarack Hall.

** Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

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Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 2,595,769	\$ 2,877,090	\$ -	\$ 5,472,859
2012-13	2,801,821	2,877,090	-	5,678,911
2013-14	2,803,438	2,877,090	-	5,680,528
2014-15	3,279,332	2,877,090	-	6,156,422
2015-16	3,279,489	2,877,090	-	6,156,579
2016-17	3,278,097	2,877,090	-	6,155,187
2017-18	2,954,936	2,877,090	-	5,832,026
2018-19	2,289,990	2,877,090	-	5,167,080
2019-20	2,021,104	2,877,090	-	4,898,194
2020-21	2,023,952	2,877,090	-	4,901,042
2021-22	1,282,250	2,877,090	-	4,159,340
2022-23	1,281,250	2,877,090	-	4,158,340
2023-24	-	2,877,090	-	2,877,090
2024-25	-	2,877,090	-	2,877,090
2025-26	-	2,877,090	-	2,877,090
2026-27	-	2,877,090	-	2,877,090
2027-28	-	2,877,090	-	2,877,090
2028-29	-	2,877,090	-	2,877,090
2029-30	-	2,877,090	-	2,877,090
2030-31	-	2,877,090	-	2,877,090

INDIANA UNIVERSITY NORTHWEST
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Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 23,513,506	\$ (856,026)	\$ 22,657,480	\$ 702,025	\$ -	\$ 23,359,505
State Appropriation - Operating	18,061,296	-	18,061,296			18,061,296
State Appropriation - Debt Service		3,899,173	3,899,173			3,899,173
State Appropriation - Special		-	-			-
Indirect Cost Recovery		26,899	26,899			26,899
Sales and Service		209,150	209,150	265,300	833,671	1,308,121
Investment, Endowment, and Other Income		280,650	280,650	636,142	79,885	996,677
Sponsored Research		-	-	950,000		950,000
Other Revenue		41,906	41,906	5,592,257		5,634,163
Total Revenue	\$ 41,574,802	\$ 3,601,752	\$ 45,176,554	\$ 8,145,724	\$ 913,556	\$ 54,235,834
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 22,020,037			
Retirement - PERF Program			461,508			
Retirement - TIAA Program			2,623,101			
Social Security			1,564,007			
Life Insurance			41,513			
Health/Medical Insurance			3,160,135			
Other Personal Services			86,170			
Total Personal Services			\$ 29,956,471	\$ 1,735,391	\$ 272,616	\$ 31,964,478
SUPPLIES & EXPENSES						
General S&E			\$ 11,553,574			
Energy			1,857,000			
Utilities			205,400			
Property & Liability Insurance			168,837			
Other Supplies and Expenses			775,018			
Total Supplies and Expenses			\$ 14,559,829	\$ 603,558	\$ 640,940	\$ 15,804,327
STUDENT ASSISTANCE						
			\$ 660,254	\$ 5,806,775	\$ -	\$ 6,467,029
OTHER						
Total Expenditures	\$ 41,574,802	\$ 3,601,752	\$ 45,176,554	\$ 8,145,724	\$ 913,556	\$ 54,235,834

INDIANA UNIVERSITY NORTHWEST
2011-13 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 28,195,001	\$ (829,084)	\$ 27,365,917	\$ 773,025	\$ -	\$ 28,138,942
State Appropriation - Operating	16,949,512	-	16,949,512			16,949,512
State Appropriation - Debt Service		2,183,776	2,183,776			2,183,776
State Appropriation - Special		-	-			-
Indirect Cost Recovery		22,000	22,000			22,000
Sales and Service		213,370	213,370	334,326	768,694	1,316,390
Investment, Endowment, and Other Income		(284,101)	(284,101)	693,079	72,991	481,969
Sponsored Research		-	-	1,200,000		1,200,000
Other Revenue		36,500	36,500	5,776,777		5,813,277
Total Revenue	\$ 45,144,513	\$ 1,342,461	\$ 46,486,974	\$ 8,777,207	\$ 841,685	\$ 56,105,866
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 22,719,135			
Retirement - PERF Program			384,199			
Retirement - TIAA Program			2,547,625			
Social Security			1,623,968			
Life Insurance			46,270			
Health/Medical Insurance			3,700,735			
Other Personal Services			37,264			
Total Personal Services			\$ 31,059,196	\$ 1,756,299	\$ 275,514	\$ 33,091,009
SUPPLIES & EXPENSES						
General S&E			\$ 11,092,874			
Energy			2,097,000			
Utilities			235,400			
Property & Liability Insurance			177,149			
Other Supplies and Expenses			874,204			
Total Supplies and Expenses			\$ 14,476,627	\$ 768,333	\$ 566,171	\$ 15,811,131
STUDENT ASSISTANCE						
			\$ 951,151	\$ 6,252,575	\$ -	\$ 7,203,726
OTHER						
Total Expenditures	\$ 45,144,513	\$ 1,342,461	\$ 46,486,974	\$ 8,777,207	\$ 841,685	\$ 56,105,866

INDIANA UNIVERSITY NORTHWEST
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Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	5,429	5,507	6,346	6,514	6,612	6,612
2. Non-Resident	82	90	101	89	80	80
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	1,050	1,060	1,012	1,034	1,017	1,017
2. Non-Resident	15	12	16	19	19	19
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	6,576	6,669	7,475	7,656	7,728	7,728
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	3,104	3,268	3,820	3,921	3,980	3,980
2. Non-Resident	41	40	43	38	34	34
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	448	454	467	477	469	469
2. Non-Resident	8	6	6	7	7	7
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	3,601	3,768	4,336	4,443	4,490	4,490
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	80	97	140	144	146	146
B. Graduate/Professional Resident and Reciprocity	26	31	34	35	34	34
C. Undergraduate Nonresident	-	1	2	2	2	2
D. Graduate/Professional Nonresident	1	1	1	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	7	11	44			

INDIANA UNIVERSITY NORTHWEST
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Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	2,468	2,644	3,019	3,099	3,146	3,146
2. Non-Resident	31	31	35	31	28	28
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	413	424	428	437	430	430
2. Non-Resident	7	7	6	7	7	7
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	2,919	3,106	3,488	3,574	3,611	3,611
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	55	75	104	107	109	109
B. Graduate/Professional Resident and Reciprocity	22	28	31	32	31	31
C. Undergraduate Nonresident	-	-	1	1	1	1
D. Graduate/Professional Nonresident	1	1	1	1	1	1
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	8	11	35			

Indiana University
South Bend

INDIANA UNIVERSITY SOUTH BEND 2011-13 OPERATING APPROPRIATION REQUEST

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IU SOUTH BEND

The requested change in operating and fee replacement appropriations for the South Bend campus in the 2011-13 biennium is \$1.6 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12. The Bloomington campus, considered a "mature campus," is eligible only for the dual credit hours completed adjustment.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
South Bend	250	\$ 1,168,750
South Bend dual credit	15	\$ 70,125

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
South Bend	46	\$ 230,000
South Bend low-income	8.5	\$ 42,500

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

No request for on-time degrees.

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
South Bend scheduled debt service	\$ 30,710	\$ 669
South Bend land acquisition	<u>54,049</u>	<u>0</u>
	\$ 84,759	\$ 669

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY SOUTH BEND
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget Total \$	2011-12 Request			2012-13 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 1,168,750		\$ 1,168,750	\$ -	0.0%	\$ 1,168,750	\$ 2,337,500
B. Dual Credit Hours	-	70,125		70,125	-	0.0%	70,125	140,250
C. Change in Degrees	-	230,000		230,000	-	0.0%	230,000	460,000
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	42,500		42,500	-	0.0%	42,500	85,000
F. Research Incentive	-	-		-	-		-	-
Subtotal	\$ -	\$ 1,511,375		\$ 1,511,375	\$ -	0.0%	\$ 1,511,375	\$ 3,022,750
II. Price Inflation								
A. Personnel Services	\$ 43,934,080							
B. Supplies and Expense	14,348,525							
C. Student Assistance	<u>1,864,100</u>							
Subtotal	\$ 60,146,705							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 60,146,705							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 39,032,687							
2. (less) Academic Facilities Fees	(4,178,432)							
3. (less) Other Dedicated/Restricted Fees	<u>(658,900)</u>							
Subtotal (= Net Unrestricted Fees)	\$ 34,195,355							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 34,195,355							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 21,772,918	\$ 1,511,375	6.9%	\$ 23,284,293	\$ -	0.0%	\$ 23,284,293	\$ 46,568,586
B. Fee Replacement	4,178,432	84,759	2.0%	4,263,191	669	0.0%	4,263,860	8,527,051
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 25,951,350	\$ 1,596,134	6.2%	\$ 27,547,484	\$ 669	0.0%	\$ 27,548,153	\$ 55,095,637
Total Income Budget	\$ 60,146,705							

INDIANA UNIVERSITY SOUTH BEND
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 32,604,834						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	3,576,663						
ii. PERF & Other	465,355						
b. Social Security	2,228,105						
c. Life Insurance	62,905						
d. Health Insurance	4,996,218						
TOTAL PERSONNEL SERVICES	\$ 43,934,080						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 11,307,225						
2. Energy:							
a. Electricity	1,570,000						
b. Coal	-						
c. Oil	-						
d. Natural Gas	1,003,000						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ 2,573,000						
3. Utilities:							
a. Sewer	115,000						
b. Water	91,000						
SUBTOTAL	\$ 206,000						
4. Fire & Casualty Insurance	262,300						
TOTAL SUPPLIES & EXPENSES	\$ 14,348,525						
C. STUDENT ASSISTANCE	\$ 1,864,100						
GRAND TOTAL	\$ 60,146,705						

INDIANA UNIVERSITY SOUTH BEND
2011-13 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions	4	6,721	3	6,786	3	7,094				
Subtotal	4	\$ 6,721	3	\$ 6,786	3	\$ 7,094				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based										
(2) Other criteria	28	36,245	192	100,375	191	104,925				
b. Non-Resident										
(1) Need-based	22	57,883	26	68,795	26	71,913				
(2) Other criteria	151	1,050,590	156	1,331,054	154	1,391,384				
Subtotal	201	\$ 1,144,718	374	\$ 1,500,224	371	\$ 1,568,222				
3. <u>Other Graduate</u>										
a. Resident	4	\$ 3,762	3	\$ 4,311	3	\$ 4,506				
b. Non-Resident	36	98,031	40	106,679	40	111,514				
Subtotal	40	\$ 101,793	43	\$ 110,990	43	\$ 116,020				
Total Remitted Fees	245	\$ 1,253,232	420	\$ 1,618,000	417	\$ 1,691,336				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	11	\$ 11,384	10	\$ 10,394	10	\$ 10,865				
(2) Other criteria	207	134,751	145	112,354	144	117,446				
b. Non-Resident										
(1) Need-based	5	13,854	3	8,629	3	9,020				
(2) Other criteria	20	100,800	13	19,500	13	20,384				
Subtotal	243	\$ 260,789	171	\$ 150,877	170	\$ 157,715				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	6	\$ 4,762	6	\$ 10,257	6	\$ 10,722				
b. Non-Resident			2	4,139	2	4,327				
Subtotal	6	\$ 4,762	8	\$ 14,396	8	\$ 15,049				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	494	\$ 1,518,783	599	\$ 1,783,273	595	\$ 1,864,100				
RECAP										
Resident Student Aid	256	\$ 190,904	356	\$ 237,691	354	\$ 248,464				
Non-Resident Student Aid	238	1,327,879	243	1,545,582	241	1,615,636				
TOTAL STUDENT AID	494	\$ 1,518,783	599	\$ 1,783,273	595	\$ 1,864,100				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

INDIANA UNIVERSITY SOUTH BEND
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		3,687	4,014	3,753	3,655	
2. Graduate/Professional		481	455	408	437	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	3,973	4,168	4,469	4,161	4,092	4,223
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	4,223					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	3,973					
3. Change (= D-1 minus D-2)	250					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	1,168,750					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

INDIANA UNIVERSITY SOUTH BEND
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Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	155	153	184	174	169	170
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	170					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	155					
3. Change (= D-1 minus D-2)	15					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	70,125					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

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Expenditures XIII: Change in Degrees

	<u>Baccalaureate Degrees Conferred (Resident, unduplicated)</u>	<u>Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09</u>	<u>Change in Average Degrees Conferred</u>	<u>Funding: \$5,000 per Incremental Degree</u>
2003-04	504			
2004-05	541	522.5		
2007-08	511			
2008-09	626	568.5	46.0	\$ 230,000

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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	634	37	5.8%		
2004-05*	600	34	5.7%		
2005-06	713	40	5.6%	-0.2%	\$ -

* 2002-03 is displayed only to complete the time series.

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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	183			
2006-07	117	150.0		
2007-08	130			
2008-09	187	158.5	8.5	\$ 42,500

INDIANA UNIVERSITY SOUTH BEND
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Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 23,036,796		\$ 26,918,872		\$ 26,682,308					
b. Graduate	2,653,589		2,661,344		2,486,375					
c. Professional										
2. Nonresident										
a. Undergraduate	3,923,189		4,696,919		4,495,429					
b. Graduate	1,332,029		1,395,074		1,373,525					
c. Professional										
SUBTOTAL	\$ 30,945,603		\$ 35,672,209		\$ 35,037,637					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 660,243		\$ 773,499		\$ 757,250					
3. Incidental Student Fees	2,497,932		2,706,976		2,461,000					
4. Other Credit Fee Income	98,513		114,350		117,900					
SUBTOTAL	\$ 3,256,688		\$ 3,594,825		\$ 3,336,150					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 34,202,291		\$ 39,267,034		\$ 38,373,787					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 5,704,968		\$ 5,658,917		\$ 4,178,432		\$ 4,263,191		\$ 4,263,860	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 5,704,968		\$ 5,658,917		\$ 4,178,432					
F. Net Unrestricted Fees	\$ 28,497,323		\$ 33,608,117		\$ 34,195,355					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 183,000		\$ 180,000		\$ 212,400				
b. Recreation		18,700		23,000		23,000				
c. Student Government		59,000		56,000		56,000				
d. Other		384,732		453,007		367,500				
2. Performance Facilities										
SUBTOTAL		\$ 645,432		\$ 712,007		\$ 658,900				
Gross Fee Base	\$ 34,202,291	\$ 645,432	\$ 39,267,034	\$ 712,007	\$ 38,373,787	\$ 658,900				

INDIANA UNIVERSITY SOUTH BEND
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Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled*	\$ 5,704,968	\$ 5,658,917	\$ 5,047,427	\$ 4,209,142	\$ 4,209,811
Interim Financing**	-	-	-	54,049	54,049
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 5,704,968	\$ 5,658,917	\$ 5,047,427	\$ 4,263,191	\$ 4,263,860
Acts of 1927 or 1929					
Permanently Scheduled***	\$ 265,625	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 265,625	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 5,970,593	\$ 5,658,917	\$ 5,047,427	\$ 4,263,191	\$ 4,263,860
Reconciliation with Appropriated Amounts					
Use of Internal Funds	(265,625)	-	-		
Adjustment to Appropriated Level	-	-	(868,995) #		
Reverted to State	1,515,844	-	-		
Appropriated Fee Replacement	\$ 7,220,812	\$ 5,658,917	\$ 4,178,432		

* Net of federal interest subsidies of \$242,987 in 2010-11, \$311,300 in 2011-12, and \$311,300 in 2012-13.

** Includes land acquisition.

*** Debt service on the Student Union Building Bonds of 1979.

Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

INDIANA UNIVERSITY SOUTH BEND
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Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled*	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 4,209,142	\$ 54,049	\$ -	\$ 4,263,191
2012-13	4,209,811	54,049	-	4,263,860
2013-14	4,210,692	54,049	-	4,264,741
2014-15	3,847,757	54,049	-	3,901,806
2015-16	3,845,574	54,049	-	3,899,623
2016-17	3,839,566	54,049	-	3,893,615
2017-18	3,397,785	54,049	-	3,451,834
2018-19	4,253,505	54,049	-	4,307,554
2019-20	3,890,138	54,049	-	3,944,187
2020-21	3,892,949	54,049	-	3,946,998
2021-22	1,617,553	54,049	-	1,671,602
2022-23	1,617,222	54,049	-	1,671,271
2023-24	1,618,904	54,049	-	1,672,953
2024-25	1,617,694	54,049	-	1,671,743
2025-26	1,621,416	54,049	-	1,675,465
2026-27	1,615,404	54,049	-	1,669,453
2027-28	1,524,380	54,049	-	1,578,429
2028-29	1,523,243	54,049	-	1,577,292
2029-30	1,524,813	54,049	-	1,578,862
2030-31	-	54,049	-	54,049

* Net of federal interest subsidies.

INDIANA UNIVERSITY SOUTH BEND
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Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 35,174,197	\$ (1,233,382)	\$ 33,940,815	\$ 1,076,725	\$ 49,998	\$ 35,067,538
State Appropriation - Operating	23,236,007	-	23,236,007			23,236,007
State Appropriation - Debt Service		5,658,917	5,658,917			5,658,917
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		175,861	175,861	118,770	4,417,830	4,712,461
Investment, Endowment, and Other Income		563,400	563,400	407,100	57,141	1,027,641
Sponsored Research		-	-	700,000		700,000
Other Revenue		47,000	47,000	15,664,500		15,711,500
Total Revenue	\$ 58,410,204	\$ 5,211,796	\$ 63,622,000	\$ 17,967,095	\$ 4,524,969	\$ 86,114,064
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 30,344,759			
Retirement - PERF Program			552,490			
Retirement - TIAA Program			3,720,730			
Social Security			2,151,709			
Life Insurance			56,327			
Health/Medical Insurance			4,280,177			
Other Personal Services			109,059			
Total Personal Services			\$ 41,215,251	\$ 1,716,867	\$ 1,217,240	\$ 44,149,358
SUPPLIES & EXPENSES						
General S&E			\$ 16,928,190			
Energy			2,396,000			
Utilities			177,000			
Property & Liability Insurance			242,406			
Other Supplies and Expenses			1,081,427			
Total Supplies and Expenses			\$ 20,825,023	\$ 63,328	\$ 3,307,729	\$ 24,196,080
STUDENT ASSISTANCE						
			\$ 1,581,726	\$ 16,186,900	\$ -	\$ 17,768,626
OTHER						
Total Expenditures	\$ 58,410,204	\$ 5,211,796	\$ 63,622,000	\$ 17,967,095	\$ 4,524,969	\$ 86,114,064

INDIANA UNIVERSITY SOUTH BEND
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Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 38,373,787	\$ (1,116,000)	\$ 37,257,787	\$ 913,069	\$ 68,000	\$ 38,238,856
State Appropriation - Operating	21,772,918	-	21,772,918			21,772,918
State Appropriation - Debt Service		5,047,427	5,047,427			5,047,427
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		174,162	174,162	144,051	4,416,196	4,734,409
Investment, Endowment, and Other Income		(161,825)	(161,825)	536,451	97,274	471,900
Sponsored Research		-	-	700,000		700,000
Other Revenue		46,000	46,000	11,096,328		11,142,328
Total Revenue	\$ 60,146,705	\$ 3,989,764	\$ 64,136,469	\$ 13,389,899	\$ 4,581,470	\$ 82,107,838
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 31,002,202			
Retirement - PERF Program			465,355			
Retirement - TIAA Program			3,576,663			
Social Security			2,228,105			
Life Insurance			62,905			
Health/Medical Insurance			4,996,218			
Other Personal Services			28,172			
Total Personal Services			\$ 42,359,620	\$ 1,241,350	\$ 1,188,132	\$ 44,789,102
SUPPLIES & EXPENSES						
General S&E			\$ 15,571,033			
Energy			2,573,000			
Utilities			206,000			
Property & Liability Insurance			262,300			
Other Supplies and Expenses			1,274,399			
Total Supplies and Expenses			\$ 19,886,732	\$ 404,071	\$ 3,393,338	\$ 23,684,141
STUDENT ASSISTANCE						
			\$ 1,890,117	\$ 11,744,478	\$ -	\$ 13,634,595
OTHER						
Total Expenditures	\$ 60,146,705	\$ 3,989,764	\$ 64,136,469	\$ 13,389,899	\$ 4,581,470	\$ 82,107,838

INDIANA UNIVERSITY SOUTH BEND
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Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	7,893	8,450	9,283	8,683	8,456	8,456
2. Non-Resident	449	449	514	465	436	436
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	1,558	1,334	1,244	1,115	1,194	1,194
2. Non-Resident	169	158	153	140	146	146
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	10,069	10,391	11,194	10,403	10,232	10,232
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	4,228	4,536	5,074	4,746	4,622	4,622
2. Non-Resident	252	271	306	277	260	260
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	565	506	490	439	470	470
2. Non-Resident	99	94	96	88	92	92
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	5,144	5,407	5,966	5,550	5,444	5,444
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	48	116	190	178	173	173
B. Graduate/Professional Resident and Reciprocity	1	10	32	29	31	31
C. Undergraduate Nonresident	2	5	9	8	8	8
D. Graduate/Professional Nonresident	-	2	6	6	6	6
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	146	173	218			

INDIANA UNIVERSITY SOUTH BEND
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Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	3,513	3,840	4,198	3,927	3,824	3,824
2. Non-Resident	219	244	263	238	223	223
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	536	481	455	408	437	437
2. Non-Resident	94	93	91	83	87	87
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	4,362	4,658	5,007	4,656	4,571	4,571
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	28	88	138	129	126	126
B. Graduate/Professional Resident and Reciprocity	-	11	28	25	27	27
C. Undergraduate Nonresident	1	5	9	8	7	7
D. Graduate/Professional Nonresident	-	2	5	5	5	5
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	126	154	186			

Indiana University
Southeast

INDIANA UNIVERSITY SOUTHEAST 2011-13 OPERATING APPROPRIATION REQUEST

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IU SOUTHEAST

The requested change in operating and fee replacement appropriations for the Southeast campus in the 2011-13 biennium is \$3.4 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
Southeast	457	\$ 2,136,475
Southeast dual credit	-2	\$ -9,350

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in Degrees</u>	<u>Funding Change</u>
Southeast low-income	2	\$ 10,000

No request for change in degrees.

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

No request for on-time degrees.

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
Southeast scheduled debt service	\$ -681,019	\$ 6,624
Southeast Education & Technology Building	<u>1,918,060</u>	<u>0</u>
	\$ 1,237,041	\$ 6,624

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY SOUTHEAST
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget Total \$	2011-12 Request			2012-13 Request			Total Biennium \$
		Change \$	%	Total \$	Change \$	%	Total \$	
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 2,136,475		\$ 2,136,475	\$ -	0.0%	\$ 2,136,475	\$ 4,272,950
B. Dual Credit Hours	-	(9,350)		(9,350)	-	0.0%	(9,350)	(18,700)
C. Change in Degrees	-	-		-	-		-	-
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	10,000		10,000	-	0.0%	10,000	20,000
F. Research Incentive	-	-		-	-		-	-
Subtotal	\$ -	\$ 2,137,125		\$ 2,137,125	\$ -	0.0%	\$ 2,137,125	\$ 4,274,250
II. Price Inflation								
A. Personnel Services	\$ 38,511,162							
B. Supplies and Expense	13,495,071							
C. Student Assistance	852,579							
Subtotal	\$ 52,858,812							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 52,858,812							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 33,765,683							
2. (less) Academic Facilities Fees	(3,727,359)							
3. (less) Other Dedicated/Restricted Fees	(753,588)							
Subtotal (= Net Unrestricted Fees)	\$ 29,284,736							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 29,284,736							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 19,846,717	\$ 2,137,125	10.8%	\$ 21,983,842	\$ -	0.0%	\$ 21,983,842	\$ 43,967,684
B. Fee Replacement	3,727,359	1,237,041	33.2%	4,964,400	6,624	0.1%	4,971,024	9,935,424
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 23,574,076	\$ 3,374,166	14.3%	\$ 26,948,242	\$ 6,624	0.0%	\$ 26,954,866	\$ 53,903,108
Total Income Budget	\$ 52,858,812							

INDIANA UNIVERSITY SOUTHEAST
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 28,678,346						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	2,954,587						
ii. PERF & Other	467,569						
b. Social Security	1,957,238						
c. Life Insurance	54,453						
d. Health Insurance	4,398,969						
TOTAL PERSONNEL SERVICES	\$ 38,511,162						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 11,871,565						
2. Energy:							
a. Electricity	990,000						
b. Coal	-						
c. Oil	10,000						
d. Natural Gas	248,000						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ 1,248,000						
3. Utilities:							
a. Sewer	-						
b. Water	222,000						
SUBTOTAL	\$ 222,000						
4. Fire & Casualty Insurance	153,506						
TOTAL SUPPLIES & EXPENSES	\$ 13,495,071						
C. STUDENT ASSISTANCE	\$ 852,579						
GRAND TOTAL	\$ 52,858,812						

INDIANA UNIVERSITY SOUTHEAST
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Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions										
Subtotal		\$		\$		\$				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based	15	6,746	3	1,476	3	1,371				
(2) Other criteria										
b. Non-Resident										
(1) Need-based										
(2) Other criteria										
Subtotal	15	\$ 6,746	3	\$ 1,476	3	\$ 1,371				
3. <u>Other Graduate</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Remitted Fees	15	\$ 6,746	3	\$ 1,476	3	\$ 1,371				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	824	\$ 443,738	552	\$ 397,227	488	\$ 368,947				
(2) Other criteria	379	388,163	409	465,763	362	432,604				
b. Non-Resident										
(1) Need-based	5	4,736	7	5,967	6	5,542				
(2) Other criteria	10	10,483	13	8,749	11	8,126				
Subtotal	1,218	\$ 847,120	981	\$ 877,706	867	\$ 815,219				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	74	\$ 37,887	46	\$ 38,463	41	\$ 35,725				
b. Non-Resident	1	270	1	284	1	264				
Subtotal	75	\$ 38,157	47	\$ 38,747	42	\$ 35,989				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	1,308	\$ 892,023	1,031	\$ 917,929	912	\$ 852,579				
RECAP										
Resident Student Aid	1,292	\$ 876,534	1,010	\$ 902,929	894	\$ 838,647				
Non-Resident Student Aid	16	15,489	21	15,000	18	13,932				
TOTAL STUDENT AID	1,308	\$ 892,023	1,031	\$ 917,929	912	\$ 852,579				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

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Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		2,646	2,813	2,853	2,849	
2. Graduate/Professional		272	243	255	258	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		838	1,013	1,016	1,072	
2. Graduate/Professional		272	287	261	268	
C. Total Eligible FTE Enrollment (A+B)	3,847	4,028	4,356	4,385	4,447	4,304
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	4,304					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	3,847					
3. Change (= D-1 minus D-2)	457					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	2,136,475					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

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Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	17	16	14	15	15	15
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	15					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	17					
3. Change (= D-1 minus D-2)	(2)					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	(9,350)					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
 (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
 (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
 (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

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Expenditures XIII: Change in Degrees

	Baccalaureate Degrees Conferred (Resident, unduplicated)	Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09	Change in Average Degrees Conferred	Funding: \$5,000 per Incremental Degree
2003-04	495			
2004-05	479	487.0		
2007-08	471			
2008-09	471	471.0	(16.0)	\$ -

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Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	576	52	9.0%		
2004-05*	626	47	7.5%		
2005-06	568	49	8.6%	-0.4%	\$ -

* 2002-03 is displayed only to complete the time series.

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Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	89			
2006-07	87	88.0		
2007-08	90			
2008-09	90	90.0	2.0	\$ 10,000

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Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 21,283,669		\$ 24,616,457		\$ 26,207,708					
b. Graduate	2,977,800		3,148,217		3,322,827					
c. Professional										
2. Nonresident										
a. Undergraduate	633,953		794,448		808,330					
b. Graduate	82,073		78,181		75,080					
c. Professional										
SUBTOTAL	\$ 24,977,495		\$ 28,637,303		\$ 30,413,945					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 216,681		\$ 317,686		\$ 438,566					
3. Incidental Student Fees	2,096,240		2,343,818		2,113,219					
4. Other Credit Fee Income	47,711		(2,198)		46,365					
SUBTOTAL	\$ 2,360,632		\$ 2,659,306		\$ 2,598,150					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 27,338,127		\$ 31,296,609		\$ 33,012,095					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 5,034,312		\$ 5,048,021		\$ 3,727,359		\$ 4,964,400		\$ 4,971,024	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 5,034,312		\$ 5,048,021		\$ 3,727,359					
F. Net Unrestricted Fees	\$ 22,303,815		\$ 26,248,588		\$ 29,284,736					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics		\$ 365,116		\$ 413,053		\$ 402,416				
b. Recreation						11,304				
c. Student Government		56,136		58,713		12,811				
d. Other		258,452		285,296		327,057				
2. Performance Facilities										
SUBTOTAL		\$ 679,704		\$ 757,062		\$ 753,588				
Gross Fee Base	\$ 27,338,127	\$ 679,704	\$ 31,296,609	\$ 757,062	\$ 33,012,095	\$ 753,588				

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Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled	\$ 5,034,312	\$ 5,048,021	\$ 3,916,728	\$ 3,046,340	\$ 3,052,964
Interim Financing*	-	-	-	1,918,060	1,918,060
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 5,034,312	\$ 5,048,021	\$ 3,916,728	\$ 4,964,400	\$ 4,971,024
Acts of 1927 or 1929					
Permanently Scheduled**	\$ 175,313	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ 175,313	\$ -	\$ -	\$ -	\$ -
Total Debt Service	\$ 5,209,625	\$ 5,048,021	\$ 3,916,728	\$ 4,964,400	\$ 4,971,024
Reconciliation with Appropriated Amounts					
Use of Internal Funds	(175,313)	-	-		
Adjustment to Appropriated Level	-	-	(189,369) ***		
Reverted to State	138,162	1	-		
Appropriated Fee Replacement	\$ 5,172,474	\$ 5,048,022	\$ 3,727,359		

* Includes Education & Technology Building.

** Debt service on the Student Union Building Bonds of 1979.

*** Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

INDIANA UNIVERSITY SOUTHEAST
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Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 3,046,340	\$ 1,918,060	\$ -	\$ 4,964,400
2012-13	3,052,964	1,918,060	-	4,971,024
2013-14	3,053,931	1,918,060	-	4,971,991
2014-15	2,617,463	1,918,060	-	4,535,523
2015-16	2,619,059	1,918,060	-	4,537,119
2016-17	2,618,023	1,918,060	-	4,536,083
2017-18	2,953,744	1,918,060	-	4,871,804
2018-19	3,509,045	1,918,060	-	5,427,105
2019-20	2,532,390	1,918,060	-	4,450,450
2020-21	2,536,203	1,918,060	-	4,454,263
2021-22	1,815,625	1,918,060	-	3,733,685
2022-23	1,814,250	1,918,060	-	3,732,310
2023-24	-	1,918,060	-	1,918,060
2024-25	-	1,918,060	-	1,918,060
2025-26	-	1,918,060	-	1,918,060
2026-27	-	1,918,060	-	1,918,060
2027-28	-	1,918,060	-	1,918,060
2028-29	-	1,918,060	-	1,918,060
2029-30	-	1,918,060	-	1,918,060
2030-31	-	1,918,060	-	1,918,060

INDIANA UNIVERSITY SOUTHEAST
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Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 28,680,141	\$ (1,055,011)	\$ 27,625,130	\$ 705,726	\$ -	\$ 28,330,856
State Appropriation - Operating	20,848,802	-	20,848,802			20,848,802
State Appropriation - Debt Service		5,048,021	5,048,021			5,048,021
State Appropriation - Special		-	-			-
Indirect Cost Recovery		18,378	18,378			18,378
Sales and Service		179,441	179,441	388,772	3,958,586	4,526,799
Investment, Endowment, and Other Income		1,058,888	1,058,888	5,851,632	137,625	7,048,145
Sponsored Research		-	-	950,000		950,000
Other Revenue		55,458	55,458	17,963		73,421
Total Revenue	\$ 49,528,943	\$ 5,305,175	\$ 54,834,118	\$ 7,914,093	\$ 4,096,211	\$ 66,844,422
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 26,582,448			
Retirement - PERF Program			564,952			
Retirement - TIAA Program			3,009,404			
Social Security			1,881,940			
Life Insurance			48,601			
Health/Medical Insurance			3,720,329			
Other Personal Services			112,678			
Total Personal Services			\$ 35,920,352	\$ 1,342,665	\$ 1,014,801	\$ 38,277,818
SUPPLIES & EXPENSES						
General S&E			\$ 15,836,546			
Energy			1,075,000			
Utilities			211,000			
Property & Liability Insurance			140,000			
Other Supplies and Expenses			862,641			
Total Supplies and Expenses			\$ 18,125,187	\$ 778,027	\$ 3,081,410	\$ 21,984,624
STUDENT ASSISTANCE						
			\$ 788,579	\$ 5,793,401	\$ -	\$ 6,581,980
OTHER						
Total Expenditures	\$ 49,528,943	\$ 5,305,175	\$ 54,834,118	\$ 7,914,093	\$ 4,096,211	\$ 66,844,422

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Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 33,012,095	\$ (946,428)	\$ 32,065,667	\$ 796,310	\$ -	\$ 32,861,977
State Appropriation - Operating	19,846,717	-	19,846,717			19,846,717
State Appropriation - Debt Service		3,916,728	3,916,728			3,916,728
State Appropriation - Special		-	-			-
Indirect Cost Recovery		-	-			-
Sales and Service		130,546	130,546	415,764	3,826,715	4,373,025
Investment, Endowment, and Other Income		348,521	348,521	5,827,550	173,739	6,349,810
Sponsored Research		-	-	1,000,000		1,000,000
Other Revenue		56,642	56,642	18,304		74,946
Total Revenue	\$ 52,858,812	\$ 3,506,009	\$ 56,364,821	\$ 8,057,928	\$ 4,000,454	\$ 68,423,203
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 27,464,151			
Retirement - PERF Program			467,569			
Retirement - TIAA Program			2,954,587			
Social Security			1,957,238			
Life Insurance			54,453			
Health/Medical Insurance			4,398,969			
Other Personal Services			65,359			
Total Personal Services			\$ 37,362,326	\$ 1,215,233	\$ 962,562	\$ 39,540,121
SUPPLIES & EXPENSES						
General S&E			\$ 15,579,764			
Energy			1,248,000			
Utilities			222,000			
Property & Liability Insurance			153,506			
Other Supplies and Expenses			946,646			
Total Supplies and Expenses			\$ 18,149,916	\$ 1,047,694	\$ 3,037,892	\$ 22,235,502
STUDENT ASSISTANCE						
			\$ 852,579	\$ 5,795,001	\$ -	\$ 6,647,580
OTHER						
Total Expenditures	\$ 52,858,812	\$ 3,506,009	\$ 56,364,821	\$ 8,057,928	\$ 4,000,454	\$ 68,423,203

INDIANA UNIVERSITY SOUTHEAST
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Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	5,150	5,331	5,468	5,547	5,539	5,539
2. Non-Resident	66	91	104	97	99	99
3. Reciprocity Non-Resident	1,703	1,828	2,075	2,082	2,196	2,196
B. Graduate/Professional						
1. Indiana Resident	814	815	707	742	751	751
2. Non-Resident	7	20	16	8	11	11
3. Reciprocity Non-Resident	638	711	742	676	694	694
TOTAL	8,378	8,796	9,112	9,152	9,290	9,290
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	3,078	3,204	3,400	3,449	3,444	3,444
2. Non-Resident	36	47	60	56	57	57
3. Reciprocity Non-Resident	966	1,036	1,255	1,259	1,328	1,328
B. Graduate/Professional						
1. Indiana Resident	259	268	239	251	254	254
2. Non-Resident	2	7	6	3	4	4
3. Reciprocity Non-Resident	243	276	292	266	273	273
TOTAL	4,584	4,838	5,252	5,284	5,360	5,360
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	76	96	191	193	196	196
B. Graduate/Professional Resident and Reciprocity	2	20	69	67	68	68
C. Undergraduate Nonresident	-	1	3	3	3	3
D. Graduate/Professional Nonresident	-	-	1	1	1	1
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	20	16	11			

INDIANA UNIVERSITY SOUTHEAST
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	2,534	2,662	2,827	2,868	2,864	2,864
2. Non-Resident	29	34	48	45	46	46
3. Reciprocity Non-Resident	777	838	1,013	1,016	1,072	1,072
B. Graduate/Professional						
1. Indiana Resident	259	272	243	255	258	258
2. Non-Resident	2	7	6	3	4	4
3. Reciprocity Non-Resident	236	272	287	261	268	268
TOTAL	3,837	4,085	4,424	4,448	4,512	4,512
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	52	89	145	147	149	149
B. Graduate/Professional Resident and Reciprocity	1	19	66	64	65	65
C. Undergraduate Nonresident	-	1	2	2	2	2
D. Graduate/Professional Nonresident	-	-	-	-	-	-
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	20	16	15			

Indiana University-Purdue University
Indianapolis

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS 2011-13 OPERATING APPROPRIATION REQUEST

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IUPUI

The requested change in operating and fee replacement appropriations for the Indianapolis campus, general academic, in the 2011-13 biennium is \$10.1 million. The components of this change are described in the following sections and include research support, successfully completed credit hours, dual credit hours completed, change in degrees, on-time degree, low-income degree completion, and fee replacement. In accord with the instructions of the Indiana Commission for Higher Education and State Budget Agency, the request does not include any funding for ongoing operations; it is anticipated that the General Assembly will provide adequate increases for that purpose in consideration of the recommendation of the Indiana Commission for Higher Education on fee rates.

The institutional narrative discusses goals and accomplishments of Indiana University. These are shared across all campuses. In addition, specific campus achievements are highlighted throughout that section.

Components of requested increases in campus operating appropriations

With the backdrop of the state's instruction not to consider operating costs in the request, Indiana University's request focuses on the base adjustments that address changes in activity levels. The various adjustments address progress toward state goals for the outcomes of higher education.

- Research support provides state funding as a match for institutional expenditures to support research funding. The funding is calculated at 50% of the increase in actual federal, industry, and competitively procured private foundation expenditures from FY 2005 to FY 2008, the last base period funded, compared to projected increases from FY 2009 to FY 2012.

The table below displays the change in expenditures and funding impact:

	<u>Change in Expenditures</u>	<u>Funding Change</u>
IUPUI Health Division	\$ 17,018,408	\$ 8,509,204
IUPUI General Academic	\$ 3,171,138	\$ 1,585,569

- Successfully completed credit hours and dual credit hours completed provide funding adjustment for growth in the numbers of credit hours completed by Hoosier students with non-failing grades. These measures replace the former funding model that calculated adjustments on the basis of hours attempted. The funding adjustment is calculated at \$4,675 for each resident student full-time equivalent change between the four-year average of 2006-07 through 2009-10 and the four-year average of 2008-09 through 2011-12.

The table below displays the change in FTE and funding impact:

	<u>Change in FTE</u>	<u>Funding Change</u>
IUPUI Health Division	138	\$ 645,150
IUPUI General Academic	734	\$ 3,431,450
IUPUI General Academic dual credit	6	\$ 28,050

- Change in degrees and low-income degree completion acknowledge increases in the absolute numbers of degrees awarded. Funding is calculated by comparing the average number of degrees awarded in 2008 and 2009 compared to the average degrees awarded in 2004 and 2005 for the changing in degrees and 2006 and 2007 for the low-income degree completion. The increase is multiplied by \$5,000 for bachelor degrees. Pell grant eligibility is used to identify the students who are "low-income." (Only baccalaureate degrees are considered for IU campuses.)

The table below displays the change in FTE and funding impact:

	<u>Change in Degrees</u>	<u>Funding Change</u>
IUPUI General Academic	355	\$ 1,775,000
IUPUI General Academic low-income	91.5	\$ 457,500

- On-time degree recognizes improvement in the four-year graduation rates of entering freshman cohorts. Funding is calculated by comparing the four-year graduation rates of the class entering fall 2005 with those of the class entering fall 2003. The percentage point change is multiplied by the cohort of 2006 full-time degree-seeking students and then by the incentive of \$5,000 for four year degrees. (For IU, only baccalaureate degrees are considered.)

	<u>Change in Cohort Graduation Rate</u>	<u>Funding Change</u>
IUPUI General Academic	1.0%	\$ 107,989

Fee replacement appropriation

The total amount requested for fee replacement appropriation for bonded debt in 2012-13 is \$53.8 million. This amount includes \$8.6 million in financing for the following authorized projects: Tamarack Hall at IU Northwest, Neurosciences Research Building at IUPUI, Education & Technology Building at IU Southeast, and land acquisition at IU South Bend.

	<u>2011-12 Change</u>	<u>2012-13 Change</u>
IUPUI Health Division component	\$ -532,398	\$ 133,783
IUPUI General Academic component	<u>2,177,749</u>	<u>577,162</u>
	\$ 1,645,351	\$ 710,945

Quality improvement request

Mindful of the state's fiscal condition and in compliance with the instructions from the Indiana Commission for Higher Education and State Budget Agency, IU does not request any additional funding for new initiatives or other quality improvements for the 2011-13 biennium.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget	2011-12 Request			2012-13 Request			Total Biennium
	Total \$	Change \$	%	Total \$	Change \$	%	Total \$	\$
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 645,150		\$ 645,150	\$ -	0.0%	\$ 645,150	\$ 1,290,300
B. Dual Credit Hours	-	-		-	-		-	-
C. Change in Degrees	-	-		-	-		-	-
D. On-Time Degrees	-	-		-	-		-	-
E. Low Income Degree Completion	-	-		-	-		-	-
F. Research Incentive	-	8,509,204		8,509,204	-	0.0%	8,509,204	17,018,408
Subtotal	\$ -	\$ 9,154,354		\$ 9,154,354	\$ -	0.0%	\$ 9,154,354	\$ 18,308,708
II. Price Inflation								
A. Personnel Services	\$ 168,612,816							
B. Supplies and Expense	21,273,665							
C. Student Assistance	5,718,586							
Subtotal	\$ 195,605,067							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 195,605,067							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 81,429,631							
2. (less) Academic Facilities Fees	(4,160,100)							
3. (less) Other Dedicated/Restricted Fees	-							
Subtotal (= Net Unrestricted Fees)	\$ 77,269,531							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 77,269,531							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 114,175,436	\$ 9,154,354	8.0%	\$ 123,329,790	\$ -	0.0%	\$ 123,329,790	\$ 246,659,580
B. Fee Replacement	4,160,100	(532,398)	-12.8%	3,627,702	133,783	3.7%	3,761,485	7,389,187
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 118,335,536	\$ 8,621,956	7.3%	\$ 126,957,492	\$ 133,783	0.1%	\$ 127,091,275	\$ 254,048,767
Total Income Budget	\$ 195,605,067							

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Summary I: Summary of 2011-13 Operating Request

	2010-11 Budget	2011-12 Request			2012-13 Request			Total Biennium
	Total \$	Change \$	%	Total \$	Change \$	%	Total \$	\$
Expenditure Budget Changes								
I. Base Adjustments								
A. Successfully Completed Credit Hours	\$ -	\$ 3,431,450		\$ 3,431,450	\$ -	0.0%	\$ 3,431,450	\$ 6,862,900
B. Dual Credit Hours	-	28,050		28,050	-	0.0%	28,050	56,100
C. Change in Degrees	-	1,775,000		1,775,000	-	0.0%	1,775,000	3,550,000
D. On-Time Degrees	-	107,989		107,989	-	0.0%	107,989	215,978
E. Low Income Degree Completion	-	457,500		457,500	-	0.0%	457,500	915,000
F. Research Incentive	-	1,585,569		1,585,569	-	0.0%	1,585,569	3,171,138
Subtotal	\$ -	\$ 7,385,558		\$ 7,385,558	\$ -	0.0%	\$ 7,385,558	\$ 14,771,116
II. Price Inflation								
A. Personnel Services	\$ 181,825,845							
B. Supplies and Expense	76,964,753							
C. Student Assistance	19,805,839							
Subtotal	\$ 278,596,437							
III. Quality Improvements								
A. None								
Subtotal								
Total Expenditure Budget	\$ 278,596,437							
Income Budget								
I. Student Fees								
A. Rate Change								
1. Gross Fee Base	\$ 203,605,883							
2. (less) Academic Facilities Fees	(13,472,705)							
3. (less) Other Dedicated/Restricted Fees	(5,242,072)							
Subtotal (= Net Unrestricted Fees)	\$ 184,891,106							
B. Reallocation (Inter-Campus)								
Subtotal	\$ 184,891,106							
II. Federal Funds	\$ -							
III. State Appropriations								
A. Operating Expense	\$ 80,232,626	\$ 7,385,558	9.2%	\$ 87,618,184	\$ -	0.0%	\$ 87,618,184	\$ 175,236,368
B. Fee Replacement	13,472,705	2,177,749	16.2%	15,650,454	577,162	3.7%	16,227,616	31,878,070
C. Technology Funds per HEA 1196	-	-		-	-		-	-
D. Reallocation (Inter-Campus)	-	-		-	-		-	-
SUBTOTAL	\$ 93,705,331	\$ 9,563,307	10.2%	\$ 103,268,638	\$ 577,162	0.6%	\$ 103,845,800	\$ 207,114,438
Total Income Budget	\$ 278,596,437							

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 118,189,751						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	16,455,328						
ii. PERF & Other	1,870,878						
b. Social Security	9,453,614						
c. Life Insurance	286,973						
d. Health Insurance	22,356,272						
TOTAL PERSONNEL SERVICES	\$ 168,612,816						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 21,273,665						
2. Energy:							
a. Electricity	-						
b. Coal	-						
c. Oil	-						
d. Natural Gas	-						
e. LP Gas	-						
f. Steam	-						
g. Energy Management	-						
h. Chilled Water	-						
SUBTOTAL	\$ -						
3. Utilities:							
a. Sewer	-						
b. Water	-						
SUBTOTAL	\$ -						
4. Fire & Casualty Insurance	-						
TOTAL SUPPLIES & EXPENSES	\$ 21,273,665						
C. STUDENT ASSISTANCE	\$ 5,718,586						
GRAND TOTAL	\$ 195,605,067						

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures I: Price Inflation Increase Summary

	2010-11 Base-Year Amount	2011-12			2012-13		
		Projected Change	Pct Chg	Total Budget	Projected Change	Pct Chg	Total Budget
A. PERSONNEL SERVICES							
1. Salaries & Wages	\$ 133,310,540						
Staff Benefits:							
a. Retirement Program							
i. TIAA-CREF	14,772,259						
ii. PERF & Other	2,230,644						
b. Social Security	9,034,040						
c. Life Insurance	283,633						
d. Health Insurance	22,194,729						
TOTAL PERSONNEL SERVICES	\$ 181,825,845						
B. SUPPLIES & EXPENSES							
1. General S&E	\$ 46,610,921						
2. Energy:							
a. Electricity	7,453,581						
b. Coal	-						
c. Oil	30,000						
d. Natural Gas	533,550						
e. LP Gas	-						
f. Steam	8,612,681						
g. Energy Management	8,000						
h. Chilled Water	9,500,000						
SUBTOTAL	\$ 26,137,812						
3. Utilities:							
a. Sewer	822,557						
b. Water	621,335						
SUBTOTAL	\$ 1,443,892						
4. Fire & Casualty Insurance	2,772,128						
TOTAL SUPPLIES & EXPENSES	\$ 76,964,753						
C. STUDENT ASSISTANCE	\$ 19,805,839						
GRAND TOTAL	\$ 278,596,437						

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions										
Subtotal		\$		\$		\$				
2. <u>Other Undergraduate</u>										
a. Resident		\$		\$		\$				
(1) Need-based	12	50,289	39	91,147	33	81,125				
(2) Other criteria										
b. Non-Resident										
(1) Need-based	3	2,603	4	3,234	3	2,878				
(2) Other criteria										
Subtotal	15	\$ 52,892	43	\$ 94,381	36	\$ 84,003				
3. <u>Other Graduate</u>										
a. Resident	118	\$ 356,943	145	\$ 667,850	124	\$ 594,420				
b. Non-Resident	83	890,825	87	1,551,563	76	1,380,969				
Subtotal	201	\$ 1,247,768	232	\$ 2,219,413	200	\$ 1,975,389				
Total Remitted Fees	216	\$ 1,300,660	275	\$ 2,313,794	236	\$ 2,059,392				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	150	\$ 237,489	177	\$ 377,348	150	\$ 335,859				
(2) Other criteria	389	697,899	358	885,586	303	788,216				
b. Non-Resident										
(1) Need-based	1	3,471	1	2,506	1	2,230				
(2) Other criteria	12	46,007	18	87,201	15	77,613				
Subtotal	552	\$ 984,866	554	\$ 1,352,641	469	\$ 1,203,918				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	165	\$ 1,292,287	199	\$ 1,842,686	170	\$ 1,640,086				
b. Non-Resident	50	354,486	71	898,180	62	799,426				
Subtotal	215	\$ 1,646,773	270	\$ 2,740,866	232	\$ 2,439,512				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident	57	\$ 108,019	3	\$ 6,082	3	\$ 5,413				
b. Non-Resident	13	34,787	3	11,630	3	10,351				
Subtotal	70	\$ 142,806	6	\$ 17,712	6	\$ 15,764				
Total Student Aid	1,053	\$ 4,075,105	1,105	\$ 6,425,013	943	\$ 5,718,586				
RECAP										
Resident Student Aid	891	\$ 2,742,926	921	\$ 3,870,699	783	\$ 3,445,119				
Non-Resident Student Aid	162	1,332,179	184	2,554,314	160	2,273,467				
TOTAL STUDENT AID	1,053	\$ 4,075,105	1,105	\$ 6,425,013	943	\$ 5,718,586				

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST
Expenditures VIII: Student Assistance Budget Analysis

	2008-09 Actual		2009-10 Expenditures		2010-11 Projected		2011-12 Projected		2012-13 Projected	
	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount	Awards	Amount
A. Fee Remissions										
1. <u>Statutory</u>										
a. County Scholarships		\$		\$		\$				
b. Other Statutory Remissions	2	8,622	2	15,833	2	15,289				
Subtotal	2	8,622	2	15,833	2	15,289				
2. <u>Other Undergraduate</u>										
a. Resident										
(1) Need-based	8	55,222	8	48,275	7	46,615				
(2) Other criteria	256	1,155,689	770	1,209,082	708	1,167,510				
b. Non-Resident										
(1) Need-based										
(2) Other criteria	80	355,682	70	414,856	62	400,592				
Subtotal	344	1,566,593	848	1,672,213	777	1,614,717				
3. <u>Other Graduate</u>										
a. Resident	619	703,833	622	1,721,328	556	1,662,144				
b. Non-Resident	1,000	4,141,368	931	6,207,909	840	5,994,462				
Subtotal	1,619	4,845,201	1,553	7,929,237	1,396	7,656,606				
Total Remitted Fees	1,965	6,420,416	2,403	9,617,283	2,175	9,286,612				
B. <u>Undergraduate Scholarships, Awards and Other Grants</u>										
a. Resident										
(1) Need-based	728	1,314,871	777	1,494,702	715	1,443,310				
(2) Other criteria	2,100	5,456,051	1,940	5,325,646	1,784	5,142,534				
b. Non-Resident										
(1) Need-based	50	169,024	38	124,280	34	120,007				
(2) Other criteria	136	744,659	197	941,045	175	908,689				
Subtotal	3,014	7,684,605	2,952	7,885,673	2,708	7,614,540				
C. <u>Graduate Fellowships, Awards and Other Grants</u>										
a. Resident	292	1,301,904	203	1,351,728	182	1,305,252				
b. Non-Resident	291	1,402,561	191	1,656,387	172	1,599,435				
Subtotal	583	2,704,465	394	3,008,115	354	2,904,687				
D. <u>Loan Programs (Matching Funds)</u>										
a. Resident		\$		\$		\$				
b. Non-Resident										
Subtotal		\$		\$		\$				
Total Student Aid	5,562	16,809,486	5,749	20,511,071	5,237	19,805,839				
RECAP										
Resident Student Aid	4,003	9,987,570	4,320	11,150,761	3,952	10,767,365				
Non-Resident Student Aid	1,559	6,821,916	1,429	9,360,310	1,285	9,038,474				
TOTAL STUDENT AID	5,562	\$ 16,809,486	5,749	\$ 20,511,071	5,237	\$ 19,805,839				

Rules Determining Resident and Nonresident Student Status for Indiana University Fee Purposes*

These Rules establish the policy under which students shall be classified as residents or nonresidents upon all campuses of Indiana University for University fee purposes. Nonresident students shall pay a nonresident fee in addition to fees paid by a resident student.

These Rules shall take effect February 1, 1974; provided, that no person properly classified as a resident student before February 1, 1974, shall be adversely affected by this Rule, if he or she attended the university before that date and while he or she remains continuously enrolled in the university.

1. "Residence" as the term, or any of its variations (e.g., "resided"), as used in the context of these Rules, means the place where an individual has his or her permanent home, at which he or she remains when not called elsewhere for labor, studies, or other special or temporary purposes, and to which he or she returns in seasons of repose. It is the place a person has voluntarily fixed as a permanent habitation for himself or herself with an intent to remain in such place for an indefinite period. A person at any one time has but one residence, and a residence cannot be lost until another is gained.
 - a. A person entering the state from another state or country does not at that time acquire residence for the purpose of these Rules, but except as provided in Rule 2(c)¹, such person must be a resident for 12 months in order to qualify as a resident student for fee purposes.
 - b. Physical presence in Indiana *for the predominant purpose* of attending a college, university, or other institution of higher education, shall not be counted in determining the 12-month period of residence; nor shall absence from Indiana for such purpose deprive a person of resident student status.
2. A person shall be classified as a "resident student" if he or she has continuously resided in Indiana for at least 12 consecutive months immediately preceding the first scheduled day of classes of the semester or other session in which the individual registers in the University, subject to the exception in (c)¹ below.
 - a. The residence of an unemancipated person under 21 years of age follows that of the parents or of a legal guardian who has actual custody of such person or administers the property of such person. In the case of divorce or separation, if either parent meets the residence requirements, such person will be considered a resident.²
 - b. If such person comes from another state or country for the predominant purpose of attending the University, he or she shall not be admitted to resident student status upon the basis of the residence of a guardian in fact, except upon appeal to the Standing Committee on Residence in each case.¹
 - c. Such person may be classified as a resident student without meeting the 12-month residence requirement within Indiana if his or her presence in Indiana results from the establishment by his or her parents of their residence within the state and if he or she proves that the move was predominantly for reasons other than to enable such person to become entitled to the status of "resident student."¹
 - d. When it shall appear that the parents of a person properly classified as a "resident student" under subparagraph (c) above have removed their residence from Indiana, such person shall then be reclassified to the status of nonresident; provided, that no such reclassification shall be effective until the beginning of a semester next following such removal.
 - e. A person once properly classified as a resident student shall be deemed to remain a resident student so long as remaining continuously enrolled in the university until such person's degree shall have been earned, subject to the provisions of subparagraph (d) above.³
3. The foreign citizenship of a person shall not be a factor in determining resident student status if such person has legal capacity to remain permanently in the United States.³
4. A person classified as a nonresident student may show that he or she is exempt from paying the nonresident fee by clear and convincing evidence that he or she has been a resident (see Rule 1 above) of Indiana for the 12 months prior to the first scheduled day of classes of the semester in which his or her fee status is to be changed. Such a student will be allowed to present his or her evidence only after the expiration of 12 months from the residence qualifying date, i.e., the date upon which the student commenced the 12-month period for residence. The following factors will be considered relevant in evaluating a requested change in a student's nonresident status and in evaluating whether his or her physical presence in Indiana is for the predominant purpose of attending a college, university, or other institution of higher education. The existence of one or more of these factors will not require a finding of resident student status, nor shall the non-existence of one or more require a finding of nonresident student status. All factors will be considered in combination, and ordinarily resident student status will not result from the doing of acts which are required or routinely done by sojourners in the state or which are merely auxiliary to the fulfillment of educational purposes.
 - a. The residence of a student's parents or guardians.

- b. The status of the source of the student's income.
 - c. To whom a student pays his or her taxes, including property taxes.
 - d. The state in which a student's automobile is registered.
 - e. The state issuing the student's driver's license.
 - f. Where the student is registered to vote.
 - g. The marriage of the student to a resident of Indiana.
 - h. Ownership of property in Indiana and outside of Indiana.
 - i. The residence claimed by the student on loan applications, federal income tax returns, and other documents.
 - j. The place of the student's summer employment, attendance at summer school, or vacation.
 - k. The student's future plans including committed place of future employment or future studies.
 - l. Admission to a licensed profession in Indiana.
 - m. Membership in civic, community, and other organizations in Indiana or elsewhere.
 - n. All present and intended future connections or contacts outside of Indiana.
 - o. The facts and documents pertaining to the person's past and existing status as a student.
 - p. Parents' tax returns and other information, particularly when emancipation is claimed.
5. The fact that a person pays taxes and votes in the state does not in itself establish residence, but will be considered as hereinbefore set forth.
 6. The registrar or the person fulfilling those duties on each campus shall classify each student as resident or nonresident and may require proof of all relevant facts. The burden of proof is upon the student making a claim to a resident student status.
 7. A Standing Committee on Residence shall be appointed by the president of the university and shall include two students from among such as may be nominated by the student body presidents of one or more of the campuses of the university. If fewer than four are nominated, the president may appoint from among students not nominated.
 8. A student who is not satisfied by the determination of the registrar has the right to lodge a written appeal with the Standing Committee on Residence within 30 days of receipt of written notice of the registrar's determination, which committee shall review the appeal in a fair manner and shall afford to the student a personal hearing upon written request. A student may be represented by counsel at such hearing. The committee shall report its determination to the student in writing. If no appeal is taken within the time provided herein, the decision of the registrar shall be final and binding.
 9. The Standing Committee on Residence is authorized to classify a student as a resident student, though not meeting the specific requirements herein set forth, if such student's situation presents unusual circumstances and the individual classification is within the general scope of these Rules. The decision of the committee shall be final and shall be deemed equivalent to a decision of the Trustees of Indiana University.
 10. A student or prospective student who shall knowingly provide false information or shall refuse to provide or shall conceal information for the purpose of improperly achieving resident student status shall be subject to the full range of penalties, including expulsion, provided for by the university, as well as to such other punishment which may be provided for by law.
 11. A student who does not pay additional monies which may be due because of his or her classification as a nonresident student within 30 days after demand, shall thereupon be indefinitely suspended.
 12. A student or prospective student who fails to request resident student status within a particular semester or session and to pursue a timely appeal (see rule 8) to the Standing Committee on Residence shall be deemed to have waived any alleged overpayment of fees for that semester or session.
 13. If any provision of these rules or the application thereof to any person or circumstance is held invalid, the invalidity does not affect other provisions or applications of these rules which can be given effect without the invalid provision or application, and to this end the provisions of these rules are severable.

¹ Rules 2(b) and 2(c) apply only to unemancipated persons under 21 years of age.

² Invocation of the provision in Rule 2(a) that applies to cases of divorce or separation requires appropriate legal documentation.

³ NOTE: Effective Fall 2007, students with immigration statuses which permit the establishment of a domicile in the United States may be eligible to pay resident fees. Current eligible classifications are: A-1, A-2, A-3, E-1, E-2, E-3, G-1, G-2, G-3, G-4, H-1B, H-4, I, L-1, L-2, O-1, O-3, V-1, V-2, and V-3. Continuing eligibility to remain classified as a resident student for fee-paying purpose depends upon the continued maintenance of eligible immigration status. Contact the registrar's office for more information.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		2,108	2,177	2,190	2,067	
2. Graduate/Professional		3,048	2,738	2,983	2,976	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	4,934	5,156	4,915	5,173	5,043	5,072
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	5,072					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	4,934					
3. Change (= D-1 minus D-2)	138					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	645,150					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	-	-	-	-	-	-
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	-					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	-					
3. Change (= D-1 minus D-2)	-					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	-					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
- (2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
- (3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
- (4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-A: Expenditure Adjustment for Prior and Anticipated Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate		12,707	13,194	13,132	13,140	
2. Graduate/Professional		3,372	3,186	3,317	3,334	
B. Reciprocity Non-Resident FTE Enrollment (Note 1)						
1. Undergraduate		-	-	-	-	
2. Graduate/Professional		-	-	-	-	
C. Total Eligible FTE Enrollment (A+B)	15,612	16,079	16,380	16,449	16,474	16,346
D. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	16,346					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	15,612					
3. Change (= D-1 minus D-2)	734					
4. Adjustment amount per FTE	\$ 4,675					
5. Adjustment = (3) * (4)	3,431,450					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE, and excluding dual credit SCCH.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The 2007-10 four-year average is the amount to which the previous biennial budget was adjusted minus the dual credit base in Expenditures X-B, as follows: IUE 1,317; IUK 1,600; IUN 2,895; IUSB 3,973; IUSE 3,847; IPH 4,934; and IPGA 15,612.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures X-B: Expenditure Adjustment for Prior and Anticipated Dual Credit Only Successfully Completed Credit Hours

	4-Year Average 2007-10	Actual 2008-09	Estimated 2009-10	Budgeted 2010-11	Projected 2011-12	4-Year Average 2009-12
A. Indiana Resident FTE Enrollment (Note 1)						
1. Undergraduate	43	39	52	52	52	49
 B. FTE Enrollment Change						
1. Line C: 2009-12 Four-Year Average FTE (Note 2)	49					
2. Line C: 2007-10 Four-Year Average FTE (Note 3)	43					
3. Change (= D-1 minus D-2)	6					
4. Adjustment amount per FTE (Note 4)	\$ 4,675					
5. Adjustment = (3) * (4)	28,050					

Notes:

- (1) Successfully completed credit hours as for dual credit students only as defined by CHE.
(2) The 2009-12 four-year average is the simple arithmetic average of the totals for 2008-09, 2009-10, 2010-11, and 2011-12.
(3) The figure on Line B2 is an estimate, and is subtracted from the 2007-10 four-year average in Expenditures X-A.
(4) The multiplier on Line B4 is subject to change pending a recommendation for the Dual Credit Advisory Council.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures XIII: Change in Degrees

	Baccalaureate Degrees Conferred (Resident, unduplicated)	Average Degrees Conferred 2003-04 to 2004-05 and 2007-08 to 2008-09	Change in Average Degrees Conferred	Funding: \$5,000 per Incremental Degree
2003-04	2,388			
2004-05	2,529	2,458.5		
2007-08	2,706			
2008-09	2,921	2,813.5	355.0	\$ 1,775,000

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures XIV: On-Time Degrees

	<u>Headcount (Resident, first-time, full-time, degree seeking)</u>	<u>Baccalaureate Degrees Conferred for Cohort in 4 Years</u>	<u>Cohort Grad Rate</u>	<u>Two-Year Change in Cohort Grad Rate</u>	<u>Funding: \$5,000 per Incremental Graduate</u>
2003-04	2,029	173	8.5%		
2004-05*	1,992	185	9.3%		
2005-06	2,151	205	9.5%	1.0%	\$ 107,989

* 2002-03 is displayed only to complete the time series.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Expenditures XVI: Low Income Degree Completion

	Baccalaureate Degrees Conferred to Pell Recipients <u>(Resident, unduplicated)</u>	Average Degrees Conferred 2005-06 to 2006-07 and 2007-08 <u>to 2008-09</u>	Change in Average Degrees <u>Conferred</u>	Funding: \$5,000 per Incremental <u>Degree</u>
2005-06	574			
2006-07	552	563.0		
2007-08	614			
2008-09	695	654.5	91.5	\$ 457,500

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 7,690,035		\$ 8,100,153		\$ 8,666,513					
b. Graduate	5,875,725		6,022,734		8,034,898					
c. Professional	36,409,411		38,670,347		40,131,272					
2. Nonresident										
a. Undergraduate	516,449		377,919		313,390					
b. Graduate	6,099,318		6,017,357		3,317,425					
c. Professional	13,168,535		14,048,672		17,974,642					
SUBTOTAL	\$ 69,759,473		\$ 73,237,182		\$ 78,438,140					
B. Other Fees										
1. Continuing Education										
2. Special Course Fees	\$ 2,837,212		\$ 2,943,204		\$ 2,221,423					
3. Incidental Student Fees	1,183,747		512,460		749,468					
4. Other Credit Fee Income	17,800		30,312		20,600					
SUBTOTAL	\$ 4,038,759		\$ 3,485,976		\$ 2,991,491					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 73,798,232		\$ 76,723,158		\$ 81,429,631					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 5,173,824		\$ 4,189,020		\$ 4,160,100		\$ 3,627,702		\$ 3,761,485	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 5,173,824		\$ 4,189,020		\$ 4,160,100					
F. Net Unrestricted Fees	\$ 68,624,408		\$ 72,534,138		\$ 77,269,531					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation										
c. Student Government										
d. Other										
2. Performance Facilities										
a. Campus Center										
SUBTOTAL										
Gross Fee Base	\$ 73,798,232		\$ 76,723,158		\$ 81,429,631					

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Income I: Gross Student Fee Revenue Analysis

	Actual 2008-09		Actual 2009-10		Budgeted 2010-11		Projected 2011-12		Projected 2012-13	
	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary	Unrestricted	Restricted/ Auxiliary
A. Instructional & Academic Facilities Fee Revenue										
1. Resident										
a. Undergraduate	\$ 99,299,512		\$ 110,801,158		\$ 115,516,782					
b. Graduate	18,778,304		20,756,717		21,283,210					
c. Professional	10,548,316		12,522,644		13,194,246					
2. Nonresident										
a. Undergraduate	14,811,408		17,859,804		16,500,006					
b. Graduate	24,369,815		11,549,092		6,818,329					
c. Professional	6,151,320		6,218,801		7,604,797					
SUBTOTAL	\$ 173,958,675		\$ 179,708,216		\$ 180,917,371					
B. Other Fees										
1. Continuing Education	\$ 2,264									
2. Special Course Fees	6,814,632		8,199,230		7,609,192					
3. Incidental Student Fees	9,307,416		9,199,860		9,426,023					
4. Other Credit Fee Income	999,525		477,781		411,225					
SUBTOTAL	\$ 17,123,837		\$ 17,876,871		\$ 17,446,440					
C. Fee Rate Adjustment										
D. Gross Unrestricted Fees	\$ 191,082,512		\$ 197,585,087		\$ 198,363,811					
E. Academic Facilities Fees Contained in (A)										
1. Debt Service	\$ 19,942,785		\$ 20,004,544		\$ 13,472,705		\$ 15,650,454		\$ 16,227,616	
2. Coverage or Reserve Requirements										
SUBTOTAL	\$ 19,942,785		\$ 20,004,544		\$ 13,472,705					
F. Net Unrestricted Fees	\$ 171,139,727		\$ 177,580,543		\$ 184,891,106					
G. Other Dedicated Fee Revenue										
1. Student Activities										
a. Athletics										
b. Recreation		\$ 65,000		\$ 84,923		\$ 90,000				
c. Student Government		189,000		189,000		189,000				
d. Other		2,768,993		2,724,372		2,620,008				
2. Performance Facilities										
a. Campus Center		2,339,918		2,339,664		2,343,064				
SUBTOTAL		\$ 5,362,911		\$ 5,337,959		\$ 5,242,072				
Gross Fee Base	\$ 191,082,512	\$ 5,362,911	\$ 197,585,087	\$ 5,337,959	\$ 198,363,811	\$ 5,242,072				

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2011-13 OPERATING APPROPRIATION REQUEST

Income II: Debt Service on Capital Projects Eligible for Fee Replacement

	2008-09 Actual	2009-10 Actual	2010-11 Estimated	2011-12 Requested	2012-13 Requested
Acts of 1965					
Permanently Scheduled*	\$ 25,116,609	\$ 24,193,564	\$ 16,917,061	\$ 15,529,220	\$ 16,240,165
Interim Financing**	-	-	-	3,748,936	3,748,936
New Debt Service				-	-
Subtotal, Acts of 1965	\$ 25,116,609	\$ 24,193,564	\$ 16,917,061	\$ 19,278,156	\$ 19,989,101
Acts of 1927 or 1929					
Permanently Scheduled	\$ -	\$ -	\$ -	\$ -	\$ -
Interim Financing	-	-	-	-	-
Subtotal, Acts of 1927 or 1929	\$ -	\$ -	\$ -	\$ -	\$ -
 Total Debt Service	 \$ 25,116,609	 \$ 24,193,564	 \$ 16,917,061	 \$ 19,278,156	 \$ 19,989,101
 Reconciliation with Appropriated Amounts					
Reverted to State	1,304,324	-	715,744	***	
Appropriated Fee Replacement	\$ 26,420,933	\$ 24,193,564	\$ 17,632,805		

* Net of federal interest subsidies of \$99,396 in 2010-11, \$127,340 in 2011-12, and \$127,340 in 2012-13.

** Includes Neurosciences Research Building.

*** Overall for 2010-11, across all campuses, Indiana University is saving \$427,425 in appropriated fee replacement and is requesting \$1,700,469 from the appropriated pool.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2011-13 OPERATING APPROPRIATION REQUEST

Income II Supplement: Debt Service on Capital Projects Eligible for Fee Replacement

	Permanently Scheduled*	Interim Financing	New Debt Service	Total Debt Service
2011-12	\$ 15,529,220	\$ 3,748,936	\$ -	\$ 19,278,156
2012-13	16,240,165	3,748,936	-	19,989,101
2013-14	16,241,424	3,748,936	-	19,990,360
2014-15	16,729,569	3,748,936	-	20,478,505
2015-16	16,720,929	3,748,936	-	20,469,865
2016-17	16,726,140	3,748,936	-	20,475,076
2017-18	17,384,443	3,748,936	-	21,133,379
2018-19	14,847,680	3,748,936	-	18,596,616
2019-20	12,313,597	3,748,936	-	16,062,533
2020-21	12,314,477	3,748,936	-	16,063,413
2021-22	8,569,945	3,748,936	-	12,318,881
2022-23	8,567,531	3,748,936	-	12,316,467
2023-24	5,881,210	3,748,936	-	9,630,146
2024-25	5,877,410	3,748,936	-	9,626,346
2025-26	5,877,833	3,748,936	-	9,626,769
2026-27	5,881,434	3,748,936	-	9,630,370
2027-28	623,929	3,748,936	-	4,372,865
2028-29	620,995	3,748,936	-	4,369,931
2029-30	622,165	3,748,936	-	4,371,101
2030-31	-	3,748,936	-	3,748,936

* Net of federal interest subsidies.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2011-13 OPERATING APPROPRIATION REQUEST

Income II Supplement
Allocation of Debt Service on Projects Eligible for Fee Replacement

	Allocation Basis: Projected Credit Hours	2011-12 Allocation	2012-13 Allocation
Health	138,583	\$ 3,627,702	\$ 3,761,485
General Academic	597,868	15,650,454	16,227,616
Total	736,451	\$ 19,278,156	\$ 19,989,101

TOTAL INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2011-13 OPERATING APPROPRIATION REQUEST

Background I-A: Overview of Sources of Revenue and Expenditures by Category

2009-10 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget*	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 257,500,619	\$ (25,692)	\$ 257,474,927	\$ 4,576,676	\$ 2,341,828	\$ 264,393,431
State Appropriation - Operating	207,746,238	-	207,746,238			207,746,238
State Appropriation - Debt Service		24,193,564	24,193,564			24,193,564
State Appropriation - Special		-	-	4,324,252		4,324,252
Indirect Cost Recovery		39,895,299	39,895,299			39,895,299
Sales and Service		13,556,471	13,556,471	11,880,965	57,784,098	83,221,534
Investment, Endowment, and Other Income		15,876,181	15,876,181	39,815,764	75,248,043	130,939,988
Sponsored Research		-	-	268,000,000		268,000,000
Other Revenue		125,457,790	125,457,790	30,592,021	523,893	156,573,704
Total Revenue	\$ 465,246,857	\$ 218,953,613	\$ 684,200,470	\$ 359,189,678	\$ 135,897,862	\$ 1,179,288,010
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 253,988,763			
Retirement - PERF Program			5,046,538			
Retirement - TIAA Program			32,582,745			
Social Security			18,043,655			
Life Insurance			521,699			
Health/Medical Insurance			38,569,955			
Other Personal Services			113,510,961			
Total Personal Services			\$ 462,264,316	\$ 160,529,055	\$ 87,823,692	\$ 710,617,063
SUPPLIES & EXPENSES						
General S&E			\$ 142,442,915			
Energy			25,403,798			
Utilities			1,757,530			
Property & Liability Insurance			2,598,038			
Other Supplies and Expenses			24,305,611			
Total Supplies and Expenses			\$ 196,507,892	\$ 162,368,665	\$ 46,205,168	\$ 405,081,725
STUDENT ASSISTANCE						
			\$ 25,428,262	\$ 36,291,958	\$ 1,869,002	\$ 63,589,222
OTHER						
Total Expenditures	\$ 465,246,857	\$ 218,953,613	\$ 684,200,470	\$ 359,189,678	\$ 135,897,862	\$ 1,179,288,010

* General Fund Budget totals include the Medical Practice General Funds, including \$125,182,790 of other revenues, \$113,632,355 compensation, \$9,994,494 supplies and expenses, and \$1,550,941 student assistance.

TOTAL INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS
2011-13 OPERATING APPROPRIATION REQUEST

Background I-B: Overview of Sources of Revenue and Expenditures by Category

2010-11 Budgeted Data

	Legislative Budget	Reconciliation	General Fund Budget*	Designated & Restricted Funds	Auxiliary Enterprises	Total All Funds
REVENUE						
Student Tuition/Fees: Gross Fee Base	\$ 279,793,442	\$ (330,877)	\$ 279,462,565	\$ 4,678,633	\$ 2,341,828	\$ 286,483,026
State Appropriation - Operating	194,408,062	-	194,408,062			194,408,062
State Appropriation - Debt Service	-	16,917,063	16,917,063			16,917,063
State Appropriation - Special	-	-	-	3,039,441		3,039,441
Indirect Cost Recovery	-	46,422,377	46,422,377			46,422,377
Sales and Service	-	23,908,585	23,908,585	11,790,102	62,877,001	98,575,688
Investment, Endowment, and Other Income	-	1,239,153	1,239,153	37,669,877	71,796,038	110,705,068
Sponsored Research	-	-	-	280,000,000		280,000,000
Other Revenue	-	133,363,012	133,363,012	39,525,490	424,519	173,313,021
Total Revenue	\$ 474,201,504	\$ 221,519,313	\$ 695,720,817	\$ 376,703,543	\$ 137,439,386	\$ 1,209,863,746
EXPENDITURES						
PERSONAL SERVICES						
Salaries and Wages			\$ 257,841,082			
Retirement - PERF Program			4,101,522			
Retirement - TIAA Program			31,227,587			
Social Security			18,487,654			
Life Insurance			570,606			
Health/Medical Insurance			44,551,001			
Other Personal Services			122,023,447			
Total Personal Services			\$ 478,802,899	\$ 170,311,463	\$ 85,472,747	\$ 734,587,109
SUPPLIES & EXPENSES						
General S&E			\$ 131,188,171			
Energy			26,137,812			
Utilities			1,443,892			
Property & Liability Insurance			2,772,128			
Other Supplies and Expenses			24,295,096			
Total Supplies and Expenses			\$ 185,837,099	\$ 161,387,377	\$ 49,797,118	\$ 397,021,594
STUDENT ASSISTANCE						
			\$ 31,080,819	\$ 45,004,703	\$ 2,169,521	\$ 78,255,043
OTHER						
Total Expenditures	\$ 474,201,504	\$ 221,519,313	\$ 695,720,817	\$ 376,703,543	\$ 137,439,386	\$ 1,209,863,746

* General Fund Budget totals include the Medical Practice General Funds, including \$133,088,012 of other revenues, \$122,889,316 compensation, \$8,450,071 supplies and expenses, and \$1,748,625 student assistance.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	3,144	3,246	3,358	3,377	3,187	3,187
2. Non-Resident	134	135	116	151	140	140
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	2,378	2,384	2,424	2,641	2,635	2,635
2. Non-Resident	515	544	594	635	640	640
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	6,171	6,309	6,492	6,804	6,602	6,602
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	2,184	2,300	2,424	2,438	2,301	2,301
2. Non-Resident	94	100	83	108	100	100
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	3,048	3,120	3,209	3,496	3,488	3,488
2. Non-Resident	682	740	775	828	834	834
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	6,008	6,260	6,491	6,870	6,723	6,723
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	136	138	200	201	190	190
B. Graduate/Professional Resident and Reciprocity	166	151	219	239	238	238
C. Undergraduate Nonresident	33	38	31	40	37	37
D. Graduate/Professional Nonresident	11	8	95	101	102	102

MEMO ITEM: FTE generated in the above attributable to dual credit instruction

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INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	1,979	2,108	2,177	2,190	2,067	2,067
2. Non-Resident	87	94	76	99	92	92
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	2,968	3,048	2,738	2,983	2,976	2,976
2. Non-Resident	632	702	648	692	697	697
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	5,666	5,952	5,639	5,964	5,832	5,832
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	136	130	164	165	156	156
B. Graduate/Professional Resident and Reciprocity	153	152	155	169	169	169
C. Undergraduate Nonresident	33	37	28	36	33	33
D. Graduate/Professional Nonresident	11	15	56	60	60	60

MEMO ITEM: FTE generated in the above attributable to dual credit instruction

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INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-A: Student Enrollment (Credit Instruction) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident	24,244	24,171	24,528	24,413	24,427	24,427
2. Non-Resident	1,036	1,157	1,250	1,324	1,387	1,387
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	6,606	6,619	6,482	6,748	6,783	6,783
2. Non-Resident	2,300	2,585	1,990	1,956	1,961	1,961
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	34,186	34,532	34,250	34,441	34,558	34,558
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	14,445	14,745	15,363	15,291	15,300	15,300
2. Non-Resident	553	722	789	836	876	876
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	3,508	3,524	3,513	3,657	3,676	3,676
2. Non-Resident	1,209	1,381	867	852	854	854
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	19,715	20,372	20,532	20,636	20,706	20,706
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	1,539	1,697	2,045	2,035	2,036	2,036
B. Graduate/Professional Resident and Reciprocity	421	516	574	598	601	601
C. Undergraduate Nonresident	34	41	59	63	66	66
D. Graduate/Professional Nonresident	587	689	223	219	220	220
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	43	43	58			

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Background IV-B: Student Enrollment (Successfully Completed Credit Hours) by Residency Status

	Actual 2007-08	Actual 2008-09	Estimated 2009-10	Projected 2010-11	Projected 2011-12	Projected 2012-13
I. ANNUAL STUDENT HEADCOUNT						
A. Undergraduate						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
B. Graduate/Professional						
1. Indiana Resident						
2. Non-Resident						
3. Reciprocity Non-Resident						
TOTAL						
II. ANNUAL FULL-TIME-EQUIVALENT (FTE) STUDENTS						
A. Undergraduate						
1. Indiana Resident	12,308	12,746	13,246	13,184	13,192	13,192
2. Non-Resident	487	646	705	747	783	783
3. Reciprocity Non-Resident	-	-	-	-	-	-
B. Graduate/Professional						
1. Indiana Resident	3,319	3,372	3,186	3,317	3,334	3,334
2. Non-Resident	1,186	1,337	798	784	786	786
3. Reciprocity Non-Resident	-	-	-	-	-	-
TOTAL	17,300	18,101	17,935	18,032	18,095	18,095
III. ANNUAL DISTANCE EDUCATION/CORRESPONDENCE FTE						
A. Undergraduate Resident and Reciprocity	1,370	1,470	1,664	1,656	1,657	1,657
B. Graduate/Professional Resident and Reciprocity	455	524	506	527	530	530
C. Undergraduate Nonresident	29	41	48	51	53	53
D. Graduate/Professional Nonresident	682	678	190	187	187	187
MEMO ITEM: FTE generated in the above attributable to dual credit instruction	37	39	52			

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- HEALTH DIVISION
2011-13 OPERATING APPROPRIATION REQUEST

Background VII: Sponsored Research and University General Fund Support for Research

	<u>2008-09 Actual</u>	<u>2009-10 Estimated</u>	<u>2010-11 Projected</u>	<u>2011-12 Projected</u>
Sponsored Research Expenditures*				
A. Federal**	\$ 92,504,900	\$ 88,288,717	\$ 97,000,000	\$ 98,000,000
B. Industry	2,230,326	2,180,969	3,480,000	3,000,000
C. Private Foundation / Other	<u>33,074,529</u>	<u>29,824,836</u>	<u>49,500,000</u>	<u>49,700,000</u>
D. Total	\$ 127,809,755	\$ 120,294,522	\$ 149,980,000	\$ 150,700,000
 Increase in Competitively Awarded Sponsored Research Expenditures		 \$ (7,515,233)	 \$ 29,685,478	 \$ 720,000
 Four-year average, 2008-09 through 2011-12	 \$ 137,196,069			
Four-year average, 2004-05 through 2007-08***	<u>120,177,661</u>			
Change	\$ 17,018,408			
 50% of Change	 \$ 8,509,204			

* Includes expenditures of externally-supported research grants and contracts.

** Excludes ARRA expenditures.

*** Case - as passed in the 2007-09 biennium.

INDIANA UNIVERSITY-PURDUE UNIVERSITY INDIANAPOLIS -- GENERAL ACADEMIC
2011-13 OPERATING APPROPRIATION REQUEST

Background VII: Sponsored Research and University General Fund Support for Research

	<u>2008-09</u> Actual	<u>2009-10</u> Estimated	<u>2010-11</u> Projected	<u>2011-12</u> Projected
Sponsored Research Expenditures*				
A. Federal**	\$ 1,330,280	\$ 1,009,619	\$ 980,000	\$ 1,000,000
B. Industry	8,009,667	9,086,028	-	3,000,000
C. Private Foundation / Other	<u>4,980,317</u>	<u>4,182,984</u>	<u>3,500,000</u>	<u>3,700,000</u>
D. Total	\$ 14,320,264	\$ 14,278,631	\$ 4,480,000	\$ 7,700,000
 Increase in Competitively Awarded Sponsored Research Expenditures		\$ (41,633)	\$ (9,798,631)	\$ 3,220,000
 Four-year average, 2008-09 through 2011-12	\$ 10,194,724			
Four-year average, 2004-05 through 2007-08***	<u>7,023,586</u>			
Change	\$ 3,171,138			
 50% of Change	\$ 1,585,569			

* Includes expenditures of externally-supported research grants and contracts.

** Excludes ARRA expenditures.

*** Case - as passed in the 2007-09 biennium.

Special State Appropriations

INDIANA UNIVERSITY
2011-2013 SPECIAL STATE APPROPRIATION REQUEST

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INDIANA UNIVERSITY
2011-13 OPERATING APPROPRIATION REQUEST

Summary of Proposed Requests

	2010-11	2011-12			2012-13		
	Base	Amount Chg.	Pct Chg	Amount	Amount Chg.	Pct Chg	Amount
Optometry Education Fund	\$ 1,500	\$ 27,500	1833.3%	\$ 29,000	\$ (27,500)	-94.8%	\$ 1,500
State Department of Toxicology	2,463,380	-	0.0%	2,463,380	-	0.0%	2,463,380
Indiana Institute for Disability and Community	2,477,440	-	0.0%	2,477,440	-	0.0%	2,477,440
Geological Survey	3,102,244	-	0.0%	3,102,244	-	0.0%	3,102,244
Indiana Advisory Commission on Intergovernmental Relations	56,543	-	0.0%	56,543	-	0.0%	56,543
Abilene Network Operations	832,596	-	0.0%	832,596	-	0.0%	832,596
GIGAPOP Operations	694,756	-	0.0%	694,756	-	0.0%	694,756
I-Light Network	1,471,833	-	0.0%	1,471,833	-	0.0%	1,471,833
Indiana Innovation Alliance	4,500,000	-	0.0%	4,500,000	-	0.0%	4,500,000
IU Spinal Cord/Head Injury Research Center	524,230	-	0.0%	524,230	-	0.0%	524,230
TOTALS	\$ 16,124,522	\$ 27,500	0.2%	\$ 16,152,022	\$ (27,500)	-0.2%	\$ 16,124,522

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	School of Optometry	
ACCT # & NAME	100-285	School of Optometry

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ -	\$ -	\$ -		\$ -	\$ -		
Fringe Benefits	-	-	-		-	-		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ -	\$ -	\$ -		\$ -	\$ -		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	1,500	29,000	1,500		29,000	1,500		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		
GRAND TOTAL	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		
Funded by General Fund	1,500	29,000	1,500		29,000	1,500		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 1,500	\$ 29,000	\$ 1,500		\$ 29,000	\$ 1,500		

JUSTIFICATION OF BUDGET REQUEST 2011-2013

Optometry Board Education Fund

The Acts of 1951 added \$17.00 to the annual optometry registration renewal fee, to be collected by the Optometry Board and deposited in an optometry school account of the State general fund. All such fees deposited by April 1 each year are to be paid to Indiana University by July 1 and are to be used for "the advancement of optometrical research and the maintenance and support of the department in which the science of optometry is taught at the University." An appropriation sufficient to pay this amount is authorized by law. This budget is merely to set the amount of these appropriations.

Registration fees are collected biennially. 2012-13 will be the "off" year in which little is collected. The estimate of \$1,500 is high to cover safely the expected collections for that fiscal year.

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request follows the instructions of the Commission for Higher Education and the State Budget Agency and therefore makes no specific request; it assumes that adequate price inflation funding will be recommended by the Commission and funded by the General Assembly.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	IUSM: Dept Pharm/Tox: State Dept of Toxicology	
ACCT # & NAME	100-796	IUSM: Dept Pharm/Tox: State Dept of Toxicology

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 769,776	\$ 841,218	\$ 1,135,846		\$ 1,135,846	\$ 1,135,846		
Fringe Benefits	280,199	303,116	456,534		456,534	456,534		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 1,049,975	\$ 1,144,334	\$ 1,592,380		\$ 1,592,380	\$ 1,592,380		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	1,034,188	1,236,012	571,000		571,000	571,000		
.5 Equipment	1,516,873	58,973	285,000		285,000	285,000		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	4,283	6,691	5,000		5,000	5,000		
.9 Out-of-State Travel	9,461	17,370	10,000		10,000	10,000		
TOTAL OTHER OPERATING	\$ 2,564,805	\$ 1,319,046	\$ 871,000		\$ 871,000	\$ 871,000		
GRAND TOTAL	\$ 3,614,780	\$ 2,463,380	\$ 2,463,380		\$ 2,463,380	\$ 2,463,380		
Funded by General Fund	3,614,780	2,463,380	2,463,380		2,463,380	2,463,380		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 3,614,780	\$ 2,463,380	\$ 2,463,380		\$ 2,463,380	\$ 2,463,380		

The Indiana State Department of Toxicology is the state agency with total responsibility for the alcohol breath test program. The program responsibilities include: training and certification of breath test operators, selection and certification of evidentiary instruments, and certification of any procedures used for such breath testing. In addition, the Department operates a Forensic Laboratory that conducts testing of blood or urine for alcohol and other drugs. The services of the forensic laboratory are provided for state law enforcement agencies at no charge.

The State Department of Toxicology has a number of legislative mandates. These include:

IC 21-45-3-1

Sec. 1. As soon as practicable after March 12, 1957, the trustees of Indiana University are hereby authorized to establish in the Indiana University School of Medicine a state department of toxicology and to provide adequate equipment and competent personnel to carry out the purpose of this chapter. *(Formerly: Acts 1957, c.132, s.1.) As amended by P.L.2-1988, SEC.624.*

IC 21-45-3-2

Sec. 2. The department of toxicology shall conduct analyses for poisons, drugs and alcohols upon human tissues and fluids submitted by Indiana coroners, prosecutors, prosecuting attorneys, sheriffs, duly authorized officials of the Indiana state police and Indiana city police departments, and by officials of the Indiana University Medical Center hospitals in cases of suspected poisoning or intoxication of human beings, and to report these analytical findings to the official requesting such analyses; and to consult with Indiana coroners and coroner's physicians regarding the interpretation of the above analytical findings. The personnel of the department shall furnish expert testimony regarding the analytical findings in all hearings required by law including criminal prosecutions growing out of such findings. *(Formerly: Acts 1957, c.132, s.2.)*

IC 21-45-3-3

Sec. 3. The department shall also give instruction in toxicology to medical students and physicians being trained at the Indiana University School of Medicine, and shall train properly qualified students desiring to become toxicologists. The personnel of the department shall also train police technicians and other persons selected by the dean of the Indiana University School of Medicine, or his representative, to conduct some of the simpler chemical tests for intoxication. *(Formerly: Acts 1957, c.132, s.3.)*

IC 21-45-3-4

Sec. 4. The department shall conduct research on the detection of toxic compounds which may be components of drugs or medicines or may be present in pesticides used for agricultural or other purposes, and shall conduct research on the treatment of poisoning from these substances. *(Formerly: Acts 1957, c.132, s.4.)*

IC 21-45-3-5

Sec. 5. The personnel of such department shall make periodic visits to various state, county, city and hospital laboratories in Indiana which are performing analyses for alcohol upon materials from the human body, which analytical results may be used in criminal prosecutions, for the purpose of examining the person conducting such tests relative to his competence to reliably perform such analyses, and for the purpose of inspecting the apparatus and chemicals employed in making such analyses; and this department shall keep a record of said examiners' findings.

(Formerly: Acts 1957, c.132, s.5.)

In this past legislative session, the appropriation for the State Department of Toxicology was increased significantly from \$644,058 in FY 2006-07 to \$2,463,380 for FY 2007-08 and \$3,719,280 for FY 2008-2009. The budget request for the next biennium is for \$2,463,380/year which was the budget originally approved by the legislature for FY 2007-2008. The increase in FY 2008-2009 was to allow the purchase of new breath test instruments.

Mandate 1: IC 21-45-3-1

The State Department of Toxicology occupies ~ 4700 square feet of office and laboratory space in the Indiana Forensic and Health Sciences Laboratories. The department is currently under the direction of Dr. Michael Neerman, Interim Director. One of the goals of the department is to improve, laboratory efficiency with the addition of new personnel and equipment. Additional professionals will provide expert testimony and efforts have begun to have the laboratory accredited. The department currently has eight major pieces of analytical equipment for drug analysis and maintains 269 BAC DataMaster breath test instruments used by local law enforcement agencies.

A priority for the Interim Director is to hire and/or train personnel to be able to continue to provide expert analysis of samples with a shorter turnaround time, to move toward accreditation of the laboratory, and to provide expert testimony. The Interim Director along with Staff Counsel, Scott Newman, will contract for the full implementation of the new breath test instruments and design a plan for the conversion to the new instruments.

In order to achieve accreditation, the State Department of Toxicology has hired an individual to develop and monitor procedures, documentation, and the use of proper controls to fulfill the requirements of accrediting agencies. This individual has professional credentials to oversee accreditation and to be able to testify in court.

Mandate 2: IC 21-45-3-2

The forensic laboratory is responsible for receiving, analyzing, and reporting results on forensic samples sent or delivered to the laboratory by police agencies and coroners. Most of the testing is for alcohol and other drugs of abuse. All this work is performed by ten laboratory personnel.

The laboratory personnel will receive additional training and the State Department of Toxicology has hired a doctorate level laboratory manager (Dr. Stan Wu) to supervise the work and also to be able to testify in court, as necessary. Dr. Wu is trained in analytical chemistry and is board certified in Forensic Toxicology.

Mandate 3: IC 21-45-3-3

The teaching of medical students and graduate students in toxicology is conducted by faculty in the Department of Pharmacology and Toxicology at Indiana University School of Medicine. We have one full-time faculty member with expertise in toxicology. Note that the salary for this faculty member will not come from the SDT. None of the personnel of the State Department of Toxicology are currently involved in the training of medical students or graduate students.

State Department personnel train and recertify all Breath Test Operators in the State (primarily police officers). Approximately 550 new operators are trained each year in the Breath Test Schools and these operators are certified by the Director. In addition, each of approximately 5,500 operators must be recertified every two years, approximately one half the total number each year. The personnel involved in this process include four Inspectors and the Interim Director who teaches at the Breath Test School and certifies the new and retrained officers.

With the increase in the overall appeal of forensics, the Department of Pharmacology and Toxicology will work with the State Department of Toxicology and with IUPUI to develop a Masters program in Forensic Science. In this way, Indiana University and Indiana University School of Medicine could provide other state agencies with highly trained individuals to fill professional positions in the state. We anticipate a shared salary (with IUPUI) for an assistant professor level position to teach forensic toxicology at IUSM and IUPUI. The assistant director in charge of the breath testing program will teach at the Breath Test School. In addition, the new director will hire the Assistant Director for the Breath Test Program.

Mandate 4: IC 21-45-3-4

There is no research currently being conducted by personnel in the State Department of Toxicology on the detection of toxic compounds or on the treatment of poisoning from these substances. A future goal will be to develop a research program.

Mandate 5: IC 21-45-3-5

At present, the State Department of Toxicology has four inspectors to train breath test operators and to service over 225 evidentiary breath test instrument sites. At each of these sites, instruments are inspected for proper function and calibrated with certified alcohol solutions. Results of these inspections are reported in writing to the Director of the Department of Toxicology who evaluates each inspection report and then certifies the proper legal functioning of the instrument. These inspections are scheduled multiple times per year for each breath test instrument at each breath test instrument site. In addition, the Inspectors visit sites as needed to correct problems identified by the site agencies. Occasionally these repair visits require additional inspections.

Now that new breath test instruments have been selected and purchased, the Indiana Administrative Code (IAC) must be amended to cover the new instruments. Once this process has been approved, a plan will be implemented to phase in the new breath test instruments and train breath test operators on the new instrument.

Maintenance of Operations

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request follows the instructions of the Commission for Higher Education and the State Budget Agency and therefore makes no specific request; it assumes that adequate price inflation funding will be recommended by the Commission and funded by the General Assembly.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana Institute on Disability and Community	
ACCT # & NAME	100-797	Indiana Institute on Disability and Community

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 1,541,693	\$ 1,644,879	\$ 1,668,696		\$ 1,668,696	\$ 1,668,696		
Fringe Benefits	579,963	631,097	681,580		681,580	681,580		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 2,121,656	\$ 2,275,976	\$ 2,350,276		\$ 2,350,276	\$ 2,350,276		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	357,714	106,210	77,164		77,164	77,164		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	6,607	-	-		-	-		
.8 In-State Travel	49,414	61,457	20,000		20,000	20,000		
.9 Out-of-State Travel	-	33,797	30,000		30,000	30,000		
TOTAL OTHER OPERATING	\$ 413,735	\$ 201,464	\$ 127,164		\$ 127,164	\$ 127,164		
GRAND TOTAL	\$ 2,535,391	\$ 2,477,440	\$ 2,477,440		\$ 2,477,440	\$ 2,477,440		
Funded by General Fund	2,554,860	2,477,440	2,477,440		2,477,440	2,477,440		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 2,554,860	\$ 2,477,440	\$ 2,477,440		\$ 2,477,440	\$ 2,477,440		

THE WORK OF THE INSTITUTE AND ITS VALUE TO INDIANA AND INDIANA UNIVERSITY

The Mission of the Indiana Institute on Disability and Community is to work with communities to welcome, value, and support the contributions of people of all ages and abilities through research, education, and service.

Indiana communities, like states and communities nationwide, are faced with unprecedented uncertainty and economic shifts. Schools and communities are being called upon, even required, to do better and to do better with less. People with disabilities, of all ages, are a part of these communities, and the costs associated with students and adults with disabilities have come to public attention and concern.

As a result, schools and communities are seeking to “do better.” They are called upon to be better at educating all students and doing so at lower cost; called upon to increase the employment rate of people with disabilities; called upon to find new ways to fund outcomes and not simply pay for services of unknown outcomes; and, called upon to increase the meaningful community participation of people with disabilities. It is the work of the Institute to engage in the research, education, and service that promotes these kinds of improvements.

More specifically,

- Pre-schools and kindergartens need to know how to deliver effective early education in order that all children are ready for their school years.
- Schools need to know methods of universal design in teaching that works for every child, not just children with disabilities.
- Schools need methods for teaching and including children with behavior support needs and autism.
- High schools need to know how to ensure that all young people transition from high school to post-secondary education or employment.
- Schools need teachers who are the brightest and the best and who are fluent in the best technologies and instructional methods known.
- Schools and communities need to know how technological advances eliminate barriers to learning and employment.
- Employers need to know how to include people with disabilities in their work force.
- Non-profit agencies need trained staff to deliver the best known intervention and supports to individuals.
- Architects and general contractors need to know how to build homes that work for everyone, including people who are aging or those with disabilities.
- Non-profit agencies need to know the management strategies for delivering outcomes for quality of life beyond simply providing hours of service.
- Government agencies need leadership with modern management skills and up to date rehabilitation knowledge.
- Policy makers need creative methods of funding for outcomes beyond funding for services.
- Policy makers need research from other states and countries on creative and effective policy for cost effective community structures.
- Policy makers need evaluations and data systems for tracking and improving quality of life outcomes.

Providing research, education, and service to these constituents in Indiana is the work of the Indiana Institute on Disability and Community. As Indiana’s University Center for Excellence in Research,

Education, and Service (as designated by the U.S. Department of Health and Human Services), it is the primary, and in many cases the only, source of this work.

Our scholarly and knowledge translation publications record show our research in these areas.

Our pre-service and in-service education and coursework and graduate student mentoring demonstrate our education role in Indiana and at Indiana University.

Our training and technical assistance activities touch thousands of Hoosiers. In 2009-2010, we conducted more than 800 training events involving more than 55,000 people. We provided nearly 8,000 hours of training and technical assistance to schools, community agencies, and government agencies.

Our full participation in policy work committees and implementation leaders with government and state leaders demonstrate our service.

The Indiana Institute on Disability and Community is designed for “research to practice” with ideas that improve knowledge, policy and practice. Our home in both knowledge development and practical application of human service ideas define our mission and our work.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana Geological Survey	
ACCT # & NAME	102-928	Indiana Geological Survey

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 2,071,465	\$ 1,945,902	\$ 2,176,255		\$ 2,176,255	\$ 2,176,255		
Fringe Benefits	778,487	794,805	888,892		888,892	888,892		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 2,849,952	\$ 2,740,707	\$ 3,065,147		\$ 3,065,147	\$ 3,065,147		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	229,613	293,412	37,097		37,097	37,097		
.5 Equipment	69,538	24,356	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	2,591	-		-	-		
.8 In-State Travel	7,721	9,499	-		-	-		
.9 Out-of-State Travel	42,364	31,679	-		-	-		
TOTAL OTHER OPERATING	\$ 349,236	\$ 361,537	\$ 37,097		\$ 37,097	\$ 37,097		
GRAND TOTAL	\$ 3,199,188	\$ 3,102,244	\$ 3,102,244		\$ 3,102,244	\$ 3,102,244		
Funded by General Fund	3,199,188	3,102,244	3,102,244		3,102,244	3,102,244		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 3,199,188	\$ 3,102,244	\$ 3,102,244		\$ 3,102,244	\$ 3,102,244		

Origins and Purpose of the Program: The mission of the Indiana Geological Survey (IGS), as established in state statute, is to provide geologic information and counsel contributing to the wise stewardship and economic development of the energy, mineral, and ground-water resources of Indiana, and to the health, safety, and welfare of its citizenry. This mission is carried out through applied research; cooperative studies with other universities and government agencies; the publication of maps, reports, and databases; public service and education; and the collection and archiving of geologic data and materials.

Numbers and Profile of Population Served (include historic and projected changes): Through the work of the Geological Survey, all of Indiana's citizens are served directly or indirectly, whether, for example, through the orderly development of the mineral and energy resources of Indiana, by providing answers to questions related to environmental conditions, or through its various educational programs reaching all age groups of Hoosiers. Specific groups are able to obtain geologic information often vital to their mission and operation. These groups include land and minerals owners; coal, petroleum, aggregate and building-stone industries; clay brick manufacturers; and various divisions of the Indiana Department of Natural Resources, Indiana Department of Environmental Management, Indiana Department of Transportation, State Board of Health, Office of the State Chemist, and Indiana Department of Homeland Security. Federal agencies benefited include the U.S. Geological Survey, Environmental Protection Agency, Department of Energy, Office of Surface Mining and Reclamation, and Federal Emergency Management Agency. The concerns of the general public are addressed on a request basis and are handled personally or in writing or orally.

The staff of the Geological Survey all play a role in its outreach efforts. These activities include collaboration with the Indiana University Department of Geological Sciences Undergraduate and Graduate instruction and Graduate advising, IU Mini University program, Indiana Master Naturalist program, and various events that occur throughout the year, such as Hoosier Association of Science Teachers, Inc. (HASTI), Science Olympiad, Indiana GIS Conference, Earth Day Indiana, and Earth Science Week. As one measure of the success of the Geological Survey outreach, the average annual number of visitors to the IGS Website now totals nearly 750,000, where these individuals download approximately 50 million page views of information.

Program Goals for the 2011-13 Biennium: Plans for the forthcoming biennium include continuing many ongoing programs of directed research and education. Among them, the following projects display the depth and variety of activities of the Indiana Geological Survey scientists and staff:

- The IGS is participating in a nationwide, multi-year inventory of geothermal resources. With support from the U.S. Department of Energy, staff scientists are assessing the geothermal potential of the entire state that could lead to enhanced development of this natural, renewable energy resource.
- The IGS is collaborating with the geological surveys of neighboring states on U.S. Department of Energy-funded studies to conduct large-volume tests to demonstrate the ability of deep geologic formations to safely, permanently, and economically store more than one million tons of the greenhouse gas carbon dioxide (CO₂) as a means to mitigate the accumulation of this gas in the earth's atmosphere. Recent investigations have evaluated potential sequestration targets and identified those geologic formations best suited for secure storage of the greenhouse gas. After injection, Geological Survey scientists will monitor and model the fate of the CO₂ to determine the effectiveness of the storage reservoirs.

- The U.S. Office of Surface Mining and the Indiana Department of Natural Resources, Division of Reclamation, are supporting the Geological Survey's efforts to acquire, scan, and digitize historic maps of abandoned underground coal mines. Additionally, IGS scientists are part of a national initiative that is training personnel from other states and tribes in the proper use of technology necessary to restore, preserve, and digitize historic mine maps. Once the maps are part of the IGS's Coal Mine Information System, they will have a direct impact on safety, environment, and economic concerns of Indiana's citizens and Indiana's coal mine industry.
- Over the past ten years, the IGS has become a leader in geographic information systems (GIS) data compilation and delivery. The Geological Survey's Web-based *IndianaMap* has become **the** source for reliable geographic information. This atlas of digital maps and databases includes more than 230 layers of information ranging from energy and mineral resources to land use, infrastructure, history, environment, biology, geology, and natural hazards. With continuing support from the Indiana Geographic Information Officer, the IGS will continue to enhance and deliver digital information essential for the efficient operations of state agencies, municipalities, and county planners and other decision makers.
- The Indiana Geological Survey will continue in its information and outreach programs. Field trips, school-classroom presentations, continuing education programs, short courses, and public lectures will be implemented for many of its ongoing and completed projects. The Internet will be used as a means to ensure rapid and efficient public access to geologic resources, hazards, and environmental information.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	School of Public and Environmental Affairs	
ACCT # & NAME	100-164	IN Advisory Comm. on Intergovernmental Relations

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 41,401	\$ 31,508	\$ 31,508		\$ 31,508	\$ 31,508		
Fringe Benefits	16,909	16,757	16,757		16,757	16,757		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 58,310	\$ 48,265	\$ 48,265		\$ 48,265	\$ 48,265		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	-	7,067	4,450		4,450	4,450		
.5 Equipment	-	-	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	3,328		3,328	3,328		
.8 In-State Travel	2,283	1,211	500		500	500		
.9 Out-of-State Travel	-	-	-		-	-		
TOTAL OTHER OPERATING	\$ 2,283	\$ 8,278	\$ 8,278		\$ 8,278	\$ 8,278		
GRAND TOTAL	\$ 60,593	\$ 56,543	\$ 56,543		\$ 56,543	\$ 56,543		
Funded by General Fund	58,310	56,543	56,543		56,543	56,543		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 58,310	\$ 56,543	\$ 56,543		\$ 56,543	\$ 56,543		

The Indiana Advisory Commission on Intergovernmental Relations (IACIR) was established by the General Assembly to provide a forum for discussion and planning in light of the increasing demands being made on state and local governments. IC 4-23-24.2-12 names the Indiana University Center for Urban Policy and the Environment (Center) to staff and administer the Commission. The Center, as part of the School of Public and Environmental Affairs, brings to the IACIR a strong reputation for merging policy analysis research with the experience of practitioners to develop pragmatic solutions for state and local governments in Indiana.

Since its inception in 1995, the IACIR has undertaken numerous efforts to improve intergovernmental decision-making and to provide an ongoing forum for discussion and resolution of intergovernmental problems and concerns. The commission's work has included a periodic survey of local governments and series of studies and public forums on several important issues facing Indiana's local governments and citizens: annexation, the implementation of the new property tax assessment and appeals procedures, the efficacy of local economic development tools, and estimates of needed investments in water and wastewater infrastructure. Current projects include the 2010 survey of local governments, exploration of structural options for the provision of public health insurance, tracking local government reorganization efforts (IC 36-1.5), and an inventory of special districts.

As in its first fifteen years, the IACIR is poised to provide research as well as a discussion forum for complex issues affecting state and local government. The IACIR anticipates several initiatives during the upcoming biennium (2011-13), including:

- Conducting the biannual survey of local governments;
- Continuing work on issues surrounding local government fiscal and organizational reforms;
- Providing a forum for discussion of current intergovernmental and local government issues; and
- Other work items assigned by Legislative Council.

In addition, the Center for Urban Policy and the Environment, staff to the commission, will actively engage IACIR members as participants and reviewers of the intergovernmental elements of its work, particularly regarding its work on investments in Indiana.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Abilene Network Operations Center	
ACCT # & NAME	099-012	Abilene Network Operations Center

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 535,988	\$ 440,667	\$ 508,925		\$ 508,925	\$ 508,925		
Fringe Benefits	183,873	141,265	207,870		207,870	207,870		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 719,861	\$ 581,932	\$ 716,795		\$ 716,795	\$ 716,795		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	111,484	68,251	75,026		75,026	75,026		
.5 Equipment	-	118,896	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-		-	-		
.8 In-State Travel	2,432	12,547	15,000		15,000	15,000		
.9 Out-of-State Travel	24,838	50,970	25,775		25,775	25,775		
TOTAL OTHER OPERATING	\$ 138,754	\$ 250,664	\$ 115,801		\$ 115,801	\$ 115,801		
GRAND TOTAL	\$ 858,615	\$ 832,596	\$ 832,596		\$ 832,596	\$ 832,596		
Funded by General Fund	858,615	832,596	832,596		832,596	832,596		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 858,615	\$ 832,596	\$ 832,596		\$ 832,596	\$ 832,596		

IU is the home of the Internet2 (Abilene) Network Operations Center (NOC)

In August of 1998, Indiana University was chosen as the home for the Abilene network operations center. The Abilene network operations center provides comprehensive network management services for all the physical and operational aspects of the Abilene network and plays a critical role in the deployment of the advanced networking initiatives and services that are the focus of the Internet2 project. Recently Internet2 changed the name of the Abilene Network to the "Interent2 Network" to more clearly identify the new 10gb backbone.

Background: The Abilene/Internet2 Network

The Internet2 Network is a high-performance backbone network that enables the development of advanced Internet applications and the deployment of leading-edge network services to Internet2 universities and research labs across the world. The network has become the most advanced native IP backbone network available to universities participating in Internet2 community.

The Interent2 Network supports the development of applications such as virtual laboratories, digital libraries, distance education and telepresence, as well as the advanced networking capabilities that are the focus of Internet2. The Interent2 Network complements and peers with other high-performance research networks in the U.S. and internationally.

Indiana University was a founding member of the small group of universities which founded the Interent2 project. Today the network connects regional network aggregation points, GigaPOPs, to provide advanced network services to university, corporate, and affiliate member institutions in all 50 states, the District of Columbia, and Puerto Rico. Today The Interent2 Network has 27 direct connectors, which includes the Indiana GigaPOP, 228 participants in all 50 states, 104 sponsored participants and 33 state education group partners (SEG-P) such as I-Light here in Indiana.

The Interent2 Network is a proving ground for high-bandwidth technologies. The organization was recently awarded over \$65M in stimulus funding from the federal government to develop an even faster and more distributed network. Called "US CAN" this new backbone will reach more institutions with more capacity and additional opportunity for calibration and research.

Impact of IU's Role in supporting the Internet2 Network

The Global Research Network Operations Center is physically located on the IUPUI campus. The selection of IU as the home of the network operations center confirms the increasing importance of Indianapolis as a national networking center.

Strong support from the State of Indiana enabled Indiana University to be selected as home of the NOC. The State recognizes the importance of supporting the development of the new generation of high-speed Internet technologies that provide promise of major impacts in such diverse areas as distance and lifelong learning, healthcare and geographically distributed design and planning. In large part due to the existence of ongoing State funding for the Abilene NOC Indiana University has been able to further leverage this investment with additional national and international responsibilities which bring great acclaim and prestige to IU and the State of Indiana as well as additional jobs, and research funding. The NOC has added more than 15 jobs in the

past two years and is currently working with Universities in Indiana on an intern program to help develop the talent needed in the next generation of network engineers.

Recently the GRNOC has become engaged in network research developing the next generation of internet protocols. The National Science Foundation has funded multiple Global Environment for Network Innovations (GENI) grants to study how to manage and control future internet protocols.

A. Global Networks

Being the NOC for Abilene has enabled IU to develop the reputation and critical mass of expertise in managing the operations center of high performance networks and led to the establishment of the Indiana University Global Research Network Operations Center (Global NOC.)

The Global Research Network Operations Center is housed on the IUPUI (Indianapolis) campus, in the Communications Technology Complex. Staffed by eighteen full-time technicians, the NOC operates on 24 hour, 7 day a week, 365 days a year schedule. The NOC has been awarded contracts to support not only the Internet2 network, but also the following Global Networks:

TransPAC and America Connects to Europe (ACE)

A recent \$9.5M award from the National Science Foundation support IUs effort to provide international networking support. The TransPAC3 and ACE awards provide high performance network connectivity from the Asia Pacific Advanced Network and to European based research networks for the purpose of international collaborations in research and education. The GRNOC was a key component in these responses and will provide direct operational support to both projects.

Man-Lan

An optical exchange point located in New York allows international networks to terminate optical connections and connect to Networks in the US. It enables traffic to flow to international collaborators from over 100 U.S. leading-edge research universities and supercomputer centers that are attached to high-performance research networks.

AMPATH

The AmericasPATH (AMPATH) network is a project that interconnects the R&E networks in South and Central America, the Caribbean and Mexico to US and non-US Research and Education (R&E) networks via Internet2's Abilene network.

B. National Networks

The GRNOC also supports many of the largest regional and state networks in the nation and recently was picked as a sole source provide to NOAA for a newly funded research network. Network operators who understand the ability of the GRNOC to support high performance networks have outsourced their operations center to Indiana University. Below is list of the supported networks:

- National Oceanic and Atmospheric Administration (NOAA)
- North Carolina Education Network
- Connecticut Education Network
- Mid-Atlantic Exchange (largest network provider in the Washington DC area)
- Oklahoma State Education Network

I-Light

The GRNOC also supports the operational activities of the I-Light network, this network connects to the vast majority of the higher education institutions in Indiana.

National Lambda Rail (NLR)

In addition to being awarded the NOC for the global research networks listed above, IU has responsibility for providing similar services to the newest advanced high performance network, **National LambdaRail (NLR)**. IU was selected in 2003 to provide both Network Operations Center and Network Engineering services to NLR. This would not have been possible without the base level of support provided by the appropriation for the Abilene NOC and the Indiana GigaPOP.

Appropriation request is \$ 832,596.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana GigaPOP	
ACCT # & NAME	099-012	Indiana GigaPOP

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 180,878	\$ 163,329	\$ 180,957	\$ -	\$ 180,957	\$ 180,957		
Fringe Benefits	72,841	65,400	73,912	-	73,912	73,912		
Other Personnel Services	-	-	-	-	-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 253,719	\$ 228,729	\$ 254,869	\$ -	\$ 254,869	\$ 254,869		
.2 Services Other Than Personnel	-	-	-	-	-	-		
.3 Services by Contract	-	-	-	-	-	-		
.4 Materials, Supplies, Parts	515,008	464,855	438,093	-	438,093	438,093		
.5 Equipment	-	-	-	-	-	-		
.6 Land and Structures	-	-	-	-	-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-	-	-	-		
.8 In-State Travel	835	172	-	-	-	-		
.9 Out-of-State Travel	2,389	-	1,794	-	1,794	1,794		
TOTAL OTHER OPERATING	\$ 518,232	\$ 465,027	\$ 439,887	\$ -	\$ 439,887	\$ 439,887		
GRAND TOTAL	\$ 771,951	\$ 693,756	\$ 694,756	\$ -	\$ 694,756	\$ 694,756		
Funded by General Fund	771,951	693,756	694,756	-	694,756	694,756		
Dedicated Funds	-	-	-	-	-	-		
Federal Funds	-	-	-	-	-	-		
GRAND TOTAL CHECK	\$ 771,951	\$ 693,756	\$ 694,756	\$ -	\$ 694,756	\$ 694,756		

Impact of the Indiana GigaPOP

The Indiana GigaPOP plays a key and expanding role in Indiana's Higher Education connectivity and relationship to the developing national cyberinfrastructure. The GigaPOP has become one of a very few premier network interface points in the nation. The GigaPOP services not only IU and Purdue, but also the rest of the State R&E community through the connectivity of I-Light. The GigaPOP is the single service provider for the State's higher education sector to Internet2, National Lambda Rail and other advanced US based research networks. The GigaPOP also provides discounted cost for community internet services to all of its members. Its placement and use have enabled Indiana's K-20 community to take advantage of tremendous reductions in rates for internet connectivity, which in turn has allowed a dramatic increase in connectivity without a single dollar increase in the funding required to provide the service. And in the future, we expect to see costs actually decrease, while capacity continues to increase significantly.

By exploiting cost savings the GigaPOP continues to expand services and build redundancy while reducing rates to many of its end users. In 2010 the GigaPOP will increase connectivity to the commodity Internet by utilizing a new 10 GB connection to a low cost commercial internet provider. This should reduce cost 10-25 percent to most users. The GigaPOP also works with the Big Ten Universities to provide additional research network capacity via a connection in Atlanta.

With a recently funded upgrade and expansion to both the Internet2 and NLR networks the GigaPOP will play an increasingly important role in providing advanced network access to all the state universities and colleges.

The GigaPOP is truly a state wide resource, providing location neutral pricing for all services. Providing engineering consulting services to its members at no additional charge, subject matter experts working for the GigaPOP consult with universities in Indiana to improve their access to high performance resources locally and nationally. The "end-to end" performance problems that plague many researchers' even at large universities can be over whelming at smaller schools. The GigaPOP helps universities with local network configurations and design issues that help resolve these problems.

Appropriation requested: \$ 694,756

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana I-Light	
ACCT # & NAME		Indiana I-Light

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 510,103	\$ 580,022	\$ 550,868	\$ -	\$ 550,868	\$ 550,868		
Fringe Benefits	200,216	227,339	223,884	-	223,884	223,884		
Other Personnel Services	-	-	-	-	-	-		
.1 TOTAL PERSONNEL SERVICES	710,319	\$ 807,361	\$ 774,752	\$ -	\$ 774,752	\$ 774,752		
.2 Services Other Than Personnel	-	-	-	-	-	-		
.3 Services by Contract	-	-	-	-	-	-		
.4 Materials, Supplies, Parts	303,018	572,537	606,148	-	606,148	606,148		
.5 Equipment	-	79,690	79,690	-	79,690	79,690		
.6 Land and Structures	-	-	-	-	-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-	-	-	-		
.8 In-State Travel	-	12,245	3,989	-	3,989	3,989		
.9 Out-of-State Travel	-	-	7,254	-	7,254	7,254		
TOTAL OTHER OPERATING	\$ 303,018	\$ 664,472	\$ 697,081	\$ -	\$ 697,081	\$ 697,081		
GRAND TOTAL	\$ 1,013,337	\$ 1,471,833	\$ 1,471,833	\$ -	\$ 1,471,833	\$ 1,471,833		
Funded by General Fund	1,013,337	1,471,833	1,471,833	-	1,471,833	1,471,833		
Dedicated Funds	-	-	-	-	-	-		
Federal Funds	-	-	-	-	-	-		
GRAND TOTAL CHECK	\$ 1,013,337	\$ 1,471,833	\$ 1,471,833	\$ -	\$ 1,471,833	\$ 1,471,833		

The I-Light network connects university and college sites in Indiana to a private shared network infrastructure and is a unique collaboration in Indiana between colleges and universities, state government, and private sector broadband providers. I-Light has enabled a statewide community forum by connecting colleges and universities directly to I-Light at 1 Gigabit speeds with the ability to provide even larger, on-demand wavelengths, and very high capacity connectivity between research and learning communities.

The state initially built the I-Light fiber network to connect its primary research universities-- Indiana University Bloomington, Purdue University West Lafayette, and the joint campus in Indianapolis. Since that time, the network has proven its value in helping to grow research and education funding through high performance computing, competitive research grant success in Life Sciences and Engineering, connections to Internet2, National Lambda Rail, and favorable economics with the Indiana GigaPOP for the state to efficiently pool network traffic.

Currently 45 of Indiana's colleges and universities are connected directly to the I-Light network, while every university and college has access to I-Light resources via commercial connectivity. This number will soon be nearly 70 when 22 Ivy Tech sites are connected with funding provided by the BTOP federal stimulus program.

In June of 2008, the state commissioned the I-Light expansion that completed an optical DWDM network backbone with 19 access points across the state to enable connections to all other colleges and universities. Indiana University along with Purdue University was assigned responsibility for the build out, operation and management of the network. The I-Light expansion used competitive bidding for each segment, which yielded contracts with 12 telecommunications providers to create a 1,000 mile redundant backbone for the state. The highly sustainable I-Light backbone currently supports 10 Gigabit connections and can easily be upgraded to 100 Gigabit capacities. Campuses are connected to the backbone at 1 Gigabit with the ability to grow to larger capacity connections as their need expands. The final goal is to have all higher education sites connected directly to the I-Light backbone.

Network management for I-Light is provided by the Global Research Network Operations Center (GRNOC) at Indiana University, a premier provider of highly responsive network coordination, engineering, monitoring and installation services that support the advancement of Research and Education networking. The GRNOC has become an unrivaled provider of 24x7x375 expert support for the most advanced research networks in the country.

I-Light has the capacity to support multiple communities on the same backbone providing for greater financial efficiencies in the State. Existing backbone fiber leases are set up in 20 year IRU's and reserve funding is also being set aside to allow these leases to be renewed when they expire.

Existing commercial networks in the state are simply inadequate to serve remote areas of the state. Even in locations where network capacity exists, it is generally too expensive to be used by the higher education community. The business model for commercial networks is one that charges the customer for the peak amount of capacity needed for a given month. The more capacity an organization might need, the higher the tier of service they purchase, and the higher price they pay. In the higher education environment, the use of cyberinfrastructure requires massive amounts of managed information, and often this peak demand is only needed for a few hours, or a day, not an entire month. For this reason, the commercial model does not fit the needs of the research and

education communities. With dedicated optical networks we can provide the massive capacity needed without charging for the peak amounts of traffic carried.

Appropriation request: \$1,471,833

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Spinal Cord and Head Injury Research	
ACCT # & NAME	099-035	Spinal Cord and Head Injury Research

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ 151,950	\$ 290,102	\$ 234,176		\$ 234,176	\$ 234,176		
Fringe Benefits	55,039	113,061	95,648		95,648	95,648		
Other Personnel Services	-	-	-		-	-		
.1 TOTAL PERSONNEL SERVICES	\$ 206,989	\$ 403,163	\$ 329,824		\$ 329,824	\$ 329,824		
.2 Services Other Than Personnel	-	-	-		-	-		
.3 Services by Contract	-	-	-		-	-		
.4 Materials, Supplies, Parts	276,694	15,568	157,406		157,406	157,406		
.5 Equipment	40,928	77,787	-		-	-		
.6 Land and Structures	-	-	-		-	-		
.7 Grants, Subsidies, Refunds, Awards	15,000	27,712	37,000		37,000	37,000		
.8 In-State Travel	-	-	-		-	-		
.9 Out-of-State Travel	1,001	-	-		-	-		
TOTAL OTHER OPERATING	\$ 333,623	\$ 121,067	\$ 194,406		\$ 194,406	\$ 194,406		
GRAND TOTAL	\$ 540,612	\$ 524,230	\$ 524,230		\$ 524,230	\$ 524,230		
Funded by General Fund	540,612	524,230	524,230		524,230	524,230		
Dedicated Funds	-	-	-		-	-		
Federal Funds	-	-	-		-	-		
GRAND TOTAL CHECK	\$ 540,612	\$ 524,230	\$ 524,230		\$ 524,230	\$ 524,230		

Brief History

The Indiana University Spinal Cord and Head Injury Research Center was created in 1999 with an appropriation from the State of Indiana. This program has collaborated with the Purdue Veterinary School Center for Paralysis Research which also received the same appropriation. The Center was granted additional funding support in July 2001, July 2003, July 2005, July 2007 and July 2009. The two Centers continue to collaborate with each other.

I. Basic Science Program Update:

1. Xiao-Ming Xu, M.D., Ph.D. was recruited as the Mari Hulman George Chair to direct the basic science spinal cord injury laboratory. Four PhDs are working in his laboratory. The state support played an important role in allowing Dr. Xu to be recruited to Indiana and Indiana University School of Medicine.

Professor and Mari Hulman George Chair in Neurological Surgery
Scientific Director, Indiana University Spinal Cord and Brain Injury Research Group (ISCBIRG)
Stark Neurosciences Research Institute

Education/Training:

Ph.D. The Ohio State University (1990)

Neuroprotection and Functional Regeneration following Spinal Cord Injury

The goal of our laboratory is to study mechanisms underlying spinal cord injury (SCI) and develop novel repair strategies to improve anatomical reorganization and functional recovery in experimental models of SCI. Our long-term goal is to translate effective treatments from animal models to humans. To reach these goals, two lines of research are being conducted. First, we investigate novel molecules that may play a central role in mediating multiple injury insults such as inflammation, oxidative stress and glutamate toxicity during the course of secondary injury after the initial trauma. Our recent work suggests that phospholipase A₂ (PLA₂), a diverse family of phospholipid enzymes, may be such a molecule. We are currently studying mechanisms underlying PLA₂-mediated secondary injury as well as testing agents that may block PLA₂-mediated cytotoxicity and cell death. A second line of our research is to use cellular transplantation strategies to promote axonal regeneration through and beyond a lesion gap after SCI. SCI incurs disconnection of nerve fibers (called axons) and a successful repair strategy requires reconnection of these axons to their appropriate targets. Grafts of guidance channels seeded with Schwann cells can provide a necessary cellular alignment and environment to guide and support axonal growth in the bridge across the injury aftermath. Our lab has demonstrated that grafts of Schwann cell-seeded semi-permeable polymer channels created a permissive bridge between the stumps of the injured cord and promoted axonal regeneration and remyelination. Additionally, a complete therapy for functional regeneration after SCI should involve the use of combinatorial strategies including not only the cellular bridge but also other efficacious treatments such as boosting the intrinsic regenerative capacity of injured CNS neurons, overcoming the inhibitory environment associated with the glial scar and CNS myelin, providing growth-promoting pathways along the course of axonal regeneration, and enhancing synaptic reconnection between regenerating axons and their targets. Research along these lines is being conducted. It is our belief that the final repair of the injured spinal cord may be achieved by combining an early phase of neuroprotection and later phase of transplantation-mediated axonal regeneration. The fund will be used to support the core facilities of the basic science laboratory which includes a Surgical Core and a Behavior Core.

Recent Publications:

1. Hu J-G, Fu S-L, Wang Y-X, Li Y, Jiang X-Y, Wang X-F, Qiu M, Lu P-H, **Xu X-M** (2008) PDGF-AA mediates oligodendrocyte lineage differentiation through activation of Erk signaling pathway. *Neurosci* 151:138-147.
2. Titsworth WL, Liu NK, **Xu X-M** (2008) Role of secretory phospholipase A₂ in CNS inflammation: Implications in traumatic spinal cord injury. *CNS & Neurol Disorders-Drug Targets* 7(3):254-269. [NIHMS-152845]
3. Hsu J-YC, Stein SA, **Xu X-M** (2008) Abnormal growth of the corticospinal axons into the lumbar spinal cord of the *hyt/hyt* mouse with congenital hypothyroidism. *J Neurosci Res* (June 9; Epub ahead of print)
4. Lu HZ, Xu L, Zou J, Wang Y-X, Ma Z-W, **Xu X-M***, Lu P-H* (2008) Effects of autoimmunity on recovery of function in adult rats following spinal cord injury. *Brain, Behavior and Immunity* (S0889-1591(08)00294-8 [pii] 10.1016/j.bbi.2008.06.006)
5. Liu Y, Wang X, Sherman R, Lu C-C, Steward O*, **Xu X-M***, Zou Y* (2008) Repulsive Wnt signaling inhibits axon regeneration following central nervous system injury. *J Neurosci* 28:8376-8382
6. Jiang X-Y, Nie B-M, Fu S-L, Hu J-G, Yin L, Lin L, Wang X-F, Lu P-H, **Xu X-M** (2008) EGb761 protects hydrogen peroxide-induced death of spinal cord neurons through inhibition of intracellular ROS production and modulation of apoptotic regulating genes. *J Mol Neurosci* (DOI: 10.1007/s12031-008-9140-0)
7. Yu P, Huang L, Zou J, Yu Z, Wang Y, Wang X, Xu L, Liu X, **Xu X-M***, Lu P* (2008) Immunization with recombinant Nogo-66 receptor (NgR) promotes axonal regeneration and recovery after spinal cord injury in rats. *Neurobiol Dis* 32:535-542.
8. Wang L, Hu B, Wong W, Lu P, Wu W, **Xu X-M** (2009) Glial and axonal responses in areas of Wallerian degeneration of the corticospinal and dorsal ascending tracts after spinal cord dorsal funiculotomy. *Neuropathol* 29:230-241 (DOI: 10.1111/j.1440-1789.2008.00969.x)
9. Zhang L, Ma Z, Smith GM, Wen X, Pressman Y, Wood PM, **Xu X-M** (2009) GDNF-enhanced axonal regeneration and myelination following spinal cord injury is mediated by primary effects on neurons. *Glia* 57:1178-1191 (DOI: 10.1002/glia.20840)
10. Titsworth WL, Cheng X, Ke Y, Deng L, Burckardt KA, Pendleton C, Liu N-K, Shao H, Cao Q-L, **Xu X-M** (2009) Differential expression of sPLA₂ following spinal cord injury and a functional role for sPLA₂-IIA in mediating oligodendrocyte death. *Glia* 1521-1537. [PMID: 19306380]
11. Ma Z, Cao Q, Zhang L, Hu J, Howard RM, Lu P, Whittemore SR, **Xu X-M** (2009) Oligodendrocyte precursor cells differentially expressing Nogo-A but not MAG are more permissive to neurite outgrowth than mature oligodendrocytes. *Exp Neurol* 217:184-196. [PMID: 19236864]
12. Hu J, Deng L, Wang X, **Xu X-M** (2009) Effects of extracellular matrix molecules on the growth properties of oligodendrocyte progenitor cells. *J Neurosci Res* 87:2854-2862. [PMID: 19472225]
13. Dou F, Huang L, Yu P, Zhu H, Wang X, Zou J, Lu P, **Xu X-M** (2009) Temporospatial expression and cellular localization of oligodendrocyte myelin glycoprotein (OMgp) after traumatic spinal cord injury in adult rats. *J Neurotrauma* 26:2299-2311 [PMID: 19580419]
14. Shi F, Zhu H, Yang S, Liu Y, Feng Y, Shi J, Xu D, Wu W, You S, Ma Z, Zou J, Lu P, **Xu X-M** (2009) Glial response and myelin clearance in areas of Wallerian degeneration after spinal cord hemisection in the monkey *Macaca Fascicularis*. *J Neurotrauma* 26:2083-2096. [PMID: 19456214]

15. Liu N-K, Wang X, Lu Q-B, **Xu X-M** (2009) Altered MicroRNA Expression following Traumatic Spinal Cord Injury. *Exp Neurol* 219:424-429. [NIHMS: 133042; PMID: 19576215].
16. Wang M, Yoder KK, Gao M, Mock BH, **Xu X-M**, Saykin AJ, Hutchins GD, Zheng Q-H (2009) Fully automated synthesis and initial PET evaluation of [11C]PBR28. *Bioorg Med Chem Lett* 19:5636-5639 [PMID: 19716298].
17. Gu W, Fu S, Wang YX, Li Y, Lu HZ, **Xu X-M***, Lu PH* (2009) Chondroitin sulfate proteoglycans regulate the growth, differentiation and migration of multipotent neural precursor cells through the integrin signaling pathway. *BMC Neurosci.* 10:128-136 [PMID: 19845964]
18. **Xu X-M**, Onifer SM (2009) Transplantation-mediated strategies to promote axonal regeneration following spinal cord injury [Review]. *Resp Physiol Neurobiol* 169:171-182 [NIHMS143796; PMID: 19665611]
19. Cao Q, He Q, Wang Y, Cheng X, Howard RM, Zhang Y, DeVries WH, Shields CB, Magnuson DSK, **Xu X-M**, Kim DH, Whittemore SR (2010) Transplantation of ciliary neurotrophic factor-expressing adult oligodendrocyte precursor cells promotes remyelination and functional recovery after spinal cord injury. *J Neurosci.* 30:2989-3001.
20. Zou J, Wang Y-X, Dou F-F, Lu H-Z, Ma Z, Lu P-H, **Xu X-M** (2010) Glutamine synthetase down-regulation reduces astrocyte protection against glutamate excitotoxicity to neurons. *Neurochem Int* 56:577-584 [NIHMSID: 178580; PMID: 20064572]
21. Liu N-K, **Xu X-M** (2010) Phospholipase A₂ and its molecular mechanism after spinal cord injury [Review]. *Mol Neurobiol* (Epub ahead of print)

2. Jinhui Chen, M.D., Ph.D. was recruited in December 2008 as an Assistant Professor of Neurological Surgery and Principal Investigator. He is an expert in hippocampal neurogenesis following traumatic brain injury.

Recent Publications:

1. Xiang Gao, Ying Deng, Wongil Cho, Edwards D. Hall, **Jinhui Chen.** (2008) Selective death of newborn neurons in hippocampal dentate gyrus following moderate experimental traumatic brain injury. **Journal of Neuroscience Research.** 86(10):2258-2270
2. Xiang Gao, **Jinhui Chen.** (2008) Direct isolation of neural stem cells in the adult hippocampus after traumatic brain injury. **Journal of Neurotrauma.** 25(8):985-995
3. Xiang Gao, George Smith, **Jinhui Chen** (2009) Impaired dendritic development and synaptic formation of postnatal-born dentate gyrus granular neurons in the absence of brain-derived neurotrophic factor signaling. **Experimental Neurology.** 215, 178-190
4. Xiang Gao, **Jinhui Chen** (2009) Conditional knockout of brain-derived neurotrophic factor in the hippocampus increases death of adult-born immature neurons following traumatic brain injury. **Journal of Neurotrauma.** 2009 Feb 9. [Epub ahead of print].
5. Xiang Gao, **Jinhui Chen** (2009) Moderate traumatic brain injury promotes proliferation of quiescent neural progenitors in the adult hippocampus. **Experimental Neurology.** 219(2): 516-523

3. Xiaoming Jin, M.D., Ph.D. whose expertise is in cortical neural plasticity after epilepsy and TBI was recruited in January 2009 as Assistant Professor of Anatomy and Cell Biology and Principal Investigator.

Recent Publications:

1. Yunxiang Chu*, **Xiaoming Jin***, Isabel Parada, Alexei Pesic, Beth Stevens, Ben Barres, David A. Prince. Enhanced synaptic connectivity and epilepsy in C1q knockout mice. Resubmitted to PNAS after revision, *: Co-first author.
2. Prince DA, Parada I, Scalise K, Graber K, **Jin X**, Shen F. Epilepsy following cortical injury: cellular and molecular mechanisms as targets for potential prophylaxis. *Epilepsia*. 2009 Feb;50 Suppl 2:30-40.

4. Paul B. Nelson, M.D. Chairman, Department of Neurological Surgery, Indiana University School of Medicine Co-Director of Indiana University Spinal Cord and Brain Injury Research Group (ISCBIRG) Board Member, Indiana Spinal Cord Injury and Brain Injury Research Fund

5. The Stark Neuroscience Institute In 2003, Dr. Gerry Oxford became the Director of the School of Medicine's new Stark Neuroscience Institute which is located in the newly constructed Research II building. The Stark Institute agreed to house Dr. Xiao-Ming Xu's spinal cord injury laboratory.

II. Clinical Program Update

Scott Shapiro, M.D. was the principal investigator of the state-funded pilot human trial of the Oscillating Field Stimulator in complete spinal cord injury in collaboration with Dr. Richard Borgens of Purdue. The trial was successful, published and the technology bought by Cyberkinetics Inc. of Foxborough, MA. A Humanitarian device designation was sought and given by the FDA. A Humanitarian Device exemption marketing approval has been submitted and is near approval. The fund will support a Phase II case controlled study of acute human complete spinal cord injury when approval is given.

Dr. Scott Shapiro in collaboration with Dr. Richard Borgens has systematically studied polyethylene glycol (PEG) in various animal models of spinal cord injury and the intellectual property was purchased by Metronics Inc. They have a pharmaceutical grade product that is in safety studies in normal volunteers presently. The fund will support a Phase I dose escalation study of polyethylene glycol in severe human spinal cord injury and he has also begun a Phase 1 trial of PEG for head injury.

Publication:

Shapiro S, Borgens R, et al. Phase 1 trial of Oscillating Field Stimulation in the treatment of Human Complete Spinal Cord Injury. *J. of Neurosurgery Spine* 2, January, p 3-10, 2005

Eric Horn, M.D., Ph.D. was recruited from Barrow Neurological Institute to be the Director of the Clinical Spine Program. Dr. Horn's research laboratory is part of the Indiana Spinal Cord and Brain Injury Research Group (ISCBIRG) within the Stark Neuroscience Research Institute. In collaboration with Dr. Xiao-Ming Xu, the director of ISCBIRG, he has been able to develop two strategies for treating spinal cord injury in a rodent model. The first treatment strategy has been to test the effects of a novel peptide on the cellular and functional response of rats following a traumatic spinal cord injury. This peptide inhibits a key component of the p38MAPK pathway,

which is involved in the inflammatory response in the spinal cord following this type of injury. This work has also been in collaboration with Dr. Alyssa Panitch in the Department of Biomedical Engineering at Purdue University, who has designed and created the peptide. The second treatment strategy for spinal cord injury is in collaboration with Dr. Pedro Irazoqui, also in the Department of Biomedical Engineering at Purdue University. This project utilizes a unique device that delivers a calibrated level of electromagnetic energy to the area of injury in the rat spinal cord. Earlier studies have demonstrated that this type of electromagnetic energy promotes regeneration of injured neurons following injury. The current project extends this work by defining the exact electrical properties that are most beneficial to recovery of function in this animal model of spinal cord injury. We have submitted one scientific manuscript that reports the results of this study to a refereed journal. The fund will support further testing of these treatments in the experimental model.

In addition, Dr. Horn has spearheaded the development of a spinal biomechanics laboratory. This has been in collaboration with Dr. Charles Turner in the Departments of Orthopedic Surgery and Biomedical Engineering and Dr. Alan Jones in the Department of Mechanical Engineering. We have successfully completed the development phase of the laboratory and are now in the process of testing several research questions. The first project that we have completed is a biomechanical analysis of a new type of minimally invasive spinal fixation device that can be used to treat patients with traumatic spinal instability. We have submitted one scientific manuscript that reports the results of this study in a peer-reviewed journal. The fund will support further biomechanical studies analyzing clinical treatments for spinal instability from trauma.

Recent Publications:

1. Feiz-Erfan, I., E.M. Horn, N. Theodore, J. M. Zabramski, J.D. Klopfenstein, R.E. Bristol, J.S. Hott, L.L. Kim, G.P. Lekovic, F.A. Albuquerque, R.W. Porter, S. Partovi, P. Gosler and S.R. Peterson. Incidence and pattern of direct blunt neurovascular injury associated with trauma to the skull base. *Journal of Neurosurgery* 107:364-369, 2007.
2. Bambakidis, N.C., I. Feiz-Erfan, E.M. Horn, L.F. Gonzalez, V.K.H. Sonntag, S. Baek, K.Z. Yuksel, A.G.U. Brantley, N.R. Crawford. Biomechanical comparison of occipito-atlantal screw fixation techniques. *Journal of Neurosurgery Spine* 8(2):143-152, 2008.
3. Bambakidis, N.C., J. Butler, E.M. Horn, X. Wang, M.C. Preul, N. Theodore, R.F. Spetzler and V.K.H. Sonntag. Stem cell biology and its therapeutic applications in the setting of spinal cord injury. *Neurosurgical Focus* 24(3-4):E20, 2008.
4. Horn, E.M., N. Theodore, N.R. Crawford, N.C. Bambakidis and V.K.H. Sonntag. Transfacet screw placement for posterior fixation of C7: Technical report. *Journal of Neurosurgery Spine* 9:200-206, 2008.
5. Brennan, R.P., P.Y. Smucker and E.M. Horn. Minimally invasive image-guided direct repair of bilateral L5 pars defects: Technical report. *Neurosurgical Focus* 25(2):E13, 2008.
6. Horn, E.M., N. Theodore, R. Assina, R.F. Spetzler, V.K.H. Sonntag and M.C. Preul. The effects of intrathecal hypotension on tissue perfusion and pathophysiological outcome following acute spinal cord injury. *Neurosurgical Focus* 25(5):E12, 2008.

7. Bambakidis, N.C., E.M. Horn, P. Nakaji N. Theodore, E. Bless, T. Dellovade, C. Ma, X. Wang, M.C. Preul, S.W. Coons, R.F. Spetzler and V.K.H. Sonntag. Endogenous stem cell proliferation is induced by intravenous hedgehog agonist administration after contusion injury in the adult rat spinal cord. *Journal of Neurosurgery Spine* 10:171-176, 2009.
8. Horn, E.M., P.M. Reyes, S. Baek, M. Senoglu, N. Theodore, V.K.H. Sonntag and N.R. Crawford. Biomechanics of C7 transfacet screw fixation. *Journal of Neurosurgery Spine* 11:338-43, 2009.
9. Patel, N.B., M.A. Hazzard, L.L. Ackerman and E.M. Horn. Circumferential fixation of a cervical spine injury in a child with craniofacial miniplates. *Journal of Neurosurgery Pediatrics* 4(5):429-33, 2009.
10. Lastfogel, J.F., T.J. Altstadt, R.B. Rodgers and E.M. Horn. Sacral fractures following stand alone L5-S1 ALIF for isthmic spondylolisthesis. *Journal of Neurosurgery Spine* (in press).
11. Brennan, R.P., T.J. Altstadt, R.B. Rodgers and E.M. Horn. Multi-level corpectomies and reconstruction via a single posterolateral approach. *Journal of Clinical Neuroscience* (in press).
12. Hassell, T.J., M.S. Graves, G.O. Albors, E.M. Horn and P.P. Irazoqui. Towards a device for wireless *in-vivo* monitoring and stimulation of the central nervous system. (submitted for publication).
13. Tung, H.T., Helbig, G.M., Horn, E.M. and A.S. Jone. Characterization of the spinous process plate in pure bending. (submitted for publication).

III. Collaboration with Purdue University

Five neurosurgical residents and one Indiana University medical student have worked at the Purdue Center for Paralysis Research.

- a. Richard B. Rodgers, M.D. – Studied calcium channel blockade
- b. Jill Donaldson, M.D.- Studied polyethylene glycol (PEG)
- c. Kevin Jackson, M.D. - Studied 4-AP
- d. Benjamin Spomar, MS - Studied poloxamer 188
- e. Scott Purvines, M.D. – Studied Inosine and OFS combined treatment in spinal cord injury
- f. Philip Smucker, M.D. & Daniel Fulkerson, M.D. – Studied polyethylene glycol (PEG) in a head injury rat model.

In recent projects, Dr. Purvines studied Inosine versus Oscillating Field Stimulation plus Inosine in treating experimental chronic spinal cord injury in a guinea pig. Results showed that with Inosine plus OFS significantly improved recovery and regeneration in a chronic SCI model.

Dr. Smucker studied head injury in a rat model using PEG. Results showed better movement and histological function in the rats treated with PEG.

Dr. Rodgers has gone on to study voltage gated calcium channels in a vitro SCI model. With results showing that blockage of the voltage gated calcium channel is detrimental to membrane potential recovery and compound action potentials. This study has been published:

Nehrt A, Rodgers R, Shapiro S, Borgens R, Shi R. The critical role of voltage-dependent calcium channel in axonal repair following mechanical trauma. *Neuroscience* 146(4):1504-12, 2007. PMID: 17448606

Maintenance of Operations

Maintenance of the base is a key factor in the institution's ongoing delivery of high-quality services. Higher education in general must address a highly competitive market for talented faculty and the cost of unfunded mandates. These factors must then be considered within the context of the rate of inflation in determining an appropriate maintenance increase for the base budget. Our request follows the instructions of the Commission for Higher Education and the State Budget Agency and therefore makes no specific request; it assumes that adequate price inflation funding will be recommended by the Commission and funded by the General Assembly.

SUMMARY OF BUDGET REQUEST

AGENCY NAME	Indiana University	
PROGRAM NAME	Indiana Innovation Alliance	
ACCT # & NAME		Indiana Innovation Alliance

PURPOSE OF APPROPRIATION								
(1)	(2)	(3)	(4)	(5)	(6)	(7)	(8)	(9)
EXPENDITURE CATEGORIES FUNDED BY SECTION AND OTHER DATA	AGENCY ACTUAL EXPENDITURES		CURRENT YEAR AGENCY EST'D EXPENDITURES	BUDGET AGENCY ESTIMATED EXPENDITURES	AGENCY REQUEST		BUDGET AGENCY RECOMMENDATION	
	FY 08-09	FY 09-10	FY 10-11	FY 10-11	FY 11-12	FY 12-13	FY 11-12	FY 12-13
Salaries and Wages	\$ -	\$ -	\$ -	\$ -	\$ 446,780	\$ 446,780		
Fringe Benefits	-	-	-	-	187,290	187,290		
Other Personnel Services	-	-	-	-	-	-		
.1 TOTAL PERSONNEL SERVICES	-	\$ -	\$ -	\$ -	\$ 634,070	\$ 634,070		
.2 Services Other Than Personnel	-	-	-	-	-	-		
.3 Services by Contract	-	-	-	-	1,294,560	1,294,560		
.4 Materials, Supplies, Parts	-	-	-	-	71,370	71,370		
.5 Equipment	-	-	-	-	-	-		
.6 Land and Structures	-	-	-	-	-	-		
.7 Grants, Subsidies, Refunds, Awards	-	-	-	-	2,500,000	2,500,000		
.8 In-State Travel	-	-	-	-	-	-		
.9 Out-of-State Travel	-	-	-	-	-	-		
TOTAL OTHER OPERATING	\$ -	\$ -	\$ -	\$ -	\$ 3,865,930	\$ 3,865,930		
GRAND TOTAL	\$ -	\$ -	\$ -	\$ -	\$ 4,500,000	\$ 4,500,000		
Funded by General Fund	-	-	-	-	4,500,000	4,500,000		
Dedicated Funds	-	-	-	-	-	-		
Federal Funds	-	-	-	-	-	-		
GRAND TOTAL CHECK	\$ -	\$ -	\$ -	\$ -	\$ 4,500,000	\$ 4,500,000		

Two years ago, Indiana University and Purdue University entered into a research partnership, the Indiana Innovation Alliance (IIA). This partnership was a response to the strong desire by Presidents McRobbie and Cordova that the two institutions increase collaboration on research engagements, especially those focused on the life sciences. Building on the state's existing robust foundation in health and bioscience related companies, the IIA initiative seeks to significantly grow the state's share of national investments in bioscience research and development. In addition, the IIA involves a related investment in expanded health-related education and healthcare innovations.

The 2009 General Assembly recognized the importance of the Indiana Innovation Alliance initiative by appropriating to the State Budget Agency a total of ten million dollars for each year in the current biennium. The funding was to have been divided between three areas: the Medical Education Center expansion (\$3,000,000), Technical Assistance and Advanced Manufacturing (\$2,000,000), and Core Research (\$5,000,000). Due to the economic downturn, the State has not been able to any portion of this in the current biennium.

The Medical Education Center expansion funding was to have been distributed to the eight existing medical education centers. In early July 2010 the State Budget Committee (SBC) met and approved the release of \$2,000,000 per year beginning with FY 2010-11 so that School of Medicine may proceed with expansion, albeit on a somewhat longer timeline. Attachment A is the School's revised expansion plan and budget that was the basis of the SBC's July decision.

The Technical Assistance and Advanced Manufacturing funding was to have been distributed to Purdue University to expand postgraduate pharmacy residency training and postgraduate biomedical engineering specialization, and for a technical assistance program for cost containment through the Healthcare Technology Assistance Program. The Core Research funding was intended to fund facilities, equipment, researchers, and related expenses at both Purdue University and Indiana University.

We will continue to ask the State to support this very important initiative in the 2011-2013 biennium. Therefore, we have reflected this initiative as line items and have requested funding levels at the respective FY 2011 appropriation amounts for each of the three components of the initiative. No incremental funding will be requested.

**Plan for the Expansion of the Indiana University School of Medicine Education Campuses
September 11, 2009**

Overview

- To meet a looming physician shortage, the Indiana University School of Medicine is implementing a plan to expand its medical student enrollment by 30 percent, from 280 per class to 364 per class by 2016. At that time, total enrollment would reach 1,456 medical students.
- All additional medical students would receive their basic science instruction (first two year of medical school) at the regional Centers for Medical Education.
- The regional centers will also begin clinical instruction (3rd and 4th years of medical school) to help accommodate the increased number of medical students.

Why Expand the Class Size?

- A 2006 physician workforce study by the IU School of Medicine, in collaboration with the State of Indiana, found a shortage of physicians in many parts of Indiana, and predicted a growing shortage between available physicians and patients served, by 2020.
- The study forecasts a scarcity of physicians, particularly in primary care, in non-urban communities, and in historically underserved communities.
- Graduates of the medical school who receive their basic science instruction at the regional centers demonstrate an increased likelihood of practicing primary care, especially family medicine. In addition, they are more likely to begin practice in Indiana after their education and training is completed.

The IUSM Expansion Plan

- The IU School of Medicine provides its medical educational program statewide at eight regional center campuses and the main campus in Indianapolis since implementation in the 1960s. The Centers are located at:
 - University of Southern Indiana
 - Indiana Purdue University Fort Wayne
 - Indiana University Northwest
 - Indiana University Bloomington
 - Purdue University West Lafayette
 - Ball State University
 - University of Notre Dame
 - Indiana State University
- Currently, medical students complete their first two years assigned in a distributed manner to Indianapolis and the eight regional Centers for Medical Education.
- For the third and fourth years of medical school, students are currently assigned to the Indianapolis campus for their eleven required clinical experiences.

Expansion Plans for the Centers for Students in 1st and 2nd Year

- The first step in the IUSM expansion calls for all additional 1st and 2nd year medical students to be assigned to the 8 regional Centers for Medical Education.
- The School has already piloted expansion at a few Centers. For example, the Terre Haute center is specializing in rural medicine and primary care, including clinical experiences at nearby hospitals.

New 3rd and 4th Year Clerkships and Hospital Partners at the Regional Medical Centers

- The expansion will require IUSM to provide an increased number of third and fourth year clinical experiences outside of Indianapolis.
- Students may complete many of their required clinical clerkships, up to eleven, at the hospitals in the cities of our regional Centers of Medical Education.
- Two new potential “clinical” campuses could include Reid Hospital in Richmond; and Floyd Memorial and Clark Memorial Hospitals in New Albany and Clarksville, respectively.
- To provide clinical instruction, community-based practicing physicians will be recruited as volunteer or adjunct faculty for the clinical rotations.

IU's Revised Plan

- The School has also revisited its original plan and has revised it, to reduce initial costs and phasing the implementation over a longer period.
- Clarian Health, because of its shared concern about Indiana's looming physician shortage, has offered to support the school with a one-time \$4.2 million gift.
- This plan will permit the initiative to continue with a lower initial state funding commitment.

Phase-In of Additional Students

- From a class size of 280 in 2006, IUSM has already added 42 students and the current class size is 322.
- IUSM will phase-in additional students over eight years and will reach a class size totaling 364 in 2016:

Matriculation Year	2009	2010	2011	2012	2013	2014	2015	2016
New Students	14	0	6	6	8	10	8	4
Total Students each Class	322	322	328	334	342	352	360	364

Expansion Budget

- Recognizing the state's fiscal crisis, but desiring to continue the medical school expansion, IU will secure one-time funds that can be used during FY 2011 through FY 2013 to reduce the amount of state funds required.
- These one-time funds permit the initiative to proceed with \$2 million in state funds rather than \$3 million during FY 2011 – FY 2013.

	FY 2011	FY 2012	FY 2013	FY 2014	FY 2015
Revenue:	(Numbers are in Thousands Dollars)				
Tuition	3,866	5,155	6,235	7,102	8,378
One-time Gifts	1,513	1,570	1,389	268	0
State	2,000	2,000	2,000	3,000	3,000
Total	\$7,379	\$8,725	\$9,624	\$10,370	\$11,378
Expenses:					
1st & 2nd Year	2,630	2,817	3,136	3,561	4,134
3rd & 4th Year Students	4,749	5,908	6,488	6,809	7,244
Total Expenses	\$7,379	\$8,725	\$9,624	\$10,370	\$11,378